

| Fields | Scheme Summary Document | |
|-----------------------------------|--|--|
| 1 | Fund Name | DSP Small Cap Fund |
| 2 | Option Name (Regular/Direct) | Regular Plan & Direct Plan <input type="checkbox"/> Growth* <input type="checkbox"/> Income Distribution cum Capital Withdrawal option (IDCW) <input type="checkbox"/> Payout of Income Distribution cum Capital Withdrawal (IDCW) <input type="checkbox"/> Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) * default option |
| 3 | Fund Type | Small Cap Fund - An open ended equity scheme predominantly investing in small cap stocks |
| 4 | Riskometer (At the time of Launch) | Very High Risk |
| 5 | Riskometer (As on date) | Very High Risk |
| 6 | Category as per SEBI Categorization Circular | Small Cap Fund |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | The primary investment objective is to seek to generate long term capital appreciation from a portfolio that is substantially constituted of equity and equity related securities of small cap companies. From time to time, the fund manager will also seek participation in other equity and equity related securities to achieve optimal portfolio construction. There is no assurance that the investment objective of the Scheme will be achieved. |
| 9 | Stated Asset Allocation | 1. (a) Equity & equity related instruments of small cap companies: 65% - 100%, (b) Other equity & equity related instruments which are in the top 250 stocks by market capitalization: 0% - 35% 2. Debt and Money Market Securities: 0% - 35% 3. Units issued by REITs & InvITs: 0% - 10% For detailed asset allocation pattern, please refer to the Scheme Information Document |
| 10 | Face Value | Rs. 10/- |
| 11 | NFO Open Date | May 04, 2007 |
| 12 | NFO Close Date | May 25, 2007 |
| 13 | Allotment Date | June 14, 2007 |
| 14 | Reopen Date | June 20, 2007 |
| 15 | Maturity Date (For close-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | BSE 250 SMALL CAP TRI |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager 1 - Name | Mr. Vinit Sambre |
| 19 | Fund Manager 1 - Type (Primary/Comanage/Description) | Primary (Equity portion) |
| 20 | Fund Manager 1 - From Date | June 01, 2010 |
| 21 | Fund Manager 2 - Name | Mr. Resham Jain |
| 22 | Fund Manager 2 - Type (Primary/Comanage/Description) | Comanage (Equity and Overseas portion) |
| 23 | Fund Manager 2 - From Date | March 01, 2018 |
| 24 | Annual Expense (Stated Maximum) | Direct Plan - 0.81 % ; Regular Plan - 1.71 % |
| 25 | Exit Load (if applicable) | Holding period from the date of allotment: < 12 months - 1% >= 12 months - Nil (as a % of Applicable NAV) |
| 26 | Custodian | Citibank N.A. |
| 27 | Auditor | Walker Chandok & Co LLP |
| 28 | Registrar | Computer Age Management Services Limited |
| 29 | RTA Code (To be phased out) | 788 - DSP Small Cap Fund - Direct Plan - Growth 789 - DSP Small Cap Fund - Direct Plan - IDCW 790 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest 157 - DSP Small Cap Fund - Regular Plan - Growth 357 - DSP Small Cap Fund - Regular Plan - IDCW 392 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest |
| 30 | Listing Details | Not Applicable |
| 31 | ISINs | INF740K01QD1 - DSP Small Cap Fund - Direct Plan - Growth INF740K01QE9 - DSP Small Cap Fund - Direct Plan - IDCW INF740K01QF6 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest INF740K01797 - DSP Small Cap Fund - Regular Plan - Growth INF740K01805 - DSP Small Cap Fund - Regular Plan - IDCW INF740K01AM6 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest |
| 32 | AMFI Codes (To be phased out) | 119212 - DSP Small Cap Fund - Direct Plan - Growth 119213 - DSP Small Cap Fund - Direct Plan - IDCW 119213 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest 105989 - DSP Small Cap Fund - Regular Plan - Growth 113153 - DSP Small Cap Fund - Regular Plan - IDCW 113153 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest |
| 33 | SEBI Codes | DSPM/O/E/SCF/06/09/0015 |
| Investment Amount Details: | | |
| 1 | Minimum Application Amount | Rs. 100/- |
| 2 | Minimum Application Amount in multiple of Rs. | Rs. 1/- |
| 3 | Minimum Additional Amount | Rs. 100/- |
| 4 | Minimum Additional Amount in multiple of Rs. | Rs. 1/- |
| 5 | Minimum Redemption Amount in Rs. | 0.01 |
| 6 | Minimum Redemption Amount in Units | 0.001 |
| 7 | Minimum Balance Amount (if applicable) | NA |
| 8 | Minimum Balance Amount in Units (if applicable) | NA |
| 9 | Max Investment Amount | NA |
| 10 | Minimum Switch Amount (if applicable) | 100.00 |
| 11 | Minimum Switch Units | 0.001 |
| 12 | Switch Multiple Amount (if applicable) | 0.01 |
| 13 | Switch Multiple Units (if applicable) | 0.001 |
| 14 | Max Switch Amount | NA |
| 15 | Max Switch Units (if applicable) | NA |
| 16 | Swing Pricing (if applicable) | NA |
| 17 | Side-pocketing (if applicable) | No |
| SIP SWP & STP Details: | | |
| 1 | Frequency | SIP - Daily, Monthly, Quarterly, Half-Yearly and Yearly SWP - Weekly*, Monthly, Quarterly, Half-Yearly and Yearly STP - Daily, Weekly*, Monthly, Quarterly, Half-Yearly and Yearly *Weekly - Monday to Friday |
| 2 | Minimum Amount | 100.00 |
| 3 | In multiple of | 1.00 |
| 4 | Minimum Instalments | SIP - 12, SWP & STP - 6 |
| 5 | Dates | Any date |
| 6 | Maximum Amount (if any) | NA |