List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Nov-22	AANFB4683M	BANSAL IMPEX	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IID/P/OW/2022/46881/1
28-Nov-22	ADVPG3256J	BED PRAKASH GUPTA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IID/P/OW/2022/35657
28-Nov-22	AABTA0540L	ABHISHEK PERIWAL FAMILY TRUST	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38040/1
28-Nov-22	AALCA2193C	ABUMPENT TRADELINK PVT LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38099/1
28-Nov-22	AALHA1183P	ABHISHEK GUPTA & SONS HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38001/1
28-Nov-22	AAKCA8787H	ACCENTUATE TRADECOM PVT LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38110/1
28-Nov-22	ACIPA3984B	INDRA DEVI AGRAWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD/EFD1/P/OW/2022/33904/1
28-Nov-22	CEVPP5588R	AISHWARYA PADIA	ADJUDICATION PROCEEDINGS IN RESPECT OF AISHWARYA PADIA DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	OIAE/IGRDMJS/17682/2021
28-Nov-22	AAHCA1249F	AKANSHA VINCOM PVT LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OIAE/IGRD/P/OW/2022/000034550/1
28-Nov-22	AAMFB8544J	BHARAT POWER INC	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/30718/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Nov-22	AADCB7016R	BHAVYA GOLD PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/30794/2022
28-Nov-22	AADCB8143K	BHOLANATH TEXTILE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/30821/2022
28-Nov-22	AAECD1524F	DHANRAKSHA TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36434/2022
28-Nov-22	AADCD2953K	DHRUV BROKING SERVICES INDIA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/35977/2022
28-Nov-22	AAECD1732F	DIGANTA AGENCIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36438/2022
28-Nov-22	AAACD2736K	DIMCO FINANACE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/31798/2022
28-Nov-22	AABCG9728R	DM VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34250/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Nov-22	AAAFP4737H	PLASTIKA INDUSTRIES	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/33096/2022
28-Nov-22	AADCP3467C	POPULAR IMPEX PVT. LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/35993/2022
28-Nov-22	AABCP6088R	PRACHI PLASTICS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34300/2022
28-Nov-22	AAFCP7889L	PRACHI TRADEVINE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36563/2022
28-Nov-22	AABHR7225K	RAMESH AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34375/2022
28-Nov-22	AACCR2788P	RANGVARDHAN FEBTRADE PVT. LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/35900/2022
28-Nov-22	AADCR3261Q	RANISATI AAWAS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36013/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Nov-22	AAFCR6033G	RCB INFRA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36592/2022
28-Nov-22	AAFCR6566C	REALSUNRISE TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36605/2022
28-Nov-22	AAECS0708A	SAFFIRE EXPORTS AND AGENCY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36489/2022
28-Nov-22	AADCS7349J	SAHUL INDIA LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36069/2022
28-Nov-22	AADCS1409H	SAI KANAKA MAHALAKSHMI FINANCE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36060/2022
28-Nov-22	AALHA8228H	AMIT KUMAR CHOWDHURY (HUF)	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD_1/SMR/40198/1/2022
28-Nov-22	AAHCA1114H	AMRAPALI MARKETING PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD_1/SMR/40355/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Nov-22	AAGCA4091A	AMRIT AUTOR ANCIALLIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36675/2022
28-Nov-22	BWVPB7271E	AMRIT BHOUTIKA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39439/1/2022
28-Nov-22	AAHCA8127E	AMRIT LOGISTICS P LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD_1/SMR/40891/1/2022
28-Nov-22	AAECR4394M	RAJWADI RETAIL TRADE SYSTEMS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36484/2022
28-Nov-22	ABRPM7436N	RAMA DEVI MAHESHWARI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/41782/1/2022
05-Dec-22	AIBPD5871D	RAMESH KUMAR DEOSARIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32583/1/2022
05-Dec-22	BYMPK3820M	RAMKRISHNA KARMAKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/33610/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Dec-22	ADZPC5855H	DIPEN D CHANDRUVA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32182/1/2022
05-Dec-22	ADKPA7122J	DHARAM CHAND AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/31200/1/2022
05-Dec-22	AJZPA6310R	REKHA M AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32598/1/2022
05-Dec-22	AEKPC4067M	RASHMI CHANDAK	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32238/1/2022
05-Dec-22	ASBPS7044M	RASHMI SRIVASTAVA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/33274/1/2022
05-Dec-22	BYUPK3013N	RANJIT KARMAKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/33627/1/2022
05-Dec-22	AGJPA2721R	DONNA ABRAHAM TIJO	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32537/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Dec-22	ADXPM6378D	PRABHU DAYAL MALPANI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/31267/1/2022
05-Dec-22	AAFCR1143M	RISING IRON MERCHANTS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RISING IRON MERCHANTS PVT LTD	EAD-8/PM/GD/ISO-II/25378/1/2021
05-Dec-22	AAFCR9256B	ROLEX DEALER PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST ROLEX DEALER PRIVATE LIMITED	EAD-8/PM/GD/ISO-II/29759/1/2021
05-Dec-22	AAOHR1617N	ROMESH CHAND AGARWALA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST ROMESH CHAND AGARWALA HUF	EAD-8/PM/GD/ISO-II/15036/13/2021
05-Dec-22	AAFCR6435C	ROYALPET EXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST ROYALPET EXIM PRIVATE LIMITED	EAD-8/PM/GD/ISO-II/25379/1/2021
05-Dec-22	AAFHB4428J	BASUDEV JOARDER HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/37011/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Dec-22	AAECB7768B	BASUNDHARA MARCOM PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST BASUNDHARA MARCOM PVT LTD ("NOTICE")	EAD-8/PM/NJMR/ISO-II/2021
05-Dec-22	AAJPK2039A	RAJESH KAPOOR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJESH KAPOOR	EAD-8/PM/GD/ISO-II/39828/1/2021
05-Dec-22	ALLPR2863E	RINA ROY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/35831/1
05-Dec-22	ADQPV3158F	RAKHI VOHRA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/31253/1/2022
05-Dec-22	AAECR0507L	RPS MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RPS PROPERTIES PVT LTD	EAD-8/PM/NS/ISO-II/32066/1/2021
05-Dec-22	AFBPA5176P	KUSUM DEVI AGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41285/1
05-Dec-22	AAAHL4134N	LALIT KUMAR BHALOTIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/42246/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Dec-22	ACOPC0249F	LALITA CHOWDHARY	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/42308/1
05-Dec-22	AABHL1687B	LAKHMICHAND SHREECHAND HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41452/1
05-Dec-22	AABCG2794B	GOPAL RESORTS LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41394/1
05-Dec-22	AABHG3632H	GOVARDHAN LAL SIKARIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41414/1
05-Dec-22	AABHG5168A	GOVIND KUMAR GUPTA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41317/1
05-Dec-22	AABHG5795F	GOPAL RAM GUPTA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41454/1
05-Dec-22	AABTG2809E	GOURAV BEGNANI BENIFIT TRUST	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41459/1
05-Dec-22	AAGHG2297F	GOVIND JI SHUKLA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	HRD/ADJ/PM/OW/P/41154/1/2022
05-Dec-22	AMKPB0815E	DIPAYAN BOSE	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41355/1/2022
05-Dec-22	COTPM6524G	GUNJAN MOGRA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	HRD/ADJ/PM/OW/P/41287/1/2022
05-Dec-22	ADJPR1716N	KUMAR KAREL RAJ	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/PS/NS/2022/39487/1
05-Dec-22	AETPP7789L	SITA DEVI PANASARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/BRK/JS/OW/31404/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Dec-22	AXUPJ6002E	SITARAM JAYANT	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/BRK/JS/OW/31461/1/2022
05-Dec-22	AANCS7906B	SKYLAND CONSTRUCTIONS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	AO/BRK/JS/OW/31437/1
05-Dec-22	AAJHK7693C	KRISHNA KUMAR JHUNJHUNWALA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/PS/NS/2022/39510/1
05-Dec-22	BIYPS8509M	MANOJ T. SHAH	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SD/AO/78/2011
05-Dec-22	ADZPK9831B	PURSHOTTAM KHANDELWAL	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	JJ/AK/AO-103/2014
05-Dec-22	ACUPV1785B	GIRDHARBHAI VAGADIYA	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-2/AO/19/2012
05-Dec-22	ACSPT7007J	PRASAD TANDEL	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-2/AO/20/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Dec-22	ACSPT7007J	PRASAD TANDEL	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SD/AO/01/2010
05-Dec-22	ARIPK3214D	YOGESH KURADIYA	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	BM/AO/-128/2011
05-Dec-22	ABPPH6308A	SURESH HANSWAL	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/KM/105/2014
05-Dec-22	ACJPN0418I	K.NATARAHJAN	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	BM/AO-112-114-2011
12-Dec-22	AHUPM3772J	MR. CHETAN MEHTA	ADJUDICATION ORDER AGAINST SHRI CHETAN MEHTA IN THE MATTER OF CHAMATKAR.NET (INDIA) LIMITED	PKK/AO/16/2011
12-Dec-22	AABCC2133Q	CALYPSO TIEUP AND FINCO PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41723/1
12-Dec-22	AABCC9516H	CAMPBELL STOCK AND SECURITIES PVT. LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41742/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AADCR1312E	ROSE SECURITIES LIMITED	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS ON BSE	EAD-8/PM/NS/ISO-II/29966/1/2021
12-Dec-22	AADCR5262R	RAMEL PHARMA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RAMEL PHARMA LIMITED AND ITS DIRECTORS	WTM/MB/SEBI/EFD-DRA4/7/2017
12-Dec-22	AAECB6182B	BASUNDHARA AGRO RESOURCES (INDIA) LTD.	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017
12-Dec-22	AAECR5537N	REAL AGRI INDUSTRIES AND SERVICES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016
12-Dec-22	AAECR7871B	ROSEVALLEY VINTRADE PVT LTD	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS (ISO) ON BSE	EAD-8/AS/GD/2022/36487/1
12-Dec-22	AAFCA5616C	ARYAVISH PROPERTIES PRIVATE LIMITED	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS (ISO) ON BSE	SEBI/AS/OR/2022/32/43124/1
12-Dec-22	AAFCR7667C	RITUDHARA TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RITUDHARA TRADERS PRIVATE LIMITED	EAD-8/PM/NS/ISO-II/25371/1/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAFHR7017H	RAJBIR GOYAL SONS HUF	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS (ISO) ON BSE	EAD-8/AS/GD/2022/37096/1
12-Dec-22	AAHHA5905K	AMIT KUMAR SINGH HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD-1/SMR/40900/1/2022
12-Dec-22	AAHHR0564B	RAJESH KUMAR AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/36532/1
12-Dec-22	AAKFJ0204F	JAYVEER COMMODITIES BROKER	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-SEC_3/P/OW/2022/44574/1
12-Dec-22	AALHM3697J	MANISH AGGARWAL HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41822/1
12-Dec-22	AALHR7398H	RAJENDRA MADAN LAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJENDRA MADAN LAL HUF ("NOTICEE")	EAD-8/PM/NJMR/ISO-II/15671/1/2021
12-Dec-22	AALHR9308B	RIJU RAJPAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RIJU RAJPAL HUF	EAD-8/PM/GD/ISO-II/29751/1/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAPCS4311L	SANYUKTA DEALERS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID5/SCN/AO-MS/NS/34560/12022
12-Dec-22	ABUPD3892Q	SANTOSH DEVI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42012/1/2022
12-Dec-22	ACBPJ2127L	SHASHI JAIN	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/WRO/SCN/RB/OW/39254/1/2022
12-Dec-22	ACPPD0411M	SUJIT DUTTA	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017
12-Dec-22	ACXPJ4973G	ASHA DEVI JALAN	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS ON BSE	SEBI/AO/SR/2022/44/43184/1
12-Dec-22	ADCPA3298H	SANWARMAL AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBIHO/IVD/ID5/AO-MS/NS/34486/1/2022
12-Dec-22	AEBPA9277Q	SANTOSH AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID5/SCN/AO-MS/SP/32865/1/2022
12-Dec-22	AETPP7792R	SHASHI PRABHA PANSARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/WRO/SCN/RB/OW/35117/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AFJPR2105P	MANGU RAM	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41706/1
12-Dec-22	AGVPD9209K	BYOMKESH DAS	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	AHQPB9848J	MRITYUNJOY BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016
12-Dec-22	AIDPB6313L	MR. KAMAL KUMAR BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016
12-Dec-22	AIYPS6389J	SHIV CHARAN SHARMA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/P/OW/2022/31040/1
12-Dec-22	AKDPA2022P	MD MAHFUZ ALAM	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020
12-Dec-22	AKEPP6209Q	SUBHASH PAUL	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017
12-Dec-22	AKIPA6043M	PARWEZ ALAM	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020
12-Dec-22	ALLPA8121E	MOHAMMAD SALIMUDDIN ANSARI	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ALQPS3953D	JEAN SAMTANI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ILO) AT BSE	SEBI/HO/MRD-SEC-3/P/OW/2022/41890/1
12-Dec-22	ANFPA9734M	MANZUR ALAM	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020
12-Dec-22	AODPC0097C	DHANANJAY CHALCRABORTY	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	AQJPA8887E	SHIKHA AGRAWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/P/OW/2022/30970/1
12-Dec-22	AQLPD9139L	PRANESH DEBNATH,	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	ASPPD7921E	TAPAS DEY	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	ATOPB8487H	REKHA BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016
12-Dec-22	AVQPD8818E	SUNIPA DAS	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AYKPP8684R	BIBHAS PAL	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017
12-Dec-22	AYMPK6977G	SHILPA KUMARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/P/OW/2022/30989/1
12-Dec-22	AZMPC2370A	RAHUL CHATTERJEE	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	BAAPM8405Q	RADHASHYAM MANNA	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	BADPM1727J	ASHOK MAJI	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	BBAPK4611F	MD KAMAL KOSHAR	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020
12-Dec-22	BDQPK1075F	AMITAVA KOLEY	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	BEOPS6023M	GORA CHAND SARKAR	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	BEYPD4346D	SANJAY DAS	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
12-Dec-22	BHAPM2160H	SOMENATH MUKHERJEE	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017
12-Dec-22	CHFPS4590F	GOUTAM SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016
12-Dec-22	AAACU7914N	UNITED COSMETICS MANUFACTURING (I) LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) LIMITED	WTM/RKA/EFD/150/2016
12-Dec-22	AABCO6831B	OMISHA AGRI INDUSTRIES (INDIA) LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016
12-Dec-22	AABHC1518G	RAMPRASAD CHAMARIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/42914/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AACCD7561J	DYNASTY TRADELINK PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID8/SCN/AO-SS/VS/35381/1/2022
12-Dec-22	AACCE6890R	ERIS ENERGY LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITES AND EXCHANGE BOARD OF INIDA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA (LSSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
12-Dec-22	AADCD6348J	DYNAMIC ASSET MANAGEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016
12-Dec-22	AADHD7260E	DURLABH SINGH AND SONS HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/IVD-8/SCN/AO-SS/PH/2022/31762
12-Dec-22	AAECA2227N	AMAZAN AGRO PRODUCTS LTD.	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016
12-Dec-22	AAECG0029L	GREAT OVERSEAS COMMODEAL LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY GREAT OVERSEAS COMMODEAL LIMITED	WTM/RKA/EFD/127/2016
12-Dec-22	AAECG0030B	GMS INFRASTRUCTURE LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS GMS INFRASTRUCTURE LIMITED	WTM/AB/EFD-1/DRA-1V/05/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAECG3774E	GULSHAN NIRMAN INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/128/2018
12-Dec-22	AAECG5486F	GOLDMINE INDUSTRIES LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN RESPECT OF GOLDMINE INDUSTRIES LIMITED	WTM/RKA/EFD/190/2016
12-Dec-22	AAECR6118F	ROOFERS INFRA - PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTN/SR/SEBI-ERO/IMD/21/03/2016
12-Dec-22	AAFCA5687H	ASOKA LIFE SCIENCE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19
12-Dec-22	AAFCR9720E	RBX LAND DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF RBX LAND DEVELOPERS INDIA LIMITED	WTM/RKA/EFD/137/2016
12-Dec-22	AAGCP6749N	POLARIS REALTORS INDIALIMITED	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992
12-Dec-22	AAHCM0793Q	MULTINATIONAL INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/MPB/EFD-1-DRA-IV/21/2017
12-Dec-22	AAICA4906A	ATM AGRO INDUSTRIES INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/15/ERO/APR/2016
12-Dec-22	AAICA6147R	ASSDA AGRO PROJECTS LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/MPB/EFD-1-DRA-IV/22/2018
12-Dec-22	AAKCS2718Q	SEBA REAL ESTATE LIMITED	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTS/PS/138/ERO/JAN/2016
12-Dec-22	AALCS5321G	SWABHUMI REAL ESTATE INDIA LTD.	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/51/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAMCS8354E	SLB INVEST (INDIA) LIMITED	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/08/ERO-BLO/APR/2016
12-Dec-22	AARCS4721N	STPL INFRASTRUCTURES LIMITED	SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016
12-Dec-22	AAYPL5577B	KAMAL KISHORE LODHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	ABUPH6271K	RAKABUL HAQUE	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA-IV/21/2017
12-Dec-22	ACEPC4432C	NANDINI CHATTERJEE	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA-IV/21/2017
12-Dec-22	ACFPC4630F	SUKUMAR CHATTERJEE	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016
12-Dec-22	ACXPB9394B	PAHARI BASU	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016
12-Dec-22	ACYPG7856L	SRINIBASH GHOSH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA/IV/128/2018
12-Dec-22	ACZPN6252A	MRINAL CHANDRA NANDI,	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ADAPD1592M	SAMIR DAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016
12-Dec-22	ADEPD8146M	RANU MURARKA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD/P/OW/2022/0000042182/1
12-Dec-22	ADFPN9130J	KANAK RANJAN	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016
12-Dec-22	ADRPB4364N	BARUN BISWAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016
12-Dec-22	ADTPR0390N	SMT. SUSMITA ROYCHOUDHARY	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016
12-Dec-22	AEKPK6205P	RANJEET KUMAR	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/42898/1
12-Dec-22	AEMPN1456K	GOPAL NAYEK	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992
12-Dec-22	AEOPN7091L	SANJOY NAG	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016
12-Dec-22	AEOPN7092K	SIDDHARTHA NAG	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AEPPG8484F	JOYDEB GARAI	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992,READ WITH SECURITIES & EXCHANGE BOARD OF INDIA(UCDR) REGULATIONS, 2009, IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICE DATED MAY 25,2015 AND MAY 29,2015 IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/GM/EFD/38/2017-18
12-Dec-22	AEPPG8484F	JOYDEB GARAI	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016
12-Dec-22	AEWPD5164N	DINABANDHU DAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016
12-Dec-22	AFBPR4794Q	SOURAV ROY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19
12-Dec-22	AFVPD2306Q	AJAYA KUMAR DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016
12-Dec-22	AFVPR5832F	SIMANTA ROY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19
12-Dec-22	AFWPG1415L	DEBABRATA GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/15/ERO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AGDPD7440A	SHIBENDU D	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016
12-Dec-22	AGNPG3984G	BASUDEB GARAI	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016
12-Dec-22	AHEPD4809K	ABHIJIT DASGUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 28 OF SEBI (LSSUE AND LISTING OF DEBT SECURITIES)REGULATIONS, 2088 IN RESPECT OF;	WTM/GM/NRO/30/2017
12-Dec-22	AHMPR4384B	UJJAL KUMAR ROY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/GM/NRO/30/2017
12-Dec-22	AHPPD8992C	RAJESH DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016
12-Dec-22	AHQPJ8186K	KARTIK JANA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/2016
12-Dec-22	AHTPD9166G	SUMITA DAS	UNDER SEC 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ERO/FEB/2016
12-Dec-22	AHTPD9166G	SUMITA DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AHUPH9113Q	DEVID HASLAF	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
12-Dec-22	AHXPD8258R	DEBASISH DUTTA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018
12-Dec-22	AHYPC4447J	MANABENDRA CHAKRABORTY	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016
12-Dec-22	AIDPG1944N	SAUROVEMOY GHOSH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016
12-Dec-22	AIEPB7483J	NIHAR RANJAN BIDHAR	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016
12-Dec-22	AIHPM6556G	NIRAPADA MAITI	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/2016
12-Dec-22	AIIPM2433R	ARUN KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	AIYPR3451L	SUJIT KUMAR ROY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AJIPB7361C	MS. DEBASHREE BHOWMIK	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/2016
12-Dec-22	AJJPD4880B	SANTANU DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016
12-Dec-22	AJLPD1430D	SATYENDRA KUMAR DEY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016
12-Dec-22	AJTPM8782F	ARUNANGSHU MANNA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017
12-Dec-22	AKAPD0726A	MS. BAISALINI DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/08/ERO-BLO/APR/2016
12-Dec-22	AKEPS8245D	KHUDIRAM SOUNTH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016
12-Dec-22	ALDPB2568G	PRALAY KANTA BHATTACHARYYA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017
12-Dec-22	ALDPP2259C	SUSANTA KUMAR PANDA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016
12-Dec-22	ALIPB5094L	CHANDAN BISWAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS GMS INFRASTRUCTURE LIMITED	WTM/AB/EFD-1/DRA-1V/05/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ALVPS9791G	SUDHIR SHARMA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF GULSHAN NIRMAN PVT. LTD.	WTM/MPB/EFD-1-DRA-IV/128/2018
12-Dec-22	AMGPB0981P	RAJIB BHATTACHARYA	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) P. LTD.	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) P. LTD.
12-Dec-22	AMHPD9189J	MS. SHARMILA DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/RKA/EFD/ 185/2016
12-Dec-22	AMPPD6913J	BISWAJIT DAS	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/05/2018-19
12-Dec-22	ANDPC7427M	DINESH CHOWHAN	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/05/2018-19
12-Dec-22	ANNPS2028N	PRABIR SENGUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016
12-Dec-22	ANRPB9054H	SUBHRAJIT BANIK	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
12-Dec-22	ANZPP6080B	BHADRESHWAR PAL	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/SR/SEBI-ERO/IMD/21/03/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AOQPD8911D	TIRTHANKAR DASGUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/27/2016
12-Dec-22	APDPD8203N	DEBASISH DASGUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	APEPC8255N	AVJIT CHOWDHURY	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/175/2016A
12-Dec-22	APKPS6248B	HIRAK NATH SOUNTH	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/SR/SEBI-ERO/IMD/21/03/2016
12-Dec-22	AQFPB2001D	LOPAMUDRA BANDYAPADHYAY	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/05/2018-19
12-Dec-22	AQQPR8167F	MANISH ROY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016
12-Dec-22	AQRPC9091N	SAMIR CHATTOPADHYAY KUMAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/150/2016
12-Dec-22	AQSPM6019P	BIBEKANANDA MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016
12-Dec-22	AQVPA0028K	RAJESH ACHARYA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/21/2017
12-Dec-22	AQXPG2563P	TAPAS KUMAR GIRI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ARBPD8838K	NABARUN DUTTA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/22/2018
12-Dec-22	ASWPD5852F	GOURI DUTTA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/22/2018
12-Dec-22	ASWPR0853J	OBAIDUR RAHAMAN	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/21/2017
12-Dec-22	ATFPM5403K	ANTARA MUKHERJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19
12-Dec-22	AUDPB3585G	KEDAR CHANDRA BERA	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
12-Dec-22	AUDPS9698F	RASIKLAL S SHETH	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/0000040060/1
12-Dec-22	AUMPD7059E	PRADIP DHARA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016
12-Dec-22	AUZPP0701A	AMAL PATRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/150/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AVFPA7748F	SAIFUL ALAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	AVFPK1594B	MOHAMMAD ATTAULLAH KHAN	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018
12-Dec-22	AVIPS6571K	ARJUN SAHA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/175/2016A
12-Dec-22	AWEPS6381C	NITYANANDA SARKAR	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018
12-Dec-22	AWIPP6778J	TAPAN KUMAR POLLEY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016
12-Dec-22	AWLPM7483Q	DURGA CHARAN MUDI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016
12-Dec-22	AYCPS4451M	SUBHASH CHANDRA SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/PS/138/ERO/JAN/2016
12-Dec-22	AYLPB1956B	DEBASHISH BHATTACHARYYA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AYNPG5900E	YUSUF ALI GAYEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/17/ERO/MAY/2016
12-Dec-22	AYYPM2926P	KISHORE CHANDRA MAHARATHI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016
12-Dec-22	AZGPB7889M	PRABIR KUMAR BAUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016
12-Dec-22	BAGPM1763F	HASEM MIRZA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	BAGPM1764C	MIRZA DINAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	BBHPM8968G	PRATAP MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/27/2016
12-Dec-22	BCLPR9255A	KAUSTABH ROY	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018
12-Dec-22	BDKPP3859C	NARGIS PARVIN	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/21/2017
12-Dec-22	BDOPG6790F	TAHIDUR RAHAMAN GAYEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	BEJPR5386E	PRIYANKA ROY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/ 28 /2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	BHFPR1071R	SRIKANTA RUIDAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016
12-Dec-22	BHQPR8645J	INDRAJIT ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	BJKPR7315B	BAPAN RUIDAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016
12-Dec-22	BKBPK8720K	ASHMIN KHATUN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
12-Dec-22	BLQPM6927A	RAJIB MONDAL	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016
12-Dec-22	BMEPB2162H	GARGI BISWAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016
12-Dec-22	BMPPP2155K	ANIL PANDEY	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF ISSUANCE OF SECURED REDEEMABLE NON CONVERTIBLE DEBENTURES BY MEGASYS HEALTHCARE LIMITED	WTM/RKA/EFD/186/2016
12-Dec-22	BMUPM1800K	PRADIP KUMAR MAHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/O5/ERO-BLO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	BOEPP0786H	GORA CHAND PANDEY	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY GREAT OVERSEAS COMMODEAL LIMITED	WTM/RKA/EFD/127/2016
12-Dec-22	BREPS6947N	BALWANT KUMAR SINGH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/2016
12-Dec-22	BUOPK8353F	SEKHAR CHANDRA KOLEY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016
12-Dec-22	BXHPS2674H	RAGHUVEER SINGH SISODIYA	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF RBX LAND DEVELOPERS INDIA LIMITED	WTM/RKA/EFD/137/2016
12-Dec-22	BYYPK8546E	AVEDANANDA KHILA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017
12-Dec-22	BZMPS1847R	AKMAL SEKH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA/IV/21/2017
12-Dec-22	CAYPS9419K	MIARUL SEKH	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	CLKPS6324N	RAJESH SINGH	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS GMS INFRASTRUCTURE LIMITED	WTM/AB/EFD-1/DRA-1V/05/2018-19
12-Dec-22	CQYPS9419K	MIARUL SEKH	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
12-Dec-22	DCTPS2901E	KALYAN SAHA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA/IV/21/2017
12-Dec-22	DUGPS0846K	AJOY SANKAR SANYAL	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016
12-Dec-22	AAACJ2532P	JAITRA ESTATE HOLDINGS PVT. LTD.	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	PKB/AO-101/2010]
12-Dec-22	AAAPI2482J	MR KOLLENMARETH OOMMAN ISSAC	UNDER SECTION 11 & 11B OF THE SECURITIES & EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ABL BIOTECHNOLOGIES LTD.	WTM/SKM/EFD1-DRAI/02/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AACCS1185R	SONAL INTERNATIONAL LTD	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)
12-Dec-22	AGLPP8818Q	NILESH KRUSHNA PALANDE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)
12-Dec-22	AKSPM3939N	KIRTI KANTILAL MEHTA	UNDER SECTION 11B OF SEBI ACT 1992 READ WITH REGULATION 32(1)(F) AND 32(1)(H) OF SEBI(SUBSTANTIUAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS,	WTM/AB/EFD-1/DRA-II/4987/2019-20
12-Dec-22	AKSPM3939N	KIRTI KANTILAL MEHTA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	EAD/SR/SM/AO/28/2017-18
12-Dec-22	AKSPM3939N	KIRTI KANTILAL MEHTA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AKZPG4168Q	VAISHALI SHARAD GAWDE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)
12-Dec-22	AOZPP4022M	GHANSHYAM DAYABHAI PATEL	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)
12-Dec-22	AWMPG6377L	RAJNEE RAJAN GITAYE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)
12-Dec-22	AXGPP4301A	NEHA BIPIN PATEL	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)
12-Dec-22	AAACV2912N	VISHVAS SECURITIES LTD.	UNDER SECTIONS 11 (1) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-4/101-103/2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AABCS7644G	SUNDEEP CREDITS PRIVATE LIMITED	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-2/DSR/KM/122-127 /2014
12-Dec-22	AAFCP0825N	PROGRESS CULTIVATION LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016
12-Dec-22	AAFFI0479L	INDIRA TRADING CO.	UNDER SECTIONS 11(1), 11(4) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN RE. SECURITIES EXCHANGE BOARD OF INDIA (INVESTMENT ADVISORS) REGULATIONS AND THE SECURITIES EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATION, 2014	WTM/MB/IMD/DOF-1/10676/2020-21
12-Dec-22	AAFFI1014H	INDIAN STOCK TIPS	UNDER SECTIONS 11(1), 11(4)(B) AND LLB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014, IN RESPECT OF	WTM/MPB/SEBI/EFD-DRA4/108/2017
12-Dec-22	AAHCS1332H	STARDOM TRADING COMPANY PVT LTD	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAICS2382L	SPECTRUM CHEMICALS PVT LIMITED	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE & OF SECURITIES AND EXCHANGE BOARD OF IMDIA F PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER RULES 1996	EAD-2/AD/DSR/RG/BKM/276-308/2014
12-Dec-22	ABYPH4184M	BIKASH HOWLADER	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016
12-Dec-22	AJHPG6989J	BIPIN KUMAR GANDHI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQ11M.Y AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/345-403/2015
12-Dec-22	AJHPG6989J	BIPIN KUMAR GANDHI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQ11M.Y AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/AO/DSR/RG/222-248/2014
12-Dec-22	AJHPG6989J	BIPIN KUMAR GANDHI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQ11M.Y AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/320-339/2014
12-Dec-22	AKTPD7363C	AJOY DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016
12-Dec-22	AKWPM4694A	SOUVIK MISTRY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ARLPG5832C	JAGDISH LALMANI GUPTA	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21
12-Dec-22	AXTPM7326D	SOHINI MISTRY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/163/2018
12-Dec-22	BAHPG8679M	VISHAL ANAJI GIRKAR	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21
12-Dec-22	BEPPM1043D	JOHEPH CHAND MALIKSHAIKH	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21
12-Dec-22	CPDPS5191C	MOHD. ZAID SIDDIQUE	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21
12-Dec-22	AAACT9882C	TOUCH WOOD LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
12-Dec-22	AAAFK8628A	KHERA MOTOR FINANCE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-RAC-2/P/OW/2022/0000043655/1
12-Dec-22	AABHR9686J	RAM PRASAD ASHISH GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34377/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AACCH1630A	HALDHAR REALTY AND ENTERPRISES LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18
12-Dec-22	AACCK9762E	KIM INFRASTRUCTURE & DEVELOPERS LTD	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/DEC/2014
12-Dec-22	AADCN4728Q	NICL INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19
12-Dec-22	AAECD0982H	DHANKAMAL VANIJYA PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAECR1541A	RAGHAV CAPITAL AND INFRASTRUCTURE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015
12-Dec-22	AAFCP3908E	PRAGYA DAIRIES & AGRO LIMITED	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20
12-Dec-22	AAKCA2105M	ALLNEW DISTRIBUTORS PVT LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
12-Dec-22	AALCS8092C	SWAR AGROTEAK AND HOUSING (INDIA) PRIVATELIMITED	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AANCS1132M	SUPER TRADECOM PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
12-Dec-22	AAPCS3390F	SUNPLANT BIO ENERGY PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18
12-Dec-22	AARPA7028K	SANJIB SIKDAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/DEC/2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAXPM7090B	VIRENDER KUMAR MITTAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
12-Dec-22	ACSPT3646D	PREM PRAKASH THANVI	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	PB/AO-79/2010
12-Dec-22	ADPPN6255N	RAGHVENDRA SINGH NARWARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF BNP INDIA DEVELOPERS AND INFRASTRUCTURE LIMITED (BNP).	WTM/GM/EFD/60/2017-18
12-Dec-22	AGOPC3742P	ABHISHEK S CHAUHAN	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AHWPN9045M	MS. NIRMALA RAGHAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015
12-Dec-22	AHZPN2835J	PADMALOCHAN NAYAK	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18
12-Dec-22	AIKPC8055F	PHOOL SINGH CHOUDHARY	UNDER SECTIONS 11, 11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1DRA-IV/93/2017
12-Dec-22	AITPM7648D	SANGEETA MITTAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AJWPP3490J	NANA LAL PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20
12-Dec-22	AMHPJ3198H	SUNIL KUMAR JHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18
12-Dec-22	AOMPM8097D	SUNITA MODI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	APGPT3272C	MANJULABEN BHUPENDRABHAI THAKKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
12-Dec-22	APJPP6921M	DURGA PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20
12-Dec-22	APRPD7072D	SOM DATTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF BNP INDIA DEVELOPERS AND INFRASTRUCTURE LIMITED (BNP).	WTM/GM/EFD/60/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ARRPK5013B	RAJESH KUMAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/DEC/2014
12-Dec-22	ATSPK4627Q	MR. PRAVESH KUMAR KAROWLIYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/ 22/EFD/MAY/2016
12-Dec-22	AVBPP1293Q	POOJA PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	BCUPS2748L	DEVENDRA KUMAR SAHU	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF BNP INDIA DEVELOPERS AND INFRASTRUCTURE LIMITED (BNP).	WTM/GM/EFD/60/2017-18
12-Dec-22	BKHPS1704D	MR. KULBIR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/31/CIS-NRO/AUG/2015
12-Dec-22	BLGPK9508K	MR. SHIV KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/ 22/EFD/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	BONPG5600Q	SUBIR GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18
12-Dec-22	CGFPS6608M	MR. HARJIT SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/31/CIS-NRO/AUG/2015
12-Dec-22	CIRPK5759F	MS. RANJIT KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/31/CIS-NRO/AUG/2015
12-Dec-22	COUPS0677H	HARISH SHARMA	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	DQTPS4378R	AKASHDEEP SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
12-Dec-22	AAACY5285E	YIN TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42074/1/2022
12-Dec-22	AAACZ3842Q	ZIYA MERCHANDISE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44094/1/2022
12-Dec-22	AAAHP3310G	PARMOD JAIN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD6/GG/AS/41490/1/2022
12-Dec-22	AABCD9928L	DRISHA IMPEX PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44096/1/2022
12-Dec-22	AABCG0653R	GALAXY EXPORTS PRIVATE LIMITED	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	41496/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AABCW0409M	WINSOME VINCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44971/1/2022
12-Dec-22	AABCW0423F	WELSPUN TIE UP PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44970/1/2022
12-Dec-22	AABCW1311Q	WATERFLOW COMMOTRADE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44969/1/2022
12-Dec-22	AACCV1835D	VKJ TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42065/1/2022
12-Dec-22	AACHC3523N	CHANDRESH MEGHANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44706/1/2022
12-Dec-22	AADCC4989N	CHIRAG VINCOM PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39809/1/2022
12-Dec-22	AADCC6699R	CREDENCE PROJECTS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42002/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AADCD5746L	DAREDEVIL AGENCIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42729/1/2022
12-Dec-22	AAECC7265L	CYPRESS GOODS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41991/1/2022
12-Dec-22	AAECC7267J	CHEN VYAPAAR PRIVATE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39780/1/2022
12-Dec-22	AAGCP7939J	PLUTOCRAT TRADECOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38266/1/2022
12-Dec-22	AAGCR7248J	RIYA OIL INDUSTRIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38558/1/2022
12-Dec-22	AAGHS5421P	SUDESH KUMAR SOMANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.43435/1/2022
12-Dec-22	AAGHS9027R	SANJOY KUMAR GUPTA FAMILY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39060/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAHHR0559Q	RAM NIWAS JAIN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38346/1/2022
12-Dec-22	AAICS5236K	SADGURU ISPAT PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38733/1/2022
12-Dec-22	AAJHA7611L	ATUL TANNA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44082/1/2022
12-Dec-22	AAJHS4607J	SUDESH KUMAR AGGARWAL SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.43432/1/2022
12-Dec-22	AALHR4375J	RAKESH KUMAR KHETAN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40956/1/2022
12-Dec-22	AAMCS1343P	STATUS VYAPAAR PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41513/1/2022
12-Dec-22	AAMCS6736Q	SRM COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41502/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAMCS7057E	SR REALBUILD PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42987/1/2022
12-Dec-22	AAOCS1393R	SRIJAN DEALERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44983/1/2022
12-Dec-22	AAOCS7375K	SOUNDLIGHT PROJECTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44978/1/2022
12-Dec-22	AAOHR1833A	RANJAN KARMAKAR HUF	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40181/1/2022
12-Dec-22	AAPCS4843R	SAGAROTHARAKA VANIJYA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40399/1/2022
12-Dec-22	AAPHR3293F	RANJEET SINGH BAID HUF	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40199/1/2022
12-Dec-22	AAQCS8259L	SAAKSHEE SALES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40375/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AARCS0082L	SAFALTA VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40388/1/2022
12-Dec-22	AARFR9719A	RAKSHAK TRADERS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/41039/1/2022
12-Dec-22	AAVHS3867D	SUDHIR KUMAR KHIRWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/43437/1/2022
12-Dec-22	AAZHS0892R	SANJAY KUMAR AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39035/1/2022
12-Dec-22	ABAHS3525H	SANJEEV MITTAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39024/1/2022
12-Dec-22	ABGDP1800J	SANTOSH DEVI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42012/1/2022
12-Dec-22	ACEPB6328Q	SUMAN BHATTER	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41514/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ADYPG3727C	VIVEK COMPANY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44968/1/2022
12-Dec-22	AMCPK8964B	DEVENDRA KUMAR SHARMA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44236/1/2022
12-Dec-22	AAACW9754E	WEIRD INFRASTRUCTURE CORPORATION LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AABCF4251E	FALKON INDUSTRIES INDIA LIMITED	UNDER SECTIONS 11, 11(4), LLA AND LLB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/79/ERO/OCT/2015
12-Dec-22	AACCV9807F	VAIBHAV PARIWAR INDIA PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016
12-Dec-22	AADCC6789J	CHERRY PROJECTS PVT. LTD.	ADJUDICATION PROCEEDINGS IN RESPECT OF CHERRY PROJECTS PVT LTD IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE - SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	SEBI/HO/IMD/IMD-1/DOF1/P/OW/38839/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAFCP1275L	PRISM INFRACON LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016
12-Dec-22	AAGCM8612H	MGH PROJECT INDIA LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/37 /2017-18
12-Dec-22	AAHCA9909Q	ATM AGRO PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/ERO/MAY/2016
12-Dec-22	AAJPB4769J	SACHINDRA NATH BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/17/ERO/MAY/2016
12-Dec-22	AAJPB4769J	SACHINDRA NATH BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AAOHR3968L	RATAN KUMAR SIKARIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RTL TECHNOLOGIES PRIVATE LIMITED	EAD-8/AS/AK/40219/1/2022
12-Dec-22	AAPCS8543L	SHINE INDIA INFRA PROJECT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/97/2017
12-Dec-22	AARHR4213D	RANJIT KUMAR HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40205/1/2022
12-Dec-22	AARPI8233N	MANIRUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	ABIPH8726P	SYED SHOFIQUE HOSSAIN	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992
12-Dec-22	ACHPB8357J	BISWAJIT BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	ACJPH4538B	DILWAR HOSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	ADGPL3888E	BINAY KUMAR LALL	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AEBPG4001N	TAIMUR ALI GAYEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/ERO/MAY/2016
12-Dec-22	AEFPD9926H	MR. GOPAL DALAPATI	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AFIPC2205A	MR. AVIJIT CHATTERJEE	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AFQPD0757C	CHANDRANI DUTTA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-1/DOF1/P/OW/2022/35808/1
12-Dec-22	AGMPR5640P	SHOME SHANKAR RAY	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992
12-Dec-22	AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/63/2018-19
12-Dec-22	AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/ERO/9710/2020-21
12-Dec-22	AGUPD3964E	MR. SANJAY KUMAR DAS	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AHCPJ1271D	MR. MUKUND YADU JAMBHALE	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ADJUCATION ORDER NO PG/AB/AO-24/20/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AHLPG4351N	KOUSHIK GHOSH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016
12-Dec-22	AIIPA2213P	TASLIM ANSARY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	AJFPG5051P	MR. CHAND MOHAN GHOSH	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
12-Dec-22	AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016
12-Dec-22	AKIPB6561F	LAXMANBHAI SITARAMBHAI BHOYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	AKNPK3850F	SANJAY KUMAR KOCHAR	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/AK/OW/2022/42798/30
12-Dec-22	AMPPP5677D	AJOY PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/9710/2020-21

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ANKPG5521D	CHANDRA GHOSH	ADJUTICATION PROCEEDINGS IN RESPECT OF CHANDRA GHOSH IN THE MATTER OF DEALINS IN ILLIQUID STOCK OPTIONS AT BSE - SHOW CAUSE NOTIVE UNDER RULE 4(1) OFTHE SEIBI (PROEDURE FOR HOLDING INQUIRY AND IMPOSINT PENALTIES) RULES 1995	SEBI/HO/IMD/IMD-1/DOF1/P/OW/36327/1
12-Dec-22	ANUPJ8465D	MR. JADUNATH JENA	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AODPG3970J	TAPAS GHOSH	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992
12-Dec-22	AOGPG4013B	PRADYUT KUMAR GHOSH	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992
12-Dec-22	AOJPR4225D	RAJESH KUMAR RAI	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016
12-Dec-22	AOJPR4226A	INDRAKALA RAI	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016
12-Dec-22	APDYG4586R	MITHU GHOSH	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992
12-Dec-22	APFDH7958P	SYED SAFKAT HOSSAIN	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992
12-Dec-22	AQQPR5328E	MANOJ KUMAR RAI	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	ARNPM5830G	KOUSHIK MUKHERJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/37 /2017-18
12-Dec-22	ASWPB3847N	MR. BHABAGRAHI BEHERA	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AUEPB3112A	MR. SANATAN BANERJEE	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AVCPM2314E	AFZAL MIAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	AVUPS8738C	NAVIN PRATAP SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016
12-Dec-22	AXYPD2036N	MANGLUBHAI CHILYABHAI DHUM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	AYDPB5615K	SUDIP BANERJEE	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992
12-Dec-22	AZAPA3366Q	MR. SEKH RANJAN ALI	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	BCJPM2413D	SAJAHAN MIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 ABD SEBI(DEBENTURE TRUSTEES) REGULATIONS , 1993	WTM/PS/60/IMD/ERO/FEB/2016
12-Dec-22	BUOPK6993F	BUDHAN CHANDRA KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015
12-Dec-22	CCQPS8194F	LITAN SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/63/2018-19
12-Dec-22	CCQPS8194F	LITAN SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/9710/2020-21
12-Dec-22	DAPPS8724B	MR. SOMNATH SANTRA	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015
12-Dec-22	AADHR3379C	RITU RAJ AGRAWAL HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD2/MRD2_ICC2/9/OW/2022/45209/1
12-Dec-22	ALXPS6979E	RITU SINGHANIA	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD2/MRD2_ICC2/9/OW/2022/45215/1
12-Dec-22	AEYPD5580Q	SUBRATA DAS	UNDER SECTIONS 11(1), 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ERO/FEB/2016
12-Dec-22	AFMPB0449P	JAYSHREE SHANKAR BHOSLE	SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES,2005	EAD/SR/SM/AO/30/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Dec-22	AJYPM7962Q	SHRI JOYDIP MUKHOPADHYAY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/190/2016
19-Dec-22	AHUPH9113Q	DEVID HASLAF	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ER0/FEB/2016
19-Dec-22	ASWPB3847N	MR. BHABAGRAHI BEHERA	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016
19-Dec-22	AABCT3010D	T H VAKIL SHARES & SECURITIES P LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-108/2010
19-Dec-22	AKRPK7116L	RAMDAS KSHIRSAGAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: - PKK/AO/274/2010
19-Dec-22	AFXPC6574P	VIJAY KUMAR CHAUDHARY	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/42224/1
19-Dec-22	AIPPC9212K	PRABIR CHOWDHURY	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF BISHAI ABASAN INDIA LIMITED	WTM/GM/IMD/63/2018-19
19-Dec-22	AQYPK8593N	MANOJ KUMAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015
19-Dec-22	AJNPB0934C	MR. MRIDUL KANTI BHAUMIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/122/IMD-ERO/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	ACZPD8269R	PRADIP DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
19-Dec-22	AKLPR6157J	AJIJUR RAHAMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015
19-Dec-22	BXUPS5883F	BABLU SANFUI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016
19-Dec-22	BEZPS2003H	MR. VIKAS SAINI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075
19-Dec-22	AENPN4881R	BIBHUTI BHUSAN NANDA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
19-Dec-22	AACCV8003B	VIEWPOINT MARKETING PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/EFD2_ SLD2/P/OW/2022/42211 /1
19-Dec-22	ASZPA9649Q	SUJIT ACHARJEE	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF BISHAI ABASAN INDIA LIMITED	WTM/GM/IMD/63/2018-19
19-Dec-22	BDJPS3387K	BIRJU KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AASHS0443M	SANJEEV KANODIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/BS/50513/1/2022
19-Dec-22	AESPC7283B	MR. AJOY CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008. IN RESPECT OF:	WTM/RKA/EFD/135/2016
19-Dec-22	AHUPC4690H	MR. SANJIT CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008. IN RESPECT OF:	WTM/RKA/EFD/135/2016
19-Dec-22	AJKPA9285C	SHRI RAJU ACHARJYA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19
19-Dec-22	AOLPG4335H	AJAY GOSAI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017
19-Dec-22	AXIPS2067E	SNEHASISH SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015
19-Dec-22	CASPK9438A	ARINDAM KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
19-Dec-22	AACCT2139G	TIRUMALA COMMERCIAL AND CAPITAL SERVICES PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/00000 41532/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AAECT8281D	TIMELINK VYAPAAR PRIVATE LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/00000 39455/1
19-Dec-22	AAECV1457D	VISHWAMITRA INTERNATIONAL INFRA LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016
19-Dec-22	AEVPT7357L	THAKKER PRAGNESH BHUPENDRABHAI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/00000 41865/1
19-Dec-22	AFOPT8328K	GANESH THAKUR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21
19-Dec-22	AFVPP6295E	ASHOK PATTNAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
19-Dec-22	AGWPD4747K	DEBASISH DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016
19-Dec-22	AJPPM0546D	SUBRATA MANNA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	AJXPC4970D	DEBAJYOTI CHAKRABORTY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	AKKPS7894Q	AMITAVA SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
19-Dec-22	AMFPD5940F	SUSWETA DUTTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017
19-Dec-22	APSPG1415Q	PRODYUMMA KUMAR GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AWMPM2320F	MR. SANTOSH SHRAWAN MALI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18
19-Dec-22	BBMPM9254J	ANUP KUMAR MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018
19-Dec-22	BJJPM1012K	DEENANATH MAURYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015
19-Dec-22	BLXPS0512A	ASHOK SAW	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21
19-Dec-22	BNVPS8079L	MUKESH SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21
19-Dec-22	BSSPS9845L	PURNENDU SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	AAACA5463G	ABL BIOTECHNOLOGIES LTD.	ADJUDICATION ORDER IN THE MATTER OF ABL BIOTECHNOLOGIES LTD.	SEBI LETTER NO.EAD/BJD/NJMR/12476/2018 DATED APRIL 24, 2018
19-Dec-22	AABTT9593Q	TANVI KABRA BENEFIT TRUST	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/SAT-LIT/P/OW/36350/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AACCA2123R	AAWAS CONSTRUCTION PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034269/1
19-Dec-22	AADCS6971Q	STHIRLAKSHMI MERCANTILE PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034226/1
19-Dec-22	AAECS7186C	SUBH CHINTAK COMMOTRADE PVT. LTD	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034213/1
19-Dec-22	AAMCS5250P	SPRINT MARKETING PVT. LTD	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034194/1
19-Dec-22	AANCS0444G	STRATEGIC VANIJYA PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034217/1
19-Dec-22	AAOCS2233C	SRIRAM COMMOSALE PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034249/1
19-Dec-22	AAPCS3334R	STARSHINE COMMODITIES MARKET PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034229/1
19-Dec-22	ACUPV1795B	VINOD PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-22/2010
19-Dec-22	AFBPP0203Q	DALSUKHBHAI PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-19/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AFXQA4536B	NAVIN PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-37/2010
19-Dec-22	AFYPJ2696E	JAYESH KUMAR SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-36/2010
19-Dec-22	ALFPG6480L	MR. BHARAT GUPTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075
19-Dec-22	AMEPS8521L	TAPAN SARKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALING IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/SAT-LIT/P/OW/36284/1/2022
19-Dec-22	AOFPG8504E	MS. ANITA GUPTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075
19-Dec-22	BDUPK1521Q	MR. VINOD KUMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075
19-Dec-22	AAAHU1239F	UMESH KUMAR TULSYAN HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/32750/6/2022
19-Dec-22	AABCU3449D	URJA MULTITRADE PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/32750/21/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AADCI0486L	IPN DEVELOPERS & ALLIED LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-III/152/2018
19-Dec-22	AADCV4004B	VINAYAK HOMES & REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20
19-Dec-22	AAECR6852L	RAMEL REAL ESTATE & INFRASTRUCTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/53/ERO-IMD/FEB/2016
19-Dec-22	AAICS5911M	SWASATA CEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/130/2018
19-Dec-22	ACEPC5756F	SATYA RANJAN CHAUDHURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV /130/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	ADIPY9791P	RAM SAMUJH YADAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/58/NRO-CIS/DEC/2014
19-Dec-22	AFYPN4413K	GANGADHARNAYAK	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
19-Dec-22	AGLPK5139K	URMILA KUMARI	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/33432/5/2022
19-Dec-22	AGOPD6484H	CHANDRANI DAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV /130/2018
19-Dec-22	AGOPY4099F	SHRISHANKAR LAL YADAV	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018
19-Dec-22	AJYPV9387Q	CHANDRA PRAKASH VERMA	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/58/NRO-CIS/DEC/2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AKYPY9430C	YUVRAJ SHRIRAM MALAKAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20
19-Dec-22	ALAPP3677Q	CHOUDHURY NIRANJAN PRADHAN	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
19-Dec-22	ALTPP5193K	SHRINAGESHWAR PANCHAL	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018
19-Dec-22	AUUPK3066A	MR. LALIT KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/53/CIS-NRO/SEPT/2015
19-Dec-22	BAVAM4368K	GANESWAR MAHANT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
19-Dec-22	BDJPG6082P	USHA GUPTA	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/33432/22/2022
19-Dec-22	BIGPD0520B	SHRIDILIP DEVDA	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	BSMPS0016F	SWATI BAJAJ	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2_SATLIT/P/OW/2022/31255/1
19-Dec-22	DOZPS1931J	SHRIHARI SINGH	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018
19-Dec-22	AAIHM2672Q	MANOJ KUMAR MITTAL HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	AO/RRM/RV/OW/2022/39901/1
19-Dec-22	AAKHA8273M	ATISH JHAWAR HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2022/33822/21
19-Dec-22	AALHM5267Q	MANOJ KUMAR GOENKA HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	AO/RRM/RV/OW/2022/45850/1
19-Dec-22	AAWHS4326M	SURAJIT RAY BARMAN HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/AO/RLJ/PG/33020/2022
19-Dec-22	ABXPL4997R	ASHWANI KUMAR LAMBA	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2022/33822/8
19-Dec-22	ACUPJ8970E	SURENDRA KUMAR JOSHI	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	PD1/AO/RLJ/SK/2022/34613/1
19-Dec-22	ALIPS3240B	VIDYA DEVI SRIMAL	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/42204/1
19-Dec-22	ATCPA4518Q	SURBHI AGARWAL	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/AO/RLJ/PG/33012/2022
19-Dec-22	AABCH8362A	HOOGHLY AGROTECH LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ERO/FEB/2016
19-Dec-22	AABCJ6757B	JEEVAN SURAKSHA REAL ESTATE LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AADCG6079R	GOLDMINE FOOD PRODUCTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016
19-Dec-22	AAFCP0276P	PRAYAS PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/MPB/EFD-1-DRA-3/43/2019
19-Dec-22	AAFCP0276P	PRAYAS PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015
19-Dec-22	AAJCA6822C	ANGELA AGROTECH LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016
19-Dec-22	AAJCA7529E	ASTHA TECHNO REALTY INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016
19-Dec-22	AAOCS4472M	SANKALP PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
19-Dec-22	ADYPH1080N	ATIKULLA HOSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016
19-Dec-22	AGCPD2335D	MR. TOOFAN CHANDRA DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
19-Dec-22	AHPPB4561M	KAIZAR BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/MPB/EFD-1-DRA--3/43/2019

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AHPPB4561M	KAIZAR BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015
19-Dec-22	AHVPR6412M	MOHAMMAD JIAUR RAHAMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/MPB/EFD-1-DRA--3/43/2019
19-Dec-22	AHVPR6412M	MOHAMMAD JIAUR RAHAMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015
19-Dec-22	AIKPR4950J	NAROTTAM RAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016
19-Dec-22	AJUPM2448K	MR. KSHITISH CHANDRA MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
19-Dec-22	AKNPA2732Q	MS. DIPAMONI ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016
19-Dec-22	ALXPB0550E	RONJIT BARIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016
19-Dec-22	AOZPK6009G	MR. SUBHASH KAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	ASSPD0181D	MR. SUJIT DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016
19-Dec-22	ATRPS8363A	RAJIV RANJAN SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016
19-Dec-22	AUDPB3525G	KEDAR CHANDRA BERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ERO/FEB/2016
19-Dec-22	AWGPD7072F	KAMAL KRISHNA DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016
19-Dec-22	AYGPA0956M	MOHAMMED SAJJAD AKHTAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016
19-Dec-22	BAXPM0769E	BIRANCHI NARAYAN MISHRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015
19-Dec-22	BHIPM6479H	MR. RAKESH CHANDRA MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
19-Dec-22	BLUPK3807K	MR. SUMA KARDAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016
19-Dec-22	BVLPK1203Q	SAMIR KESHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016
19-Dec-22	BYKPM1104Q	BABLU MIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AABCW0653M	WIN REALCON LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AAFCP3661A	PIOUS AGRO INDUSTRIES LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016
19-Dec-22	AAGCM8952L	MARS AGROFARM DEVELOPERS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/167/ERO/FEB/2016
19-Dec-22	AAICA6296M	ASSR AGRO INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
19-Dec-22	AAJCA7392R	ARYAN AGRO PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	AAVPO4270B	SHRI ROBIN OJHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	AFQPR0048J	SHRI RAKESH RAUSHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	AGHPT8453D	SHRI BIRENDRA THAKUR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AGXPM6268P	SMT. MONALISAMITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/122/IMD-ERO/DEC/2015
19-Dec-22	AIMPD8612K	MR. MONORANJAN DEY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016
19-Dec-22	AITPM9488K	SHRI ANIL KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	AJSPB1308F	JOY BHOWMICK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AJTPM9601P	SHRI SHAILESH KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	AKHPM9487C	SHYAMAL MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
19-Dec-22	AKJPD8355N	RAJU DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AKQPD1001N	MR. LAXMI KANTA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/122/IMD-ERO/DEC/2015
19-Dec-22	AMAPM5180D	SUBHANKAR MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
19-Dec-22	AMJPD0122F	RANABIR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AMLPM2792C	MR. ABU TALEB MOHAMMOD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/167/ERO/FEB/2016
19-Dec-22	AMYPD5063C	RAJIB DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AMZPC7233N	SHRI MANOJ CHOUHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	ANOPD6613K	SWAPAN DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AOQPD9121F	SHRI UTTAM DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	APQPD7806Q	MR. PALAS CHANDRA DEY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016
19-Dec-22	ARNPD1804H	RITA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AUOPD1957D	AMIT DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AURPR4962P	SACHIN RAY	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/89/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AVAPB1280A	MR. CHIRANJIB BANERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016
19-Dec-22	AVJPP9442R	SANJIB PODDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	AWKPP2956L	RAGHU NATH PATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
19-Dec-22	AYCPS5612G	SUPRIYO SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
19-Dec-22	BAWPA2323M	MR. MOHD.TANVEER AHMED	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF M/S STOCK INDICATION	WTM/GM/SRO/SRO/10346/2020-21
19-Dec-22	BDXPS9510G	GOPAL SAHA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/89/2018-19
19-Dec-22	BKJPG7330K	MR. SUNNY GUPTA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF M/S STOCK INDICATION	WTM/GM/SRO/SRO/10346/2020-21
19-Dec-22	CBIPS7345R	SHRI ABADH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	CDUPS4544N	SUNITA SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016
19-Dec-22	AAACC9995N	CHARIOT INDIA PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 50385/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AAACS4529D	SUMERU PROCESSORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 50383/1/2022
19-Dec-22	AAACW8393H	WB GANAPATI SPONGE PROJECTS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/VV/51909/1/2022
19-Dec-22	AACCI1617C	IMAX PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-IV/48/2017
19-Dec-22	AACCJ3436C	JUGANTOR REALTY LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008. IN RESPECT OF:	WTM/RKA/EFD/135/2016
19-Dec-22	AADCD1769M	DIGSTAR PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DIGSTAR PROJECTS LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/8/2017
19-Dec-22	AADCV1815E	VISWAROOP MARKETING PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-I/DEPA-I_RRD/P/OW/2022/39183/1
19-Dec-22	AAECB0693B	BSP INFRASTRUCTURE & CONSTRUCTION LIMITED (BICL)	UNDER SECTIONS 11, 11(4), AND SECTION 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/13/2020-21

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AAECR5851P	RELIABLE MULTI MANAGERIAL SERVICES LTD.	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19
19-Dec-22	AAECR6307C	RTC PROPERTIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017
19-Dec-22	AAFCA1262N	EJECTA MARKETING LIMITED (EARLIER KNOWN AS APPU MARKETING AND MANUFACTURING LTD)	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	FPI&C/AT/AA/36016/1/2022
19-Dec-22	AAFCM0698E	CHELSEA BLOCKS AND PIPES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 50387/2022
19-Dec-22	AAFHB0090J	BINOD AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 42522/1/2022
19-Dec-22	AAICA9397H	AMBITIOUS DIVERSIFIED PROJECTS MANAGEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
19-Dec-22	AAMCS9737P	SHREYSTH PRODUCTION INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017
19-Dec-22	AARCS2055D	SHANKALP FOOD AND BEVERAGES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017
19-Dec-22	AAUHS1051F	SRINARAYAN SADANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/VV/51908/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AAYHS6617M	SUMIT KUMAR DHANUKA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 503878/1/2022
19-Dec-22	ACLPH2788P	IFTEKHAR HUSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
19-Dec-22	AEFPV2812Q	SHRI DILIP KUMAR VERMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-IV/48/2017
19-Dec-22	AENPH1494N	MR. MD SHARIFUL HAQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016
19-Dec-22	AEOPH4986G	MR. RAJA MAMUN HASAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016
19-Dec-22	AFYPN2168P	SARMISTHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017
19-Dec-22	AGCPD2335D	SHRI TOOFAN CHANDRA DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017
19-Dec-22	AGUPC5743L	PRABIR CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016
19-Dec-22	AGUPR7778Q	KARUNAMAY ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017
19-Dec-22	AHFPH2742C	MR. MORSHED MEHEDI HOSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AIMPD4441Q	SHRI PINTU DUTTA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19
19-Dec-22	AJCPD8074A	SANKAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017
19-Dec-22	AJGPC0937R	MR. SUJAY CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/135/2016
19-Dec-22	AJUPM2448K	SHRI KSHITISH CHANDRA MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
19-Dec-22	AKKPR3095A	SIDDHARTHA SANKAR ROY	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/27/2018
19-Dec-22	AKZPR6202Q	LR OF SABYASACHI ROY	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/27/2018
19-Dec-22	ALUPK1326J	NIMAI KUMBHAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
19-Dec-22	ANAPD9529Q	PRAMESH DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017
19-Dec-22	APUPS6554G	SATYAJEET KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AQTPD5941J	JITENDRA SINGH DAGALA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19
19-Dec-22	AQVPK5541K	SHRI KANHAIYA SINGH KUSHWAHA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19
19-Dec-22	AQXPA6875G	MR. WASHIM RAJA ANASARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016
19-Dec-22	ARIPM6971F	JIBAN MITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017
19-Dec-22	ASEPB1952G	NANDALAL BALTI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016
19-Dec-22	ATFPM8729G	MADHUSUDAN MAKWANA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19
19-Dec-22	ATTPS0036J	SHRI VIDYASAGAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/48/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AVPPB2371M	BHAGWATI BAI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19
19-Dec-22	AWBPG1988J	SHRI OMPRAKASH GUPTA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19
19-Dec-22	AXJPP2766J	ROHIT PATWA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19
19-Dec-22	AXVPP2389P	KRISHNA NAND PATHAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017
19-Dec-22	AYAPM9092Q	APURBA KUMAR MONDOL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
19-Dec-22	AYNPR1829B	AMIT KUMAR ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017
19-Dec-22	AYXPM0255E	MR. MINARUL MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	BDMPK8128B	DEVENDRA KUMAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19
19-Dec-22	BFCPM9024G	SHRI TAPAN MONDAL	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017
19-Dec-22	BHIPP4346J	SANTOSH PANWAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19
19-Dec-22	BKEPS2204R	BASUDEB SARDAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/27/2018
19-Dec-22	BQEPS6886B	RAHUL KUMAR SHAHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016
19-Dec-22	BZPPS2613N	RAJOO SHAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017
19-Dec-22	CVFPS3456N	MOHAMMAD SOHAIB	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
19-Dec-22	AAACV4431F	EN AROMATIC & PETRO CHEMICALS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AABCF3920E	M/S FUTURE GOLD INFRABUILD INDIA LIMITED	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18
19-Dec-22	AABCF8236B	FANTASY INFRAVENTURE & AGRIMART INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017
19-Dec-22	AABCH1425N	HAHNEMANN HERBAL LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018
19-Dec-22	AACCJ1577A	J S V DEVELOPER INDIA LIMITED	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/161/IMD/WRO-ILO/FEB/2016
19-Dec-22	AACCJ3999G	JIVAN SATHI DREAM PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017
19-Dec-22	AACCJ5266A	J K S PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	AACCT2471P	TOWER INFOTECH LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018
19-Dec-22	AACCT8417H	TIRUMALA ENCLAVE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/00000 41503/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AADCD6196N	DREAMWAY INDUSTRIES LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	AADCN7151R	M/S NAVA DIGANTA CAPITAL SERVICES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
19-Dec-22	AADCR3601C	RIJU CEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017
19-Dec-22	AADCT2033N	TOPSTAR TRADECOMM PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/00000 41403/1
19-Dec-22	AADCV3799B	VASUNDHARA REALCON LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017
19-Dec-22	AADCV4403E	VISWAS REAL ESTATES AND INFRASTRUCTURE INDIA LTD	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/04/CIS-SRO/HLO/APR/2015
19-Dec-22	AADCV5860F	VIKDAS INDUSTRIES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016
19-Dec-22	AAECG6236F	GOLDEN HEAVEN AGRO PROJECTS INDIA LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
19-Dec-22	AAECK1605J	KEN INFRATECH LIMITED	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AAECR6197A	RAINBOW INDUSTRIES AND CONSTRUCTION LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21
19-Dec-22	AAECR8282J	REAL VISION INTERNATIONAL LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
19-Dec-22	AAFCA7143Q	AROHAN TRUSTEE COMPANY PVT. LIMITED (INDIA ART FUND)	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF AND IN THE MATTER OF, AROHAN TRUSTEE COMPANY PRIVATE LIMITED.	WTM/AB/EFD1/EFD1-DRA3/7492/2020-21
19-Dec-22	AAGCA7035E	ASSURE AGROWTECH LTD.	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016
19-Dec-22	AAMCS5786L	SARADA PLEASURE & ADVENTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015
19-Dec-22	AAOCS3538A	SKYLARK LAND DEVELOPERS & INFRASTRUCTURE INDIA LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016
19-Dec-22	AARCS1081P	SHREEBHUMI CONSTRUCTION LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018
19-Dec-22	AASCS8925M	SKYMARG AGRO INDUSTRIES INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	ABAPY8539M	MR. DURGA PRASAD YADAV	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016
19-Dec-22	ABXPY7352F	ASHOK KUMAR YADAV	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
19-Dec-22	ACIPI3318R	MOHD ALI IMAM	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	ACOPN1603L	SMT. SUBARNA NAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
19-Dec-22	ACPPY3360C	MR. DATTATRAY MADHAV YADAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18
19-Dec-22	ACTPC0530E	RAMEN CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016
19-Dec-22	ADJPP0069J	SHRI KARTIKEYA PARIDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
19-Dec-22	ADLPB4942L	UDAYAN BANERJEE	UNDER SECTIONS 11 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	ADOPG8643G	SHILAJIT GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018
19-Dec-22	AFDPT9966L	SANJAY B TENGINKAI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/28/EFD/CIS/MAY/2016
19-Dec-22	AFFPH5989B	SHRI RAMACHANDRA HANSDAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
19-Dec-22	AGHPT8453D	BIRENDRA THAKUR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/GM/IMD/82/2018-19
19-Dec-22	AHUPB3853F	PRADIP KUMAR BERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
19-Dec-22	AICPC0615M	MANISH KUMAR CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016
19-Dec-22	AIFPA8537P	VIDYA BHUSHAN AMBASTHA	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
19-Dec-22	AIFPN1886Q	PRASANNA KUMAR NAYAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AIFPV5203P	MR. BHASKAR BHAO VASAGE	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19
19-Dec-22	AIUPC8800E	BANDANA CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016
19-Dec-22	AIXPM8121D	RUNA MAZUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/77/2017
19-Dec-22	AIXPN4533G	RAFAT NAZ	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017
19-Dec-22	AIXPY8341D	VINDOD YADAV	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
19-Dec-22	AJDPB3589R	SHRI HITESH KUMAR BAGARTTI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
19-Dec-22	AJGPM6875J	RASHMI RANJAN MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
19-Dec-22	AJHPB0531D	GOUTAM BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018
19-Dec-22	AJJPC6225B	PRADIP CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AJKPB5669B	SUKANTA BISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
19-Dec-22	AJNPB1075C	SANTI RAJAN BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018
19-Dec-22	AJWPM6802H	HIMANGSHU MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
19-Dec-22	AJZPS9876J	TARA DEVI SHYAMSUKHA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/00000 39473/1
19-Dec-22	AKMPR3510N	SHUBHANKAR RAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015
19-Dec-22	ALAPK2141F	MR. JAIHIND KUMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016
19-Dec-22	ALAPR1414Q	MR. SHANMUGAM RAJENDRAN	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016
19-Dec-22	ALAPS2173D	ASHIS SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017
19-Dec-22	ALMPB0423H	PRASIT KUMAR BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017
19-Dec-22	AMAPR0018H	ASIT ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AMHPC6141M	MR. VANSHREE TUKARAM CHIDRAWAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18
19-Dec-22	AMZPC7233N	MANOJ CHAUHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
19-Dec-22	ANNPB2618K	PARAMASISH BHADURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
19-Dec-22	ANVPR7210H	SWAPAN ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017
19-Dec-22	ANZPD5088R	PRADIP KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017
19-Dec-22	AOGPG2613H	RAMESH PRASAD GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	APCPG9678K	TUSHAR GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	APDPA4103J	MOHAMMAD MUKHTAR ATIF	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	APDPP0190C	MR VINEET KUMAR PHALKE	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18
19-Dec-22	APEPG1595F	BHASKAR GANGULY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	APLPM5152R	MR. BHALERAO YASHWANT MISAL	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19
19-Dec-22	APNPM2950D	DEVI PRASAD MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
19-Dec-22	APPPD3295N	SUKUMAR DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015
19-Dec-22	APRPA3621H	NAKHAT SING AGARWALLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
19-Dec-22	APSPB9232Q	SIDHARTHA KUMAR BARIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AQCPD0778N	SAROJANI DEVI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017
19-Dec-22	AQJPB1669H	BIDESI BEHERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
19-Dec-22	AQSPC7029H	AVIJIT CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
19-Dec-22	ARIPJ8232A	SMT. HARAPRIYA JENA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
19-Dec-22	ARQPM0643J	SUBHASIS MAHATO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017
19-Dec-22	ASPPB7641B	SUBRATA KUMAR BANERJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	ASWPS6806J	MALKINDER SINGH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
19-Dec-22	ATJPK5597L	PRAMOD KUMAR	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
19-Dec-22	ATZPC0321Q	MINU CHAKRABARTI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
19-Dec-22	ATZPC0359C	GOURANGA SUNDAR CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
19-Dec-22	AUCPD0859J	MR. R. DEVADOSS	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	AUPPS3064B	SAVITA SRIVASTAVA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015
19-Dec-22	AVEPJ1234C	DILIP JANA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018
19-Dec-22	AVIPR2257F	BIMALENDU RAKSHIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
19-Dec-22	AVJPG3285H	ROSHNI GUHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017
19-Dec-22	AVNPK2820L	RAM KAILASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017
19-Dec-22	AVSPA8138L	MD. SARFARAZ ANSARI	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
19-Dec-22	AWGPK0821R	SAHAB UDDIN KHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017
19-Dec-22	AYAPM0995J	BAPPADITYA MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018
19-Dec-22	AYUPP6220B	SANDIP PARUI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017
19-Dec-22	AZJPS0671F	MR. NURUDDIN SHAIKH	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19
19-Dec-22	AZQPR8625K	RAJOO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	BAFPP4523B	NETAI PRAMANIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018
19-Dec-22	BBAPP0971P	SANDIP PAUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016
19-Dec-22	BBVPK0966N	MUKESH KUMAR KHARE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015
19-Dec-22	BCBPS1318D	DEEPAK KUMAR SINHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	BDAPM9086Q	ANIL KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
19-Dec-22	BDMPK7608E	RANJEET KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017
19-Dec-22	BFDPR1288E	GAURANGA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017
19-Dec-22	BGGPK7521R	DHIRENDRA SINGH KUSHWAH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18
19-Dec-22	BHIPK9685D	RAMANUJ KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	BIDPP6796G	MRINAL KANTI PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
19-Dec-22	BKTPS9489M	NANDITA SENGUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015
19-Dec-22	BQVPP6664H	SMT. SMITA PATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
19-Dec-22	BQXPS7815M	KULDEEP SINGH	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014
19-Dec-22	BVOPS6333N	MANABENDRA SARKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	CBCPK8700N	AMAN KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017
19-Dec-22	CBIPS7345R	ABADH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	CDDPS5928P	MR. SATYENDRA SINGH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18
19-Dec-22	CEKPS6290G	PINTU SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	CGCPS8873D	NIRUPAM SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	CLHPK5064N	MR. AFASAR KHAN	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18
19-Dec-22	CLJPS2300B	CHHOTELAL SHUKLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015
19-Dec-22	CLPPS9856Q	SMT. NIRUPAMA SAHOO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	CMGPS0600A	HARI GOVIND SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016
19-Dec-22	COBPS2672P	SUMAN SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	CRRPS1400A	MAHAVIR SINGH	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014
19-Dec-22	CRYPS4172E	NABA KUMAR SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	CUEPS1687K	SUKHWINDER SINGH	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014
19-Dec-22	CUJPS7833J	SUTANU SARCAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
19-Dec-22	CUJPS7833J	SUTANU SARCAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/142/2016
19-Dec-22	CUXPS6610M	RUPAM SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
19-Dec-22	CVNPS6323Q	ANWAR SADAT	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	CXDPS9936B	DEEPAK KUMAR SAH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-22	CXHPS0704D	KABITA KUMARI SAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	DANPS8829H	RAJABALBHA SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
19-Dec-22	DPTPS7499Q	HARJINDER SINGH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
19-Dec-22	BFCPM9024G	MR. TAPAN MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
19-Dec-22	AAFCR8322L	M/S. RELIABLE MEGA PROJECTS LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/ GM/WRO /11/2018-19
26-Dec-22	AHUPH9113Q	DEVID HASLAF	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/45/2017
26-Dec-22	ABRPT1545K	USHA DEVI TAPARIA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01_DRA1/P/OW/2022/40160/1
26-Dec-22	AAAHU2294L	UTTAM PADIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01_DRA1/P/OW/2022/0000040107/1
26-Dec-22	AAATV5045Q	VAISHALI PERIWAL FAMILY TRUST	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01_DRA1/P/OW/2022/40185/1
26-Dec-22	AADCV6935D	VALUABLE COMMODITIES TRADE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01_DRA1/P/OW/2022/40197/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AACCV7295D	VALUEADDED MERCHANTS PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01_DRA1/P/OW/2022/40182/1
26-Dec-22	AAACU5643G	USG HOLDINGS PVT. LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	SEBI/ERO/MD/AK/OW/2022/42209/1
26-Dec-22	AAACU6742L	ULIKE CARRIERS AND FINANCE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/DM/AK/P/OW/2022/037632/1
26-Dec-22	AAACZ2996D	ZOLTON PROPERTIES PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/2/2022
26-Dec-22	AABCU1364K	URO AGRO INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016
26-Dec-22	AACCE6725D	EVERLIGHT REALCON INFRASTRUCTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RLO/FEB/2016
26-Dec-22	AACCK2183P	KERALA HOUSING FINANCE LTD	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18
26-Dec-22	AACCT6018N	TRIBHUVAN AGRO PROJECT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016
26-Dec-22	AADCG9891P	GURUKUL PROJECT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017
26-Dec-22	AADCP2087Q	PAILAN PARK DEVELOPMENT AUTHORITY LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAECM7687C	MICRO LEASING AND FUNDING LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016
26-Dec-22	AAECP2476Q	PHENIX PROPERTIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	AAHCA8718R	AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD.	WTM/GM/EFD/DRAIII/15/2018-19
26-Dec-22	AAICS3938E	SHIVALIK COTEX LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/EFD/123/2016
26-Dec-22	AAJCA8863D	ACTIVE RURAL DEVELOPMENT INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018
26-Dec-22	AANPP0136H	P. PARAMESHWARAN	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18
26-Dec-22	AAPCS0366M	SUVIDHA LAND DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016
26-Dec-22	AATPI5977M	MR. BADRUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AATPU6479N	KOMALA UNNIKRISHNAN	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18
26-Dec-22	ABGPD2004E	SHRI DILIP KUMAR DIWAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018
26-Dec-22	ABMPH3009D	HASTIBUL HAQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017
26-Dec-22	ACLPH0322F	TIRTHA HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017
26-Dec-22	ADRPH8145D	MALAY HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017
26-Dec-22	AFUPD9977N	MR. GOPAL DEKA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	AGBPJ8881F	SUNAYANA JAIN	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/39975/1/2022
26-Dec-22	AGGPG9365G	MR. SOMNATH GUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	AGTPP2815R	BANAJA PATTNAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016
26-Dec-22	AHLPC8055B	MR. SAURABH CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AHVPG3958R	DIPANKAR GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017
26-Dec-22	AHXPD7948N	BIPLAB KUMAR DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RLO/FEB/2016
26-Dec-22	AHZPG4939L	MALOY KUMAR GUHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017
26-Dec-22	AIBPR3316B	BIJOY KUMAR ROUTRAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016
26-Dec-22	AILPD1367L	IPSITA DAS GIRI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016
26-Dec-22	AILPG0108L	RANJIT GOPE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016
26-Dec-22	AINPD1762F	ALOKE DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016
26-Dec-22	AINPD1762F	ALOKE DAS	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018
26-Dec-22	AINPD9015B	MR. PRITHIISH DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	AISPB3975F	MR. KAMDEV BHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	AIXPG7534N	BISWAPRIYA GIRI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016
26-Dec-22	AJGPR9741N	CHANDAN SINHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RLO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AJHPG9603K	MR. PARTHA GOPE MAZUMDER	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD.	WTM/GM/EFD/DRAIII/15/2018-19
26-Dec-22	AJTPD9357P	MR. AMAR KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	AKAPD6978N	NARUGOPAL DASADHIKARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016
26-Dec-22	AKAPS2175E	MR. SHEKHAR SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	AKHPP5583F	G UNNIKRISHNAN NAIR	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18
26-Dec-22	AKNPV5025B	AMIT KUMAR VASISHTHA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/29067/5/2022
26-Dec-22	ALFPM5139C	PRASUN MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016
26-Dec-22	AMRPD2405P	MR. ASHIM DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	ANRPB4794Q	MIHIR BAISNOB	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	ANZPM6759L	KALI PRASAD MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016
26-Dec-22	APSPD5747C	SATYA RANJAN DEBNATH	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18
26-Dec-22	APXPR7152Q	AJIT KUMAR ROUTH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016
26-Dec-22	AQBPM0366L	DEBJANARDAN MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017
26-Dec-22	ARCPC9106E	CHANDAN CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017
26-Dec-22	ARMPG3713B	SUNIRMAL GOSWAMI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017
26-Dec-22	ATRPM4285D	PRIYANKA PRAMOD MHAPSEKAR	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/39985/3/2022
26-Dec-22	AUIPS7765C	APURBA KUMAR SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017
26-Dec-22	AVAPG0570A	BASUDEV GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AVXPG2603H	G SURESH KUMAR	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18
26-Dec-22	AXAPP3680J	NUPUR PURKAYASTHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017
26-Dec-22	AXVPS8485R	SHAIKH MOKHLESUR RAHAMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016
26-Dec-22	AZAPS2983D	DURGA PRASAD SHADANGI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016
26-Dec-22	AZQPM4641Q	JAFAR ALI MOLLA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016
26-Dec-22	AZTPD6449C	KARTICK CHANDAR DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016
26-Dec-22	BBSPP5763P	SUDHAKAR PANDA	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18
26-Dec-22	BGBPS1226C	PRADIP SARDAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016
26-Dec-22	BJMPS7143A	MR. SAMIR SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
26-Dec-22	BNGPS8347N	AMAL SEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	BNOPS7521Q	SIDHARTHA SARDAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016
26-Dec-22	BOGPS0921F	BIPIN KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017
26-Dec-22	BQYPK5654D	N KRISHNAN NAIR	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18
26-Dec-22	CNAPS8962G	ASIFA TANVEER M SHAIKH	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/40052/5/2022
26-Dec-22	DFDPS3198A	MS. VANDANA SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018
26-Dec-22	DNRPK1802D	SEIKH KUTUBUDDIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RLO/FEB/2016
26-Dec-22	AABCF0079J	FAIRWEALTH COMMODITY BROKING PVT. LTD.	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST FAIRWEALTH COMMODITY BROKTING PVT LTD. (NOTICEE)	SEBI/HO/EFD1/DRA3/P/OW/2022/29399/1
26-Dec-22	AAACF8795N	FAIRWEALTH SECURITIES LTD	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST FAIRWEALTH SECURITIES LIMITED (NOTICEE)	SEBI/HO/EFD1/DRA3/P/OW/2022/29403/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AKVPA7135K	BABAR ALI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/87/2017
26-Dec-22	AHGPH6624N	BIPLAB HALDER	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
26-Dec-22	AAWPM1136F	BRIJ MOHAN MAHAJAN	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/38/NRO/AUG/2015
26-Dec-22	AADCC6855H	CHAKRA INFRASTRUCTURE LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
26-Dec-22	BIMPB9488A	DINESH BHURIYA	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19
26-Dec-22	AHQPN4086L	DINESH NAYAK	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19
26-Dec-22	AABCF3789K	FALCON INFRA PROJECTS LTD	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/87/2017
26-Dec-22	AFTPD7822F	INDRANIL DAS	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/81/2017
26-Dec-22	AABCJ2925P	MIDAS TOUCH ASSETS AND SECURITIES LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18
26-Dec-22	AGGPT2796Q	NIRUPAMA SAMAL/THATOI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18
26-Dec-22	BAHPA6188D	RAKESH ARYA	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19
26-Dec-22	AERPN2244Q	RAMESH CHANDRA NAYAK	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AEKPC9920P	SOMA CHAKRABORTI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
26-Dec-22	AHJPA9471D	SONIA PARVIN ALIM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/87/2017
26-Dec-22	AMYPS3013P	SOUBHAGYA KUMAR SAMAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18
26-Dec-22	BLEPB3468F	SUBHAS BOSE	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
26-Dec-22	AARCS2487F	SUNSHINE HI-TECH INFRACON LIMITED	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19
26-Dec-22	AAJCS1625B	SURAKSHA FAMILY SERVICES LIMITED	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/81/2017
26-Dec-22	CILPS3142L	SWAPAN KUMAR SEN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
26-Dec-22	AKJPM4426D	SWAPAN MAJUMDAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
26-Dec-22	ARCPS6416R	SWARNA LATA SAMAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18
26-Dec-22	AAECK3511F	KRUTIBIBHA CORPORATION LTD	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015
26-Dec-22	AAACN4793N	RHINE & RAAVI CREDITS AND HOLDINGS LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AABCG0145L	GILTEDGE VINCOM PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	IMD/DOF3/P/OW/2022/34428/1
26-Dec-22	AABCO6415H	OPTIONONE TRADE AND MERCANTILE PVT. LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
26-Dec-22	AACCI5234R	IDOL INDIA INFRASTRUCTURES LIMITED	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/PS/08/IMD-DOF/MAY/2014
26-Dec-22	AACCL2342M	LIFE CARE INFRASTRUCTURES INDIA LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018
26-Dec-22	AADCD2895N	DGR FARMS & LEISURE LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
26-Dec-22	AADCG7443H	GILT COMMODITIES PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	IMD/DOF3/OW/2022/34410/1
26-Dec-22	AAECC9934A	CELL REALCON CORPORATION LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017
26-Dec-22	AAECG5218M	GOKUL RETAILERS PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/31526/1
26-Dec-22	AAECG8991M	GERENT TRADECOM PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/0000048473/1
26-Dec-22	AAECS0861Q	SPECIALITY PAPER LTD	SERVICE PF PRDERS IN TERMS OF CIRCULAR NO. SEBI/HO/EFD1/EFD_DR4/P/CIR/2022/104	EFD-1/DRA-1/KSB/ON/39135/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAGCC0137R	CAPQUEST RESEARCH PVT LTD	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016
26-Dec-22	AAICA3913D	AVM REAL ESTATE & ALLIED LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016
26-Dec-22	AAKCA9069J	ANGEL ALLIED INDIA LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/45/2017
26-Dec-22	AALCS5520M	SAMPRITI PROJECTS LTD	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
26-Dec-22	AAMCS2792J	SUNCITY INFRACON CORPORATION (INDIA) LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017
26-Dec-22	AANPI7704G	MD. OBAY DUL ISLAM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
26-Dec-22	AANPI7705H	SONALI ISLAM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
26-Dec-22	AAOPU9033B	HARENDRA KUMAR UPADHYAY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015
26-Dec-22	ADAPB7401P	SAJAL BHOWMICK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017
26-Dec-22	ADDPT0119K	BHARDWAJ MUNI TRIPATHI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015
26-Dec-22	ADXPB7335B	KUMAR KANTI BHATTACHARYA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AETPA7820D	GOPAL HARI AGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	IMD/DOF3/P/OW/2022/35355/1
26-Dec-22	AEWPM1966K	DEBI PRASAD MOKHERJEE	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017
26-Dec-22	AFAPD7831F	SUSHIL KUMAR DUBEY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
26-Dec-22	AGFPD9237P	RAJESHWAR PRASAD DWIVEDI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016
26-Dec-22	AGMPG4657M	SHANKAR LAL GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
26-Dec-22	AHVPC3063F	VIVEK CHAURASIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
26-Dec-22	AILPD7952R	KAUSHIK DHAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
26-Dec-22	AIZPB6070C	SOURAV BARDHAN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017
26-Dec-22	AJDPB9583B	MANAS BISWAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
26-Dec-22	AJKPD1494Q	SUBRATA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017
26-Dec-22	AKGPS7982R	ASHOK KUMAR SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015
26-Dec-22	AKLPD0722D	ABHIJIT DHAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AKOPP8093N	JAINENDRA KUMAR PATEL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
26-Dec-22	AKRPS9594C	GODAWARI DEVI SHARMA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/31496/1
26-Dec-22	ALNPD0787H	RAJESH KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017
26-Dec-22	AMMPG9367Q	ANSHUMAN GHOSH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/149/ERO/FEB/2016
26-Dec-22	APEPD6402Q	GURUPADA DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017
26-Dec-22	AQDPM7488B	SHYAMAL KUMAR MITRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
26-Dec-22	ARCPG5694H	NAZRUL ISLAM GAZI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
26-Dec-22	ASOPR6898P	SAIKAT ROY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/149/ERO/FEB/2016
26-Dec-22	ASRPK2076K	PRAFULLA KUMAR KUNDU	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/149/ERO/FEB/2016
26-Dec-22	ASVPG8313M	RAKESH GUPTA	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR/2015
26-Dec-22	ATVPJ2418H	GITA DEVI JALAN	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II/DOF3/P/OW/2022/33154/1
26-Dec-22	ATWPD6907G	ASHUTOSH DWIVEDI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AUVPD8794M	PRASHANTA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017
26-Dec-22	AVYPA7767K	NIAMAT ALI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017
26-Dec-22	AWJPS1018D	ALOK KUMAR SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
26-Dec-22	AWUPB3897Q	DAYANIDHY BEHERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015
26-Dec-22	BCSPM6763R	SANTOSH KUMAR MISHRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/45/2017
26-Dec-22	BDFPS5895A	RAMPRASAD SARKAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018
26-Dec-22	BOSPK3303R	BASIR UDDIN KHAN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/29/ERO/IMD/MAY/2016
26-Dec-22	BSFPS9624C	RAMASHISH SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015
26-Dec-22	BSIPK9909F	BIRENDRA KAJI	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR/2015
26-Dec-22	BTCPS3876E	JAGDISH SARANGI	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/MPB/EFD-1-DRA-IV/68/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	BTKPS1732H	UMESH SONI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
26-Dec-22	CBFPK9464A	SURENDRA KUMAR	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR/2015
26-Dec-22	CCDPS1488R	ROHIT SINGHAI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
26-Dec-22	CCMPS5571E	APU SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017
26-Dec-22	CCRPS8635J	RANJIT KUMAR SETHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015
26-Dec-22	CJSPS8001G	DULAL SENAPATI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017
26-Dec-22	CMCPS8260C	VINOD KUMAR SHRIVASTAVA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
26-Dec-22	CQDPS7744E	MAHENDRA KUMAR SAHU	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016
26-Dec-22	CTTPS8720B	PUSPA SETHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015
26-Dec-22	AAFCG2360M	GROVALUE COMMODITY PVT. LTD	PERSOAL HEARING BEFORE QUASI JUDICIAL AUTHORITY IN THE MATTER OF /M/S. GROVALUE COMMODITY PVT. LTD. (NOTICE)	SEBI/HO/EFD1/DRA3/P/OW/2022/53641/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAACL4660L	LOHARU PROPERTIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/24606/2021
26-Dec-22	AAACL5317L	LOVEJOY COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/24603/2021
26-Dec-22	AAACL5327N	LUCKY PRIME DEALERS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28230/2021
26-Dec-22	AAACL5741Q	LCI INDIA LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17883/2021
26-Dec-22	AAACL6157G	LARCENY MERCANTILES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17872/2021
26-Dec-22	AAAHL5497G	L K HARLALKA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/HP/26631/2021
26-Dec-22	AAAHL8741B	LAKHAN LAL BHAWSAR (HUF)	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/27405/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAATM9083B	MADHAV PERIWAL FAMILY TRUST	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/2284/2022
26-Dec-22	AABCK0710B	KWALITY CREDIT & LEASING LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/25498/2021
26-Dec-22	AABCL0366N	LACTOSE VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/HP/27394/2021
26-Dec-22	AABCL1484F	LAMBODAR MERCHANDISE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17055/2021
26-Dec-22	AABCL2925R	LOYAL MERCHANT PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/24601/2021
26-Dec-22	AABCL7829A	LEMON VINCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/19825/2021
26-Dec-22	AABCL9320L	LINKWISE DISTRIBUTORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/19836/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AABCL9716C	LIFELONG NIRMAN PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/19515/2021
26-Dec-22	AABHL6169A	LALIT MOHAN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17058/2021
26-Dec-22	AACCL1777G	LOOKLINE VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/25160/2021
26-Dec-22	AACCL1889R	LEMONMINT COMMERCIAL PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17885/2021
26-Dec-22	AACCR5855M	RASHMI TOWER PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD-8/AS/VS/35909/2022
26-Dec-22	AACHL1904L	LALIT HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17077/2021
26-Dec-22	AAECM1456D	MAA MAHAMAYA ROLLING MILL PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	SEBI/HO/EFD1/EFD1_DRA4/P/CIR/2022/104

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAFHM0567B	M K KEJRIWAL AND SONS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28337/2021
26-Dec-22	AAGCM2377R	MAA BHAGAWATI RE ROLLING MILLS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10-2022
26-Dec-22	AAGCM8442H	MAA AMBAY COMMOSALES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10-2022
26-Dec-22	AAIHM2560H	MADAN MOHAN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/AA/PR/2022/42683
26-Dec-22	AAJHM2310J	M.K. AGRAWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28496/2021
26-Dec-22	AAMFM4254G	M L SHUKLA GRAND SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28490/2021
26-Dec-22	AAMHM3891D	M K SHARMA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28479/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAQFM0500M	MACHIWAL & SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10-2022
26-Dec-22	ADEPB1185G	LEELA DEVI BAJAJ	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10-2022
26-Dec-22	AENPG1257N	LAXMI GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/18932/2021
26-Dec-22	AEOPP3917K	PATAWARI KUSUM DEVI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/24508/2021
26-Dec-22	AESPN6952N	LAXMI B NAIR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/18937/2021
26-Dec-22	AETPJ0960R	LEELA JAIN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/PR/2022/42428
26-Dec-22	AFAPJ1409F	JAILANI M MEHABOOB	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-04-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AFCPK4431Q	KUSUM KANODIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23949/2021
26-Dec-22	AFLPA0272N	KUSUM JASWANT AHUJA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/25105/2021
26-Dec-22	AFLPA4345Q	KUSUM DEVI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23449/2021
26-Dec-22	AFNPP8937P	KUSUM DEVI PATWA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/24509/2021
26-Dec-22	AFTPK7864A	KUSUM KANODIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23948/2021
26-Dec-22	AGGPP2951B	KUSUM SHAH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23447/2021
26-Dec-22	AHPPB3821J	BEEHVI M MARIYAM	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-04-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AIHPJ4415B	KUSHAL CHAND JAIN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/20653/2021
26-Dec-22	AJGPS4080D	LALIT KUMAR SINGHANIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/17079/2021
26-Dec-22	AQCPA9517C	MADHU AGRAWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/1660/2022
26-Dec-22	AACCV8086C	VISHWARAJA PROPERTIES AND CONSULTANCIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022
26-Dec-22	AACCV8127C	VISTA PROMOTERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022
26-Dec-22	AACHC8112P	CHIRAG SHARAD VORA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AACHV4748L	VISHAL AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AADCC3724B	CONFIDENT VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	AAECS8505M	SANKAT MOCHAN HOLDINGS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022
26-Dec-22	AAEHB9860R	BHAGAT RAM GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	AAEHV8384G	VIRAT AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022
26-Dec-22	AAFHS4954Q	SUBHASH CHOWDHARY HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AAGCS0343F	SUKHISANSAR CONSTRUCTION PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 12-08-2022
26-Dec-22	AAGHV6997P	VIRENDRA KUMAR MISHRA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAIHV4124N	VINEET JAIN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD6/GG/VP/32129/1/2022
26-Dec-22	AAJHP0816Q	PAWAN KUMAR PODDAR HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 03-08-2022
26-Dec-22	AAJTS1781M	SRISHTI BHALOTIA BENEFICIARY TRUST	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022
26-Dec-22	AAKCS2326Q	SECOND LAND DEVELOPERS PVT LTD	UNDER SECTIONS 11(B), 11(1) AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA	WTM/MPB/EFD-1-DRA-111/115/2020
26-Dec-22	AALCS9370B	SUBHLABH SHARE BROKERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AARCS1799L	SUBHKARI COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AASPG3094N	SUBHASH CHANDRA GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 01-09-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAYHS6731A	SUDHIR SEHGAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AEHPB9348F	SANJEEV BUTIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022
26-Dec-22	AFAPM6986L	SUCHITRA MOHTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AMTPK1562E	SUDHA DEVI KEDIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	ASTPS5934N	SUDHIR SINGH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	ATLPP3443L	SUDHABEN ASHOKBHAI PATEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	ATQPG4948N	AVIRAL GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	BLVPA7861A	SUMIT AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	AABHA2977D	ATUL KUMAR FATEHLAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022
26-Dec-22	AABCC0213H	CORE RESOURCE FINANCE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	ACOPC6432A	BABU LAL CHOUDHARY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022
26-Dec-22	AACCV8954B	ASK BHOOMI DEVELOPERS PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AAGCA6564J	ASK REALTY AND DEVELOPERS LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022
26-Dec-22	AAICA0430D	ASPOLIGHT MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AEGPG4399J	BABITA GOEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022
26-Dec-22	ELYPS3423N	CHIRAG SHARMA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	ANPPM3883R	BELA MUKHERJEE	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	AAFHV4924B	VISHAL KUMAR NARANG HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022
26-Dec-22	AAECB8238J	BESTWAY DEALCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	AADHB7155A	BHAGAT RAM GUPTA SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022
26-Dec-22	AFBPJ6529B	RANJITSINGH JUNEJA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32312/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAEHG3098J	GURJIT SINGH HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	HRD/ADJ/PM/OW/P/41143/1/2022
26-Dec-22	AJCPA6064F	MOHAMMED AFAQUE AHMAD	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/ERO/ERO-RLO/ 105/2020
26-Dec-22	AGVPC5307K	SANTANU SEN CHOUDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/ERO/ERO-RLO/105/2020
26-Dec-22	BOHPA8990M	EKANKI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI {PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID8/SCN/ AO-SSNS/35395/1 /2022
26-Dec-22	AHJPM6572H	MOHAMMAD YOUNUS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/17/ERO/MAY/2016
26-Dec-22	AHJPM6572H	MOHAMMAD YOUNUS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/15/ERO/APR/2016
26-Dec-22	AMYPM4378H	RASHMI MISHRA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/0000039706/1
26-Dec-22	ARTPP9101B	BHARATKUMAR BALDEVBHAI PARMAR	UNDER SECTION- 1-S-.I OP SECURITIP.S AND EXCHANGE BOARD OF INDIA "ACT, 1992 RE.AD WITH RULE 5 OF SHBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/345-403/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	ALBPS3314F	SUMANTA SINHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18
26-Dec-22	AGMPA1004E	MR. RAJESH AWASTHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/ 22/EFD/MAY/2016
26-Dec-22	BTUPP0108C	PUNAM CHAND PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20
26-Dec-22	AAICA9057G	AKRITI ADVISORY SERVICES PVT LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AAJHR7550P	RAMESH CHAND AGRAWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40890/1/2022
26-Dec-22	ABFPJ7548A	PRADEEP JOHARI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/41761/1/2022
26-Dec-22	AHAPS0240F	ANIL SOLANKI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-109/2010
26-Dec-22	AADPT4715C	NAVIN TRIKAMDAS THAKKAR	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-103/2010
26-Dec-22	AAZPL6285F	JIGNESH P LAKHLANI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-105/2010
26-Dec-22	AAACI4383H	IFSL LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENATILES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO :. - SD/AO/69/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-22	AASHS0443M	SANJEEV KANODIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/BS/50513/1/2022
26-Dec-22	AYAPS0436L	SHRI BABLU SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/190/2016
02-Jan-23	AACHK5312H	KISHAN KUMAR JAJODIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD2/MRD2_DDAP/P/OW/2022/39516/1
02-Jan-23	AAFHB1057D	BHAGWAN NUHAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 10-08-2022
02-Jan-23	AABCK8634L	KIRTI TRACON PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/39171
02-Jan-23	AABCO1930D	OCTAGON MEDIA MATRIX PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/38004
02-Jan-23	AABHD7786D	DALAM CHAND BHURAT & SONS	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/042433/1
02-Jan-23	AABHN6572P	NAGARMAL PERIWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/39418

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AACCD9973A	DAKSH SHARE BROKERS PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/42444/1
02-Jan-23	AADCC4988P	CHIRAG COMMODEAL PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/42691/1
02-Jan-23	AADCC8834G	CIRCLE DEAL TRADE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/42550/1
02-Jan-23	AADCK9786H	KHAITAN BEVERAGES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/39160
02-Jan-23	AADHR9245K	RAJ KUMAR MUKESH KUMAR	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/35043/2022
02-Jan-23	AAECK2274R	KHYATI MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD-3/BM/JR/44281/2022
02-Jan-23	AAECK3234H	KASVI COMMOSALES PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/31833/2022
02-Jan-23	AAECK3321R	KASVI TRADING COMPANY PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD-3/BM/JR/37074/10/2022
02-Jan-23	AAEHN9629L	NARESH KUMAR AND SONS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/37604/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAFHM9289Q	MOHAN LAL VARMA AND SONS HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/VSS/SB/OW/2022/37712/1
02-Jan-23	AANCS7786B	SAMRIDHI TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37247/2022
02-Jan-23	AAOCS7491L	SAKAMBARI MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/42417/2022
02-Jan-23	AAUPN5467E	RADHIKA NEVATIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37310/2022
02-Jan-23	AAXHS9992Q	SANDIP PRIYA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37318/2022
02-Jan-23	ACIPA1867D	PUSHPA DEVI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-3/P/OW/BM/GN/2022/38993
02-Jan-23	ACQPA9453K	KASTURI LAL AGGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/31836/2022
02-Jan-23	AGTPK7570L	RADHEY SHIAM KHANNA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/37434/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	ALGPC3438B	NISHA CHATURVEDI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/36680/2022
02-Jan-23	ARDPR2733D	SANCHITA ROY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/42305/2022
02-Jan-23	AYFPA9042F	NAMITA AGGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD/BM/JR/35847/9/2022
02-Jan-23	AYWPK0382M	SANGITA KARMAKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37482/2022
02-Jan-23	BDQPP9419J	KARISMA PADIA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/31850/2022
02-Jan-23	BGIPK1734J	KIRAN DEVI KARNANI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD/BM/JR/35847/3/2022
02-Jan-23	AAACU3542J	UPLINE TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37333/1/2022
02-Jan-23	AABCM7537N	MONTROSE COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44717/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AACCM2227N	MOTILAL MAKHANLAL HOLDING PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 44737/2022
02-Jan-23	AACCT2493D	TRIVENI TIE UP PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34505/2022
02-Jan-23	AAECT4878Q	TRILOKESH TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37372/2022
02-Jan-23	AAEFT8280B	TIRUPATI LOGISTIC	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37446/2022
02-Jan-23	AAGCS7465L	SUR SADHNA BARTER P.LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/41106/2022
02-Jan-23	AAGHP2383B	PANKAJ KUMAR BALASARIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 44617/2022
02-Jan-23	AAHFT6013B	TOUCHWOOD PROJECTS LIMITED LIABILITY PARTNERSHIP	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37457/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AALHM7180A	MRINAL BERRY (HUF)	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 35309/2022
02-Jan-23	AALHP4980R	PANKAJ KUMAR AGRAWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 44618/2022
02-Jan-23	AATPV7629K	SATYEN VYAS	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/32000/1/2022
02-Jan-23	ABCPD9261H	UMESH JAYANTILAL DESAI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/36543/2022
02-Jan-23	ACTPG7828D	PANKAJ GOEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44623/2022
02-Jan-23	AEMPG2623P	SHROFF TRIPTA TRIPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37663/2022
02-Jan-23	AFPPG3335Q	MOHIT GOEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.45341/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AQDPD7057P	DEBNATH KALYAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19
02-Jan-23	BGKPS2192E	VIDHU SHEKHAR SINGH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/40455/2022
02-Jan-23	AACCG0885C	G R INDUSTRIES AND FINANCE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SMAD/36001/2022
02-Jan-23	AWJPS4793B	SUDARSHAN VENKATARAMAN	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/IVD/ID10/34/2021-22
02-Jan-23	AAPCS4873D	SUNGOLD PROPERTIES PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/32265/1/2022
02-Jan-23	AAOCS7903F	SUNBRIGHT COMMODITIES TRADE PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/32386/1/2022
02-Jan-23	AABCU4261B	UNIFOUR COMMOSALE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/35191/2022
02-Jan-23	AFIPG7902C	USHA GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/35213/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAFFH7794Q	GALAXY ENTERPRISES	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/38983/2022
02-Jan-23	AABCU2641M	UJJWAL CLOTH MARKETING PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34785/2022
02-Jan-23	AADCT3937F	TRISHUL GEM JEWELLERY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34531/2022
02-Jan-23	AADCT6881G	TRIMURTI VINCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37350/2022
02-Jan-23	AAACT9655R	TOMORROWS SALES AGENCY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37450/2022
02-Jan-23	AFRPP7787H	SUSHIL KUMAR PALRIWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/35220/2022
02-Jan-23	AFTPK7746P	SUNITA KOCHAN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34262/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAIHD5800M	DULAL GHOSH HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/36149/2022
02-Jan-23	AABCE9161A	EMBLEM TRADELINK PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/36104/2022
02-Jan-23	AABCF2706L	FORTUNE TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/37421/1/2022
02-Jan-23	AADCV5277G	VERSATILE SAREE TRADER PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/39829/1/2022
02-Jan-23	AAOCS3890H	SUPARSHVAMATI COMMODITIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/40711/1/2022
02-Jan-23	AAGCP2760D	PREETI VYPAAR PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40434/2022
02-Jan-23	AABCU1506F	UTTAM DEALERS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/37557/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAFCP4810K	PREETI TRADECOM PRIVATE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40742/1/2022
02-Jan-23	AAGCP2872C	PREETI COMMODITIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40745/1/2022
02-Jan-23	AAFCP5386A	PREVIEW VINIMAY PRIVATE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40735/1/2022
02-Jan-23	AAFHV7052L	VIKAS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40768/1/2022
02-Jan-23	AAXHS2222P	SUSHIL GARG HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/40700/1/2022
02-Jan-23	AAACE3703B	EXCEL TECHNOVATION PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/2022
02-Jan-23	AACCM2844B	MULTIWYN COMMERCIAL PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.45088/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAHCA5130D	AMRIT PROJECTS (N.E.) LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
02-Jan-23	ACOPC0503E	SHRI RANJAN KUMAR CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND CLAUSE 17 OF SEBI (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2000 READ WITH REGULATION 111 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009	WTM/PS/169/IMD/ERO/FEB/2016
02-Jan-23	ACOPC0503E	SHRI RANJAN KUMAR CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
02-Jan-23	AHLPR2153F	SHRI SYED KAZIM RAZA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
02-Jan-23	AACCA5192L	AMRIT PROJECTS LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND CLAUSE 17 OF SEBI (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2000 READ WITH REGILATION 111 OF THE SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009	WTM/PS/169/ERO/FEB/2016
02-Jan-23	BJKPS6178P	SHRI SASANKA ROY SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
02-Jan-23	AACCE5924A	EMINENCE INFRAPROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017
02-Jan-23	AKFPR8752F	SUPRIYA SINGHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	ANIPD2315E	SHRI TARUN KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017
02-Jan-23	BACPM7482D	SHRI KOUSHIK MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017
02-Jan-23	BBFPM1003G	TANMOY MRIDHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017
02-Jan-23	ASSPD0037G	MANOJ KUMAR DHALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017
02-Jan-23	AYDPD5439P	ASHISH DHALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017
02-Jan-23	AZUPB7887R	SANKAR BAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017
02-Jan-23	AVQPK4359M	MR. SHIVRAM KUSHWAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203
02-Jan-23	AUAPR1861N	SURAJEETRAY	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	ALGPB7497M	SANJAY BAHADUR	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016
02-Jan-23	AKJPM5712E	NONIGOPAL MONDAL	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016
02-Jan-23	AADCG4144R	GOLDMINE INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/SEBI/EFD-DRA4/4/2017
02-Jan-23	BYHPS7846G	SHAMBHU NATH SAH	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016
02-Jan-23	AIEPD2431N	BABLU DEBNATH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/146/2016
02-Jan-23	AOGPD1999H	SUBRATA DE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/146/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	APIPD6321E	BINOY DEBNATH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19
02-Jan-23	AFLPN0768C	MOHAMMAD NESAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19
02-Jan-23	AQDPD7058C	RITA DEBNATH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19
02-Jan-23	ARAPD2677F	MOUSUMI DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19
02-Jan-23	CRGPS3281N	BALDEVRAJ SHARMA	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AUTPR3288E	RAMESH SHARMA	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013
02-Jan-23	AHWPJ3775G	MONIKA JAIN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.45482/2022
02-Jan-23	ABNPL7384C	VINEETA KABRA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/0000039376/1
02-Jan-23	ADIPG6724E	VIMLA DEVI GOLECHHA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/0000039380/1
02-Jan-23	AGTPP6067F	LAXMANBHAI PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.IVD/WOL/AO/DRK/ASG/EAD3-68/2009
02-Jan-23	KLRPS2627R	SHETH NILESH VINODCHANDRA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.EAD-2/AO/37/2012
02-Jan-23	AITPM8547R	AMIT MEHTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.EAD-2/AO/35/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAACY2254M	VAANA APPARELS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/VV/55804/1/2022
02-Jan-23	AABCS0614E	SPS BUILDERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT
02-Jan-23	AABPA5556C	VIVEK ARORA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT
02-Jan-23	AAECC7554L	CRABEL DISTRIBUTORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT
02-Jan-23	AAFHD3466C	DIPAK GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/JS/ASR/ISO/48812/1/2022
02-Jan-23	AAGHS1170K	SHRI RAM GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT
02-Jan-23	AAHCA2103Q	AMBITION COMMODEAL PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/JS/ASR/ISO/48785/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAICA2282P	XERSUS SALES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT
02-Jan-23	AANFV4919L	VIMUK ENTERPRISES	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 FOR ADJUDICATION U/S 15 HA AND 15HB OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF "TRADING BY CERTAIN ENTITIES IN MENTHA OIL FUTURES CONTRACTS AT MULTI COMMODITY EXCHANGE OF LNDIA LIMITED	EAD-6/AK/BS/49540/1/2022
02-Jan-23	AAOCS7016F	SPECTRUM TECHNOPROJECTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT
02-Jan-23	AAOPG1467H	VIKRAM GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 FOR ADJUDICATION U/S 15 HA AND 15HB OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF "TRADING BY CERTAIN ENTITIES IN MENTHA OIL FUTURES CONTRACTS AT MULTI COMMODITY EXCHANGE OF LNDIA LIMITED	EAD-6/AK/BS/49548/1/2022
02-Jan-23	AEYPP7292M	AMARJEET KAUR PARMAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/OW/JS/ASR/48769/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AJOPG5806B	NEETU GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 FOR ADJUDICATION U/S 15 HA AND 15HB OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF "TRADING BY CERTAIN ENTITIES IN MENTHA OIL FUTURES CONTRACTS AT MULTI COMMODITY EXCHANGE OF LNDIA LIMITED	EAD-6/AK/BS/49547/1/2022
02-Jan-23	BEJPS1492A	AMIT SHAW	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/JS/ASR/ISO/48804/1/2022
02-Jan-23	BSFPK6683P	KRISHNAMURTHY G	SHOW CAUSE NOTICE UNDER SECTIONS 11B, 11B(1), 11B(2), 11(4) AND 11(4A) OF SEBI ACT, 1992 FOR ALLEGED VIOLATION OF SECTION 12(1) OF SEBI ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	SRO/UIA/SC/SK/OW/P/28390/1/2022
02-Jan-23	AVLPD3177H	SANJAL KANTI DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016
02-Jan-23	AACCM1043Q	MASKARA FINVEST PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43095

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAFHM1072D	MANISH PERIWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/HP/2022/42888
02-Jan-23	AAHCM1736B	MANMOHAK INFRASTRUCTURE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/HP/2022/42893
02-Jan-23	AAHCM2762P	MANOMAY DEALMARK PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43079
02-Jan-23	AAHCM7463R	MAO VYAPAAR PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/42963
02-Jan-23	AAICM7417L	MARITECH COMMOTRADE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43072
02-Jan-23	AAIHM2874E	MANOJ GARG HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/42974
02-Jan-23	AAKHM1813M	MANOJ KUMAR TIWARY HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43060

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAMHM2532F	MANISH RATHI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/2022/42877
02-Jan-23	AANHM8407J	MANISH BUBNA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/47653/2022
02-Jan-23	AAOCS5535R	SGI RESEARCH & ANALYSIS LTD.	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013
02-Jan-23	AARPS7233G	SANJEEV SHARMA	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	ABTPA4805B	MANOJ AGRAWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/45411
02-Jan-23	ACCPM3981C	MANISHA MEHTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/2022/42857
02-Jan-23	ACZPG2014R	MANIKA CHORARIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/2022/42824
02-Jan-23	AHZPA2178F	MANISH AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/47641/2022
02-Jan-23	BCIPD7293J	PRIYANKA DEV	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013
02-Jan-23	AAEHB1859G	BHARATIA NANDA KISHORE	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD-RAC-1/P/OW/2022/34957/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AJSPD8240L	RAMDULARI DEVI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/IVD-13/SCN/AO-DJ/AG/2022/33965/1
02-Jan-23	AAJHS6712E	S K AGARWAL AND SONS HUF	ADJUDICATION PROCEEDINGS IN RESPECT OF S K AGARWAL AND SONS HUF IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	SEBI/HO/IVD/ID17/BSR/AS/2022/13638/1
02-Jan-23	AACCS6622B	BHUMIKA FISCAL SERVICES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.38954/2022
02-Jan-23	AAECB8579J	BHUJADHARI AGENCIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 38959/2022
02-Jan-23	APTPJ9146A	BIMALA JOSHI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 36448/2022
02-Jan-23	AACCI0550Q	IMPROVE VINCOME PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 41114/2022
02-Jan-23	AACCH3927N	HORA TIE-UP PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 39026/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AACCB2213R	Q & B RETAIL LIMITED (EARLIER KNOWN AS BASIL EXPRESS LIMITED)	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF Q & B RETAIL LIMITED (EARLIER KNOWN AS "M/S BASIL EXPRESS LIMITED")	WTM/MPB/EFD-1-DRA-IV/54/2019
02-Jan-23	AACCH5858G	HUM PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015
02-Jan-23	AAHCA4914B	ABYSS ASSAM REAL ESTATE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017
02-Jan-23	ABOPK6136M	SUBHAS KUNDU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015
02-Jan-23	ACOPA1462G	SHEIK SAUKAT ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017
02-Jan-23	AEDPH4898E	ASHOK HALDER	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
02-Jan-23	AFCPK5730L	SURANJAN KAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AFNPC6695P	DILIP KUMAR CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
02-Jan-23	AGRPB6998B	SUMEET KUMAR BHAGAT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
02-Jan-23	AHGPN9138H	RATAN NASKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18
02-Jan-23	AHIPR2184B	SANDIP ROY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015
02-Jan-23	AIFPB0585Q	SADHON BACHHAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18
02-Jan-23	AJIPR6467K	MD. MAZIBAR RAHMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017
02-Jan-23	AJJPT2318L	SONI TODI	SHOW CAUSE NOTICE UNDER RULE 4 (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN RESPECT OF SONI TODI IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE	RRD/AO-JS/N/182/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AKCPT3295J	MR. AYNAL HOQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017
02-Jan-23	AKUPP4675Q	YOGANAND PRASAD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/MPB/EFD-1-DRA-IV/99/2017
02-Jan-23	AMNPP2918D	SUBHASISH PANDE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015
02-Jan-23	AQIPB5315P	NITYANANDA BAIDYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18
02-Jan-23	AVKPM2947F	KUNDAL MANNA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
02-Jan-23	AVZPM5268J	SAUMEN MONDAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18
02-Jan-23	AWKPB6801G	BIDESH BASU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
02-Jan-23	AWTPP2649D	SANKAR PAILAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AWZPM5169R	ANTARA MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SILICON PROJECTS INDIA LIMITED	WTM/MPB/EFD-1-DRA-IV/124/2018
02-Jan-23	AXVPM6619B	MR. ABDUL MALEQUE MIAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017
02-Jan-23	AZXPM4025B	SAMIR KUMAR MANDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
02-Jan-23	BGIPS8454D	MR. TILAK SARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017
02-Jan-23	BWXPM1173N	SUBODH MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
02-Jan-23	CXKPS4104E	CHANDRA SHEKHAR SABAT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015
02-Jan-23	FLJPS3301B	P. SOUNDARAVALLI	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SBS INVESTMENTS	WTM/MPB/EFD-1-DRA-IV/117/2018
02-Jan-23	AAECC5511F	CAPABLE MINING PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000037964/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AVLPC7083R	NAMRATA CHORADIA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000038310/1
02-Jan-23	CQTPS3629C	NAMRATA SINGHAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000038375/1
02-Jan-23	ALGPG2954A	NANDINI GUPTA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000038274/1
02-Jan-23	AEKPM3430Q	NANDKISHORE MASKARA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000038173/1
02-Jan-23	AADHN7088Q	NANU RAM SARAF HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000037950/1
02-Jan-23	AACHN8119B	NARAYAN PRASAD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000036716/1
02-Jan-23	AAAHN9264M	NARENDRA NATH JINDAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/0000035878/1
02-Jan-23	AACCT9675P	TRIPURARI PROPERTIES PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/MD/RK/OW/2022/037517/1
02-Jan-23	AAACR6794F	RAJASTHAN REALTORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJASTHAN REALTORS PRIVATE LIMITED	EAD-8/PM/AN/ISO-II/18209/1/2021
02-Jan-23	AAMHR6180G	RAJEEV CHANDAK HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJEEV CHANDAK HUF	EAD-8/PM/PA/ISO-II/21550/1/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AADCG0853B	GARIMA REAL ESTATE & ALLIED LIMITED	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203
02-Jan-23	AVQPK4360A	MR. BALKISHAN KUSHWAH	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203
02-Jan-23	BNXPS1496L	MR. BIJENDRA PAL SINGH	UNDER SECTION 15 - I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203
02-Jan-23	DGXPK9360P	MR. JITENDRA KUMAR	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203
02-Jan-23	ABVPL8322Q	BHAGWATI BAI LODHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAECB4806G	BHOOMI DEVCON AND AGRITECH LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18
02-Jan-23	AADCD2895N	DGR FARMS AND LEISURES LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20
02-Jan-23	CUEPS7834L	DINESH SAINI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18
02-Jan-23	ANLPB5867A	DOLLY BANERJEE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD-3/136-139/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AMZPV6270A	GHASI RAMVISHWAKARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18
02-Jan-23	BPDPS6651E	H SIREESHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD.	WTM GM EFD/46/2017-18
02-Jan-23	BYGPS5769H	KAILASH SINGH LODHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AKLPV6427H	LAL CHAND VISHVAKARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18
02-Jan-23	AUZPB0556H	MAUSAMI BANERJEE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD-3/136-139/2018
02-Jan-23	AWJPS1018D	MR. ALOK KUMAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20
02-Jan-23	AKOPP8093N	MR. JAINENDRA KUMAR PATEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AGKPA9503C	MR. MRINAL ATAL	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20
02-Jan-23	AGMPG4657M	MR. SHANKAR LAL GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20
02-Jan-23	APQPP5268L	MR. SHYAM LAL PAHWA	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF SHEEN AGRO & PLANTATION LTD.	WTM/RKA/NRO/CIS/135/2014
02-Jan-23	ASHPK4559K	MR. SURENDRA KUMAR	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20
02-Jan-23	AFAPD7831F	MR. SUSHIL KUMAR DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AGOPA6418L	MS. REENA ATAL	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20
02-Jan-23	AEDPL0722M	NARENDRA SINGH LODHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18
02-Jan-23	AKNPV1081D	RADHA BAI VISHWAKARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18
02-Jan-23	ANLPB5866B	RAJ KUMAR BANERJEE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD-3/136-139/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AHUPA0336L	SANJAY KUMAR AGRAWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18
02-Jan-23	AANCS5416Q	SPNJ LAND PROJECT AND DEVELOPERS INDIA LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD-3/136-139/2018
02-Jan-23	CHXPS2858E	SUNIL TIWARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18
02-Jan-23	AAOCS2750A	SUSK INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AABCU0988M	USK INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 07, 2015 IN THE MATTER OF USK INDIA LIMITED (USK).	WTM/GM/EFD/44/2018-19
02-Jan-23	ADEPV4483D	V MADHUSUDHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD.	WTM GM EFD/46/2017-18
02-Jan-23	APFPK5648J	V SANTOSH KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD.	WTM GM EFD/46/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-23	AAACZ3468J	ZEESTAR LIMOUZINES LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20
02-Jan-23	AEEPD0476M	HITA MANISH DAVE	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 32697/2022
02-Jan-23	AHLPK1667P	HUNAR VIPIN KANDHARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 32040/2022
02-Jan-23	AJYPM7962Q	SHRI JOYDIP MUKHOPADHYAY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/SEBI/EFD-DRA4/4/2017
02-Jan-23	ACAPL9691A	MR. BANABARI LAL LODHI	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016
03-Jan-23	AABCU3309A	URO LIFECARE LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017
03-Jan-23	AABCU3310M	URO WALKERS LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jan-23	AABCU3312K	URO HYGIENIC FOODS LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017
03-Jan-23	AABCU3313J	URO INFOTECH LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017
03-Jan-23	AACCD2359Q	MOONLIGHT ASSOCIATE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018
03-Jan-23	AADCB2189H	BNP REAL ESTATE AND ALLIED LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	AAICA6147R	ESBI INFRASTRUCTURE COMPANY LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/46/2019
03-Jan-23	AAJPB4769J	MR. SACHINDRA NATH BHATTACHARYA	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017
03-Jan-23	AAKPB5408R	SHRI GIRISHCHANDRA MUKUNDRAM BALUNI	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1/EFD1-DRA3/7449/2020-21
03-Jan-23	AAKPI6206L	SAMSUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jan-23	ABEPH7054G	TARA PRASAD HOTTA	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19
03-Jan-23	ABIPJ6029P	SHRI KAMLENDRA JOSHI	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21
03-Jan-23	ABIPN1070A	SUJOY NANDI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016
03-Jan-23	ACOPN4971B	MR. UMESH NARWARIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	ADKPS7156A	MR. SANTOSH SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	ADNPD6019L	MR. SANTOSH KUMAR DWIVEDI	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
03-Jan-23	ADPPN6255N	MR. RAGHVENDRA SINGH NARWARIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	AEUPN2924A	NITYANANDA NATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jan-23	AFEPB6968F	JITEN CHANDRA BORA	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18,2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD.	WTM/AB/ERD-1/DRA-3/09/2018-19
03-Jan-23	AFHPP8743H	SHRI SUBRATA KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/46/2019
03-Jan-23	AFKPP7191Q	MR. AMARESH PANDEY	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
03-Jan-23	AILPD1367L	SMT. IPSITA DAS GIRI	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017
03-Jan-23	AIXPG7534N	MR. BISWAPRIYA GIRI	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017
03-Jan-23	AJQPD5126R	BASAB DASGUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jan-23	ALFPM5139C	MR. PRASUN MONDAL	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017
03-Jan-23	AMGPB0071J	KARUNA BORAH	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18,2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD.	WTM/AB/ERD-1/DRA-3/09/2018-19
03-Jan-23	ANQPG7900G	MS. GYANESH RAWAT ALIAS MS. GYANESH SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	APFPD4625L	PRAMATHES DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016
03-Jan-23	AQQPP3214P	MR. SANJEEV KUMAR PURWANSI	UNDER SECTIONS 11, 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	ARBPS6180P	MR. AWADHESH KUMAR SINGH	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
03-Jan-23	ASUPP2165M	ABANI KANTA PATTNAIK	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jan-23	AXQPB6263K	KRITI RANJAN BASTIA	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19
03-Jan-23	BASPP6084L	MR. TAPAN PRAMANIK	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/50/2017-18
03-Jan-23	BBCPD1190E	MR. KRISHNADHAN DAS	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/50/2017-18
03-Jan-23	BBIPP4520L	MR. MAHESH PALIWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	BCFPS8598R	MR. MONOJ KUMAR SAHA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/50/2017-18
03-Jan-23	BCKPS7359M	MR. MUKESH KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	BDVPS3534A	MS. KISHORI SHARMA.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jan-23	BHAPS1632N	MR. RAVINDRA SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	BKHPS7213P	JAGNESWAR SAIKIA	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18,2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD.	WTM/AB/ERD-1/DRA-3/09/2018-19
03-Jan-23	BMGPS2259Q	MR. BINAY KUMAR SHAW	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
03-Jan-23	BNSPP8133M	MR. SURAJIT PAUL	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/50/2017-18
03-Jan-23	BNUPS4121C	MS. SUREKHA SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	BOUPS2175H	MR. KUNVER SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
03-Jan-23	CDUPS3365R	MR. PINTU SEN	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/50/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jan-23	CGEPS8222C	MR. DEVENDRA KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
09-Jan-23	AGOPC3742P	SHRI ABHISHEK S. CHAUHAN	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MOB/EFD-1-DRA-IV/93/2017
09-Jan-23	AADCV8844K	VISHWAMITRA INDIA TOUR & HOTELS LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD /92/2016
09-Jan-23	AISPD5571H	PRABIN DASH	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018
09-Jan-23	ALYPP9588R	MANOJ KUMAR PRADHAN	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018
09-Jan-23	ATEPP2709C	ANUKUL PATRA	UNDER SECTIONS 11, 11(4), 11A. AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016
09-Jan-23	BCXPB3598L	AMRIT BHUNIA	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	BEAPP0928K	JYOTIRANJAN PANDA	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018
09-Jan-23	AAACS0029A	SANKET INVESTMENTS AND MARKETING LIMITED,	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018
09-Jan-23	AABCU2558H	UNITED MEDICINE AND SURGICAL INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	AADCD6788Q	DREAMLAND INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016
09-Jan-23	AADCG6933A	GREENBANG AGRO LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	AAECM1125F	MONDAL CONSTRU.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN/2016
09-Jan-23	AAECN1024A	NIRMAL INFRAHOME CORPORATION LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/93/2017
09-Jan-23	AAECR6032J	RISTA FISHERIES AND INFRASTRUCTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AAGCM1706Q	MORNINGSTAR VENTURES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AAHCM5933G	MATRIBHUMI PROJECTS (I) LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016
09-Jan-23	AAJCA0050E	ARCAVA ENTERPRISES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017
09-Jan-23	AALCS9420L	SEASHORE SECURITIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018
09-Jan-23	AAPCS3118P	SHRIVALLIS SECURITIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19
09-Jan-23	ABHPI1120R	TOZEMMUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	ABJPH6895C	MD. SAIFUDDIN HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	ABLPN8050H	HRIDHI PRATIM NEOGY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017
09-Jan-23	ACKPH9229A	SANTOSH KUMAR HATI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	ACMPB8035G	SMRUTI RANJAN BISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19
09-Jan-23	ACOPD9805C	KALIDAS DATTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/164/ERO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	ACRPC5911B	MR. JAYANTA CHAKRABARTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IMD/MAR/2016
09-Jan-23	ACZPB2276J	ALOKE BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017
09-Jan-23	ADEPC9258M	SRIMANTA CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AEEPC5718H	INDRANIL CHATTOPADHYAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN/2016
09-Jan-23	AEFPC0491J	SUDIP CHANDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AEGPB5394G	SWAPAN BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AEGPC6855H	SAMBHU CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AELPG8249Q	MR. RANJIT GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016
09-Jan-23	AEXPT4244C	MR. TUSHAR KANTI CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IMD/MAR/2016
09-Jan-23	AFAPC2766E	MANJUSHREE CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AFWPG8075J	MR. SUKUMAR GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AFYPN4410L	HIMANSHU BHUSAN NAYAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016
09-Jan-23	AGAPD7484R	SWARNA LATA DEVI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016
09-Jan-23	AGSPG5799G	ANUVA GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016
09-Jan-23	AHBPN9799H	NIBEDITA DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018
09-Jan-23	AHTPB8323P	BISWANATH BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017
09-Jan-23	AHXPG3771G	SHRI SREEMON GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	AHYPB4026G	SHRI NITISH BARMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	AIKPC8055F	SHRI PHOOL SINGH CHOUDHARY	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AIOPR6310C	SHRI DHIMAN ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	AJBPJ8557H	MS. SAPNA JENA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018
09-Jan-23	AJDPM4387J	MR. MRITYUNJOY MODAK BHOWMIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016
09-Jan-23	AJLPM4034A	SHRI SATYENDRA NATH MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	AJVPB3616M	SAIKAT BRAHMACHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/132/2018
09-Jan-23	AMEPP6310J	SHRI NETAI PALTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016
09-Jan-23	AMGPB0981P	RAJIB BHATTACHARYA	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) P. LTD.	WTM/RKA/EFD/150/2016
09-Jan-23	AMSPP9346A	SHRI PARITOSH PANDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	APTPB3344Q	SUBIR BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017
09-Jan-23	APYPS3960G	PROSENJIT SWARNAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AQFPG0812M	SUMIT GURIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	ARZPM3090L	SUJIT MOHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016
09-Jan-23	ASHPG2353H	NABA KUMAR GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017
09-Jan-23	ATYPS3889C	MS. JYOTIRANI SARANGI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018
09-Jan-23	AUDPB3526F	BIPLAB BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	AUQPS9430G	ANUPAM SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
09-Jan-23	AUSPM9775A	SHRI BAIDYANATH MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	AUTPM0257E	PRAKASH MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AVSPM3542L	SACHI DULAL MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN/2016
09-Jan-23	AXAPK0924E	TANMOY KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/132/2018
09-Jan-23	BAWPP5444G	MR. RAJKRISHNA PALTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016
09-Jan-23	BBUPB4339M	SMT. LIPIKA BHADURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	BCJPK2878L	SATYANARAYAN KARMAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	BDOPG6630C	SUVENDU GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	BGNPK7088Q	SHRI TASLIM ARIF KHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19
09-Jan-23	BKBPA9214J	MR. HARADHAN ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016
09-Jan-23	BPSPP5200H	SMT. NILIMA PONDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	CAYPS6984D	BIPLAB SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016
09-Jan-23	CERPP5289K	MR. TAPAN PAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IMD/MAR/2016
09-Jan-23	CKHPS4470D	BHIMSEN SOREN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018
09-Jan-23	DEXPS0145Q	RASMIRANJAN SWAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018
09-Jan-23	DQHPS7079M	SMT. PIYALI SARKAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016
09-Jan-23	AAFCB0288F	BHABISWAJYOTI INFRASTRUCTURE INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019
09-Jan-23	BCVPS0290L	SOMA SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019
09-Jan-23	AKAPM7973N	ARINDAM MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AADCB4465A	BISHALABASANINDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
09-Jan-23	AMBPP4567C	MR. SANTOSH KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
09-Jan-23	AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
09-Jan-23	BRMPB8677A	MR. BENUKAR BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
09-Jan-23	AADCB7325D	BISHAL AGRI-BIO INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
09-Jan-23	AOVPK3854N	MR. SUSHANT KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
09-Jan-23	AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
09-Jan-23	AADCB8788Q	BISHAL DISTILLERS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
09-Jan-23	AMBPP4567C	SANTOSH KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
09-Jan-23	AOVPK3854N	MR. SUSHANT KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
09-Jan-23	AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
09-Jan-23	BRMPB8677A	MR. BENUKARBANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
09-Jan-23	AMBPP4567C	SANTOSH KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
09-Jan-23	AOVPK3854N	SUSHANT KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
09-Jan-23	AJUPT3341C	SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
09-Jan-23	AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
09-Jan-23	AABCN6977H	NIXCIL PHARMACEUTICALS SPECIALITIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/50/2019
09-Jan-23	AAECT0908R	TRESTY SECURITIES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
09-Jan-23	AAJCS2508K	SUNSHINE GLOBAL AGRO LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AAKCS3313H	SIYARAM DEVELOPMENT & CONSTRUCTION LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/40/2019
09-Jan-23	AAKCS9090D	SUNPLANT CONSTRUCTIONS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/PS/123/ERO/DEC/2015
09-Jan-23	AAOCS7432H	SUNSHINE AGRO-INFRA LIMITED	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016
09-Jan-23	AARCS1089F	SCL STEEL CORPN LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018
09-Jan-23	AAWCS5718A	STAPES CAPITAL RESEARCH PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4)(B), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 IN THE MATTER OF:	WTM/MPB/SEBI/EFD-DRA4/157/2018
09-Jan-23	AAWPF1464H	MOHAMMED FAISAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015
09-Jan-23	ABFPV9924N	MR. VISHWA BANDHU VASHISHTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/50/2019
09-Jan-23	ABQPC3967R	TARA CHAND CHORDIA	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	ACEPC5756F	SATYA RANJAN CHAUDHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV /150/2018
09-Jan-23	ACSPD7017Q	MANAS KUMAR DAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018
09-Jan-23	ADQPC5674F	PRASANTA CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018
09-Jan-23	ADRPD7213N	NABENDU DEY	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016
09-Jan-23	AEIPC6387B	SWAPNA CHAUDHURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018
09-Jan-23	AEKPJ8257J	ANAND KUMAR JHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015
09-Jan-23	AEOPC1519G	CHATTA RANJAN CHAUDHURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018
09-Jan-23	AFZPC5242E	ASHOK CHAKRABORTY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF JEEVAN SURAKSHA ENERGY AND INDUSTRIES LTD	WTM/GM/IMD/59/2017-18
09-Jan-23	AGMPJ0750E	SHRI RABINDRA KUMAR JENA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/126/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AHRPA3277H	UTTAM ACHARJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF JEEVAN SURAKSHA ENERGY AND INDUSTRIES LTD	WTM/GM/IMD/59/2017-18
09-Jan-23	AHVPP0531B	LOKESH PERIWAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016
09-Jan-23	AJPPB2660M	MR .APURBA RANJAN BEHERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
09-Jan-23	AJPPM9356B	MOKARRAM MOHAMMAD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015
09-Jan-23	AJRPB1543M	AMRIT INDU BHATTACHARYA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/AB/EFD-1/DRA-IV/14/2020-21
09-Jan-23	AJWPB9126D	MR. SUDHANSU SEKHAR BARIK	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AKNPA2732Q	DIPAMONI ACHARJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18
09-Jan-23	AKPPP1041H	SHRI RITURAJ PRADHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/PS/123/ERO/DEC/2015
09-Jan-23	AOYPM2069Q	MR. DAYANIDHI MOHAPATRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
09-Jan-23	APOPM1270M	ANURAG MITTAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016
09-Jan-23	APXPD1588E	PAROMITA DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/40/2019
09-Jan-23	AQHPR2449D	MR. BISWAJIT ROUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
09-Jan-23	AVPPR5796L	MR. ASHOK KUMAR ROUT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AWQPS0249B	SHRI SATISH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/PS/123/ERO/DEC/2015
09-Jan-23	BEMPK1322J	ASHIF KHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015
09-Jan-23	BTDPP9403M	MR. SISIR KUMAR PADHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
09-Jan-23	CENPK6085G	WASIM KHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	CNVPM6593E	BIBEKNAND MANDAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015
09-Jan-23	AABCJ6757B	JEEVAN SURAKSHA REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/88/2017
09-Jan-23	AAECG2270P	GARIMA HOMES AND FARM HOUSES LIMITED	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016
09-Jan-23	AKNPA2732Q	DIPAMONI ACHARJEE	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/88/2017
09-Jan-23	AMAPS4265M	MR. SARAL RANJAN SENGUPTA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/76/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	ANWPR7210H	MR. SWAPN KUMAR ROY	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/76/2017-18
09-Jan-23	ARJPG7051P	MR. RADHASHYAM GIRI	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/76/2017-18
09-Jan-23	AVQPK4360A	MR. BALKISHAN KUSHWAH	UNDER SECTIONS 11(1), 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016
09-Jan-23	BNXPS1496L	MR. BIJENDRA PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	BXEPS6726H	MR. KAUSHAL KISHOR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018
09-Jan-23	CISPS9854C	MR. RAJEEV KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018
09-Jan-23	DGXPK9360P	MR. JITENDRA KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016
09-Jan-23	GEDPS5531B	MR. SANJAY KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AACCG3515F	GCA MARKETING P LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/150/CIS-NRO/CHLO/FEB/2016
09-Jan-23	ADEPC5281C	MR. AMARDEEP SINGH CHEEMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/150/CIS-NRO/CHLO/FEB/2016
09-Jan-23	AFDPC9530K	MS. MANISHA CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016
09-Jan-23	AFLPC6208E	MR. KAUSHIK CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016
09-Jan-23	AKAPC1597L	MR. BISWAJIT CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016
09-Jan-23	AXEPJ4859P	SANJAY KUMAR JENA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20
09-Jan-23	BGAPR5205H	NIRANJA N RANA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	BIVPS7069D	MR. GURDEEP SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/150/CIS-NRO/CHLO/FEB/2016
09-Jan-23	BOXPR7731H	TRINATH RANA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20
09-Jan-23	CHKPP0921J	BALRAM PRADHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20
09-Jan-23	CLXPP4377H	SAMIRKANT A PANDA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20
09-Jan-23	AACCI5234R	IDOL INDIA PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/113/2017
09-Jan-23	AACCN5652N	NICER GREEN HOUSING AND INFRASTRUCTURE DEVELOPERS LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AACCN5652N	NICER GREEN HOUSING AND INFRASTRUCTURE DEVELOPERS LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2)(T) OF SEBI(PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015
09-Jan-23	AADCK3482E	KALPBUT REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
09-Jan-23	AAECK1255G	KARMBHOOMI REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015
09-Jan-23	AAECP5032C	MS. PEERS ALLIED CORPORATION LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/187/CIS-NRO/MAR/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AAGCM4867L	MORALINFRASTRUCTURESCORPORATION LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20
09-Jan-23	AAGCM8052P	MORAL COMMOTRADE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20
09-Jan-23	AAHCM3158P	MORAL HEALTH &PERSONAL CARE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20
09-Jan-23	AAHCM3170B	MORAL DEVCON LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20
09-Jan-23	AAQCS7428B	SAI PRASAD CORPORATION LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/146/IMD-CIS/FEB/2016
09-Jan-23	AARCS9644N	SHREE SAI SPACE CREATIONS LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/48/CIS/NRO/NOV/2014
09-Jan-23	AAZPU1224F	MANJU UPADHYAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AAZPU1225E	SADHU SHARAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
09-Jan-23	ABUPT7761N	RAJENDRA SINGH THAKUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
09-Jan-23	ABUPT9628E	LEENA TEWARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18
09-Jan-23	AEEPV9521M	REENA VIJAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AENPS9792L	BHANU PRATAP SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
09-Jan-23	AFTPD2362Q	SHRI SHRIBAS CHANDRA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/113/2017
09-Jan-23	AGIPC6237B	SANDIP CHATTOPADHYAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18
09-Jan-23	AITPM9488K	ANIL KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
09-Jan-23	AJXPD0444R	GUDDI DEVI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AKYDP8151B	INDU DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
09-Jan-23	ALLPP2252K	POORAN PRAKASH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
09-Jan-23	AMYPM6746R	DEBAPRATIM MAZUMDER	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18
09-Jan-23	ASGPK7961G	SURINDER KAUR	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	ASGPK7961G	SURINDER KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015
09-Jan-23	ATUPR0113L	WAY2GAINS AND ITS PROPRIETOR MR. RAJEEV KUMAR TIWAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/8408/2020-21
09-Jan-23	AXLPP7767R	AJAY KUMAR PANDEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
09-Jan-23	BDSPS9886A	RAKSHA PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
09-Jan-23	BJVPS0029N	DEVENDRA PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	BVEPS9613M	PIPAL SINGH SIDHU	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117
09-Jan-23	BVEPS9613M	PIPAL SINGH SIDHU	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015
09-Jan-23	BZWPS3360K	RANJIT SINGH	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117
09-Jan-23	BZWPS3360K	RANJIT SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	CRCPS3999H	KARANJIT SINGH	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117
09-Jan-23	CRCPS3999H	KARANJIT SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015
09-Jan-23	DEWPS9886A	PARVESH KUMAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
09-Jan-23	DEWPS9886A	NATTHU SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	DRMPS4668Q	VIVEK K SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/NOV/2014
09-Jan-23	ACUPJ0102E	INNSAIPILLAI LURDPILLAI JOSEPH JEYARAAJ	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016
09-Jan-23	AADCG9560H	G LIFE INDIA DEVELOPERS AND COLONIZERS LIMITED	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20
09-Jan-23	AADCK4402G	KMJ LAND DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AAFCM9358H	MAITREYA PLOTTERS AND STRUCTURES PVT. LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED	WTM/SKM/EFD-DRA-1/06/2018-19
09-Jan-23	AAHCM5798F	MARK BUILDERS AND LAND DEVELOPERS LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19
09-Jan-23	AANCS0417R	SERVEHIT HOUDING AND INFRASTRUCTURE INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/81/CIS-NRO/NOV/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AAPCS0636Q	SAI PRAKASH ORGANIC FOOD LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19
09-Jan-23	AAZFS6704Q	STEP UP MARKETING PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/114/CIS-NRO/DEC/2015
09-Jan-23	AEUPR2841G	NIRMALA RATHORE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AEXPR6319A	SANTOSHI LAL RATHORE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18
09-Jan-23	AJFPJ3454R	MR. IRUDAYARAJ MANICKAM PILLAI JEYABALAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016
09-Jan-23	AKLPR9493H	KANCHAN RAJAWAT KUSHWAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AKRPA6259Q	SIRAJ ALI	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20
09-Jan-23	ALKPS4279C	MRS. PRAKASAM SAGAYA PACKIA SANTHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016
09-Jan-23	AMUPK7500K	RAGHBIR KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/114/CIS-NRO/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	ANDPP4284F	MANOJ KUMAR PANDEY	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20
09-Jan-23	ANPPS8356M	SANDEEP SHANKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20
09-Jan-23	AUTPK1595M	KULJEET KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AWJPS7878P	MR. NITIN SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015
09-Jan-23	AYNPS9873J	MR. DEEPAK SINGARE	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015
09-Jan-23	BBNPS3367M	MR. AMIT KUMAR SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015
09-Jan-23	BCIPK4911M	RAJENDER KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/81/CIS-NRO/NOV/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	BIPPS9476L	MS. NEETA SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015
09-Jan-23	BQMPS1649Q	MR. SANTHANAPETER	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016
09-Jan-23	BQXPS7815M	SATISH KUMAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014
09-Jan-23	BSHPP0251N	PRAMOD KUMAR PANDEY	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	BUZPS4365J	SUKHMANDER SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/81/CIS-NRO/NOV/2015
09-Jan-23	CFKPS3490F	MS. SINDHU SINGADHE	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015
09-Jan-23	CRRPS1400A	SURJEET KUMAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014
09-Jan-23	DRZPS9894D	SUNIL KUMAR SHARMA	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	AABCL8415G	LIFE CARE REAL DEVELOPERS LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19
09-Jan-23	AAECG6103D	G R AGRO PROJECT LIMITED	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/156/2018
09-Jan-23	ABKFM0485E	MTF DIGI SALES	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/AB/SRO/SRO/20816/2022-23
09-Jan-23	AEKPL9354C	MOHD. SERAJ LARI	THE ORDER OF THE SECURITIES APPELLATE TRIBUNAL DATED OCTOBER 25, 2016 IN MISC.APPLICATION NO.221 OF 2016 AND APPEAL NO. 275 OF 2016.	WTM/GM/EFD/79/2018-19
09-Jan-23	ANIPA3040P	MOHAMMAD AFTAB ALAM	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19
09-Jan-23	ATOPP9889R	SHIBA PRAVIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19
09-Jan-23	AXCPT3030A	NIRAJ THAKUR	UNDER SECTIONS 11(4) AND 11B (1) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	ED/MK/SRO/SRO/20336/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jan-23	BIGPG0523B	MOHD. GANI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19
09-Jan-23	EQCPM6774F	AJAY KUMAR MUKHIYA	UNDER SECTIONS 11(1), 11(4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992.	WTM/ASB/SRO/SRO/20714/2022-23
09-Jan-23	FNRPS5641L	PANKAJ KUMAR SHUKLA	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIRES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/21090/2022-23
09-Jan-23	AKLPS0489E	ANUSUIYA SHARMA	SHOW CAUSE NOTICE UNDER RULE 4(1) PF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	FPI&C/AT/RKP/40574/1/2022
09-Jan-23	AFCPK5677G	MR. SIDDHARTHA KAYAL	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
12-Jan-23	AABCF0080D	F6 FINSERVE PVT. LTD.	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST F6 FINSERVE PVT LTD	SEBI/HO/EFD1/DRA3/P/OW/2022/56193/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Jan-23	AABCH8065Q	HEMISPHERE INFRASTRUCTURE INDIA PVT. LTD	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, FOR VIOLATIONS OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997/2011	SEBI/HO/IUD/ID3/P/OUL/2021/24408/1
12-Jan-23	AACCG7477N	GALAXY INFRAPROJECTS AND DEVELOPERS PVT LTD	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, FOR VIOLATIONS OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997/2011	SEBI/HO/IUD/ID3/P/OUL/2021/24408/1
13-Jan-23	AAACW9315R	WARIS HEALTHCARE LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016
13-Jan-23	AJMPA3089L	MR. IFTEKHAR AHMED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Jan-23	AIOPA9561E	MR. AZIZ AHMED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016
13-Jan-23	ABQPW7759R	MR. IMTIAZ KHAN WARSI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016
13-Jan-23	AKDPK3211E	MS. QURAISHA KHATOON	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016
13-Jan-23	ABYPW7604D	MS. RUHI GHAZALA WARSI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016
13-Jan-23	AARCS1027K	SUN TECHNO INTERNATIONAL LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF SUNTECHNO INTERNATIONAL LIMITED	WTM/MPB/EFD-1-DRA-IV/145/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Jan-23	ANGPC4373F	SMT KEYA CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF SUNTECHNO INTERNATIONAL LIMITED	WTM/MPB/EFD-1-DRA-IV/145/2018
13-Jan-23	AMRPB7694J	MR. SANKAR BHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
13-Jan-23	AMRPB7694J	MR. SANKAR BHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
16-Jan-23	AMJPS7534C	ASLAM SAYEED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/AB/EFD-1/DRA-IV/14/2020-21
16-Jan-23	AMLPG2674F	MR. AMIT KUMAR GUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
16-Jan-23	ANFPB7121D	BIKASH BHANDARY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RISING AGROTECH LIMITED	WTM/PS/42/ERO/IMD/MAY/2016
16-Jan-23	AOAPS0373N	AMEET SAVANT	UNDER SECTION 11(1),11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/P/OW/2022/5881/1
16-Jan-23	ARLPP5727B	MR. HARI ARJUNA PANDA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Jan-23	AUVPS5370E	AMANDEEP SINGH SRAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49-58/2017
17-Jan-23	AAECA8578L	RAHUL HI RISE LIMITED	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/98/2017
17-Jan-23	AGVPC6440K	MR. TAPAN KUMAR CHATTERJEE	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/76/2017-18
17-Jan-23	AMOPB0512G	MRINMOY BOSE	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/98/2017
17-Jan-23	AQAPS4323C	MR. SANJEET KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018
20-Jan-23	BFLPP9443M	SHRI HARIOM SHARAN PATEL	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 (HEREINAFTER REFERRED TO AS "INTERMEDIARIES REGULATIONS"), IN RESPECT OF M/S BIS CAPITAL INVESTMENT ADVISOR.	SEBI/HO/EFD1/DRA6/OW/P/ENQ/JF/2022/55751/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Jan-23	AAACW2920N	ANUGRAH STOCK & BROKING PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/BM/2022-23/18249-18252
23-Jan-23	AFVPP4292B	RAMESWAR PODDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF RAMEL PHARMA LIMITED AND ITS DIRECTORS-	WTM/MB/SEBI/EFD-DRA4/7/2017
23-Jan-23	AFVPP4292B	RAMESWAR PODDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/153/ERO-IMD/FEB/2016
23-Jan-23	AAOFK7265M	KRYPTON DISTRIBUTORS LLP	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD-3/BM/JR/44418/2022
23-Jan-23	AAMCS8602D	SUTANUTI DISTRIBUTORS PRIVATE LIMITED	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016
23-Jan-23	AIQPM0516K	PRABIR	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Jan-23	AARPS7234B	SONIA SHARMA	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013
23-Jan-23	BOLPM1668G	SIDDHARTH JAY MARATHE	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013
23-Jan-23	AJCPA7989H	SONI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4 (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN RESPECT OF SONI AGARWAL IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE	RRD/AO-JS/N/179/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jan-23	AFYPS0954C	DARSHANBHAI ARVINDBHAI SHAH	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ORIENT RESORTS (INDIA) PVT. LTD.	WTM/RKA/WRO/48/2013
24-Jan-23	AKNPP6001B	MR. JANARDAN ARVIND PARULEKAR	DIRECTIONS UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATIONS 65 AND 73 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999.	WTM/RKA/CIS/07/2013
24-Jan-23	AKNPP6001B	MR. JANARDAN ARVIND PARULEKAR	UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED	WTM/SKM/EFD-DRA-1/06/2018-19
24-Jan-23	AXYPS7773H	MS. REEBHA SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Jan-23	AENPJ3963L	MANISH JANI	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 IN THE MATTER OF LAYLA TEXTILE AND TRADERS LIMITED.	SEBI/EAD-1/SM/LD/55355/1/2022
27-Jan-23	BCOPK1206M	NEERAJ KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/SRO/20816/2022-23
27-Jan-23	BEBPM3906D	ANJU PRAKASHBHAI MATA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/SRO/20816/2022-23
27-Jan-23	CODPM3115F	MANISH KUMAR MAHASETH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992.	CGM/GG/QJC-2/SRO/SRO/21243/2022-23
27-Jan-23	AQHPB8639H	SHASHANK B BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/146/IMD-CIS/FEB/2016
27-Jan-23	AQHPB8639H	SHASHANK B BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015
27-Jan-23	AQHPB8639H	SHASHANK B BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015
27-Jan-23	GAUPS3690J	CAPITAL MIRROR AND ITS PROPRIETOR MR. SAURABH SAMIR	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/8408/2020-21

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Jan-23	ATTPB8244M	SHAHENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20
27-Jan-23	ATTPB8244M	SHAHENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19
27-Jan-23	ATTPB8254D	RANVAJAY PRATAP SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Jan-23	ATTPB8254D	RANVAJAY PRATAP SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19
27-Jan-23	AXMPB0165C	DHEERENDRA KRISHAN PRATAP	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20
27-Jan-23	AXMPB0165C	DHEERENDRA KRISHAN PRATAP	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Jan-23	BKJPB6325H	MRAGENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20
27-Jan-23	BKJPB6325H	MRAGENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19
30-Jan-23	AAHCA2094C	AMRAPALI AADYA TRADING & INVESTMENT PVT LTD.	WTM ORDER IN THE MATTER OF AMRAPALI AADYA TRADING & INVESTMENT PVT. LTD.	SEBI/HO/OW/EFD1/DRA3/JS/2021/57764/1
30-Jan-23	AAECA3909P	AADYA COMMODITIES PVT LTD.	WTM ORDER IN THE MATTER OF AADYA COMMODITIES PVT LTD.	SEBI/HO/OW/EFD1/DRA3/JS/2021/57765/1
01-Feb-23	AGNPG3984G	BASUDEB GARAI	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992,READ WITH SECURITIES & EXCHANGE BOARD OF INDIA(UCDR) REGULATIONS, 2009, IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICE DATED MAY 25,2015 AND MAY 29,2015 IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/GM/EFD/38/2017-18
01-Feb-23	AFCPD3788F	PRITHWIS KUMAR DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	AABCB4470B	DWITIYA TRADING LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID8/SCN/AO-SS/VS/36076/1/2022
01-Feb-23	AHCPC4610M	DEBDULAL BANIK CHOWDHURY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF HTE SECURITIES AND EXCHANGE BOARD OF INDIA (LSSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
01-Feb-23	AHEPG6300N	ANIRUDDHA GHOSAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 28 OF SEBI (LSSUE AND LISTING OF DEBT SECURITIES)REGULATIONS, 2088 IN RESPECT OF;	WTM/RKA/EFD/175/2016
01-Feb-23	ANQPM5013N	SAUBHAGYA KUMAR MOHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016
01-Feb-23	ATPPS1339A	ARINDAM SARKAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016
01-Feb-23	AFCPT1949Q	SANGRAM KESHARI TARAI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016
01-Feb-23	BLUPM3877R	SHUBHRANSHU SHEKHAR MAIKAP	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECRITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017
01-Feb-23	ANVPM3551G	BHOLANATH MAJI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/RKA/EFD/185/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	AKUPM9766H	DINOBANDHU MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/190/2016
01-Feb-23	ADGPB7510D	SUNIL KUMAR BRAHAMCHARI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/PS/145/ERO/JAN/2016
01-Feb-23	ARUPD9825F	SUSANTA DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/RKA/EFD/185/2016
01-Feb-23	AABPC1451E	MR. MEHUL CHOKSI	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ORDER/SS/VS/2019-20/6979-6981
01-Feb-23	AIYPV3150A	GIRISH SRICHAND VALECHA	UNDER SECTIONS 11(1), 11(4)(B) AND LLB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014, IN RESPECT OF	WTM/MPB/SEBI/EFD-DRA4/108/2017
01-Feb-23	BBPPK9582E	NIWAS A KAMBLE	UNDER SECTION 15 I OF SECURITIES AND EXCHANG BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR BOLDING INQUIRY AND IMPOSIN9 PENALTIES BY ADJUDICATING OFFLCER) RULES, 1995	EAD-2/AO/DSR/RG/205-221/2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	ADYPV0844N	BHARAT G VAGHELA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE. 6 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING 'INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFACER) RULES, 1995	EAD-2/AO/DSR/RG/222-246/2014
01-Feb-23	ADYPV0844N	BHARAT G VAGHELA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE. 6 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING 'INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFACER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/RG/PU/320-339/2014
01-Feb-23	ADYPV0844N	BHARAT G VAGHELA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE. 6 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING 'INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFACER) RULES, 1995	EAD-2/A91DSR/RG/253-270/2014
01-Feb-23	AANCS1689M	SUNVIEW RETAIL PVT. LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
01-Feb-23	ADIPJ1942R	MR. RAMESHCHANDRA K JAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:SPL/AO/SKS/SG/DCR/06/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	ABNPP5218K	PRAHLADBHAI J PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:SKS/AO/01/2010
01-Feb-23	AHCPC4610M	DEBDULAL BANIK CHOWDHURY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF HTE SECURITIES AND EXCHANGE BOARD OF INDIA (LSSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
01-Feb-23	BIIPS0343H	SHRI SHEONATH SAHNI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
01-Feb-23	AHEPA0768N	MR. SEKH SAHADAT ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/167/ERO/FEB/2016
01-Feb-23	AKXPR8291H	PARTHA PRATIM ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
01-Feb-23	AADCV8983D	VISHAWDHAM TRADERS PVT LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-I/DEPA-I_RRD/P/OW/2022/39179/1
01-Feb-23	AAOCS5259G	SRM SECURITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 42522/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	AHEPT6376K	KUNAAL TIWARY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
01-Feb-23	AOYPS5605P	SHRI PRADIP SAMADDAR	UNDER SECTIONS 11, 11(4), AND SECTION 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/13/2020-21
01-Feb-23	BBBPS5913B	SUJOY SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015
01-Feb-23	ADQPG7953F	PIJUSH KANTI GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
01-Feb-23	AIDPC5794L	MITHUN CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017
01-Feb-23	AQWPM6717G	DIBYENDU SEKHAR MAITY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018
01-Feb-23	BMPPS2929L	ATISH SAMANTA RAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018
01-Feb-23	ADQPG7953F	PIJUSH KANTI GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
01-Feb-23	AGSPG3776H	MS. SUNITA GUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/EFD/123/2016
01-Feb-23	AKCPD2678K	MR. DEBJYOTI DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	ALMPM5615N	MR. NIRMALYA MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016
01-Feb-23	ATYPR9064L	SHRI POLAKI UMASHANKAR RAO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018
01-Feb-23	BMSPS6948C	SHRI GANESH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018
01-Feb-23	AAHCS8646L	SONIKA GRANITES PRIVATE LIMITED	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION)ACT, 2956 READ WITH RULE 5 OF SECURITIES CONTRACT (REGULATIONS)(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ORDER/MC/VS/2021-22/14547-14554
01-Feb-23	AHRPK5233D	SHEIKH ALAMGIR KABIR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016
01-Feb-23	AIXPG8273A	RAKESH KUMAR GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
01-Feb-23	AAACK3241J	KEYSTONE STOCK FINANCE LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267
01-Feb-23	AACCC4640H	CLOCKSIGN TRADING PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267
01-Feb-23	ABBPP2992C	DAKSHA KIRIT PATEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 11-08-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	AABHD4775N	D K AGRAWAL HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/42412/1
01-Feb-23	AABCT0574G	AMAZAN CAPITAL LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECUTIRIES AND EXCHANGE BOARD OF INDIA (ICDR) REGULATIONS, 2009, IN RESPECT OF INTERIN ORDERS CUM SHOW CAUSE NOTICES DATED MAY 25,2015 AND MAY 29, 2015 IN THE MATTER OF AMAZAN CAPITAL IMITED (ACL)	WTM/GM/EFD/38/2017-18
01-Feb-23	AAFPF3468A	JAMIL AHMED FAROOQUI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
01-Feb-23	AOEPB6160J	BHOWMICK NARAYAN CHANDRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/146/2016
01-Feb-23	AQVPM3286D	SAMIR KUMAR MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/SEBI/EFD-DRA4/4/2017
01-Feb-23	ACXPT5866A	MR. DHARMENDRA THAPA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.SD/AO/77/2010
01-Feb-23	AITPB3875F	PRATAP KUMAR BISWAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Feb-23	AOLPR9289M	MR. MAZIBAR RAHMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017
01-Feb-23	ALVPB6802B	JITENDRA SINGH BHATI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18
01-Feb-23	AIXPG8273A	MR. RAKESH KUMAR GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
03-Feb-23	AUIPS3363G	REMENDRA MOHAN SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF RAMEL PHARMA LIMITED AND ITS DIRECTORS-	WTM/MB/SEBI/EFD-DRA4/7/2017
03-Feb-23	AUIPS3363G	REMENDRA MOHAN SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/153/ERO-IMD/FEB/2016
03-Feb-23	AFCPK5677G	MR. SIDDHARTHA KAYAL	UNDER SECTIONS 11,11(4),11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/30/ERO/AUGUST/2015
03-Feb-23	BUIPS8288K	MR. DIPAN KUMAR SEN	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
09-Feb-23	ANIPB3402G	MR. TRIVENI PRASAD BARANWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Feb-23	ANIPB3402G	SHRI TRIVENI PRASAD BARANWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017
09-Feb-23	AEUPT1819A	ALOK TRIPATHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
09-Feb-23	AWYPR5207Q	CHANDAN SINGH RAJPUT	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11 D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/NM/OW/P/28595/1/2022
09-Feb-23	AOBPA3520Q	HEMANT AGRAWAL	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11 D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/NM/OW/P/28602/1/2022
09-Feb-23	BNYPS4320M	LAXMIKANT SHARMA	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11 D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/NM/OW/P/28600/1/2022
10-Feb-23	AIGPM9759G	SAMBIT MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19
10-Feb-23	ADJPD2284P	HARIJIT SINGH DHARIWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/114/CIS-NRO/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Feb-23	AAACJ6659B	JAYTON TRADING PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-SEC-3/P/OW/2022/44365/2
13-Feb-23	AOTPM3722N	KANIKA MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017
13-Feb-23	AFMPC2809L	RAMENDU CHATTOPADHYAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD-DRA-III/34/2017-18
13-Feb-23	AOTPM3722N	KANIKA MAITI	UNDER SECTION 11 & 11B OF THE SECURITIES & EXCHANGE BOARD OF INDIA ACT, 1992,READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E-SERVICES LIMITED.	WTM/GM/EFD/DRAIII/76/2017-18
13-Feb-23	AFMPC2809L	RAMENDU CHATTOPADHYAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018
13-Feb-23	BOXPS6610G	SHREYAS MANJUNATH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/SEBI/EFD-DRA4/01/2018
13-Feb-23	AFYPA4864P	MURLI LAL AGARWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20
13-Feb-23	AAACM2105K	MODEX INTERNATIONAL SECURITIES LTD.	NOTICE UNDER REGULATION 25(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF MODEX INTERNATIONAL SECURITIES LTD.	SEBI/HO/EAD/EAD4/P/OW/2022/57147/1
20-Feb-23	AIMPA4343C	ANNAPURNA MATHKUR VENKATACHALAIAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/SEBI/EFD-DRA4/01/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Feb-23	AIYPD6009L	DURGA PRASAD DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
20-Feb-23	ATSPS3033N	MAHIPAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015
20-Feb-23	AXHPM2750M	SANDEEP KUMAR MISHRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
20-Feb-23	AAICS0865N	SAMRUDDHA JEEVAN FOODS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/48/IMD-CIS/SEPT/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Feb-23	AKMPM3895K	MAHESH KISAN MOTEWAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/48/IMD-CIS/SEPT/2015
20-Feb-23	AKMPM3895K	MAHESH KISAN MOTEWAR	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/BJD/MG/2020-21/9494-9497
20-Feb-23	ALKPB5263D	RAJENDRA PANDURANG BHANDARE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/48/IMD-CIS/SEPT/2015
20-Feb-23	ALKPB5263D	RAJENDRA PANDURANG BHANDARE	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/BJD/MG/2020-21/9494-9497
20-Feb-23	BIPPP0583D	GIRIRAJ PANDEY	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Feb-23	BLQPS6267P	DEEPAK SHARMA	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20
22-Feb-23	AACCI5457Q	INDRAKSHI SALES PVT.LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-5/AN/HP/60081/1/2022
22-Feb-23	AACCG6000B	GEOMETRY VANJIYA PVT.LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-5/AN/SM/60078/1/2022
22-Feb-23	AACCB7074J	MKB SECURITIES PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-5/AN/PR/2022/59987/1
22-Feb-23	AACCB7981J	BRIGHT BUILDTECH PVT.LTD.	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF NON-SUBMISSION OF BANK DETAILS BY ISSUERS TO DEBENTURE TRUSTEES	SEBI/EAD-1/SM/RG/63415/2/2022
23-Feb-23	AAACO1722C	ARTIFICE PROPERTIES PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/21327/3/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Feb-23	AACCT7439H	TIGER DEVELOPERS PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/1/2022
23-Feb-23	AACCT8158M	TORUS BUILDCON PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/1/2022
23-Feb-23	AADCA7654E	ANR SECURITIES LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/RK/OW/P/21327/4/2022
23-Feb-23	AAFCR2252M	ROSESTAR MARKETING PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/RK/OW/P/21327/8/2022
23-Feb-23	AAGCR6009M	RAMYA MERCANTILE PVT LTD.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/26236/1/2022
23-Feb-23	AALCS1157L	SAUBHAGYA BUILDCON PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/2/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Feb-23	AADCR9657A	REXCIN FINANCE PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/RK/OW/P/21327/3/2022
27-Feb-23	AJHPJ4757D	SHRI VIKASH JHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016
27-Feb-23	AAPPC4494H	PARESH NATHALAL CHAUHAN	SERVICE PF PRDERS IN TERMS OF CIRCULAR NO. SEBI/HO/EFD1/EFD_DR4/P/CIR/2022/104	EFD-1/DRA-1/KSB/ON/39135/2022
06-Mar-23	BAZPS4721C	SUBRATA SAMANTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016
06-Mar-23	AUQPP7247L	ARVIND KASHMIRILAL PUNJABI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/SR/2022/47/43199/1
06-Mar-23	BDBPS9453C	UMA SHANKAR SHAW	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-23	AEYPD5580Q	SUBRATA DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/RKA/EFD/175/2016
06-Mar-23	ABMPH2954D	SHEKE JASMIR HOSSAIN	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018
06-Mar-23	AENPA5118G	SYED AKHTAR	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/128/2018
06-Mar-23	AQYPM7781N	ANAND MOHAN	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/133/2016
06-Mar-23	ACHPA5541M	ANNAPAREDDY LEELA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 44 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997 READ WITH REGULATION 35 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	WTM/GM/EFD/DRAIII/11/MAY/2017
06-Mar-23	AHZPA5662L	ANNAPAREDDY NAMRATHHA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 44 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997 READ WITH REGULATION 35 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	WTM/GM/EFD/DRAIII/11/MAY/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-23	AABCG2641P	GRAFTON MERCHANT PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]
06-Mar-23	ADLPV5355P	MR. ARVINDKUMAR LUNKARAN VAKTHARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18
06-Mar-23	AHOPV2197A	MR. LUNKARAN KACHRAJI VAKTHARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18
06-Mar-23	AFFPA4197L	ANSAR AHMED	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-23	ALWPM2969H	PAWAN KUMAR MITTAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19
06-Mar-23	ADUPT7199Q	MR. DEEPAK TODKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:PKK/AO/162/2010
06-Mar-23	AWPPK9383F	MR. RAKESH KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/53/CIS-NRO/SEPT/2015
06-Mar-23	AACCV6849B	VISTA MANAGEMENT SERVICES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015
06-Mar-23	BDBPS9453C	SMT. UMA SHANKAR SHAW	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ER0/FEB/2016
06-Mar-23	AKEPM1906F	NIRMAL MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
06-Mar-23	AABCG5430A	NEESA TECHNOLOGIES LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016
06-Mar-23	AABCG5430A	NEESA TECHNOLOGIES LTD	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-23	AOMPM9182R	ANUKUL MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017
06-Mar-23	AABCL4590L	LOWE INFRA AND WELLNESS PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/3/2022
06-Mar-23	AHAPD8240L	RAJESH TUKARAM DAMBRE PROPRIETOR- ANMOL FINANCE COMPANY	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267
06-Mar-23	ABVPC3502H	CHATTERJEE DEBDAS DEBDAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
06-Mar-23	AAECR8598R	RISING AGROTECH LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
06-Mar-23	AAECR8598R	RISING AGROTECH LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/42/ERO/IMD/MAY/2016
06-Mar-23	ASLPB1204C	MRS. SHOBHA RATNAKAR BARDE	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57
06-Mar-23	AAMCS9355B	SUNSHINE INFRABUILD CORPORATION LIMITED	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO: EAD-2/SS/SK/2018-19/1199-1201

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-23	CCBPS5904G	MUKESH SINGH (DIRECTOR)	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO: EAD-2/SS/SK/2018-19/1199-1201
06-Mar-23	ATVPK1011B	MR. MUNENDRA KUMAR	UNDER SECTION 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/112/2017
06-Mar-23	ACOPN4986E	MR. PADAM SINGH NARWARIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017
06-Mar-23	AMWPP5730C	MUNA MAHADEV PATRO,	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19
06-Mar-23	ABWPL7019B	SANJOY KUMAR LAYEK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017
06-Mar-23	ALLPM6767C	MR. RAJIB MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IMD/MAR/2016
06-Mar-23	AXCPS5392Q	MR. GOPAL CHANDRA SAHU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018
06-Mar-23	AZEPA7933R	MR. DEBAPRIYA ACHARYA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-23	AFSPC3069C	DULAL CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016
06-Mar-23	AAECR8598R	RISING AGROTECH LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/30/ERO/AUGUST/2015
06-Mar-23	AOMPM9182R	MR. ANKUL MAITI	UNDER SECTION 11 & 11B OF THE SECURITIES & EXCHANGE BOARD OF INDIA ACT, 1992,READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E-SERVICES LIMITED.	WTM/GM/EFD/DRAIII/76/2017-18
06-Mar-23	AAKCS2215E	SIYARAM AGRO INDUSTRIES LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/AB/EFD-1/DRA-IV/14/2020-21
06-Mar-23	AFZPB0557M	PUSHPANJALI SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20
06-Mar-23	AFZPB0624B	PUSHPENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. (SPPDL)	WTM/GM/EFD/26/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Mar-23	AIUPR8350C	MR. PARVEEN KUMAR ROHILLA	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/53/CIS-NRO/SEPT/2015
13-Mar-23	AABCR5196G	WELLINDIA SECURITIES LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 24-08-2022
13-Mar-23	AAHCA5667M	ATLANTIC GOODS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022
13-Mar-23	AAACP9093R	PANCARD CLUBS LIMITED	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57
13-Mar-23	AITPG8933H	MR. MANISH KALIDAS GANDHI	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57
13-Mar-23	AAIPR9196G	MR. RAMACHANDRAN RAMAKRISHNAN	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57
13-Mar-23	ASCPK7713Q	DHARM SINGH KUSHWAH	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO: EAD-2/SS/SK/2018-19/1199-1201

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Mar-23	AFKPJ6640Q	RISHABH JAIN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 AND SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013	WTM/AB/SRO/SRO/8281/2020-21
13-Mar-23	AMYPS0600G	KRISHAN PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
13-Mar-23	AAVPH7486C	ARSHAD HUSSAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19
13-Mar-23	AABCG7950M	G R MAGNETS LIMITED	ADJUDICATION ORDER UNDER RULE 5 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005.	EAD/ADJ/PKB/AO-64/EIF-204/6214/2010
13-Mar-23	AAACU1007G	USHA ISPAT LIMITED	NON SUBMISSION OF PENALTY AMOUNT IMPOSED BY ADJUDICATING OFFICER	MIRSD4/JM/AO-DID/7877/2012
13-Mar-23	AAACU0927B	USHA (INDIA) LTD.	NON SUBMISSION OF PENALTY AMOUNT IMPOSED BY ADJUDICATING OFFICER	MIRSD4/JM/AO-DID//2011

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
15-Mar-23	AZTPS4957Q	JAIN PRIYANKA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/39946/1/2022
15-Mar-23	AAHHB6466L	BIJAYA KUMAR SAHOO HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 36742/2022
20-Mar-23	AABCA6413F	ANAND FINSTOCK SERVICES LIMITED	UNDER SECTION- 1-S-.I OP SECURITIP.S AND EXCHANGE BOARD OF INDIA "ACT, 1992 RE.AD WITH RULE 5 OF SHBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/345-403/2015
20-Mar-23	ACXPV0260G	MS. ANITA ARVINDBHAI VAKTHARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18
20-Mar-23	AGWPR6262R	DHIREN RAWANI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21
20-Mar-23	ALEPD7075R	RAJNEESH DUTTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016
20-Mar-23	ALUPK5400F	SANTOSH KUMAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Mar-23	ALIPJ5869M	ANUJ JAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
20-Mar-23	AAACV7147C	VRP FINANCIAL SERVICES P/LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267
20-Mar-23	BHCPS8238J	RAJNEESH CHANDRA SHUKLA	THE ORDER OF THE SECURITIES APPELLATE TRIBUNAL DATED OCTOBER 25, 2016 IN MISC.APPLICATION NO.221 OF 2016 AND APPEAL NO. 275 OF 2016.	WTM/GM/EFD/79/2018-19
20-Mar-23	AABCG1704F	GAMMA INFOWAY EXALT LTD	UNDER SECTION 19H OF DEPOSITORIES ACT, 1996 READ WITH RULE 5 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO-PKB/AO-136/2009
20-Mar-23	AABCP4155F	AADHAAR VENTURES INDIA LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS(REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO-ORDER/JS/N.2022-23/18699-18702

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Mar-23	AGKPM3175A	JILS RAICHAND MADAN	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS(REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO-ORDER/JS/N.2022-23/18699-18702
20-Mar-23	ALSPM2060P	MS. JYOTI MUNVER	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS(REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO-ORDER/JS/N.2022-23/18699-18702
22-Mar-23	ADDPA4350R	MEENU AJMERA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/2022/38364/1
27-Mar-23	AFSPC3069C	DULAL CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/MPB/EFD-1-DRA-IV/145/2018
27-Mar-23	AAHHA4468F	ASHISH BANTHIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/SR/2022/15/43272

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	BBUPS0147N	BAKIL SINGH (DIRECTOR)	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/49/EFD/SEPTEMBER/2015
27-Mar-23	ALRPD5933D	BIJOY DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
27-Mar-23	ADQPC5578E	PARTHA CHAKRABORTI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
27-Mar-23	AXYPS2842R	RAJVEER SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/49/EFD/SEPTEMBER/2015
27-Mar-23	BBNPS8548C	SWETANSHU SHEKHAR SAMAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18
27-Mar-23	ABUPA9340A	KAMLESH KUMAR AGARWAL	UNDER SECTIONS 11(B), 11(1) AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,, 1992	WTM/MPB/EFD-1-DRA-III/115/2020
27-Mar-23	AFNPC5967Q	ANITA GHOSH CHOWDHURY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016
27-Mar-23	BBGPM6355J	GOUTAM MUKHERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016
27-Mar-23	AIXPB3056C	RABISHANKAR BASU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AGIPA6141Q	RAJENDRA KUMAR AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/36676/1
27-Mar-23	AAJHA5618M	ASHISH KEDIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/SR/2022/17/43274
27-Mar-23	AJVPR6895C	ROY SOUGATA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
27-Mar-23	AZGPS4138J	JAYANTI SOUNTH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
27-Mar-23	AXAPB8554D	SUDHIR CHANDRA BERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016
27-Mar-23	ADYPB3215H	ANJAN BANDYOPADHYAY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ACMPH7807A	SEKH JASIM HOSSAIN	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018
27-Mar-23	AIUPS1764M	MD SALIM	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/128/2018
27-Mar-23	AEWPD4198N	BAIRI GANJAN DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016
27-Mar-23	AHZPM1022C	BASUDEV MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016
27-Mar-23	ANPPM8071M	AUROBINDO MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016
27-Mar-23	ANXPM3985E	MS. ROSALIN MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016
27-Mar-23	AXTPS4159G	MS. SWATI SATPATHY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016
27-Mar-23	ACGPN6147D	SWAPAN NANDI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016
27-Mar-23	ALAPS8382A	BHASKAR SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/GM/NRO/30/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AVOPS6359R	SAROJ KUMAR SAHOO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016
27-Mar-23	AJPPR6529R	NARENDRA ROUT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016
27-Mar-23	AAPCS9876G	SIYARAM GREEN INDIA LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017
27-Mar-23	AUNPM9705D	KALI SANKAR MAJHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECRITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017
27-Mar-23	AKDPM2024K	ASHIM MITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-1V/05/2018-19
27-Mar-23	ACWPG8355L	DEBABRATA GHOSH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/PS/145/ERO/JAN/2016
27-Mar-23	BQNPS0657R	INDRAJIT KUMAR SHARMA	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/133/2016
27-Mar-23	AHKPM6164A	SANJOY MITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-1V/05/2018-19
27-Mar-23	AAACC3529P	COSMO CORPORATE SERVICES LTD	UNDER SECTIONS 11 (1) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-4/101-103/2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AAACG1642F	GITANJALI GEMS LIMITED	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ORDER/SS/VS/2019-20/6979-6981
27-Mar-23	AAEPS3776M	MR. DHANESH SHETH	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ORDER/SS/VS/2019-20/6979-6981
27-Mar-23	ADIPA6803E	ANNAPAREDDY SUDHAKER REDDY	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 44 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997 READ WITH REGULATION 35 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	WTM/GM/EFD/DRAIII/11/MAY/2017
27-Mar-23	AFMPB0449P	JAYSHREE SHANKAR BHOSLE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017
27-Mar-23	ARRPS2518R	PARAG CHANDRAKANT SHAH	IN RE: SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013, AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014	EAD-12/ AO/SM/57-72/2017)

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ACVPH5913N	RAJESH RAVINARAYAN HATI	UNDER SECTION- 1-S-.I OP SECURITIP.S AND EXCHANGE BOARD OF INDIA "ACT, 1992 RE.AD WITH RULE 5 OF SHBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/345-403/2015
27-Mar-23	AAACW8434F	BRIJDHAM DEALCOM PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]
27-Mar-23	AACCG5385R	GULISTAN VANIJYA PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]
27-Mar-23	AADCC0116F	CHANDRAMUKHI VANIJYA PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]
27-Mar-23	AAPCS2813M	SILVERSON DEALTRADE PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]
27-Mar-23	APBPG3984P	MR. ROHIT GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/ 22/EFD/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ACFPS9152A	RAVINDER SINGH SIDHU	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/DEC/2014
27-Mar-23	AOFPS6728A	SUKHPAL SING BARAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/DEC/2014
27-Mar-23	AKQPS6527G	AWDHESH KUMAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18
27-Mar-23	AKAPK2774R	GIRIJA SHANKAR KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	BAZPP2860B	NEERAJ PATHAK	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18
27-Mar-23	AGPPV1332D	MS. RITU VERMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015
27-Mar-23	AGQPB7879L	MANOJ BHANDARI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:EAD-2/DSR/RG/PU/345-403/2015
27-Mar-23	AAACW9366N	WONDER COMMOTRADE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44972/1/2022
27-Mar-23	ACTPT8377N	MANISH THAKKAR	ADJUDICATION PROCEEDINGS	EAD-12/HO/SM/PR/28941/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AABCA2425K	BECKONS INDUSTRIES LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACT REGULATION (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION OREDER NO PM/AN/2020-21/10277
27-Mar-23	AFZPB2659A	JITENDRA BISAY	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20
27-Mar-23	AIQPB4211L	PHOOL CHAND BISAY	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AAICA9555B	ADARSH WEALTH VENTURES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
27-Mar-23	AJAPR7516B	BIJAYA KUMAR ROUT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
27-Mar-23	AKOPM1988P	DIPAK KUMAR MOHANTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
27-Mar-23	BFLPM3736C	RAMAKANTA MAHAKUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
27-Mar-23	BAMPS0082D	ANIL SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015
27-Mar-23	ANMPD8317J	PARTHA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/153/ERO-IMD/FEB/2016
27-Mar-23	BGSPP3681F	DEV KUMAR PANDA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015
27-Mar-23	AOWPK9297D	FAYAZ KHAN	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	BASPS9337P	BIJAYA KUMAR SWAIN	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015
27-Mar-23	BAXPS2458G	MR. PARTHA SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016
27-Mar-23	BEBPP8154A	MR. SANDIP PAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016
27-Mar-23	AJCPD4443P	MS. SANGITA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016
27-Mar-23	AIDPA6075A	SHRI ARJU ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016
27-Mar-23	AFEPD6600G	SHRI CHANDAN DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016
27-Mar-23	BGSPS7776N	SHRI TUSHAR SUR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/SEBI/EFD-DRA4/4/2017
27-Mar-23	BGSPS7776N	SHRI TUSHAR SUR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/190/2016
27-Mar-23	BGSPS7776N	SHRI TUSHAR SUR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016
27-Mar-23	AJYPM7962Q	SHRI JOYDIP MUKHOPADHYAY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AJDPK8465D	MOHAMMED RAFIQUE KHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016
27-Mar-23	AKKPM4156H	ABU SAMA MOLLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015
27-Mar-23	AFCPH9599G	ASHRAFUL HOQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015
27-Mar-23	AHIPG2705M	SHRI SAUGATA GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
27-Mar-23	ACPPC1795F	SUBIR CHAKRAVARTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
27-Mar-23	ALSPC9709K	SHALINI CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
27-Mar-23	AFUPN1354H	PARTHA SARATHI NASKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
27-Mar-23	AGKPG8261D	AMIT BANDHU GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017
27-Mar-23	AKDPG9372N	MAHITOSH GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018
27-Mar-23	AADCG9096Q	GREEN TOUCH PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AAECD0746M	DREAMLAND INVESTMENT CONSULTANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 41498/1/2022
27-Mar-23	ACAPC5686Q	SHRI BIBHASH CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-IV/48/2017
27-Mar-23	AFSPC3088H	PRASANTA CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016
27-Mar-23	AHHPD9400B	SHYAM SUNDAR DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015
27-Mar-23	AHIPG2705M	SHRI SAUGATA GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017
27-Mar-23	AHNPC3667M	MRIGANKA SEKHAR CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
27-Mar-23	AIXPB3056C	RABISHANKAR BASU	UNDER SECTIONS 11 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017
27-Mar-23	ALIPM6848H	SHRI ARJUN MAJUMDAR	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19
27-Mar-23	AMBPR6838E	SAURAV SINGHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AMRPK9676M	PRADIP KUMAR KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
27-Mar-23	AMUPK1443K	SHRI SANJAY KARMAKAR	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19
27-Mar-23	AQGPP9613A	LALTU PIYADA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DIGSTAR PROJECTS LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/8/2017
27-Mar-23	BJGPS2760H	SUMON SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015
27-Mar-23	CVPPS0839B	ONKAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017
27-Mar-23	AHTPB4511B	SUDHIR KUMAR BEHERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
27-Mar-23	AABPQ9649J	MOHAMMAD QAMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AANCS8397N	PROSPERITY AGRO INDIA LIMITED (FORMERLY: SAMRUDDHA JEEVAN AGRO INDIA PRIVATE LIMITED)	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18
27-Mar-23	AAUPY1604L	MR. RAM SHANKAR YADAV	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016
27-Mar-23	ABNPS5493D	RAJINDER SINGH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
27-Mar-23	ABUPG5799B	SANJAY GUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016
27-Mar-23	ADAPP6395C	SHRI PRADEEP KUMAR PATTNAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
27-Mar-23	ADFPV9924N	VISHWA BANDHU VASHISTHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015
27-Mar-23	ADWPC3514A	MANOJ KUMAR CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016
27-Mar-23	ADWPC8320Q	GOUTAM CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AERPG2839N	ARVIND GUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016
27-Mar-23	AEUPJ2034B	MR. DILIP KUMAR JAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016
27-Mar-23	AFAPC4321D	PANKAJ KUMAR CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016
27-Mar-23	AFHPV7774C	MR. VAKA SARADHI	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/04/CIS-SRO/HLO/APR/2015
27-Mar-23	AFUPT3687B	MR. SENGAN THANGAPPALAM	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016
27-Mar-23	AFYPD5024N	SUBIR DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018
27-Mar-23	AGKPR5219G	PABITRA KUMAR RATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015
27-Mar-23	AHIPG8660R	MR. ESHARARAO GUNDALA	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/04/CIS-SRO/HLO/APR/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AIDPB2960M	SHRI ANJAN KUMAR BALIYARSINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018
27-Mar-23	AJBPS9073R	RAKESH SHRIVASTAV	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015
27-Mar-23	AJJPB1169R	DINESH SINGH BHADORIYA	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18
27-Mar-23	AJVPR4961P	RAKESH RANJAN	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
27-Mar-23	AJVPR4961P	RAKESH RANJAN	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
27-Mar-23	AKCPD2328J	TAPASH KUMAR DHAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018
27-Mar-23	ANKPB4288J	DINESH PROSAD BHAGAT	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ANQPP5668L	MR. SANTOSH KALURAM PAYGUDE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18
27-Mar-23	ANTPM5722B	MALAY MALLICK	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18
27-Mar-23	AONPK3801J	MR. ANIS MOHAMAD KAZI	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19
27-Mar-23	AOPPP0146M	BIPLAB PRADHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017
27-Mar-23	APXPS7159E	SUBASH CHANDER SHARMA	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21
27-Mar-23	AQIPD2277J	SUMIT KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017
27-Mar-23	AQLPS3885J	SHUBHRANSHU SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018
27-Mar-23	AREPK5807E	NAND LAL KOIREE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21
27-Mar-23	ARFPS7604E	MANOJ SINGHAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ARWPB6634J	MR. MAHENDRA MAHADEO BHUVAD	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19
27-Mar-23	ATIPS7061A	INDRANIL SINHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016
27-Mar-23	AVVPS8411M	KISHAN PAL SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015
27-Mar-23	AZBPM9958P	CHHOTRAI MAJHI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018
27-Mar-23	AZBPR2908J	DHARMNATH RAI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017
27-Mar-23	BBTPP7677P	KRISHNA NANDAN PRASAD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19
27-Mar-23	BBXPK1567K	MR. HRISHIKESH VASANT KANASE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	BDWPK0980P	RAJKUMAR KUSHWAH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18
27-Mar-23	BPZPS7525B	JAI SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017
27-Mar-23	AAFFT6864L	TRUST INVESTMENTS	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/MD/RK/OW/2022/037527/1
27-Mar-23	AATPM7049N	DURGA PRASAD MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016
27-Mar-23	ABWPC5031E	BISWAJIT CHAKRABORTY	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18
27-Mar-23	ADFPB5807Q	VERINDER FINANCE LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18
27-Mar-23	AEOPA2984D	MOHAMMED SUNWAS ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AERPN9805M	TARIQ NAFEES	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18
27-Mar-23	AGKPR7880B	SHEIKH ABDUL ROBIAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017
27-Mar-23	AHDPM1535G	UPENDRA NATH MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016
27-Mar-23	AJAPR9252L	RAJENDRA KARN RAJPOOT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016
27-Mar-23	AOAPJ7650M	NABINA JAHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017
27-Mar-23	AOLPM6441A	GOUTAM MAJUMDAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017
27-Mar-23	AQFPS6151H	MR. SWAMINATH SINGHA	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD.	WTM/GM/EFD/DRAIII/15/2018-19
27-Mar-23	ASPPD4817K	ARINDAM DUTTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016
27-Mar-23	ATNPS4287P	VINOD KUMAR SHANKHWAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AUHPM9905D	TAPAS KUMAR MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/ERO/9710/2020-21
27-Mar-23	AAACM5575D	ALCHEMIST HOLDINGS LIMITED	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/38/NRO/AUG/2015
27-Mar-23	AEYPM2888J	ARUNABHA MUKHOPADHYAY	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/81/2017
27-Mar-23	AMZPS9585P	ASHIMA SOOD	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-1-DRA-IV-193/2021
27-Mar-23	AKWPM6994P	DEBASIS PRASAD MISHRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18
27-Mar-23	AKSPG7055R	DEEPAK KUMAR GEHLOT	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19
27-Mar-23	CEDPS4072K	LITAN CHANDRA SEN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
27-Mar-23	AKQPP0632H	PARMANAD PRAJAPAT	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19
27-Mar-23	AXYPS2851E	SANJEEV SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/49/EFD/SEPTEMBER/2015
27-Mar-23	AJEPD3008L	SUBRATA DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
27-Mar-23	ADIPT4968P	JITENDRA KUMAR TRIPATHI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
27-Mar-23	ADPPG0695P	PARTHA PRATIM GHOSH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ADXPA3381N	SAMEER AGARWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
27-Mar-23	AESPA2305N	RAJENDRA AGARWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
27-Mar-23	AHLPG5454E	NIVEDITA GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
27-Mar-23	AIZPG2134Q	SANJAY KUMAR GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
27-Mar-23	AJNPM1411D	SUJIT MITRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018
27-Mar-23	AKNPM5664R	BAPPADITYA MAJUMDER	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/PS/08/IMD-DOF/MAY/2014
27-Mar-23	AKOPK3769L	SEKH REZAUL KARIM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017
27-Mar-23	ALRPM9497P	VISHAL MASIH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018
27-Mar-23	APQPM8659A	SANJOY KUMAR MAITI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018
27-Mar-23	ATBPS3325E	YOGENDRA PRATAP SHAHI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AYQPS1691H	PINTU SARKAR	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/PS/08/IMD-DOF/MAY/2014
27-Mar-23	BBJPS6343H	PRALAY KUMAR SAW	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018
27-Mar-23	BEMPP7199Q	SANDIP PAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/45/2017
27-Mar-23	BPCPP1088R	KABIL PATRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017
27-Mar-23	BWTPS8025B	SANGRAM KESHORI SAMAL	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/MPB/EFD-1-DRA-IV/68/2017
27-Mar-23	CASPS1885B	TAPAN KUMAR SETHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015
27-Mar-23	AACCA9750E	ALLIANCE INTERMEDIATERIES AND NETWORK PVT LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AAHPP4638C	HARESH POSNAK	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/55/2009
27-Mar-23	ADDPV7664F	PRAVEEN VASISTH PROPRIETOR - GANESH TRADING COMPANY	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267
27-Mar-23	ADNPA1610Q	RISHI AGARWAL	UNDER SECTIONS 11(B), 11(1) AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,, 1992	WTM/MPB/EFD-1-DRA-III/115/2020
27-Mar-23	APEPS7258B	INDRA PRATAP GAJRAJ SINGH, PROPRIETOR - AMIZARA SECURITIES AND FINANCE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267
27-Mar-23	AXAPS7940N	KAUSHIK SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267
27-Mar-23	AAKCS6316E	STHITHI INSURANCE SERVICES PVT LTD	UNDER SECTION 15-1 (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/MC/HP/2020-21/9613-9624]
27-Mar-23	ACVPD0419R	KAILASH CHAND DUJARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
27-Mar-23	ACVPD0419R	KAILASH CHAND DUJARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/169/IMD/ERO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AERPR6301N	RAMANUJAM SESHARATHNAM	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/IVD/ID10/34/2021-22
27-Mar-23	AIIPD6966A	ANIL KUMAR DWIVEDI	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016
27-Mar-23	AKWPM4705A	SUSHREE MAZUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017
27-Mar-23	AMTPB8538R	BANERJEE SOMENATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017
27-Mar-23	AOBPS1015G	PARDEEP KUMAR SHARMA	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013
27-Mar-23	ARXPD5428P	LOKESHWAR DEV	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AZJPM5650L	SAGAR MAJUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017
27-Mar-23	AAACJ1873D	JAG PAR SECURITIES LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. JJ/AM/AO-6-11/2013
27-Mar-23	ACDPT6858J	HITESH M THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.EAD-2/AO/36/2012
27-Mar-23	ACQPM0876L	MARUPUDI SRINIVAS RAO	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/DPS/222/2017
27-Mar-23	ADSPN9721A	DEEPAK A NARVEKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: - SD/AO/64/2010
27-Mar-23	AGGPR1017A	GANESH SHATRUGHN RAUT	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: - SD/AO/60/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ANRPM2774P	MAHTO SHANKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO : PKK/AO/283/2010
27-Mar-23	AVOPS4111P	AJIT S SURYAVANSHI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO : SD/AO/78/2010
27-Mar-23	BKUPS7144G	NEHA NARENDRA SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO : AO/SBM/EAD-3/84/2017
27-Mar-23	AFFPT8842P	AMBIKA ARORA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/OW/JS/ASR/ISO/48778/1/2022
27-Mar-23	AEZPM0434K	AJAY KUMAR MAHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017
27-Mar-23	AGBPD7440C	SHIB NARAYAN DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SILICON PROJECTS INDIA LIMITED	WTM/MPB/EFD-1-DRA-IV/124/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AGWPA0991L	SEIKH SARAFAT ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017
27-Mar-23	ANMPB7097H	SWADESH BASU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
27-Mar-23	ASYPB9377P	ARUP BHATTACHARYYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017
27-Mar-23	BBDPS1849E	SRINIVASA THANGA DURAI	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SBS INVESTMENTS	WTM/MPB/EFD-1-DRA-IV/117/2018
27-Mar-23	BCVPS0411F	TAPAN KUMAR SAMANTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016
27-Mar-23	BWIPS3911H	AMEET SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/MPB/EFD-1-DRA-IV/99/2017
27-Mar-23	AAGPF1976L	MR. BANWARI LAL KUSHWAH	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1996	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ACAPL9691A	MR. BANABARI LAL LODHI	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1996	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203
27-Mar-23	ALZPR5160Q	AMIT SINGH RATHORE	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18
27-Mar-23	BGGPK8474H	JEEVAN KHARADIYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18
27-Mar-23	ADXPC6922H	MR. GAJRAJ SINGH CHAUHAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49-58/2017
27-Mar-23	ADIPT4968P	MR. JITENDRA KUMAR TRIPATHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AIZPG2134Q	MR. SANJAY KUMAR GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20
27-Mar-23	AHAPG4380N	MR. UMESH GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
27-Mar-23	AHAPG4380N	MR. UMESH GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20
27-Mar-23	AIGPS2229B	MR. HARMENDER SINGH SRAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49-58/2017
27-Mar-23	AHLPG5454E	MS. NIVEDITA GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20
27-Mar-23	AAFCM8730K	MPA AGRO ANIMAL PROJECTS LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/50/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ABUPG5799B	SHRI SANJAY GUPTA	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21
27-Mar-23	ADVPG4051D	SHRI YOGESH GHISUMAL GEMAWAT	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21
27-Mar-23	ADZPK2242A	SHRI SURESH KUMAR	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21
27-Mar-23	AERPG2839N	SHRI ARVIND GUPTA	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21
27-Mar-23	AETPC8946C	ABU SADEQUE ISHTIAQUE AHMED CHAOUDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018
27-Mar-23	AFKPP3892A	SHRI BISWAJIT PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/46/2019
27-Mar-23	ANHPK8201D	SMT. LINA KAYAL	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19
27-Mar-23	ARFPS7604E	SHRI MANOJ SINGHAL	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ACXPB0872A	SADHAN CHANDRA BRAHMACHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/132/2018
27-Mar-23	AEKPD7710E	PRAVAT KUMAR DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018
27-Mar-23	AENPD6409F	PRASHANTA KUMAR DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018
27-Mar-23	AEUPM3571L	SAIKAT MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016
27-Mar-23	AGGPB6452R	SWETA BISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19
27-Mar-23	AJBPC2210J	SHRI SUSANTA KUMAR CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016
27-Mar-23	AONPP6949L	MR. SUDHANSHU SHEKHAR PATI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018
27-Mar-23	BDAPS1347A	CHAKRAPANI SARANGI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016
27-Mar-23	BJMPS0012A	BHABANI SATAPATHY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016
27-Mar-23	BWIPS3911H	AMEET SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/24/ERO/IMD/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	CWDPS5006B	ANIL KUMAR SENAPATI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018
27-Mar-23	AAWPW7456Q	MR. SHANE AHMED WARSI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016
27-Mar-23	ALNPD6445N	MR. BISWANATH DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF SUNTECHNO INTERNATIONAL LIMITED	WTM/MPB/EFD-1-DRA-IV/145/2018
27-Mar-23	BAPPS0138H	GOPAL SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019
27-Mar-23	ARWPS6298R	PRASHANT SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019
27-Mar-23	AKZPP8185C	MR. MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADPPH2520Q	MR. GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
27-Mar-23	ASPPB9723J	MR. MOHDKALIMULLAHBAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AEEPB0756L	MR. SWAPAN KUMAR BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
27-Mar-23	APYPS1402F	MR. RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
27-Mar-23	AQMPB2700Q	MR. KUNTALBHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9989M	MR. RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9990N	SMT. SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016
27-Mar-23	AKZPP8185C	MR. MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADPPH2520Q	MR. GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
27-Mar-23	ASPPB9723J	MR. MOHD KALIMULLAH BAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
27-Mar-23	APYPS1402F	MR. RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9989M	MR. RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9990N	SMT. SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016
27-Mar-23	AKZPP8185C	MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ADPPH2520Q	GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
27-Mar-23	ASPPB9723J	MOHDKALIMULLAHBAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
27-Mar-23	AEEPB0756L	SWAPAN KUMAR BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
27-Mar-23	APYPS1402F	RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
27-Mar-23	AQMPB2700Q	MR. KUNTALBHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9989M	MR. RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9990N	SMT. SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016
27-Mar-23	AACCB6631M	BISHAL HORTICULTURE AND ANIMAL P ROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
27-Mar-23	AKZPP8185C	MR. MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADPPH2520Q	GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
27-Mar-23	ASPPB9723J	MOHDKALIMULLAHBAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
27-Mar-23	AEEPB0756L	SWAPAN KUMAR BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	APYPS1402F	RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9989M	RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
27-Mar-23	ADIPC9990N	SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016
27-Mar-23	AALPH6536K	LEKH NARAYAN CHAND	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015
27-Mar-23	ADQPM4433N	PREMLATA MITTAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016
27-Mar-23	ADXPC6922H	MR. GAJRAJ SINGH CHAUHAN	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/71/CIS-NRO/FEB/2015
27-Mar-23	AHVPG4066A	MR. TARAPADA GIRI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AHZPB9748G	PRASANTA BERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/40/2019
27-Mar-23	AIDPA6075A	ARJU ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18
27-Mar-23	AIGPS2229B	MR. HARMENDER SINGH SRAN	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/71/CIS-NRO/FEB/2015
27-Mar-23	AKDPP4403D	SUSHIL KUMAR PERIWAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016
27-Mar-23	ALRPM3616J	MR. RASMIRANJAN MOHAPATRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
27-Mar-23	AOAPS8866A	PRITHI PAUL SINGH SETHI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/50/2019
27-Mar-23	APOPP3117N	MR. MANORANJAN PAHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016
27-Mar-23	CDTPS1044L	MR. GOURANGA CHARAN SAHOO	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AABCC8801H	CHANNEL NINE ENTERTAINMENT LIMITED	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/NRO/10720/2020-21
27-Mar-23	AFEPD6600G	CHANDAN DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18
27-Mar-23	AJCPD4443P	SANGEETA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18
27-Mar-23	AJCPM9999F	ABHIJIT MAJUMDAR	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/98/2017
27-Mar-23	AKDPB6648N	MR. AMAL BHATTACHARYA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/76/2017-18
27-Mar-23	BDGPK0032E	MR. SHIVRAM KUSHWAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016
27-Mar-23	AAFCR0123D	RAVI KIRAN REALTY INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016
27-Mar-23	AFQPP2737Q	MR. SWAPAN KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AAHCS3986M	SAI PRASAD FOODS LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015
27-Mar-23	ABDPS2787C	SARDAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015
27-Mar-23	ABIPT7044A	PARTHA PRATI TEWARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18
27-Mar-23	ABTPS4472N	SURESH L SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/NOV/2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ACNPN0341E	RAKESH SINGH NARWARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED MARCH30, 2015 IN THE MATTER OF PARIVAR DAIRIES AND ALLIED LTD. (PDAL) AND PDA FOUNDATION (PDAF)	WTM/GM/EFD/112/2017-18
27-Mar-23	AFEPN2325L	GIRJA NARWARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED MARCH30, 2015 IN THE MATTER OF PARIVAR DAIRIES AND ALLIED LTD. (PDAL) AND PDA FOUNDATION (PDAF)	WTM/GM/EFD/112/2017-18
27-Mar-23	AFIPB3674A	BALASAHEB K BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015
27-Mar-23	AFIPB3674A	BALASAHEB K BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/146/IMD-CIS/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AFVPA8971N	ANUP AGGARWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
27-Mar-23	AGEPT4844K	ASHISH K TRIPATHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
27-Mar-23	AGZPK5596J	ARUN KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20
27-Mar-23	AKNPM5664R	BAPPADITYA MUJUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/95/2020
27-Mar-23	AMWPC8208N	MR. RAMADHAR CHPUDHARY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999,	WTM/PS/129/CIS/ILO-WRO-II/JAN/2016
27-Mar-23	ANQPS0753F	AJAY KUMAR SHARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20
27-Mar-23	ARAPS3958G	LAXMI S SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/NOV/2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AREPA2954N	MR. SATISH KUMAR ARYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999,	WTM/PS/129/CIS/ILO-WRO-II/JAN/2016
27-Mar-23	AVZPS2724H	RAJESHWARI SENGAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015
27-Mar-23	AYQPS1691H	MR. PINTU SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM.MPB/EFD-1-DRA-IV/113/2017
27-Mar-23	BBIPS1965G	MANOJ KUMAR SENGAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015
27-Mar-23	CIMPS8022E	MR. RAMNARESH SAKET	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999,	WTM/PS/129/CIS/ILO-WRO-II/JAN/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AABCM9358H	M/S. MAITREYA SERVICES PVT. LTD	DIRECTIONS UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATIONS 65 AND 73 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999.	WTM/RKA/CIS/07/2013
27-Mar-23	ADNPT5796Q	MS. POOJA TANDAN	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015
27-Mar-23	AXAPS6773K	GURNEK SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19
27-Mar-23	BCAPS7164Q	NARINDER SHARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	BVEPP1224K	PAPPU PAWAR	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20
27-Mar-23	ACPPC9026K	ANIMESH CHOWDHURY	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/101/2017
27-Mar-23	AOWPG5672E	RAJU GHOSH	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/156/2018
27-Mar-23	ATBPS3325E	YOGENDRA PRATAP SHAHI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19
27-Mar-23	AAECR3716R	RAGHUKUL SHARES INDIA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN THE ENQUIRY PROCEEDINGS CONDUCTED IN RESPECT OF M/S. RAGHUKUL SHARES INDIA PVT. LTD.	SEBI/EFD1/DRA3/OW/50154/1/2022
27-Mar-23	ATTPM4634Q	VISHALI MAHESH MOTEWAR	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/BJD/MG/2020-21/9494-9497

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	AFIPB3675B	VANDANA B BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015
27-Mar-23	AFIPB3675B	VANDANA B BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/146/IMD-CIS/FEB/2016
27-Mar-23	AHNPU3089M	ASTRO CAPITAL SOLUTIONS AND ITS PROPRIETOR ANKIT KUMAR UPADHAYAY	UNDER SECTIONS 11(1), 11(4) , 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SR0/8408 /2020-21
27-Mar-23	DKBPS2620L	NATTHU SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/19/CIS-NRO/JULY/2015
27-Mar-23	AANCS7178R	SAI PRAKASH PROPERTIES DEVELOPMENT LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN IN RESPECT OF INTERIM ORDER DATED DECEMVBER 26,2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL").	WTM/GM/EFD/26/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Mar-23	ADSPN9721A	DEEPAK A NARVEKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: - SD/AO/29/2010
27-Mar-23	AYAPS0436L	SHRI BABLU SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/SEBI/EFD-DRA4/4/2017
27-Mar-23	AAGPF1976L	BANWARI LAL KUSHWAH	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1996	WTM/PS/40/EFD/MAY/2016
06-Apr-23	AAACK3428B	KAYNET FINANCE LIMITED	UNDER SECTION 12(3) OF SEBI ACT, 1992 AND REGULATION 27(5) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	QJA/SP/MIRSD/MIRSD_DPIEA/23166/2022-23
06-Apr-23	AABCK3032E	KAYNET CAPITAL LIMITED	UNDER SECTION 12(3) OF SEBI ACT, 1992 AND REGULATION 27(5) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	QJA/SP/MIRSD/MIRSD_DPIEA/23165/2022-23
06-Apr-23	AAACK3428B	KAYNET FINANCE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/BRK/PR/2022-23/19887

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Apr-23	AABCK3032E	KAYNET CAPITAL LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/BRK/PR/2022-23/19919
06-Apr-23	BBDPP5436J	SHRI PRIYANSH PATODI	UNDER SECTION 11(1), 11(4)(B) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013, SEBI, (PORTFOLIO MANAGERS) REGULATIONS, 1993 AND THE SEBI (RESEARCH ANALYSYS) REGULATIONS, 2014	WTM/MPB/SEBI/WFD-DRA4/136/2018
06-Apr-23	CHMPS7035J	PRADEEP SYAMSUNDER SWAIN	UNDER SECTION 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/IVD/ID6/20272/2022-23
10-Apr-23	BLHPS3014H	MR. VIJAY KUMAR SHUKLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SEBI(COLLECTIVE INVESTMENT SCHEME) REGULATION, 1999	WTM/AB/EFD-1/DRA-3/15/2019-20
10-Apr-23	BLHPS3014H	VIJAY KUMAR SHUKLA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017
10-Apr-23	AAECC5229J	CEMENDIA INFRASTRUCTURES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/I89/2016
10-Apr-23	AIAPP0435G	SUJAY PATHAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Apr-23	AAICM5611Q	MOBONAIR WIRELESS PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER REF. NO. ORDER/NH/RJ/2022-23/20865-20867
17-Apr-23	AAACH7852C	HBN DAIRIES & ALLIED LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/71/CIS-NRO/FEB/2015
18-Apr-23	AAACH7852C	HBN DAIRIES & ALLIED LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49-58/2017
18-Apr-23	AAMCS2738N	SAI PRASAD PROPERTIES LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20
18-Apr-23	AZRPD9701B	VISHWAMBHER NATH DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
18-Apr-23	BQHPS9902F	GYANESHWAR SHARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20
19-Apr-23	BQVPR8043L	MR. VIVEK MAHENDRAGIRI RAMDATI	UNDER SECTIONS 11(1), 11(4), 11B(1) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/19665/2022-23
25-Apr-23	AACCR5402L	RPS PROPERTIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RPS PROPERTIES PVT LTD	EAD-8/PM/GD/ISO-II/32066/1/2021
25-Apr-23	AFCPK7857G	RAJSHREE KOCHAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJSHREE KOCHAR	EAD-8/PM/GD/ISO-II/15686/1/2022
25-Apr-23	BVBPB4487K	RUPABEN BHATT	SHOW CAUSE NOTICE UNDER SECTIONS 11(1),11(4), 11B(1) AND 11 B(2) OF THE SECURITITES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 4 (1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	SEBI/WRO/26852-1/04
25-Apr-23	AFQPB2353A	YOGENDRA BISAY	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Apr-23	AABPV3976F	DR. V. VENKATARAMANUJAM	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016
25-Apr-23	ACUPB9253E	BAGCHI KALI KISHORE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/169/IMD/ERO/FEB/2016
25-Apr-23	ACUPB9253E	BAGCHI KALI KISHORE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016
28-Apr-23	BXAPK0069H	MANOJ ASHOKBHAI KHALAS	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/19570-19588
28-Apr-23	AULPA2242F	MR. SARFRAJ ALI	UNDER SEC 11 (1), 11(4), 11(4A),11B(1) AND AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES, 1995 ("ADJUDICATION RULES") IN THE MATTER OF M/S. STARBURST RESEARCH, PROPRIETORS: MR. MUSTKIM AND MR. SARFRAJ ALI.	SEBI/WRO/JLO/OW/P/1/63051/04/2022
03-May-23	ACKPL1622P	BHIKARI CHARAN LENKA	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/MPB/EFD-1-DRA-IV/68/2017
03-May-23	ACDPC1181D	KANTA CHOWDHARY	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD/BM/JR/40048/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-May-23	AADHV7962B	VIPUL KUMAR JAVIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	
05-May-23	ANGPS3086F	SHRI HUSSAIN SATTAF	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/KS/PP/2020-21/10156-10158
08-May-23	ACGPD9190G	DEVERAJ SIDDIAH PERA NAIDU	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2458-2490
08-May-23	AAECK2699A	KESARISUTA VINIMAY PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS	SEBI/HO/EAD1/SBM/AK/25674/2022
08-May-23	ANYPP1513A	MS. ZALAK PURVESH PARIKH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN MATTER OF A & M FEBCON LIMITED.	SEBI/HO/EAD/EAD2/P/OW/2023/6951/4
08-May-23	AAACW2920N	ANUGRAH STOCK & BROKING PVT LTD	UNDER SECTION 12(3) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	WTM/SM/MIRSD/MIRSD_DPIEA/25009/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-May-23	AFLPM1238C	NIHAR RANJAN MISHRA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 READ WITH SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 AND RULE 4(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OD PREFERENTIAL ALLOTMENT OF SHARES BY GOLD LINEINTERNATIONAL FINVEST LTD	EAD-6/ADJ/AK/AS/OW/11946/4/2023
10-May-23	ANYPP1513A	MS. ZALAK PURVESH PARIKH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN MATTER OF A & M FEBCON LIMITED	SEBI/HO/EAD/EAD2/P/OW/2023/6951/2
23-May-23	BCQPS5051J	MR. SOMIT KISHANCHANDRA SAXENA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/157/IMD-CIS/FEB/2016
23-May-23	AIIPP3823E	MR. SUDHIR NATHURAM PAWA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/157/IMD-CIS/FEB/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-May-23	AOBPS0340E	SUKRIT SOOD	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/193/2021
23-May-23	AQLPK1093L	KADAR HUSSAIN	UNDER SECTIONS 11, 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013	WTM/AB/SRO/SRO/8281/2020-21
29-May-23	AAFHR2236E	RAJENDRA PRASAD KAMALIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/36942/1
31-May-23	AXUPM0503H	MR. JEEVAN LAL MARU	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1),11B(2) AND 15I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/AB/WRO/WRO/25160/2022-23
31-May-23	NSSPS1252P	MR. RAMGOPAL SET	UNDER SECTION 11(1), 11(4), 11B(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	WTM/SM/WRO/WRO/24649/2022-23
31-May-23	EQGPS3663P	MR. SAUDAN SINGH	UNDER SECTION 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATION 3(1) OF SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/AA/WRO/WRO/25839/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Jun-23	AAACM2105K	MODEX INTERNATIONAL SECURITIES LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN THE ENQUIRY PROCEEDINGS CONDUCTED IN RESPECT OF MODEX INTERNATIONAL SECURITIES LIMITED.	SEBI/HO/EFD1/DRA3/P/OW/2023/16094/1
01-Jun-23	AAACG9843L	GUINESS SECURITIES LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN THE ENQUIRY PROCEEDINGS CONDUCTED AGAINST GUINESS SECURITIES LIMITED.	SEBI/HO/EFD1/DRA3/P/OW/2023/15479/1
01-Jun-23	AACPC5805D	SHRI RAMESH CHANDRA	SHOW CAUSE NOTICE UNDER SECTION 11(1), 11(4A), 11B (1) AND 11 B(2) OF SEBI ACT, 1992 READ WITH SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF SEBI (ALTERNATE INVESTMENT FUNDS) REGULATIONS,2012 IN THE MATTER OF INSPECTION OF CIG REALITY FUND (SCHEME I, II & IV)	SEBI/JO/AFD-1/AFD-1-SEC/P/OW/2023/0000009836/1
02-Jun-23	ABDPU8384M	SHAILESH KUMAR UPADHYAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015
06-Jun-23	AAKPS9389Q	RAJESH KANTILAL SHAH	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFFICER) RULES,1995	ADJUDICATION ORDER NO. IVD/WOL/AO/DRK/ASG/EAD3-70/2009

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Jun-23	AAACN7282L	NAKODA LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 & UNDER SECTION 23-I OFSECURITIES CONTRACTS (REGULATION) ACT,1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/VV/AK/PSS/2022-23/18792-18796
06-Jun-23	AAACK4451A	KAASHYAP TECHNOLOGIES LTD	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO “SCRA”) AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Jun-23	AAGPD2888M	SHRI R. DAKSHINAMURTHY	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO "SCRA") AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226
06-Jun-23	AIYPS4944H	SHRI. A SIVAKUMARAN	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO "SCRA") AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226
06-Jun-23	AHXPP5708J	CHANDRAKANT AMRATLAL PAREKH	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFFICER) RULES,1995	ADJUDICATION ORDER NO.-SRP/RK/AO-33/2009
07-Jun-23	AAACF8795N	FAIRWEALTH SECURITIES LIMITED	ENQUIRY REPORT UNDER REGULATION 26 OD THE SEBI (INTERNEDIARIES) REGULATIONS, 2008	ENQUIRY REPORT NO.ENQ/PM/GD/2022-23/17263

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-Jun-23	AAACW2920N	ANUGRAH STOCK & BROKING PRIVATE LIMITED	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-Jun-23	AAEPK0469M	PARESH MULJI KARIYA	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23
07-Jun-23	AJHPK6018N	SADHANA PARESH KARIYA	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23
07-Jun-23	AADFO8242K	OM SRI SAI INVESTMENT	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23
07-Jun-23	AACCT9530H	TEJI MANDI ANALYTICS PRIVATE LIMITED	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23
07-Jun-23	ABWPG5141P	ANIL GOPAL GANDHI	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23
07-Jun-23	AAIPS0707L	RIDDHI KALAPI SHAH	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Jun-23	AABPV3960F	SHRI. A. VENKATRAMANI	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO "SCRA") AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226
12-Jun-23	BERPR1999P	MR. BALJIT SINGH RAJPAL	UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11B (2) OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24
12-Jun-23	BBOPJ6519H	MR. BHAVNA JETHVANI	UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11B (2) OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24
12-Jun-23	BWRPK1312F	MR. TAJ KHAN	UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11B (2) OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24
12-Jun-23	AAECA1954G	SMS TECHSOFT (INDIA LTD)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
14-Jun-23	AACCG5669G	GBC ENTERPRISE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016
15-Jun-23	AEVPD1072L	KRISHNENDU DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016
15-Jun-23	ALOPB4676N	SUGATA BAL DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016
23-Jun-23	BFVPM1091N	KINA DILIP MEWADA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFFICER) RULES,1995	ADJUDICATION ORDER NO-AO/BJD/MAS/EAD/128-143/2018
23-Jun-23	AAACW9811G	WADHAWAN GLOBAL CAPITAL LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/CFID/CFID-SEC2/24485/2022-23
26-Jun-23	ADIPA7292D	PAVAN BIR ANAND	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 03-08-2022
26-Jun-23	AHEPS7486F	SAGAR P SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: - EAD-2/DSR/VVK/314/2014
28-Jun-23	AXJPM6692G	MR. MUSTKIM	NOTICE OF HEARING IN THE MATTER OF M/S. STARBURST RESEARCH, PROPRIETORS: MR. MUSTKIM AND MR. SARFRAJ ALI	SEBI/WRO/JLO/MK/OW/P/19134/2/2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
30-Jun-23	GFDPS9384R	DEEPAK PARASHRAM SALVI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899
30-Jun-23	AAPPS6559P	NARENDRA RAMANLAL SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899
30-Jun-23	AJGPN1996D	AJAY NATHWANI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899
30-Jun-23	AABCE3676Q	EARTH EXIM LTD (EEL)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899
30-Jun-23	AUWPP2833Q	PREETI AJAY NATHWANI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899
03-Jul-23	ACEPS9276L	MRS. VARSHA MADHUSUDAN SATPALKAR	DIRECTIONS UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATIONS 65 AND 73 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999.	WTM/RKA/CIS/07/2013

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jul-23	ACEPS9276L	MRS. VARSHA MADHUSUDAN SATPALKAR	UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED	WTM/SKM/EFD-DRA-1/06/2018-19
03-Jul-23	AJDPJ2709F	LOKENDRA JAIN	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTION 15HA,SECTION 15HB AND SECTION 15EB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, TO THE PARTNERS OF ZENITHVIA RESEARCH SERVICES VIZ. ANURAG SHRIVASTAV, VIJAY SINGH TOMAR, LOKENDRA JAIN AND SANDEEP SHRIWAS.	SEBI/WRO/ILO/NM/OW/P/20333/1/2023
04-Jul-23	AADCA6696C	ACHAL INVESTMENTS LTD	UNDER SECTIONS 11(1), 11(4)1 11B (1)1 119(2) AND 11(4A) OF THE SEBI ACT, 1992, AND SECTION 12A(2) OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTWMBRVDAD14/13306/2021-22
04-Jul-23	ACJPJ5747L	JAGADISH VITAL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Jul-23	ATYPA8648K	AKASH JAGADISH VITAL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	ABUPA2184Q	ANITA S. KADANTHALAI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	CPAPS2335R	ALAKABEN KIRTIBHAI SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AFXPB6525P	BAFNA S R	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AAEHD6246F	DHARMENDRA RIKHAVCHAND SHAH HUF	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AZYPS5654H	HIMADRI KAMLESHBHAI SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	ADZPT3418R	KANUBHAI NARANDAS THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Jul-23	DFNPS6796L	KEVAL KIRTIKUMAR SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AKQPS8463F	KIRTIKUMAR RASIKLAL SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AGCPR8130L	K RAJAGOPAL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AQOPC6330R	MAHAVIRSINGH N CHAUHAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AHWPT5940M	MEENABEN NATUBHAI THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	COYPS9153J	MINABEN PRAFULBHAI SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AHQPT0178H	MITESH KANAIYALAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Jul-23	AJBPT8789M	MUKESHBHAI SHANTILAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AHWPT5944R	NATUBHAI SHANTILAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	ADUPT1264A	NAVINCHANDRA KANUBHAI THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	DCAPS6011N	PRUTHVI HIMANSHU SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	ALBPR2586E	S RAJAGANESH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AKMPM4951C	SURESH NENMALJI MALVI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AMJPT1242N	VAISHALI NATVARLAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Jul-23	BOIPS2506E	VINIT KAMLESHKUMAR SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	AEDPJ4235P	VINOD JAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	ACUPR5573R	RAJESH RANKA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
04-Jul-23	ACDPS9920N	DHARMENDRA RIKHAVCHAND SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523
05-Jul-23	AMEPB0155F	PRAFULLA SUBHASHCHANDRA BHAT	NOTICE OF HEARING IN THE MATTER OF SETUBANDHAN INFRASTRUCTURE LIMITED	SEBI/HO/.CFID/CFIDP/OW/2023/21716/3
06-Jul-23	ALYPA2199R	IRSHAD ALI	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016
06-Jul-23	AAICM1034D	MRS AGROTECH INDIA LIMITED	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Jul-23	DPOPS9750H	SAMRAT SADHU	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016
06-Jul-23	BUUPK2909R	SMT DOLON SADHU KUNDU	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016
06-Jul-23	CNNPS3625P	HABIB SARKAR	UNDER SECTION 11, 11(4), 11AA AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACR, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016
06-Jul-23	AWBPK3800F	RAN VIJAY KUMAR	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016
06-Jul-23	AAHCM7407K	MOUNT VISION INDUSTRIES INDIA LTD	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016
12-Jul-23	ALXPK2636C	SANJAY KUMAR	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016
12-Jul-23	ATNPS4000L	RAJDEEP SADHU	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
17-Jul-23	ALOPY8130A	RAMKUMAR BHOLANATH YADAV	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13874/1; SEBI/HO/IVD/ID6/P/OW/2023/14904/1; SEBI/HO/IVD/ID6/P/OW/2023/16505/1; SEBI/HO/IVD/ID6/P/OW/2023/18150/1
17-Jul-23	BSVPC7326K	VIJAY ISHVARBHAI CHAUHAN	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13884/1; SEBI/HO/IVD/ID6/P/OW/2023/16169/1; SEBI/HO/IVD/ID6/P/OW/2023/17381/1
17-Jul-23	BYNPP4009C	KEYUR VINOD CHANDRA PARMAR	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13898/1; SEBI/HO/IVD/ID6/P/OW/2023/14903/1; SEBI/HO/IVD/ID6/P/OW/2023/16510/1
17-Jul-23	BMCPS7297E	RITU RAHUL MEHTA	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13907/1; SEBI/HO/IVD/ID6/P/OW/2023/13907/2; SEBI/HO/IVD/ID6/P/OW/2023/15434/1; SEBI/HO/IVD/ID6/P/OW/2023/16854/1
17-Jul-23	BWZPV9140E	KINJAL RONAK VORA	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13888/1; SEBI/HO/IVD/ID6/P/OW/2023/15435/1; SEBI/HO/IVD/ID6/P/OW/2023/16857/1
17-Jul-23	BZHPR2102P	MONIK CHANDUBHAI RUPAREL	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13881/1; SEBI/HO/IVD/ID6/P/OW/2023/16166/1; SEBI/HO/IVD/ID6/P/OW/2023/17377/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
17-Jul-23	IJDPK7239H	KOSHTI DHARMESH MOHANBHAI	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13883/1; SEBI/HO/IVD/ID6/P/OW/2023/16168/1; SEBI/HO/IVD/ID6/P/OW/2023/17379/1
17-Jul-23	BWGPG2510J	DHAVAL VINODBHAI GADANI	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13880/1; SEBI/HO/IVD/ID6/P/OW/2023/14908/1; SEBI/HO/IVD/ID6/P/OW/2023/16520/1; SEBI/HO/IVD/ID6/P/OW/2023/18152/1
17-Jul-23	BKNPV8527M	RONAK HASMUKHBHAI VORA	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13889/1; SEBI/HO/IVD/ID6/P/OW/2023/15436/1; SEBI/HO/IVD/ID6/P/OW/2023/16859/1
17-Jul-23	ELNPP0642B	HARSHADBHAI PANCHAL	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13894/1; SEBI/HO/IVD/ID6/P/OW/2023/14904/1; SEBI/HO/IVD/ID6/P/OW/2023/16518/1; SEBI/HO/IVD/ID6/P/OW/2023/18151/1
17-Jul-23	BOWPT8671P	SUREKHABEN THAKKAR	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13877/1; SEBI/HO/IVD/ID6/P/OW/2023/16061/1; SEBI/HO/IVD/ID6/P/OW/2023/17117/1; SEBI/HO/IVD/ID6/P/OW/2023/18153/1
17-Jul-23	CCOPC7186H	DHARMIK CHAUHAN	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13886/1; SEBI/HO/IVD/ID6/P/OW/2023/16173/1; SEBI/HO/IVD/ID6/P/OW/2023/17383/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
17-Jul-23	BOKPT7518D	DHARMIK CHAUHAN	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13876/1; SEBI/HO/IVD/ID6/P/OW/2023/16018/1; SEBI/HO/IVD/ID6/P/OW/2023/17117/2; SEBI/HO/IVD/ID6/P/OW/2023/18153/2
19-Jul-23	CJHPS9562H	ANAND H SONI	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023
19-Jul-23	CJIPP3064Q	VIPUL B PITHADIA	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Jul-23	AXIPY0954G	ANURUDHKUMAR B YADAV	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023
19-Jul-23	COPPP4646J	PARMAR AKSHAY J	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023
19-Jul-23	DZEPS8946B	REKHABEN H SAKARIYA	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023
19-Jul-23	ADGPV6374B	NAYANBHAI S VYAS	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023
19-Jul-23	BQWPA5223L	SONU ARGAL	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023
19-Jul-23	CPGPK0246H	RAJESH S KUSHAVAHA	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Jul-23	AAACM2105K	MODEX INTERNATIONAL SECURITIES LIMITED	PERSONAL HEARING BEFORE WTM IN THE MATTER OF MODEX INTERNATIONAL SECURITIES LIMITED	SEBI/HO/EFD1/DRA3/P/OW/2023/23985/1
20-Jul-23	AKYPG8318D	MR. PARTHA GHOSH	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19
20-Jul-23	ACGPH4147P	MOHAMMAD AHTESHAMUL HAQ	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015
24-Jul-23	AEIPK0881M	MR. DHARMENDRA LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PG/AO-12/2011
24-Jul-23	AADCM7110M	MAHANIVESH STOCK AND SHARES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/112/2017
24-Jul-23	APWPK3686H	PRADEEP KUMAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. VSS/AO-188/2009

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jul-23	AADCT1652R	TIEN TRADING PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/DPS/75-86/2017
24-Jul-23	AADCG6999E	GOODLIFE COMMERCIAL PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BS/DP/2017-18/1673-1676
24-Jul-23	AVZPS0368P	BIREN KANTILAL SHAH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 (1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ISD/IPO/AO/DRK/MD/EAD-3/327/25-2012
24-Jul-23	AADCB5570C	BASUKINATH HIGHRISE PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PG/AM/AO-04/2013
24-Jul-23	AHTPD3510C	DINESH JAYANTLAL DOSHI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. MC/DPS/7/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jul-23	AAKHS2700K	SHAH (HUF) BH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023
24-Jul-23	ACQPS5375Q	SUNITA S. SHAH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023
24-Jul-23	ARAPS8707R	ROMIL SHAH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023
24-Jul-23	ACQPS5387Q	SHRENIK DHAH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023
24-Jul-23	AGUPP7355B	AD PARIKH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jul-23	AAACB4604N	BHOJAWANI SECURITIES PVT. LTD.	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023
24-Jul-23	ADSPP8624L	DHANANJAY PARIKH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023
28-Jul-23	AAGCA9717P	ALCHEMIST INFRA REALTY LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE OF INDIA ACT, 1192 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/AS/AO/10490-10494/2020-21
28-Jul-23	AAEPC9775G	CHANDRA SHEKHAR CHAUHAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE OF INDIA ACT, 1192 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/AS/AO/10490-10494/2020-21
28-Jul-23	AARCS0445B	SWAR AGROTECH INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD ON INDIA ACT, 1992 READ WITH REGULATION 65 OF SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/22/EFD/MAY/2016

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Jul-23	AACCN1305Q	NETWORTH MARKETING LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 19, 2015 IN THE MATTER OF NETWORTH MARKETING LIMITED & ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/44/2017-18 & WTM/GM/EFD/45/2018-19
28-Jul-23	AABCF0080D	F6 FINSERVE PVT LTD	UNDER SECTION 12(3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	WTM/AN/NRO/NRO/27625/2023-24
31-Jul-23	AAACC6370Q	CAVALIER SECURITIES LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/AO/56/2012
31-Jul-23	ACDPT6858J	HITESH MADHUSUDAN THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/AO/36/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
31-Jul-23	AEAPP8145E	RAMNIKLAL PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: - PKK/AO/266/2010
31-Jul-23	AAACS9093A	SANRAA MEDIA LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO: ORDER/AA/AR/2019-20/5855-5861
31-Jul-23	AABPV3960F	MR. ANNASWAMY VENKATRAMANI	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO: ORDER/AA/AR/2019-20/5855-5861

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
31-Jul-23	AHEPS7486F	MR. SAGAR PRAVIN SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/VVK/314/2014
31-Jul-23	AXXPS0523N	MS. PRATIMA SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/VVK/315/2014
31-Jul-23	AALCM4744M	M/S. MINANCE INVESTMENT ADVISORS PRIVATE LIMITED	UNDER SECTIONS 11, 11B (1) OF THE SECURITIES AND EXCHNAGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/26953/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
31-Jul-23	AVEPB6967G	MR. ANURAG BHATIA	UNDER SECTIONS 11, 11B (1) OF THE SECURITIES AND EXCHNAGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/26953/2023-24
03-Aug-23	AUVPM4637N	KUMAR DILIP MEWADA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/BJD/MAS/EAD/128-143/2018
03-Aug-23	AFUPA7917B	KAMLESH AGARWAL	ADJUDICATION PROCEEDINGS IN RESPECT OF KAMLESH AGRAWAL IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SD/P/OW/2021/24807/1
03-Aug-23	AFMPA8305D	KANCHAN AGARWAL	ADJUDICATION PROCEEDINGS IN RESPECT OF KANCHAN AGARWAL IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EFD2/HO/EFD2/EFD2_SD/P/OW/000024053/1
03-Aug-23	AAHPV1218Q	KANCHAN VERMA	ADJUDICATION PROCEEDINGS IN RESPECT OF KANCHAN VERMA IN THE MATTER OF DEALINGS IN ILL-LIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SLD3/P/OW/2022/3900/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Aug-23	AADCR7607Q	KANKRIYA CONSTRUCTION PVT LTD	ADJUDICATION PROCEEDINGS IN RESPECT OF KANKRIYA CONSTRUCTION PRIVATE LIMITED IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/3861/1
03-Aug-23	ADOPD2660G	KANTA DIDWANIA	ADJUDICATION PROCEEDINGS IN RESPECT OF KANTA DIDWANIA IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/0000003865/1
03-Aug-23	AABCD9470H	DESTINY SECURITIES LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/AS/GD/2022-23/25243
03-Aug-23	AABCF0080D	F6 FINSERVE PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AS/VC/2022-23/25244
07-Aug-23	AFEPS2295E	VIMAL KUMAR SAINI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/0000039336/1
07-Aug-23	AFEPS2295E	VIMAL KUMAR SAINI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/0000050526/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Aug-23	BXMPP1272K	VINAY BHUSHAN PENDYALA	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SEBI ACT, 1992	EAD-10/SCN/SM/DD/OW/22854/1/2023
08-Aug-23	AAACM2105K	MODEX INTERNATIONAL SECURITIES LIMITED	PERSONAL HEARING BEFORE WTM IN THE MATTER OF MODEX INTERNATIONAL SECURITIES LIMITED	SEBI/HO/EFD1/DRA3/P/OW/2023/27522/1
09-Aug-23	AACCA6193R	A-1 BOOK COMPANY PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTIONS 12A(1), 12A(2) AND 23I OF SECURITIES CONTRACTS (REGULATIONS) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	WTM/AB/IVD/ID2/18060/2022-23
09-Aug-23	AXNPC3713G	PREM CHAND	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I (2) OF SECURITIES CONTRACTS (REGULATIONS) ACT, READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/MC/RM/2022-23/20426-20428

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Aug-23	DNAPP1607Q	MS. PRABHA PATEL	FINAL ORDER IN THE MATTER OF M/S. TRADE SOLUTIONS SERVICES, PROPRIETOR: MS. VARSHA OSWAL AND M/S. TRADE SOLUTION, PROPRIETOR: MS. PRABHA PATEL & UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/AN/OW/P/01043/1/2023,SEBI/WRO/ILO/AN/OW/P/01047/1/2023,SEBI/WRO/ILO/AN/OW/P/01048/1/2023 & WTM/AB/WRO/WRO/22737/2022-23
11-Aug-23	AQDPB0485F	SURENDRA SINGH BAGHEL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015
11-Aug-23	AMUPB0580E	BANWARI LAL BAGHEL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015
11-Aug-23	AAGFI7660G	M/S INVESTO INVESTMENT ADVISERS PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/6

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Aug-23	ADNFS2939J	M/S SS INFO SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/7
11-Aug-23	ADNFS7110B	M/S SI DIGI SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/8
11-Aug-23	AAMFC9502D	M/S CT WEB SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/9

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Aug-23	ABHFM3142P	M/S ML TELE SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/10
11-Aug-23	AADCH8426M	D. S. CAPITAL VENTURE PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/GD/2022-23/22750-22754
16-Aug-23	ECYPK7182R	PINKY KELVA	REPORT UNDER REGULATION 26 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	REPORT NO. ENQ/BM/JR/2023-24/27728
16-Aug-23	AADCA3311J	ANUGRAH COMMODITIES PRIVATE LIMITED	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2023/00000 13184/1
17-Aug-23	AAFCA8206N	TWENTY20 COMMODITIES	HEARING NOTICE IN RESPECT OF TWENTY20 COMMODITIES PRIVATE LIMITED IN THE MATTER OF NSEL	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2023/28816 /1
17-Aug-23	AACCV4358H	VCK COMMODITY SERVICES PRIVATE LIMITED	HEARING NOTICE IN RESPECT OF VCK COMMODITY SERVICES PVT LTD IN THE MATTER OF NSEL	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2023/28820 /1
17-Aug-23	AAECK2699A	KESARISUTA VINIMAY PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. ORDER/NH/KL/2023-24/27951

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
18-Aug-23	BLVPM9284R	MR. DEEPAK MISHRA	NOTICE TO SHOW CAUSE UNDER REGULATION 25 AND REGULATION 25 AND REGULATION 26 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 AND SHOW CAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/HO/EAD/EAD9/P/OW/2023/0000028461/1-3 AND SEBI/HO/EAD/EAD9/P/OW/2023/0000028460/1-3
18-Aug-23	BPDPA3589K	ROSHANLAL DAULATRAM AGGARWAL	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023
18-Aug-23	CYBPP2358H	HARSHADBHAI BABUBHAI PATEL	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023
18-Aug-23	CYNPP0545E	VINITKUMAR POPATLAL PARIKH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023
18-Aug-23	CYMPP9923K	MAULIK VINITKUMAR PARIKH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
18-Aug-23	GKCPS4278A	SUBHDRABEN RAMESHCHANDRA SHAH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023
18-Aug-23	GTPPS2917N	NILESH RAMESHCHANDRA SHAH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023
24-Aug-23	BNEPP5649A	VIMLA SANJAY PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899
24-Aug-23	AAACA4781J	BONANZA INDUSTRIES LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899
29-Aug-23	BJAPK7820G	SHRI KOTA SUNIL SHANKARBHAI	UNDER SECTION 11(1), 11(40 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF UNREGISTERED INVESTMENT ADVISORY BY GOWTHAM S AND KOTA SUNIL SHANKARBHAI	WTM/SM/SRO/SRO/26975/2023-24
01-Sep-23	AAACC6370Q	CAVALIER SECURITIES LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. PG/AO-57/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Sep-23	AZGPS4457A	JITENDRA KUMAR SHARMA	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/133/2016
05-Sep-23	AAACF8795N	FAIRWEALTH SECURITIES LIMITED	UNDER REGULATION 27 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF FAIRWEALTH SECURITIES LIMITED, A REGISTERED INTERMEDIARY OF SEBI	WTM/ASB/MIRSD/MIRSD_DPIEA/28314/2023-24
05-Sep-23	BACPS3885C	MR. SYED SADAQ	UNDER SECTION 11(1), 11(4) AND 11b OF SECURITIES AND EXCHANGE BOARD OF INDIA, 1992 IN RESPECT OF MCX BIX SOLUTIONS, ITS PROPRIETOR MR. SYED SADAQ (PAN:BACPS3885C).	WTM/SR/SEBI/EFD-DRA2/39/07/2017
05-Sep-23	AAACW2920N	ANUGRAH STOCK & BROKING PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND UNDER SECTION 19H OF THE DEPOSITORS ACT, 1996 READ WITH RULE 5 DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/PM/SM/2020-21/10794

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Sep-23	AADHC6879C	CHETAN MANWANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39787/1/2022
05-Sep-23	AAECP7154K	PARASMANI TRADELINK PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN RESPECT OF PARASMANI TRADELINK PRIVATE LIMITED(PAN:AAECP7154K) IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	SEBI/HO/CFID/CFID_4/P/OW/2021/21110/1
05-Sep-23	AAJHA7611L	ATUL TANNA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44082/1/2022
05-Sep-23	AABCS5855M	SOLID CARBIDE TOOLS LIMITED	UNDER SECTION 19 H (1) OF THE DEPOSITORIES ACT, 1996, READ WITH RULE 5 OF DEPOSITORIES(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. PB/AO-69/2010
11-Sep-23	AGAPG2976K	VINTI GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD6/AK/AJ/31470/1/2023
18-Sep-23	ABUPG9329D	MADHU GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10-2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Sep-23	AAECR3716R	RAGHUKUL SHARES INDIA PRIVATE LIMITED	UNDER SECTION 12(3) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATION, 2008	WTM/ASB/MIRSD/MIRSD-SEC-4/28839/2023-24
27-Sep-23	AABCV4377P	FICUS COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY	SEBI/HO/EFD1/DRA3/P/OW/2022/52051/1
27-Sep-23	AJIPR8427K	MR. RAJ KUMAR RAGHAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015
27-Sep-23	ACLFS5789F	SBS INVESTMENTS	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SBS INVESTMENTS	WTM/MPB/EFD-1-DRA-IV/117/2018
27-Sep-23	AAMCS4236M	SILICON FIRST REALTORS PVT. LTD.	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, FOR VIOLATIONS OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997/2011	SEBI/HO/IVD/ID3/P/OW/2021/24408/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Sep-23	AADCD1856D	DARSHANA EXPORTS PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/17525-17534
28-Sep-23	AGVPK5838R	ABHISHEK KHARE	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. IVD/WOL-AK/AODRK/AS/EAD3/200-2009/103-2010
04-Oct-23	AABCC5031H	CHP FINANCE PVT LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/17525-17534
04-Oct-23	AAECR4581N	ROYAL THEME PRODUCTS INDIA PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/17525-17534
09-Oct-23	AEOPM6302D	SAMARJIT MONDALO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN/2016
09-Oct-23	CEBPS5607E	RITESH K SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/NOV/2014
09-Oct-23	AAFHM3032H	MANOJ PATNI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Oct-23	AABCG8309G	GRASS ROOT FINANCE & INVESTMENT COMPANY (INDIA) LTD.	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18, 2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMENT COMPANY (INDIA) LTD.	WTM/AB/EFD-1/DRA-3/09/2018-19
10-Oct-23	AAHCS2210C	SAAMYA BIOTECH INDIA LIMITED	ADJUDICATION ORDER DATED JUNE 28, 2019 IN THE MATTER OF SCORES COMPLAINT AGAINST SAAMYA BIOTECH INDIA LIMITED	ADJUDICATION ORDER:ORDER/MC/VS/2019-20/3517
12-Oct-23	AABCD1032B	M/S RAAVEE MERCHANDISERS PRIVATE LIMITED (EARLIER KNOWN AS M/S BIMEX EXPORTS P LTD)	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. VSS/AO-98/2009
12-Oct-23	AABCD1032B	M/S RAAVEE MERCHANDISERS PRIVATE LIMITED (EARLIER KNOWN AS M/S BIMEX EXPORTS P LTD)	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. SD/AO/140/2009
12-Oct-23	AABCB1128L	M/S. BUBNA STOCK BROKING SERVICES LTD. (NOW KNOWN AS SUNBRIGHT STOCK BROKING LIMITED)	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. BM/AO-3/2011
12-Oct-23	AEKPA8540F	M/S R FOUNDRY AND SHRI RAJENDRA AGGARWAL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. PKB/AO-50/2010
13-Oct-23	AAMHP8284R	PARAMJIT SINGH & SONS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/PR/2022/44935

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Oct-23	ADSPN9721A	DEEPAK NARVEKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/44/2009
16-Oct-23	AVOPS4111P	AJIT SURYAVANSHI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/49/2009
16-Oct-23	AATCS8589E	SATYALAXMI VINIMAY PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO:AO/SBM/EAD-3/80/2017
17-Oct-23	ANYPP1513A	ZALAK PURVESH PARIKH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER REF. NO. ORDER/NH/RJ/2023-24/29108-29111
19-Oct-23	AACFM6761L	MOREPLUS MERCHANTS PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/22551-22580
20-Oct-23	AJZPL1369K	DHARMENDRA KUMAR	UNDER REGULATION 26 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT O FSTAR INDIA MARKET RESEARCH	ENQUIRY REPORT NO:ENQ/AK/AS/2023-24/28871

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Oct-23	EZSPB9777C	JITENDRA BHARBHUJA	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTION 15HA,SECTION 15HB AND SECTION 15EB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	SEBI/WRO/ILO/AK/OW/P/39537/2023
25-Oct-23	ADEPD0040N	RABINDRA NATH DEY	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1472-1475
01-Nov-23	AACCC2960N	CONSOLIDATED MERCANTILES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AK/AJ/2023-24/29120
01-Nov-23	AECFS8917E	SHIV MARKETING AND TRADING	SECTION 12A (a), (b), AND (c) SEBI ACT, 1992 R/W REGULATION 3 (a), (b), (c), (d), 4(1), 4(2) (a) & (e) OF SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003.	EAD-8/SKV/VC/28876/2023
01-Nov-23	AASFV6757F	VISHWAKARMA TRADING HOUSE	SECTION 12A (a), (b), AND (c) SEBI ACT, 1992 R/W REGULATION 3 (a), (b), (c), (d), 4(1), 4(2) (a) & (e) OF SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003.	EAD-8/SKV/VC/28878/2023
01-Nov-23	AIDPB1831B	RANA BHADRA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1472-1475

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Nov-23	AAFPA1252P	SHIV KUMAR AGARWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF MOONGIPA INVESTMENTS LIMITED	WTM/AB/EFD-1/DRA-2/19/2018-19
16-Nov-23	AAFCA5165L	ADDLIFE PHARMA LIMITED	UNDER SECTION 19H(1) OF DEPOSITORIES ACT, 1996, READ WITH RULE 5 OF DEPOSITORIES(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. PB/AO-39/2010
16-Nov-23	AADCM7640L	MODEX COMMODITY TRADES PVT. LTD.	UNDER SECTION 12(3) AND SECTION 19 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATION, 2008	QJA/SVMDR/MIRSD/29692/2023-24
20-Nov-23	AWXPA3588J	MS. NAGMA HUSAIN AHMED ANSARI	UNDER SECTIONS 11(1), 11(4)(b) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVETSMENT ADISER) REGULATIONS, 2013 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014.	WTM/MPB/SEBI/EFD-DRA4/108/2017
20-Nov-23	ACTPN3464G	SANTOSH NARVEKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING (OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/50/2009

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Nov-23	ALTPP0349K	VIVEKANAND PATANKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING (OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/28/2009
28-Nov-23	ADKPM7260M	YUGANDHAR MEKA	UNDER SECTIONS 11(1), 11(4), 11B(1) AND 11B(2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 IN THE MATTER OF KARVY STOCK BROKING LIMITED	WTM/SM/MIRSD/MIRSD-SEC-4/26042/2023-24
01-Dec-23	AVRPT3394C	DINESH AVADHESHSINGH THAKUR	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	
01-Dec-23	BMCPS7297E	RITU RAHUL MEHTA	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	
01-Dec-23	AXIPY0954G	ANURUDHKUMAR BARELAL YADAV	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	
01-Dec-23	BYNPP4009C	KEYUR VINODCHANDRA PARMAR	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	
01-Dec-23	AUUPT6312L	SHIBAKUMAR MODHAUSINH TYAGI	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	
01-Dec-23	CJHPS9562H	ANAND H SONI	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Dec-23	BWGPG2510J	DHAVAL VINODBHAIGADANI	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	
01-Dec-23	BKQPS3900Q	SHARDA SHARMA	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)	
04-Dec-23	AAICA5378C	AAGAS SOFTWARE SOLUTIONS PVT. LTD	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
04-Dec-23	AACCK7144E	KATASHRAJ SECURITIES PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Dec-23	AAHCC0112H	CHAHEK HOUSING PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
07-Dec-23	AAGPC7158P	YOGESH BHOGILAL CHAUHAN	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017
07-Dec-23	AYAPS0436L	SHRI BABLU SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016
07-Dec-23	ATFPB1416M	MR. ANIRUDHHA BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016
07-Dec-23	ATFPB1416M	MR. ANIRUDHHA BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017
07-Dec-23	AZFPB1929H	SANKAR BHATTACHARYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/94/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-Dec-23	AFAPA9440H	RAJESH KUMAR AGARWAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016
07-Dec-23	ACDPR4876J	PRANAB KUMAR ROY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18
07-Dec-23	AKOPJ0216B	PRAMOD KUMAR JAISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017
07-Dec-23	COLPS0476M	PANKAJ SETH	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21
07-Dec-23	AGSPG3778K	MR. SAURABH GUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/EFD/123/2016
07-Dec-23	AMEPS2466G	DEEPAK RANJAN SAMANT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF:	WTM/RKA/EFD/141/2016
07-Dec-23	ALJPD7750L	BHAKTAPRASAD DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECRITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017
07-Dec-23	AAKCM6309D	M/S MINANCE TECHNOLOGIES PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/SRO/16539/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Dec-23	AAACF8795N	FAIRWEALTH SECURITIES LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
08-Dec-23	AFUPG9615E	DHIRENDER GABA	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
08-Dec-23	AAEPG8929N	NAVEEN GABA	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Dec-23	AETPJ4553L	SANDEEP JINDAL	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
08-Dec-23	AACCR2424A	REETS PLASTICS PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
08-Dec-23	AAACS4473Q	FAIRWEALTH FINANCIAL SERVICES LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Dec-23	AABCF0079J	FAIRWEALTH COMMODITY BROKING PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
08-Dec-23	AROPK2904N	VIKRAM KUMAR	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24
13-Dec-23	AACCK8267C	KALYANI AND KALYANI DEVELOPERS INDIA LTD	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/AB/SEBI/10/2020-21
13-Dec-23	AJMPD9925C	BAMAN CHARANA DAS	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/AB/SEBI/10/2020-21
13-Dec-23	AGCPM6239M	ABHISHEK MEHTA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/SM/AO/91/2018-19/1963
14-Dec-23	AAACM2862M	EXELON INFRASTRUCTURE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/VS/24-33/2017-18

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Dec-23	ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/VS/24-33/2017-18
20-Dec-23	BCDPM3124P	SRINIVAS RAO MARUPUDI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/VS/24-33/2017-18
20-Dec-23	ACIPM3240J	MADHU MOHAN REDDY	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. AO/JS/VRP/29-30/2017
21-Dec-23	AABCG6112D	GUJARAT MEDITECH LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC3517/2021
21-Dec-23	AABCM2777L	MODERN CEMENT INDUSTRIES LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC3770/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Dec-23	AELPS4797P	NAIMISH K. SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC4282/2021
21-Dec-23	AQBPP0893P	RAJENDRA PATHAK	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5285/2022
21-Dec-23	AWSPP9675Q	KARAN ENTERPRISE	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5793/2022
21-Dec-23	AFFPM6604L	SURAJ TRADING CORPORATION	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5796/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Dec-23	ASOPP2722N	SHREE GANESH ENGINEERING CORPORATION	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5797/2022
21-Dec-23	AQSPP7982N	SHREEJI MACHINE TOOLS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5798/2022
21-Dec-23	APTPP6092D	M/S. OM ASSOCIATES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC6066/2022
21-Dec-23	AACCH8077M	HIGHBROW MARKET RESEARCH PVT. LTD ,	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC6925/2023
21-Dec-23	DTUPM2592D	MERIDIAN CAPITAL RESEARCH PROPRIETOR RUPESHBHAI BABUBHAI MARVADI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC7130/2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Dec-23	ECYPK7182R	MS. PINKY KELVA	UNDER SECTION 12(3) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATIONS 27 AND 35 OF SECURITIES AND EXCHANGE BOARD OF INDIA(INTERMEDIARIES) REGULATIONS, 2008	WTM/AN/WRO/WRO/29772/2023-24
21-Dec-23	BYNPP4009C	KEYUR VINODCHANDRA PARMAR	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	DZEPS8946B	REKHABEN SAKARIYA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	BMCPS7297E	RITU RAHUL MEHTA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	BSVPC7326K	VIJAY CHAUHAN	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	BWGPG2510J	DHAVAL GADANI	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	GBYPS3750L	DURGESHKUMAR KALIDAS SATHVARA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	CSMPM5079H	AMIT MAKWANA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Dec-23	CCJPM9654D	SALEJ MAKWANA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	BOPPV1278D	JAYESH VAGHELA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	AXIPY0954G	ANURUDHKUMAR BARELAL YADAV	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	ADGPV6374B	NAYANBHAI SHIVSHANKAR VYAS	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	CJIPP3064Q	VIPUL BHARATBHAI PITHADIA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	ANTPP1230H	AMITKUMAR NAVINCHANDRA PATEL	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	DDDPM8675Q	JITENDRABHAI MADHAVLAL MISTRI	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	COPPP4646J	AKSHAY PARMAR	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	CLYPP2035H	KAMLESHKUMAR BIPINCHANDRA PARMAR	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Dec-23	BKQPS3900Q	SHARDA SHARMA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
21-Dec-23	BDHPS5040K	HARI GOPAL SHARMA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2
28-Dec-23	AQBPC1558Q	PRITI JAYAKARBHAI CHRISTIAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC3687/2021
28-Dec-23	ABRPG0167E	ANOOP KUMAR GARG	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC4142/2021
28-Dec-23	AAACR9384B	RFL INTERNATIONAL LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC6453/2023
28-Dec-23	AAQFA3264Q	AASHISH DEVELOPER	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC6973/2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Dec-23	BBZPK6473J	GLOBAL PORTFOLIO MANAGEMENT (PROPRIETOR IMRAN KHAN)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC7174/2023
28-Dec-23	AADCS3116P	M/S BLAZON MARBLES LTD	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4261/2021
28-Dec-23	ALPRB9295L	MR. BHAVIK SATISH BADANI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4261/2021
28-Dec-23	ABJPS8612E	MR. MANSUKH SANGHVI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4261/2021
29-Dec-23	AABCP9030F	PARSOLI CORPORATION LIMITED	SHOW CAUSE NOTICE UNDER SECTION 11B(1) AND SECTION 11B(2) READ WITH SECTION 15HB OF THE SEBI ACT, 1992 IN THE MATTER OF PARSOLI CORPORATION LIMITED	SEBI/HO/CFD/CFD-SEC-1/P/OW/05300/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-24	AADCG0853B	GARIMA REAL ESTATE & ALLIED LIMITED	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203
02-Jan-24	BNXPS1496L	BIJENDRA PAL SINGH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021
02-Jan-24	AVQPK4359M	SHIVRAM KUSHWAH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021
02-Jan-24	AVQPK4360A	BALKISHAN KUSHWAH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-24	AANCS7178R	SAI PRAKASH PROPERTIES DEVELOPMENT LIMITED	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4185/2021
02-Jan-24	AXMPB0165C	DHEERENDRA KRISHAN PRATAP	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4216/2021
02-Jan-24	BKJPB6325H	MRAGENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4217/2021
02-Jan-24	ATTPB8254D	RANVIJAY PRATAP SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4226/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-24	ATTPB8244M	SHAHENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4227/2021
02-Jan-24	AANCS7178R	SAI PRAKASH PROPERTIES DEVELOPMENT LIMITED	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021
02-Jan-24	ATTPB8244M	SHAHENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021
02-Jan-24	ATTPB8254D	RANVAJAY PRATAP SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-24	BKJPB6325H	MRAGENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021
02-Jan-24	AXMPB0165C	DHEERENDRA KRISHAN PRATAP	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021
02-Jan-24	AAMCS4086P	SHRI RAM REAL ESTATE AND BUSINESS SOLUTIONS LIMITED	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021
02-Jan-24	AMQPP8230M	BABLOO PRAJAPATI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-24	BXYPM8890C	GOPAL MEENA	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021
02-Jan-24	BXMPS0718K	VIJAY SINGH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021
02-Jan-24	AAECA1954G	SMS TECHSOFT (INDIA) LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE No.RC4522/2022
02-Jan-24	AAECA1954G	SMS TECHSOFT (INDIA) LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE No.RC4562/2022
02-Jan-24	AAFCP3908E	PRAGYA DIARIES AND AGRO LIMITED	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Jan-24	AVBPP1293Q	POOJA PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022
03-Jan-24	AHEPG5644F	ANUJ GARG	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE NO.7153 OF 2023
03-Jan-24	AAACC3235A	CPR CAPITAL SERVICES LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE NO.7156 OF 2023
08-Jan-24	AAGPF1976L	BANWARI LAL KUSHWAH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021
08-Jan-24	ACAPL9691A	BANABARILAL LODHI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Jan-24	AFZPB0624B	PUSHPENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021
08-Jan-24	AFZPB0557M	PUSHPANJALI SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021
08-Jan-24	AFCPD1730M	SUBHASH DESHMUKH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021
08-Jan-24	ALCPP9159L	SOHAN KUMAR PATEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Jan-24	CNHPS3845M	BHUVNESHWAR PRASAD SAHU	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021
08-Jan-24	BTUPP0108C	PUNAM CHAND PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022
08-Jan-24	AJWPP3490J	NANA LAL PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022
08-Jan-24	APJPP6921M	DURGA PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022
08-Jan-24	AFFPA4197L	ANSAR AHMED	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022
09-Jan-24	AVTPT6188Q	SHRI. AMARJEET SINGH TREHAN	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/SVMDR/WRO/WRO/29848/2023-2

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
15-Jan-24	AAOPZ1502G	AMBER ZAIDI	SHOW CAUSE NOTICE UNDER SECTION 11B(1) AND 11B(2) READ WITH SECTION 15HB OF THE SEBI ACT, 1992 IN THE MATTER IF PARSOLI CORPORATION LIMITED	SEBI/HO/CFD/CFD-SEC-1/P/OW/05300/5
17-Jan-24	ACQPS5375Q	SUNITA SHAH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ASK/RGA/AO/176-185/2014-15
17-Jan-24	ABTFS0353F	SHREE SHAGUN FINANCIAL SERVICES	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ORDER/GR/AE/2019-20/5335-5350
18-Jan-24	AAMCM7283L	MARKAB INDIA SPV PRIVATE LIMITED	FINAL ORDER UNDER SECTION 11(1), 11(2)(H), 11(4), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 32 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(SUBSATANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	QJA/VS/CFD/CFD-SEC-3/23792/2022-23
18-Jan-24	ALEPN1421F	NAZEER AZAM SULTHAN	FINAL ORDER UNDER SECTION 11(1), 11(2)(H), 11(4), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 32 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(SUBSATANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	QJA/VS/CFD/CFD-SEC-3/23792/2022-23
23-Jan-24	ALWPS7673Q	PRATIK RAMESHCHANDRA SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.1202 OF 2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Jan-24	AAQCS6937F	SMART INFRAPROPERTIES PRIVATE LIMITED	NOTICE FOR SUMMARY SETTLEMENT IN THE MATTER OF CRESSANDA SOLUTIONS LIMITED	SEBI/HO/CFD/SEC-1/OW/P/2023/32884/1
24-Jan-24	AADPJ5102D	PRAVEEN KUMAR JAIN	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/10421
24-Jan-24	ADGPV0696L	CHIRANJILAL VYAS	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/JR/2023-24/29259-29263
24-Jan-24	AISPR2737D	NARENDRA KUMAR RATHORE	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9088
24-Jan-24	AADCM7110M	MAHANIVESH STOCK AND SHARES PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/112/2017
24-Jan-24	AERPC7192L	JAYWANT CHAVAN	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/PM-RR/AO/2019-20/4682-4722
24-Jan-24	ACQPS5387Q	SHRENIK SHAH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ASK/RGA/AO/176-185/2014-15

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jan-24	AAACB1655R	H BHAVESH SECURITIES AND COMMODITIES PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ORDER/GR/AE/2019-20/5335-5350
24-Jan-24	ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO-EAD-7/BJD/NJMR/2018-19/1979-2006
24-Jan-24	BCDPM3124P	SRINIVAS RAO MARUPUDI	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO-EAD-7/BJD/NJMR/2018-19/1979-2006
29-Jan-24	AAACZ2996D	ZOLTON PROPERTIES PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/2/2022
29-Jan-24	AODPA6026K	ARVIND PODDAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6728 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
29-Jan-24	AIXPG2195F	CHANDAN GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6730 OF 2023
29-Jan-24	AADCK9238Q	KING POWER INDUSTRIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6782 OF 2023
29-Jan-24	AAEPG8328D	SALASAR TRADING CO. (PROPRIETOR MANOJ KUMAR GARG)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6911 OF 2023
29-Jan-24	AANFV4919L	VIMUK ENTERPRISES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6916 OF 2023
29-Jan-24	ASUPC0179N	MR. SANJAY MADANLAL CHOUHAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.2726 OF 2020
29-Jan-24	AAACI8851P	I360 STAFFING AND TRAINING SOLUTION PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7164 OF 2023

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
30-Jan-24	ABTFS0353F	SHREE SHAGUN FINANCIAL SERVICES	IN RESPECT OF SEBI ORDER DATED JANUARY 31, 2019 AND HON'BLE SECURITIES APPELLATE TRIBUNAL ORDER DATED MARCH 04, 2020 IN THE MATTER OF POLYTEX INDIA LIMITED, GEMSTONE INVESTMENTS LIMITED AND KGN ENTERPRISES LIMITED.	WTM/GM/EFD/22/2020-21
02-Feb-24	CLAPK2809N	SAGAR KADAM	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/PM/GD/2022-23/16720-16739
02-Feb-24	AFAPB7100D	DHARMENDRA HARILAL BHOJAK	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/PM/GD/2022-23/16720-16739
02-Feb-24	AAJCS1526R	SHUBHANG EXPORTS LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6665 OF 2023
02-Feb-24	AACCT8158M	TORUS BUILDCON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6697 OF 2023
02-Feb-24	AACCT7439H	TIGER DEVELOPERS PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/1/2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Feb-24	AALCS1157L	SAUBHAGYA BUILDCON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6700 OF 2023
02-Feb-24	AIAPG7306B	RUCHIKA GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6707 OF 2023
02-Feb-24	ADEPG1122G	DEEPAK GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6707 OF 2023
02-Feb-24	ACTPG7828D	PANKAJ GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6708 OF 2023
02-Feb-24	AFSPG7531F	MEENU GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6708 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Feb-24	AAACT2207P	TRIPOLI INVESTMENT & TRADING COMPANY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6788 OF 2023
02-Feb-24	AAACG0278K	GURUDEV FINANCIAL SERVICES PRIVATE	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6789 OF 2023
02-Feb-24	AAACT0545N	TARA ALLOYS LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6790 OF 2023
02-Feb-24	AAFCP3549R	PRIUS REAL ESTATE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6791 OF 2023
02-Feb-24	AAFCA0211M	SRIDHAM DISTRIBUTORS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7015 OF 2023
02-Feb-24	AAECA3909P	AMRAPALI AADYA TRADING & INVESTMENTS PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Feb-24	ALEPS6005L	SAJEEV KUMAR SINHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023
02-Feb-24	AOIPS2038G	AMITA SINHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023
02-Feb-24	ASUPS6193E	VANDANA SINHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023
02-Feb-24	AEGPT3607N	GAJENDER KUMAR TYAGI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7141 OF 2023
02-Feb-24	AGDPG7175M	RAM MOHAN GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7162 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-24	AMWPM2947A	AMALENDU MUKHERJEE	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF INSIDER TRADING) REGULATIONS, 1992 READ WITH REGULATION 12 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015.	WTM/MPB/IVD-ID6/120 /2020
08-Feb-24	AOCPK3066L	UMARDAN KHARIYA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ADJUDICATION ORDER NO.EAD/SR/SM/AO/89/2018-19/1892
08-Feb-24	AAEPT9209L	MG CAPITAL SERVICES LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6874 OF 2023
15-Feb-24	AACPR3785K	BHUPESH RATHOD	IN RESPECT OF SEBI ORDER DATED JANUARY 31, 2019 AND HON'BLE SECURITIES APPELLATE TRIBUNAL ORDER DATED MARCH 04, 2020 IN THE MATTER OF POLYTEX INDIA LIMITED, GEMSTONE INVESTMENTS LIMITED AND KGN ENTERPRISES LIMITED.	WTM/GM/EFD/22/2020-21
22-Feb-24	AAJCR2361R	REDDITO CAPITAL INVESTMENT ADVISORS P. LTD.	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST REDDITO CAPITAL INVRSTMENT ADVISORS PVT. LTD. (NOTICE)	SEBI/HO/EFD-1-DRA6/P/OW/2023/5879/1 SEBI/HO/EFD-1-DRA6/P/OW/2023/33675/1 ENQUIRY REPORT NO. ENQ/AS/GD/2022-23/23252

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Feb-24	ABBPS2467H	CHETAN YASHWANT SHUKLA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. ORDER/GR/PU/2022-23/15951
27-Feb-24	AAACZ6848C	ZIMIG TRADING COMPANY PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633
27-Feb-24	AAACO2454R	NOVA GOLD PETRO RESOURCES LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633
27-Feb-24	AAHCS1332H	STARDOM TRADING COMPANY PVT. LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633
27-Feb-24	BIKPS4962G	SAIRA AMANATALI SHAIKH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. PM/NR/2020-21/9598
27-Feb-24	ACIPG0193J	ARVIND BABULALJI GOYAL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. ORDER/KS/VC/2019-20/5772-5779
27-Feb-24	AAECC0841Q	CROMAKEM LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633
27-Feb-24	AMMPG4969Q	BHAVESH GADHAVI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7431 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Feb-24	AAACD1881H	KELVIN FINCAP LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633
29-Feb-24	BKXPS1638G	DINANATH SHAMSUNDER	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. ORDER/DS/AY/2022-23/19458-19459
01-Mar-24	ASAPK9943L	KALPANA KOMATI	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES, 1995 READ WITH SECTION 15I OF SEBI ACT, 1992	EAD-4/ADJ/GR/PU/24766/1/2023
01-Mar-24	GWLPS3968A	SILAMKOTI ABILASH	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES, 1995 READ WITH SECTION 15I OF SEBI ACT, 1992	EAD-4/ADJ/GR/PU/24768/1/2023
07-Mar-24	ABRPA8654L	SRINIVASAGOPALAN ARA AMUDAN	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. RA/DPS/101/2017
12-Mar-24	DXSPR9776L	SHRI SHUBHAM RAI	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/23943/2022-23
12-Mar-24	EDRPR4810K	SHRI RAJESH NATH	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/23943/2022-23
12-Mar-24	AAJFC0166C	CAPPROIN FINANCIAL ADVISORY	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/22386/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Mar-24	CSEPS0815B	MR. RAJINDER SINGH	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/15731/2021-22
14-Mar-24	AABCO6972D	RAGHUPATI OVERSEAS PRIVATE LIMITED (NAINADEVI COMMOTRADE PVT. LTD. / ORANGE COMMOTRADE PRIVATE LIMITED)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6930 of 2023
14-Mar-24	AXNPC3713G	PREM CHAND	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE NO. 7418 of 2023
14-Mar-24	AAACV5887D	VITOBA REALTORS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6831 of 2023
14-Mar-24	CXMPK2057E	SACHIN KUMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6843 of 2023
14-Mar-24	ACZFS4147H	SKYNET SOLUTIONS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6883 of 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
18-Mar-24	BOHPC6521A	MS. RAJNANDANI JALKHEDIYA	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF M/S SAFE TRADER (PROPRIETOR: MS. RAJNANDANI JALKHEDIYA)	QJA/SVMDR/WRO/WRO/23145/2022-23
18-Mar-24	BNTPB7100D	JASMEET KAUR BAGGA	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/22386/2022-23
18-Mar-24	CPWPP2985J	PRIYANK SHARMA	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/22386/2022-23
18-Mar-24	ADPPO5693K	MS. VARSHA OSWAL	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/22737/2022-23
18-Mar-24	DNAPP1607Q	MS PRABHA PATEL	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/22737/2022-23
18-Mar-24	CACPS0218L	MS. ARTI SHARMA	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/15731/2021-22
18-Mar-24	BESPS6281L	MS. SUDHI SINGH	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/15731/2021-22

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
18-Mar-24	ALUPG7490G	GANESH ANANT GHADGE	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18104-18110
21-Mar-24	ACIPG0193J	ARVIND BABULAL GOYAL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18093-18097
21-Mar-24	ABOPW5107F	SORAB WADIA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18093-18097
21-Mar-24	AMTPD7404Q	RAMESH DWARKADAS DAGA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18093-18097
21-Mar-24	ABNPD1798C	CHETAN DOGRA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: BS/AO/53-60/2018-19
21-Mar-24	AFXPB0128C	KALPESH RAMNIKLAL BABARIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: EAD-2/AO/18/2012
21-Mar-24	ACUPV1785B	GIRIDHAR J VAGADIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: EAD-2/AO/19/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Mar-24	ACUPV1785B	GIRIDHAR J VAGADIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: PKK/AO/275/2010
21-Mar-24	ACUPV1785B	GIRIDHAR J VAGADIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: SD/AO/86/2010
21-Mar-24	AGCPM0469R	BIMLESH KUMAR MISHRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: SD/AO/170/2009
21-Mar-24	AGCPM0469R	BIMLESH KUMAR MISHRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: SD/AO/79/2010
21-Mar-24	ACAPT3474F	MADHUSUDAN THAKKAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: PKK/AO/244/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Mar-24	AAGCS6595M	SHYAM ALCOHOL AND CHEMICALS LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/11117-11121
22-Mar-24	AACCS7980C	SAMPADA CHEMICALS LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/11117-11121
22-Mar-24	ABNPD1798C	CHETAN DOGRA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/10364-10366
22-Mar-24	AACHC5554P	CHETAN DOGRA HUF	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/10364-10366

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Mar-24	AOIPS9379C	TANUJA SINGH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/AO/81/2018-19
22-Mar-24	AAXPH9088C	SHRI VINOD HINGORANI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ACL/VH/AO/DRK/AS/EAD-3/165/09-68/2010
22-Mar-24	AAXPH9088C	SHRI VINOD HINGORANI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. IVD-ID-3/ACL/VH/AO/DRK/AS/EAD-3/111/09-40/2010
26-Mar-24	AABPD5165A	BIPIN PUSHPABEN DIVECHA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. EAD/SR/SM/AO/79-85/2019-20/3444-3450

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Mar-24	AABCF9494P	FINALYSIS CREDIT & GUARANTEE COMPANY LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. EAD/SR/SM/AO/79-85/2019-20/3444-3450
26-Mar-24	AAACC3529P	M/S COSMO CORPORATE SERVICES LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. JJ/AK/AO-114/2014
26-Mar-24	AAACI4661A	ISHITA FINSTOCK LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. JJ/AK/AO-177/2014
26-Mar-24	AACCB4484G	BAHAR PAPER PRIVATE LIMITED / KODA MALIA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/RG/406-465/2015
26-Mar-24	AABCH8216M	HEENA DEVELOPERS LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. BS/AO/33-40/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Mar-24	AMUPB0580E	BANWARI LAL BAGHEL (DIRECTOR)	UNDER SECTION 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015
26-Mar-24	AQDPB0485F	SURENDRA SINGH BAGHEL (DIRECTOR)	UNDER SECTION 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015
26-Mar-24	AAEPC9775G	CHANDRA SHEKHAR CHAUHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/AS/AO/10490-10494/2020-21
01-Apr-24	CUCPG7495R	VIKASH GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7571 OF 2024
02-Apr-24	AARCS5133J	SHALLOT TIE-UP PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-6/AO/OM/NK/013/2019-20
02-Apr-24	AARCS4827R	SHALLOT DEALTRADE PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-6/AO/OM/NK/013/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Apr-24	AADCR4597F	RIDS TEXTILES LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/310-319/2018
02-Apr-24	AACCD4984F	DINESH PATADIA FINANCIAL & INVESTMENTS PVT. LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/310-319/2018
02-Apr-24	AFWPM0506N	DHILIN MEHTA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-12/AO/SM/250-251/2018
02-Apr-24	AACCA2226R	AHILYA COMMERCIAL PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/AO-10680/2011
02-Apr-24	AACPM1058Q	PRASHANT MULEKAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. EAD-4/SRP/AE/AO/2018-19/19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Apr-24	ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKK/AO/192/2011
02-Apr-24	ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-109/2011
02-Apr-24	ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-138/2013
02-Apr-24	ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-137/2013
02-Apr-24	AAACL7744D	LAKSHYA SECURITIES AND CREDIT HOLDINGS LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO-51/2011
02-Apr-24	AAACL7744D	LAKSHYA SECURITIES AND CREDIT HOLDINGS LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO-16/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Apr-24	AACCS1366C	SHANTI TRADELINK PVT LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO-11/2012
03-Apr-24	ARHPD5353R	SURAJIT DUTTA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13614
03-Apr-24	AUWPJ3225E	MITHILESH KUMAR JHA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13615
03-Apr-24	AABCB1442P	M/S BOBBY LEASE FINANCE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/16/2010
04-Apr-24	AANPD5594R	VENUGOPAL DHOOT	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/SM/DP/2022-23/25293

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Apr-24	ADHPP4699Q	CHIRAG PATEL	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/215-221/2017
09-Apr-24	AAICA4474D	ACUITY MERCHANTS PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454
09-Apr-24	AABCR4071J	RICHHOLD PROPERTIES PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935
09-Apr-24	AACCK7867L	KABERI GOODS PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454
09-Apr-24	AACCI3168A	INVOREX VINCOM PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Apr-24	AAGCA8604B	AKANSHA COMMODITIES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454
09-Apr-24	AABCG1461B	M/S GODAVARI COMMERCIAL SERVICES PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454
09-Apr-24	AAJHS6712E	S.K. AGARWAL SONS & HUF	SEBI SETTTLEMENT SCHEME 2024 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EFD1/SEC/8908/1
09-Apr-24	AAICA4474D	ACUITY MERCHANTS PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935
09-Apr-24	AABCG1461B	GODAVARI COMMERCIAL SERVICES PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935
09-Apr-24	AACCK7867L	KABERI GOODS PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Apr-24	AACCI3168A	INVOREX VINCOM PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454
09-Apr-24	AAGCA8604B	AKANSHA COMMODITIES PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935
09-Apr-24	AAECS0527R	SUPERDEAL FINCOM PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454
09-Apr-24	AAACV5947Q	ELECTROPARTS (INDIA) PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13337
09-Apr-24	AAACV2303E	VIDEOCON REALTY AND INFRASTRUCTURES LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12972-12975
09-Apr-24	AAACV5947Q	ELECTROPARTS (INDIA) PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13548-13550

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Apr-24	AAACV2303E	VIDEOCON REALTY AND INFRASTRUCTURES LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13548-13550
09-Apr-24	AANPD5594R	VENUGOPAL DHOOT	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13548-13550
09-Apr-24	AAACV5947Q	ELECTROPARTS (INDIA) PVT. LTD. (ERSTWHILE SHREE DHOOT TRADING AND AGENCIES LTD.)	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12972-12975
09-Apr-24	AAACV2303E	VIDEOCON REALTY AND INFRASTRUCTURES LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13338
09-Apr-24	AANPD5593J	PRADEEP KUMAR DHOOT	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13691
12-Apr-24	AACCE1213E	JUG MUG SALES PRIVATE LIMITED (NOW ENCORD TRADING PRIVATE LIMITED)	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. ORDER/GR/BM/2023-24/29301

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
18-Apr-24	AAEPL2191D	MS. PREM LATA	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/15731/2021-22
19-Apr-24	AETPJ9387E	DEVENDER KUMAR JAIN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7728 OF 2024
19-Apr-24	AAAHD3061N	D. K. JAIN & SONS HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7727 OF 2024
19-Apr-24	AQNPR3366R	RAMSWAROOP B PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/7/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Apr-24	AMYPK4943N	RUPESH KUMAR	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF SEBI ACT, 1992 AND RULE 4(1) OF THE SECURITIES CONTRACTS (REGULATIONS) PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 READ WITH SECTION 23I OF SECURITIES CONTRACTS REGULATION ACT, 1956	EAD-IO/ADJ/SM/AS/OW/44659/1/2023
22-Apr-24	AMYPK4943N	RUPESH KUMAR	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF SEBI ACT, 1992 AND RULE 4(1) OF THE SECURITIES CONTRACTS (REGULATIONS) PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 READ WITH SECTION 23I OF SECURITIES CONTRACTS REGULATION ACT, 1956	EAD-IO/ADJ/SM/AS/OW/44819/1/2023
22-Apr-24	AAACM9900G	MH MILLS & INDUSTRIES LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-8/KS/AA/AO/219/2018-19
23-Apr-24	AABCT3010D	M/S T H VAKIL SHARES AND SECURITIES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. SD/AO/165/2009

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Apr-24	AABCT3010D	M/S T H VAKIL SHARES AND SECURITIES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. SD/AO/166/2009
24-Apr-24	AUNPS2417E	AMUL P. SHETH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. MC/AO-10/2012
24-Apr-24	AAFHG7351F	GOPAL GOENKA HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9563/1/2024
24-Apr-24	ABMPA0916C	ANITA AGARWAL	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9565/1/2024
24-Apr-24	ATUPS9251J	AMREEN SUKHYANI	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9601/1/2024
25-Apr-24	AEVPP6124P	ASHOK R PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/2/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Apr-24	ADRPP1806H	SATISH R PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/3/1
25-Apr-24	AQNPP3367Q	SHANTADEVI R PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/5/1
25-Apr-24	AHWPP6235D	RADHADEVI S PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/6/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Apr-24	AAACC6370Q	CAVALIER SECURITIES LTD	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/10/1
25-Apr-24	AABCR0270K	RAJESH MALLEABLES LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-8/KS/AA/AO/225/2018-19
25-Apr-24	AAYHS3088Q	MR. SANJAY SHASTRI HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EFD1/SEC/8910/1
29-Apr-24	AHWPP6223H	SARITADEVI PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/9/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
29-Apr-24	AEAPP8945F	RAMNIKLAL MANJIBHAI PATEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. SD/AO/87/2010
29-Apr-24	AEAPP8945F	RAMNIKLAL MANJIBHAI PATEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. PKK/AO/276/2010
29-Apr-24	BAPPS6818A	SARIN PRANAV	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-5/BS/AO/08-26/2018-19
29-Apr-24	AAACO6061G	OURS TRADING AND HOLDINGS PVT. LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-5/BS/AO/08-26/2018-19
29-Apr-24	AFMPA6266M	SIYA BANSAL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. RA/DPS/124-126/2017
29-Apr-24	APKPR9706D	ROBART	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
29-Apr-24	ARQPS6069Q	RAJAN SHRIVASTAVA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
29-Apr-24	AMSPG0605P	RAJAN GHOSH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
29-Apr-24	BDMPK0156K	PUNIT KAPOOR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
29-Apr-24	AXCPK5271G	NARENDER KUMAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
29-Apr-24	AAFCM5760R	MARKUP TRADING PVT. LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
29-Apr-24	AAHFG9994Q	GLOBAL WEALTH CREATORS	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
29-Apr-24	AAACF9476E	FIRSTCALL CONSULTANCY SERVICES PRIVATE LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
29-Apr-24	AIQPG6739G	EMINENCE CAPITAL SERVICES/NARESH GUPTA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
29-Apr-24	ABNPD1798C	CHETAN DOGRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-7/BJD/NJMR/115-116/2018-19
29-Apr-24	AEAPP8945F	RAMNIKLAL M. PATEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. MC/AO-10/2012
29-Apr-24	AHWPM5390G	MAHESH H. MISTRY	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. PKK/AO/287/2010
29-Apr-24	AGMPB8395B	RAJAN BANSAL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. RA/DPS/124-126/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
29-Apr-24	AADCG1655R	GAMUT EXPORTS LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-2/DSR/RG/406-465/2015
30-Apr-24	AAKHA1804N	ANIL KUMAR GARG HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9546/1/2024
30-Apr-24	AAFHD3367J	DILIP KUMAR KEDIA HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9554/1/2024
30-Apr-24	AACCA3894K	AAKANSHA TREXIM PRIVATE LIMITED	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9573/1/2024
30-Apr-24	AAIHD6045G	DEVA NAND AGGARWAL HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9588/1/2024
30-Apr-24	DQTPS4378R	AKASHDEEP SINGH	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9603/1/2024
30-Apr-24	AYGPD9258H	URMILA DEBI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/AK/VP/2022-23/21846
30-Apr-24	AABCK7306F	KARTIK SHARE TRADERS PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/AO/80/2018-19
02-May-24	AAFCA3058E	RVL SECURITIES PVT. LTD.	SEBI SETTLEMENT SCHEME 2024 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EFD1/SEC/8918/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-May-24	BBPPR7839K	SUSHMA VIMALKUMAR RAVA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. Order/GR/PU/2022-23/20625-20642
07-May-24	ABNPD1798C	CHETAN DOGRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/VV/AO/45/2018-19
07-May-24	AAACK2230P	KRISHA INCAP SERVICES PRIVATE LIMITED	NOTICE UNDER REGULATION 25(1) OF SECUTIES AND EXCHANGE BOARD OF INDIA(INTERMEDIARIES) REGULATIONS. 2008 IN RESPECT OF KRISHA INCAP SERVICES PRIVATE LIMITED	EAD-IO/ENQ/SM/AS/OW/44663/1/2023
10-May-24	AIIPG6981A	SHYAM SUNDER GUPTA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ASK/RGA.AO/6-19/2015-16
10-May-24	AMZPS1044F	PANKAJ SARAIYA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/KS/VC/2020-21/7595

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-May-24	ATOPK6636K	SHIVCHARAN S. KASHYAP	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
14-May-24	ACIPG0193J	ARVIND BABULALJI GOYAL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: ORDER/KS/VC/2019-20/5772-5779
14-May-24	AAWPD3242M	N R DOSHI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7720 OF 2024
14-May-24	ACQPS5375Q	SUNITA SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7721 OF 2024
14-May-24	AAOPW4517G	DHEERAJ RAJESHKUMAR WADHAWAN	NOTICE OF HEARING IN THE MATTER OF DEWAN HOUSING FINANCE CORPORATION LIMITED(DHFL)	SEBI/HO/CFID/CFID/P/OW/2024/14783/5
14-May-24	AAOPW4517G	DHEERAJ RAJESHKUMAR WADHAWAN	NOTICE OF HEARING IN THE MATTER OF DEWAN HOUSING FINANCE CORPORATION LIMITED(DHFL)	SEBI/HO/CFID/CFID/P/OW/2024/11332/3
14-May-24	AAOPW4517G	DHEERAJ RAJESHKUMAR WADHAWAN	NOTICE OF HEARING IN THE MATTER OF DEWAN HOUSING FINANCE CORPORATION LIMITED(DHFL)	SEBI/HO/CFID/CFID/P/OW/2024/11332/2

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
14-May-24	AAOPW4517G	DHEERAJ RAJESHKUMAR WADHAWAN	NOTICE OF HEARING IN THE MATTER OF DEWAN HOUSING FINANCE CORPORATION LIMITED(DHFL)	SEBI/HO/CFID/CFID/P/OW/2024/11332/1
14-May-24	AAOPW4517G	DHEERAJ RAJESHKUMAR WADHAWAN	NOTICE OF HEARING IN THE MATTER OF DEWAN HOUSING FINANCE CORPORATION LIMITED(DHFL)	SEBI/HO/CFID/CFID/P/OW/2024/11330/1
14-May-24	AAOPW4517G	DHEERAJ RAJESHKUMAR WADHAWAN	NOTICE OF HEARING IN THE MATTER OF DEWAN HOUSING FINANCE CORPORATION LIMITED(DHFL)	SEBI/HO/CFID/CFID/P/OW/2024/11330/2
22-May-24	AAAPU1153C	HARISH G UCHIL	ORDER UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/KG/2020-21/9268-9270
22-May-24	AAAPU1152D	RAJESH G UCHIL	ORDER UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/KG/2020-21/9268-9270
22-May-24	AADCC3531C	CRONIMET MERCON INVEST LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO-EAD-7/BJD/NJMR/2018-19/1979-2006

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-May-24	AABFA9058R	AGGARWAL STEEL ROLLING MILLS & METAL INDUSTRIES	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO-EAD-2/DSR/RG/686-781/2017
23-May-24	AAECR0541E	RANGOLI COMMODITIES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO-ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014
24-May-24	AAACZ2996D	ZOLTON PROPERTIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6828 OF 2023
29-May-24	AAICA9057G	JAGRUTI INFRA DEVELOPERS PRIVATE LIMITED/ AKRITI ADVISORY SERVICES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATING ORDER NO-ORDER/AS/VC/2022-23/25158-25168

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
29-May-24	AADCT2352C	TANU TRADES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO-ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014
29-May-24	AACCT9825B	TARANG IMPEEX PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO-ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014
30-May-24	DBTPK6055A	KARAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6841 OF 2023
30-May-24	AJHPS2051D	MANOHAR LAL SACHDEVA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6245 OF 2023
30-May-24	AFCPD6087P	KANTA DEVI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6669 OF 2023
30-May-24	AKEPR6030K	PUSHP RAJ	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6666 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
30-May-24	BEIPP7914K	GIRIJA PANDEY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6620 OF 2023
30-May-24	AADPG9382Q	AJAY GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6891 OF 2023
30-May-24	AAFCR2252M	ROSESTAR MARKETING PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6814 OF 2023
30-May-24	AACCT8158M	TORUS BUILDCON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6826 OF 2023
30-May-24	AALCS1157L	SAUBHAGYA BUILDCON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6827 OF 2023
30-May-24	AACCE7133K	EDEN INFRASMITH PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6882 OF 2023
30-May-24	ALMPK2209G	SHRI BALAJI COMFIN TRADERS (PROP. SUNIL KAPOOR)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6324 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Jun-24	BZZPS6587H	ELITE INVESTMENT ADVISORY SERVICES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7794 OF 2024
10-Jun-24	AAAFK1583C	BIRLA PACIFIC MEDSPA LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/SM/AE/2022-23/19751-19761
10-Jun-24	AHRPM0496Q	MAHADEV IMPEXS	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. IVD-ID5/ESL-MI/AO/DRK/ASG/EAD3-303/69-2011
12-Jun-24	AAACP4032A	M/S PACL LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7796 OF 2024
12-Jun-24	ADUPK3802B	INDIRA PRASHANT KARKERA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7787 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Jun-24	AAQPN1499Q	N. NAGESH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7793 OF 2024
20-Jun-24	AMVPL4417B	LAKHANI BABULAL HANSRAJ	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/23302-23316
20-Jun-24	DMKPS4150Q	VINOD SOLANKI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/23302-23316
20-Jun-24	DHGPP1260K	MAHENDRABHAI NARANBHAI PATEL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/23302-23316
20-Jun-24	GDWPS4583K	RAMANLAL RAVCHAND SHAH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/23302-23316

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
20-Jun-24	CZTPS4626L	BHARTI SHARMA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/23302-23316
20-Jun-24	AADCT8403C	TOPWELL PROPERTIES P LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SBM/JR/2021-22/12372-12375
20-Jun-24	AACCL0133G	LIMESTONE PROPERTIES P LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SBM/JR/2021-22/12372-12375
21-Jun-24	AAMCS8457N	S5 TRADING PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/AR/2018-19/839
24-Jun-24	ATJPT1108Q	DHIRAJBHAI BALADEVJI THAKOR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/23302-23316

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jun-24	AAICA2155K	M/S ARISTO MEDIA AND ENTERTAINMENT PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO.ORDER/PM/PA/2021-22/14292-14327
24-Jun-24	AAFCC1046G	CREATIVE VISION INDUSTRIES PVT. LTD.	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/IVD/ID1/17702/2022-23
24-Jun-24	AAPCS2634E	SINDIA INVESTMENT GROUP PTE LTD.	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/IVD/ID1/17702/2022-23
24-Jun-24	AACCA7725M	ACUTE CONSULTANCY LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/17112-17196
24-Jun-24	AACCB8181G	BLC TRADING AND AGENCIES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/AA/AR/2020-21/8690-8721
24-Jun-24	AABCI6628L	INNOVIST INDIA PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/GR/PU/2021-22/14526

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jun-24	AADCB6654M	BLUEBELL AGENCIES PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/GR/PU/2021-22/14433
24-Jun-24	AACHC5554P	CHETAN DOGRA HUF	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. AK/AO-15-20/2015
24-Jun-24	AKIPL0751H	MUKESH RAMCHANDRA LADE	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. EAD-12/AO/SM/54-55/2017-18/1086
24-Jun-24	AACCJ3651K	JESSE TRADING PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/MG/2020-21/10579-10580
24-Jun-24	AAPCS2634E	SINDIA INVESTMENT GROUP PTE LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/MG/2020-21/10942

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jun-24	ACHPC5141R	KARSAN CHITRODA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. EAD/SR/SM/AO/51-53/2018-19
24-Jun-24	ACTPC6124A	MUKESH CHAUHAN	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/MC/VS/2020-21/9262-9275
24-Jun-24	ACXPJ1278Q	JAYMANI JOSHI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/MC/VS/2020-21/9262-9275
24-Jun-24	AADCT1652R	TIEN TRADING PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/19545-19547
24-Jun-24	ABNPD1798C	CHETAN DOGRA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. AK/AO-15-20/2015

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jun-24	AOTPK5176L	RAVINDRA SHANKAR KAURAV	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. EAD-5/SVKM/AO/04-06/2016-17
24-Jun-24	AMPPG0185K	SAMIR GHOSH	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 32 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	WTM/GM/EFD/DRAIII/45/2017-18
24-Jun-24	AAACM7494B	M/S ACCLAIM INDUSTRIES LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. EAD/SR/SM/AO/51-53/2018-19
24-Jun-24	AAVCS5813R	SINGHANIA MEDIA SOLUTIONS PRIVATE LIMITED (SMSPL)	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF M/S SINGHANIA MEDIA SOLUTIONS PRIVATE LIMITED	WTM/MPB/SEBI/EFD-DRA4/6/2017
24-Jun-24	AMCPS0309L	RAJESH SHAH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/MC/VS/2020-21/9262-9275

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jun-24	AHOPV1194R	SHEKHAR D VAISHNAV	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. JS/DJ/OW/P/2018-19/24753/1
24-Jun-24	AABCU3600J	UNIQUE VISION FINANCIAL ADVISORY PVT. LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. EAD-5/SVKM/AO/04-06/2016-17
27-Jun-24	AHJPR6079C	R RAVICHANDRAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6735 OF 2023
28-Jun-24	AAFPM9963R	RAJESH MEHTA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. EAD/SR/SM/AO/51-53/2018-19
28-Jun-24	ACUPV1785B	GIRDHAR VAGADIA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. SRP/DL/AO:107/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Jun-24	AACCE2069E	EVERREADY MARKETING PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/SM/AR/2018-19/839
28-Jun-24	APOPS5722A	ABHAYRAJ RAMPHER SHUKLA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. EAD/AO-NP/AS/36/2017
28-Jun-24	AAACJ9577E	KAJOL IMPEX LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. SD/AO/43/2008
01-Jul-24	BCTPS9910B	DHEERAJ SISODIA	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995(ADJUDICATION RULES) READ WITH SECTION 15I OF SEBI ACT	SEBI/HO/EAD-8/SV/RM/2024/1131/1-24
03-Jul-24	AABCF1127B	FIRST FUTURES AND STOCKS PRIVATE LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7469 OF 2023
03-Jul-24	AGOPG2342M	CHENNAI COMMODITIES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7470 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jul-24	AAGCS1052B	SHREYANSE FINANCE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7568 OF 2024
03-Jul-24	DUWPS2496M	SATHISH KUMAR N	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7694 OF 2024
03-Jul-24	AEPPV7309L	VARALAKSHMI P	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7695 OF 2024
03-Jul-24	ARHPN2907P	S NAGARAJAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7696 OF 2024
03-Jul-24	AMXPM1460H	K MANIKANDAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7698 OF 2024
03-Jul-24	BSBPM8534R	M SASIKALA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7699 OF 2024
03-Jul-24	BKDPR6611L	RAJA P	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7704 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
03-Jul-24	BLJPS1968J	SOLOMON Y	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7706 OF 2024
03-Jul-24	APHPM3909G	MURALI PRAKASH NAIDU	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7707 OF 2024
03-Jul-24	AAGCS1052B	SHREYANSE FINANCE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7708 OF 2024
03-Jul-24	BILPS7862N	M SETHUJANANI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7709 OF 2024
03-Jul-24	DKLPS1799L	S SENGOLANCY BEAULA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7711 OF 2024
03-Jul-24	BESPS4830M	SUBHALAKSHMI M S	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7712 OF 2024
03-Jul-24	AACCS8246B	SECUNDERABAD HEALTHCARE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7734 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Jul-24	ATFPA3651B	GAURAV AGARWAL	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995(ADJUDICATION RULES) READ WITH SECTION 15I OF SEBI ACT	SEBI/HO/EAD-8/SV/RM/2024/1131/1-24
05-Jul-24	AAACM2862M	EXELON INFRASTRUCTURE LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO-EAD/BJD/VS/24-33/2017-18
11-Jul-24	AABCO2030J	OLIWONDERS FINANCIAL SERVICES PRIVATE LIMITED	IN RESPECT OF SEBI ORDER DATED JANUARY 31, 2019 AND HON'BLE SECURITIES APPELLATE TRIBUNAL ORDER DATED MARCH 04, 2020 IN THE MATTER OF POLYTEX INDIA LIMITED, GEMSTONE INVESTMENTSLIMITED AND KGN ENTERPRISES LIMITED.	WTM/GM/EFD/22/2020-21
11-Jul-24	AAECA3869N	SHREE AERAN MARKETING PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. : Order/SRP/AE/2018-19/1765
11-Jul-24	AAACR0210J	ROSHI APPLIANCES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO:Order/GR/RK/2021-22/13693-13694

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Jul-24	AACCN7650G	NEEVAN CAPITAL MARKETS PRIVATE LIMITED	IN RESPECT OF SEBI ORDER DATED JANUARY 31, 2019 AND HON'BLE SECURITIES APPELLATE TRIBUNAL ORDER DATED MARCH 04, 2020 IN THE MATTER OF POLYTEX INDIA LIMITED, GEMSTONE INVESTMENTSLIMITED AND KGN ENTERPRISES LIMITED.	WTM/GM/EFD/22/2020-21
11-Jul-24	AAECM7271J	MRIDUL SECURITIES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. : Order/SRP/AE/2018-19/1775
11-Jul-24	AAFCA6229B	ADVANCE INDIA SHARES & SECURITIES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/BM/DS/2022-23/17525-17534
11-Jul-24	AAECR4581N	ROYAL THEME PRODUCTS INDIA PVT	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/BM/DS/2022-23/17525-17534
11-Jul-24	AAACR0210J	ROSHI APPLIANCES PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATING ORDER NO- Order/GR/RK/2021-22/12972-12975

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Jul-24	AAIHA3468A	AVINASH PITALE HUF	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO:ORDER/SM/S./2022-23/20356-20372
11-Jul-24	AMVPG6042M	SAURABH KUMAR RASIKLAL GANDHI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/KS/AS/2021-22/12235-12247
11-Jul-24	AGSPC0944K	MANISH CHATURVEDI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO:ORDER/SBM/VS/2022-23/16857-16859
11-Jul-24	AAACI7325P	TAKSHEEL SOLUTIONS LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO-ORDER/JS/AU/2021-22/12962-12971
11-Jul-24	AFBPB2887C	SANTOSH VASANT BHELEKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO:ORDER/KS/AS/2021-22/12235-12247

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Jul-24	AAACA7300E	AMADHI INVESTMENTS LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATING ORDER NO.ISD/IPO/AO/DRK-DS/EAD-3/511/55/2014
11-Jul-24	AAACA8504G	CASTEX TECHNOLOGIES LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995. UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATING ORDER NO-ORDER/AA/MG/2019-20/3506-3507
15-Jul-24	AHSPP7976M	DIPALI NILAY PATEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA1/16/2020-21
15-Jul-24	ADSPR1560P	KUSUM DEEPAK RATHOD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO.BM/AO-80/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
15-Jul-24	AABCG0986D	COMMEX TECHNOLOGY LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995. UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO.ORDER/VV/PR/AK/2022-23/16649-16651
22-Jul-24	AALCA9216G	AL MAREIJA PRECIOUS METALS & BULLIONS	CORRIGENDUM TO THE ORDER DATED JUNE 17 , 2021 BEARING REFERENCE NUMBER WTM/MB/CFD/DCR/12284/2021-22 IN THE MATTER OF ATLAS JEWELLERY INDIA LIMITED	WTM/MB/CFD/DCR/12284A/2021-22
22-Jul-24	AAICM7748N	MANKOOL GENERAL TRADING	CORRIGENDUM TO THE ORDER DATED JUNE 17 , 2021 BEARING REFERENCE NUMBER WTM/MB/CFD/DCR/12284/2021-22 IN THE MATTER OF ATLAS JEWELLERY INDIA LIMITED	WTM/MB/CFD/DCR/12284A/2021-22
22-Jul-24	AALCA9215F	AL JURAINA PRECIOUS METALS & BULLIONS	CORRIGENDUM TO THE ORDER DATED JUNE 17 , 2021 BEARING REFERENCE NUMBER WTM/MB/CFD/DCR/12284/2021-22 IN THE MATTER OF ATLAS JEWELLERY INDIA LIMITED	WTM/MB/CFD/DCR/12284A/2021-22
22-Jul-24	ATSPK8706G	NEERAJ KEDIA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. AO/SBM/EAD-3/35-56/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Jul-24	AADCG3026G	GROWFAST REALTOR PVT. LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. AO/SBM/EAD-3/35-56/2017
22-Jul-24	ARJPS0674C	PRAMOD RAMDIN SHARMA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. AO/SBM/EAD-3/35-56/2017
23-Jul-24	AAECA3909P	AMRAPALI AADYA TRADING & INVESTMENT PVT LTD	NOTICE UNDER REGULATION 25(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF M/S AMRAPALI AADYA TRADING AND INVESTMENT PVT. LTD	SEBI/EAD-1/BS/16969/2024
24-Jul-24	AAACB1655R	H BHAVESH SECURITIES AND COMMODITIES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/BD/VS/2020-21/8757
24-Jul-24	AAFCA2670L	ALISHAN ESTASTE PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: AO/SBM/EAD-3/35-56/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jul-24	AAJCS1020N	SHRIDHAN JEWELLERY PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: AO/SBM/EAD-3/35-56/2017
24-Jul-24	ACHPB8147L	PULAK BAGCHI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: AO/SBM/EAD-3/35-56/2017
24-Jul-24	AABCJ5609C	JAGARNATH CONSULTANTS PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: AO/SBM/EAD-3/35-56/2017
24-Jul-24	BGCPS8001A	UMESH SINGH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: AO/SBM/EAD-3/35-56/2017
24-Jul-24	AACCM0740J	SUNSHINE HOUSECON LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: AO/SBM/EAD-3/35-56/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Jul-24	BLZPS6412H	BIRAJ SONAKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: EAD-2/DSR/RG/129-144/2014
29-Jul-24	AABCR6000R	RANKLIN SOLUTIONS LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INIDA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/ORDER/JS/SP/2665/2019-2020
29-Jul-24	AABCR6000R	RANKLIN SOLUTIONS LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 AND SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. EAD-3/ORDER/JS/SP/3112-3119/2019-20
30-Jul-24	AQOPP1860D	MANOJ KUMAR PANDIT	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: EAD-2/DSR/RG/129-144/2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
30-Jul-24	BLEPS0813B	ANUP KUMAR SHARMA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: EAD-2/DSR/RG/129-144/2014
30-Jul-24	ADVPI9206N	IFTEQAAR	UNDER SECTION 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/16600/2022-23
30-Jul-24	ABGFM6563R	MONEY STREETS ADVISORY SERVICES LLP	UNDER SECTION 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/16600/2022-23
31-Jul-24	BFYPS4464N	ANIL SHARMA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: EAD-2/DSR/RG/129-144/2014
02-Aug-24	ABZPG3628G	VITHALBHAI GAJERA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.IVD-ID6/SS-EIIL/AO/DRK/AKS/EAD-3/332/30-12
02-Aug-24	ACUPV1785B	GIRDHARBHAI J VAGADIA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. HB/AO-07-2011

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Aug-24	AFXPB0128C	KALPESH BABARAYA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.IVD-ID6/SS-EIIL/AO/DRK/AKS/EAD-3/ 330/28 -12
02-Aug-24	AACPR2189P	BRIJMOHAN RATHI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.EAD-5/SVKM/RGA/AO/26/2015-16
05-Aug-24	BRHPK7160G	SARVESH KUMAR	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/24255/2022-23
05-Aug-24	ABTPZ7804K	ZULFIQAR AHMED	UNDER SECTION 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/16600/2022-23
05-Aug-24	CODPM3115F	MANISH KUMAR MAHASETH	UNDER SECTION 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CGM/GG/QJC-2/SRO/SRO/21243/2022-23
05-Aug-24	AAPPM2859L	S MADHAVAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7861 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Aug-24	AAUFG1283H	GARUDA FINANCE	SHOW CAUSE NOTICE UNDER SECTIONS 11B (1), 11B(2), 11(4) AND 11(4A) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECTION 12(1) OF SEBI ACT,1992 READ WITH REGULATION 3(1) OF THE SEBI(INVESTMENT ADVISERS) REGULATIONS, 2013 (THE "IA REGULATIONS") IN THE MATTER OF INVESTMENT ADVISERS:SUMIT KUMAR WAGHMARE	SRO/SG/OW/2024/17009/3
06-Aug-24	BROPP5797B	SASHI PANDEY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7863 OF 2024
06-Aug-24	DPSPS8381L	MAHESH SHARMA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7864 OF 2024
08-Aug-24	CYBPP2362R	BHAGAVATIBEN VISHNUBHAI PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES), RULES, 1995	ADJUDICATION ORDER NO. Order/SM/S./2022-23/23302-23316
08-Aug-24	ADSPR1560P	KUSUM DEEPAK RATHOD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-147/2013

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Aug-24	AAACG1479J	APLAYA CREATIONS LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF THE SECURITIES CONTRACT (REGULATION) ACT, 1956, ACT READ WITH RULE 5 OF SECURITIES CONTRACT (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND SECTION 19H OF THE DEPOSITORIES ACT, 1996 READ WITH RULE 4 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/MC/DS/2020-2021/9446-9453
08-Aug-24	ABRPG4589Q	PRAMOD KUMAR GUPTA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF THE SECURITIES CONTRACT (REGULATION) ACT, 1956, ACT READ WITH RULE 5 OF SECURITIES CONTRACT (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND SECTION 19H OF THE DEPOSITORIES ACT, 1996 READ WITH RULE 4 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/MC/DS/2020-2021/9446-9453

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Aug-24	AABCE1275F	EDELWEISS ESTATES PVT LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/KS/AE/2021-22/12185-12201
08-Aug-24	AAACE2875N	EXCEL PAINTS PRIVATE LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/KS/AE/2021-22/12185-12201
08-Aug-24	AAHCS4914K	SURYAMANGAL MEDIA ENTERTAINMENT LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I IF SECURITIES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATION ORDER NO. ORDER/VV/AS/2022-23/17519-17633
08-Aug-24	AACCC0591P	CORNHILL TRADING COMPANY PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I IF SECURITIES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATION ORDER NO. ORDER/VV/AS/2022-23/17519-17633
08-Aug-24	AAACM7948J	MANUSI SECURITIES PVT. LTD.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014
09-Aug-24	CIGPM5834A	BALRAM MUKHERJEE	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7850 OF 2024
14-Aug-24	AIDPD4564F	BARUN KUMAR DAS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7836 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
14-Aug-24	BSCPA7915B	AKASH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7836 OF 2024
14-Aug-24	BNEPP5649A	VIMALA SANJAY PATEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7894 OF 2024
20-Aug-24	AALCA9217H	AL LAYYAH GENERAL TRADING FZE	CORRIGENDUM TO THE ORDER DATED JUNE 17 , 2021 BEARING REFERENCE NUMBER WTM/MB/CFD/DCR/12284/2021-22 IN THE MATTER OF ATLAS JEWELLERY INDIA LIMITED	WTM/MB/CFD/DCR/12284A/2021-22
22-Aug-24	AULPM8809N	MR. HARDIK HIMMATBHAI MUNJPARA	DEACTIVATED DUE TO UNAUTHORISED TRANSACTIONS WITH RESPECT TO INVESTIGATION IN THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF DU DIGITAL TECHNOLOGIES LIMITED	SEBI/HO/IVD/ID07/OW/P/2024/20925/1
23-Aug-24	AAACA4718J	BONANZA INDUSTRIES LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7908 OF 2024
28-Aug-24	AOAPR7655G	NITESH RANJAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7641 of 2024
28-Aug-24	AHZPM5440L	NAMDEO H MORE	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7422 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
29-Aug-24	AADCK9235D	KING EMPIRE TRADEXIM PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7925 of 2024
30-Aug-24	AAECA3909P	AMRAPALI AADYA TRADING & INVESTMENTS PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7909 of 2024
30-Aug-24	AAGCA5007J	ADEPT LIFESPACES PRIVATE LIMITED FORMERLY- ADEPT CREATIONS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7874 of 2024
30-Aug-24	AADCB1809L	BEST CURE PRIVATE LIMITED (NEW NAME-DEVERA DEVELOPERS PVT. LTD.)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7875 of 2024
30-Aug-24	AADCR6690P	REXCIN FINANCE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7877 of 2024
30-Aug-24	AAGCA5140E	ARTIFICE PROPERTIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7878 of 2024
03-Sep-24	AACCC7690K	CAROL SECURITIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6792 of 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Sep-24	AACCK6859L	KARN MERCHANT BANKERS	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/BKM/99/2017-18
09-Sep-24	AADCB8132G	BHOLEBABA SUPPLIERS PVT.LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AA/MG/2019-20/4766-72
09-Sep-24	AAECV8139N	VINAHAST DEALCOM PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BD/VS/2019-20/7382-7409
09-Sep-24	AADCE6828J	EKAPARNIK VINTRADE PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BD/VS/2019-20/7382-7409
09-Sep-24	AACCH5764P	KEYNOTE PAINTING DEALERS PRIVATE LIMITED	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/MC/HP/2020-21/9517-9532
09-Sep-24	AAECD0600N	DELICIOUS DIAMONDS PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3804,3805,3807 TO 3823
09-Sep-24	AACCE8491Q	EVERSAFE PROMOTERS PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AA/MG/2019-20/4766-72

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Sep-24	AAQCS4922A	SUBHANKAR EXIM PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AA/MG/2019-20/4766-72
09-Sep-24	AACCO0086H	ONCEOVER DEAL TRADE PVT LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BD/VS/2019-20/7382-7409
09-Sep-24	AADCI5560R	INDRAWATI COMMOSALES PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BD/VS/2019-20/7382-7409
09-Sep-24	AACCO0067C	OUTSTRIPE SUPPLIERS PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BD/VS/2019-20/7382-7409
10-Sep-24	BRVPM0273J	FIROZ HANIFBHAI MEMON	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. RC3675/2021
10-Sep-24	AAQFA3264Q	AASHISH DEVELOPER	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. RC3231/2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Sep-24	AHZPP1406F	PRASAD V POTLURI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ASK/AO/172-73/2014-15
10-Sep-24	AAFCS5114D	SUNIL SHARES & STOCK BROKING PVT. LTD.	ORDER UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT READ WITH RULE 5(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY THE ADJUDICATING OFFICER) RULES, 1995 IN THE MATTER OF ADJUDICATION PROCEEDINGS AGAINST SUNIL SHARES AND STOCK PVT. LTD.	ADJUDICATION ORDER NO. - BS/AO-14/2008
10-Sep-24	AJIPM5196M	SUJAL SHARMA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NOs. ASK/AO/62-67/2014
10-Sep-24	ALBPT6629A	JITENDRA KUMAR TIWARI	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/15009/2021-22
12-Sep-24	AARFP4833F	P.P CAPITAL MANAGEMENT SERVICES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7325 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Sep-24	AFJPC3081P	MR. P S CHADHA HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7326 OF 2023
12-Sep-24	AACCC8085A	CORPORATE STRATEGIC ALLIANZ PVT LTD	POST ENQUIRY SHOW CAUSE NOTICE UNDER REGULATIONS 27(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF CORPORATE STRATEGIC ALLIANZ PVT. LTD.	EFD/DRA4/BS/OW/24069/2/2024
16-Sep-24	AACCK0438R	SWARNAJYOTHI AGROTECH LTD. (EARLIER KNOWN AS KUSHAL SOFTWARE LTD)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: - AIL/AO/SKS/SG/DCR/01/2012
16-Sep-24	ABSPS8464M	R. SHIVAGURUNATHAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-115/2011
16-Sep-24	ADIPJ1942R	RAMESHCHANDRA K. JAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: - SD/AO/90/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Sep-24	AACHS3486G	GOVIND GENDALAL SOMANI (HUF)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKK/AO/21/2011
16-Sep-24	AJUPP5517G	DEVJIBHAI PARMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/161/2009
16-Sep-24	ADAPT8178K	AMIT TRIPATHI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. PKK/AO/227/2010
16-Sep-24	ACXPT5866A	DHARMENDRA S THAPA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO-41/2010
16-Sep-24	ACXPT5866A	DHARMENDRA S THAPA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO-23/2010

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Sep-24	AACPM2147G	J C MANSUKHANI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NOs. ASK/AO/62-67/2014
16-Sep-24	AGOPM7538H	AWANISH KUMAR MISHRA	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/15009/2021-22
16-Sep-24	AAZFM1357R	MONEY MISHRA FINANCIAL SERVICES	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/15009/2021-22
16-Sep-24	AAJCM8612N	MONEY MISHRA OVERSEAS PVT. LTD	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/15009/2021-22
16-Sep-24	AABCS4046C	SUNCITI FINANCIAL SERVICES PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.EAD-5/SVKM/DS/AO/47/2015-16

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Sep-24	AACCL0866A	LITHMUS CAPITAL CONSULTANCE LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA AT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 3 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/18147-18164
19-Sep-24	AAECK2699A	KESARISUTA VINIMAY PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7910 OF 2024
20-Sep-24	AAACB4604N	BHOJAWANI SECURITIES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY & IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER: ORDER/SM/AS/2023-24/29325-29337

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Sep-24	AACCB3144P	BEST HEALTH MANAGEMENT PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/DS/DK/2022-23/20903-20964
24-Sep-24	AAFCK3314D	KAUTIRYA VANIJYA PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7887 OF 2024
24-Sep-24	AAFCK3315C	KAUTIRYA TRADING PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7886 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Sep-24	ALBPT6629A	JITENDRA KUMAR TIWARI	UNDER SECTION 11(1), 11(4) 11B(1), 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, READ WITH SECTION 15HA OF SECURITIES ABD EXCHANGE BOARD OF INDIA ACT, 1992, AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 READ WITH SECTION 23D OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 IN THE MATTER OF ALLIED FINANCIAL PVT. LTD.	WTM/AB/MIRSD/MIRSD-SEC-4/21079/2022-23
25-Sep-24	AABCW4428J	WAKEETA COMMERCIAL PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7889 OF 2024
30-Sep-24	AAHCA5673K	ACTIVE NIRMAN PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7952 OF 2024
11-Oct-24	AABHA9528A	A. K. ROONGTA HUF	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALITIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2022-23/18215-18256

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Oct-24	AAACH9471P	ROONGTA RISING STOCK PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALITIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2022-23/18215-18256
15-Oct-24	CGUPS0090N	ANIL KUMAR SHARMA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7983 OF 2024
15-Oct-24	AIMPG6205H	MR. GIRISH CHANDRA GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 6390 of 2023
15-Oct-24	BMHPS8135C	MS. BHAWANI SRIVASTAVA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 6390 of 2023
16-Oct-24	BDDPJ0903L	JAGADEESAN S	UNDER SECTION 11(1), 11(4), 11(4A), 11B (1) AND 11B (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/GR/SRO/SRO/29770/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Oct-24	BZAPM0098N	NITU MARETHIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7348 of 2023
16-Oct-24	CDDPS5928P	SATYENDRA SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 1914 of 2019
16-Oct-24	CLHPK5064N	AFASAR KHAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 1914 of 2019
16-Oct-24	BHIPP4346J	SANTOSH PAWAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3022 of 2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Oct-24	CBIPS7345R	ABADH KUMAR SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3032 of 2020
16-Oct-24	AAKCA5452F	ADAMANT INFRASTRUCTURES LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3314 of 2021
16-Oct-24	BCVPS0290L	MS. SOMA SHARMA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4297 of 2021
16-Oct-24	AAJCA8863D	ACTIVE RURAL DEVELOPMENT INDIA LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4759 of 2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Oct-24	DFDPS3198A	MS. VANDANA SAHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4759 of 2022
16-Oct-24	ABGPD2004E	SHRI DILIP KUMAR DIWAKAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4759 of 2022
16-Oct-24	ASKPK2385C	SHRI ATENDRA KUMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4760 of 2022
16-Oct-24	AAHCA6607P	OPTION ONE INDUSTRIES LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4807 of 2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Oct-24	AHVPC3063F	SHRI VIVEK CHAURASIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4807 of 2022
16-Oct-24	CMCPS8260C	SHRI VINOD KUMAR SHRIVASTAVA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4807 of 2022
16-Oct-24	AADCL2283J	M/S. LOFTIER INVESTMENT ADVISOR AND RESEARCH PVT. LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7760 of 2024
16-Oct-24	AACCL2342M	LIFE CARE INFRASTRUCTURES INDIA LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3160 of 2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Oct-24	AAFCP3908E	PRAGYA DAIRIES AND AGRO LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3137 of 2020
16-Oct-24	DGXPK9360P	JITENDRA KUMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3030 of 2020
16-Oct-24	CTNPP8328L	MAHENDRABHAI PANCHAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7271 of 2023
16-Oct-24	BVPPJ3417H	M/S.BILLIONAIRE SOLUTION (SOLE PROPRIETOR MR. AKASH JAISWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7397 of 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Oct-24	EDRPR4810K	SHRI RAJESH NATH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7544 of 2024
16-Oct-24	DXSPR9776L	SHRI SHUBHAM RAI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7544 of 2024
16-Oct-24	NSSPS1252P	M/S.SANCHI RESEARCH PROPRIETOR-MR. RAMGOPAL SET	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7670 of 2024
16-Oct-24	NSSPS1252P	MR. RAMGOPAL SET	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7671 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
17-Oct-24	AABFY3695C	Y P ENTERPRISES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8033 OF 2024
17-Oct-24	AAECA8718H	AMS POWERTRONIC PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8028 OF 2024
17-Oct-24	DAIPS9917R	COLUMBIA SALES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8027 OF 2024
17-Oct-24	AXFPR4439L	RAM PRAKASH & ITS PROPRIETORSHIP FIRM VIZ. KHAN ENTERPRISE AGGARWAL TRADERS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8030 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Oct-24	ADGPT9294C	SHASHANK TEKRIWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7052 OF 2023
21-Oct-24	AAKPG2688Q	HEM CHAND GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7212 OF 2023
21-Oct-24	AAHCS7579Q	SIKHAR INFRASTRUCTURE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7223 OF 2023
21-Oct-24	DLZPS9807N	JAYANTI SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7255 OF 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Oct-24	AETPA7820D	GOPAL HARI AGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7315 OF 2023
21-Oct-24	AGDPA4644F	JATIN AHUJA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7320 OF 2023
21-Oct-24	GUUPM8688E	MR. RAJAT MISHRA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7938 OF 2024
21-Oct-24	CGJPS6216D	HEMANT SOLAPURKAR	SHOWCAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDIRE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29107/4/2024
21-Oct-24	AACCR5455P	M/S RIPPLES ADVISORY PRIVATE LIMITED	SHOWCAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDIRE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29107/1/2024 SEBI/EAD-5/AN/RG/29107/2/2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Oct-24	CGJPS6216D	HEMANT SOLAPURKAR	SHOW CAUSE NOTICE UNDER REGULATION 25(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIATIES) REGULATIONS, 2008 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29102/4/2024
21-Oct-24	AACCR5455P	M/S RIPPLES ADVISORY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 25(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIATIES) REGULATIONS, 2008 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29102/1/2024 SEBI/EAD-5/AN/RG/29102/2/2024
22-Oct-24	AAQCS7850M	SANWARIA INVESTMENT CONSULTANTS PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7346 of 2023
22-Oct-24	AJJPB1169R	DINESH SINGH BHADORIYA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 1914 of 2019
22-Oct-24	AWTPK1049A	SUNIL KUMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3032 of 2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Oct-24	ABUPG5799B	SHRI SANJAY GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3256 of 2021
22-Oct-24	AABCG5430A	NEESA TECHNOLOGIES LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3256 of 2021
22-Oct-24	ABIPJ6029P	SHRI KAMLENDRA JOSHI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3256 of 2021
22-Oct-24	ADVPG4051D	SHRI YOGESH GHISUMAL GEMAWAT	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3256 of 2021

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Oct-24	AQYPM7781N	MR. ANAND MOHAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3314 of 2021
22-Oct-24	ARWPS6298R	MR. PRASHANT SHARMA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4297 of 2021
22-Oct-24	AAHPU7040F	NILESH UPADHYAY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4591 of 2022
22-Oct-24	ABOPY8869L	SHRI BIPIN SINGH YADAV	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4760 of 2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Oct-24	AHWPY1598A	SHRI RAJKUMAR YADAV	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4760 of 2022
22-Oct-24	AJWPG8924E	SHRI SIBA PROSAD GHOSH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4760 of 2022
22-Oct-24	ALIPJ5869M	SHRI ANUJ JAIN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4807 of 2022
22-Oct-24	AESPA2305N	SHRI RAJENDRA AGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 4807 of 2022

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Oct-24	AAACR9384B	RFL INTERNATIONAL LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7276 of 2023
22-Oct-24	AXPPT8469C	AMIT TRIPATHI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7760 of 2024
22-Oct-24	APQPM8659A	SANJOY KUMAR MAITI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3160 of 2020
22-Oct-24	AJNPM1411D	SUJIT MITRA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3160 of 2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Oct-24	ADPPG0695P	PARTHA PRATIM GHOSH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3160 of 2020
22-Oct-24	ACAPL9691A	BANABARILAL LODHI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3030 of 2020
22-Oct-24	ACQPS5387Q	SHRENIK SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7732 of 2024
22-Oct-24	BWTPS4387N	ANURAG SRIVASTAVA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7750 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Oct-24	AJDPJ2709F	LOKENDRA JAIN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7750 of 2024
22-Oct-24	FFDPS9776G	SANDEEP SHRIWAS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7750 of 2024
22-Oct-24	AMJPT3407D	VIJAY SINGH TOMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7750 of 2024
22-Oct-24	BWTPS4387N	ANURAG SRIVASTAVA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7751 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Oct-24	AJDPJ2709F	LOKENDRA JAIN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7751 of 2024
22-Oct-24	FFDPS9776G	SANDEEP SHRIWAS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7751 of 2024
22-Oct-24	AMJPT3407D	VIJAY SINGH TOMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7751 of 2024
25-Oct-24	BNMPP8375B	ANAMIKA PANDEY	SHOWCAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDIRE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29107/6/2024
25-Oct-24	CHCPD0925J	BALVINDER DHILLON	SHOWCAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDIRE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29107/10/2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Oct-24	BNMPP8375B	ANAMIKA PANDEY	SHOW CAUSE NOTICE UNDER REGULATION 25(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIATIES) REGULATIONS, 2008 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29102/6/2024
25-Oct-24	CHCPD0925J	BALVINDER DHILLON	SHOW CAUSE NOTICE UNDER REGULATION 25(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIATIES) REGULATIONS, 2008 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED - SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29102/10/2024
28-Oct-24	AAACO7265J	TOPLINE FABRICS PRIVATE LIMITED	UNDER SECTION 15-I (3) O FSECURITIES AND EXCHANGE BOARD OF INDIA, 1992	WTM/ASB/IVD/ID2/19296/2022-23
29-Oct-24	AIDPG5053A	SHEETAL GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7070 of 2023
29-Oct-24	BCFPK2068C	DEVINDER KUMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7211 of 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
31-Oct-24	AAACD3040L	DAYA BUILDERS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8144 of 2024
31-Oct-24	AAACO7265J	TOPLINE FABRICS PRIVATE LIMITED (NOW KNOWN AS M.A. MARKET SERVICES PRIVATE LIMITED)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8143 of 2024
04-Nov-24	AARHS9086F	SUNIL KUMAR LODHA HUF	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. ORDER/SM/S./2023-24/27833
04-Nov-24	AAACW9754E	WEIRD INFRASTRUCTURE CORPORATION LIMITED	A SCN NO. SEBI/NRO/SCN/SR/P/OW/2024/26601/1 DATED AUGUST 22, 202 IN TH E MATTER OF WEIRD INFRASTRUCURE CORPORATION LIMITED	SEBI/NRO/P/OW/2024/28542/3 SEBI/NRO/P/OW/2024/28542/4
04-Nov-24	ANUPJ8465D	MR JADUNATH JENA	A SCN NO. SEBI/NRO/SCN/SR/P/OW/2024/26601/1 DATED AUGUST 22, 202 IN TH E MATTER OF WEIRD INFRASTRUCURE CORPORATION LIMITED	SEBI/NRO/P/OW/2024/28542/5

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Nov-24	AANCS2863F	SUNRISE LEGAL ADVISOR AND CONSULTANT PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.7993 of 2024
05-Nov-24	AAHCS0386H	SRI JAGANNATH ALLOYS PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.7359 of 2023
05-Nov-24	AAECP9398K	PARAMDHAM VINIMAY PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.7296 of 2023
05-Nov-24	AACCK4103F	KAUSTAV CONSTRUCTION PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.8001 of 2024
06-Nov-24	BWIPS3911H	AMEET SINGH	NOTICE OF HEARING IN THE MATTER OF RE INDIA INFRATECH LIMITED	SEBI/NRO/GN/SR/OW/HN/2024/31533/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-Nov-24	AABCC5031H	CHP FINANCE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8050 of 2024
08-Nov-24	AAACF3149C	FRONTLINE BIOSYSTEMS LTD.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014
08-Nov-24	AAOPG1125C	SWATI GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8172 of 2024
08-Nov-24	AABCF2582N	FUTURESTIC INTERNATIONAL PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8141 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Nov-24	AVHPG5782H	MOHAN GARG & HIS PROPRIETORSHIP FIRM VIZ. GARG TRADERS & SUPPLIERS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8032 of 2024
08-Nov-24	AAGHG6952F	GAURAV BHATIA AND SONS HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8094 of 2024
11-Nov-24	AARCS6606E	SUBHREKHA DEALMARK PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.7992 of 2024
11-Nov-24	ACIPA1867D	PUSHPA DEVI AGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.7363 of 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Nov-24	AAICM8785F	MC SECURITIES LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.7292 of 2023
11-Nov-24	AAECM7068B	MANAS MERCANTILE PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO.7291 of 2023
11-Nov-24	AAKHS2700K	BH SHAH HUF	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SM/AS/2023-24/29352-29356
12-Nov-24	AAQFB5938G	BAKUL GARMENTS LLP	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AN/HP/2023-24/29914-29945
12-Nov-24	AYFPA9042F	NAMITA AGGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7336 of 2023

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Nov-24	AAECC3138N	CAPACIOUS FARMING PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7543 of 2024
12-Nov-24	ACQPY5145E	GAURAV YADAV	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7543 of 2024
12-Nov-24	BCLPS1117G	GURBAKHSH SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7543 of 2024
12-Nov-24	AACCE0416B	ECO FRIENDLY FOOD PROCESSING PARK LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8022 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Nov-24	ALBPT6629A	JITENDRA KUMAR TIWARI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8062 of 2024
14-Nov-24	AACCN9621P	NEELKAMAL DEALCOM P. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: AO/SBM/EAD-3/35-56/2017
14-Nov-24	AAECP8654J	PUSHPANJALI HIRISE PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: AO/SBM/EAD-3/35-56/2017
18-Nov-24	ARAPS8707R	ROMIL B SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SM/AS/2023-24/29352-29356
18-Nov-24	CDEPK3717K	MR. MRITYUNJAY KUMAR	SEBI ORDER NO. QJA/AA/NRO/NRO/30187/30187/2023-24 DATED MARCH 28, 2024 IN TH EMATTER OF FUTURE IPL	SEBI/NRO/P/OW/2024/28161

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Nov-24	AAJPS3797D	SARBJIT SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7304 of 2023
19-Nov-24	ABMPA7898P	MUNNA LAL AGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7335 of 2023
19-Nov-24	CLKPM2478P	MUSKAAN ARORA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7337 of 2023
19-Nov-24	AWWPK8525E	SATENDRA KUMAR & HIS PROPRIETORSHIP FIRM VIZ. BRIGHT SECURITIES, A R ENTERPRISE, NISHA TRADERS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7074 of 2023

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Nov-24	AWWPK8525E	SATENDRA KUMAR & HIS PROPRIETORSHIP FIRM VIZ. BRIGHT SECURITIES, A R ENTERPRISE, NISHA TRADERS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8020 of 2024
19-Nov-24	AACCG6377M	M/S. GOLDLINE INTERNATIONAL FINVEST LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8021 of 2024
19-Nov-24	ARUPK1589P	SUMIT KUMAR & ITS PROPRIETORSHIP FIRM VIZ. VIJAY BHAGWANDAS & CO., DURGA PRASAD & CO.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8024 of 2024
19-Nov-24	AAKHA1804N	ANIL KUMAR GARG HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8025 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Nov-24	AAIHD6045G	DEVA NAND AGGARWAL HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8026 of 2024
19-Nov-24	AACCR3509E	FERN HEALTHCARE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8058 of 2024
19-Nov-24	AAACM0216F	MODLAND WEARS PVT. LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8059 of 2024
19-Nov-24	AGOPM7538H	AWANISH KUMAR MISHRA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8061 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Nov-24	AEYPJ0761M	KAMLA JAIN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8063 of 2024
19-Nov-24	AAUPN5467E	RADHIKA NEVATIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8091 of 2024
19-Nov-24	AAOCS8998N	SUMPOORNA PORTFOLIO LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8133 of 2024
19-Nov-24	DYLPS2734D	PREM SHANKAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8132 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Nov-24	AAAHB9543D	BANWARI LAL ARORA HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7020 of 2023
19-Nov-24	AABCR5196G	BRAIN STRONG CONSULTING LTD. (EARLIER WELLINDIA SECURITIES LTD.)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 7016 of 2023
22-Nov-24	AAGCA8260K	ATLAS JEWELLERY PRIVATE LIMITED	CORRIGENDUM TO THE ORDER DATED JUNE 17, 2021 BEARING REFERENCE NUMBER WTM/MB/CFD/DCR/12284/2021-22 IN THE MATTER OF ATLAS JEWELLERY INDIA LIMITED	WTM/MB/CFD/DCR/12284A/2021-22
22-Nov-24	AUYPV2775R	MR. MANGILAL VERMA	NOTICE OF PERSONAL HEARING IN THE MATTER OF EPIC TRADERS, PROPRIETOR-MR. MANGILAL VERMA	SEBI/WRO/ILO/NKS/OW/P/34015/1/2024
25-Nov-24	AAACI0202K	INTERNATIONAL DIAMOND SERVICES LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: BM/AO-18/2010
25-Nov-24	AUYPV2775R	EPIC TRADERS, PROPRIETOR - MR. MANGILAL VERMA	NOTICE OF PERSONAL HEARING IN THE MATTER OF EPIC TRADERS, PROPRIETOR-MR. MANGILAL VERMA	SEBI/WRO/ILO/NKS/OW/P/34014/1/2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Nov-24	CUEPS1687K	SUKHWINDER SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3165 of 2020
28-Nov-24	CLEPS7179D	GURWINDER SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3165 of 2020
28-Nov-24	BQXPS7815M	KULDEEP SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3165 of 2020
28-Nov-24	CRRPS1400A	MAHAVIR SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3165 of 2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Nov-24	COUPS1306H	SUKHCHAIN SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 3165 of 2020
29-Nov-24	ADSPA9050B	MR. ANIL KUMAR AGARWAL	ORDER UNDER THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY THE ADJUDICATING OFFICER) RULES, 1995.	MAIL RECIEVED FROM SEBI AS ON 06-11-2024
29-Nov-24	AQXPR2438L	PRINCE RANA	BEFORE THE SECURITIES AND EXCHANGE BOARD OF INDIA CORAM: KAMLESH C. VARSHNEY, WHOLE TIME MEMBER FINAL ORDER	MAIL RECIEVED FROM SEBI AS ON 12-11-2024
02-Dec-24	AABCE7405K	EXCEL BUILDTECH PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8249 of 2024
02-Dec-24	AIFPM3626B	USHA MAURYA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8250 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
02-Dec-24	AAKCM1468M	MONEY MISHRA SECURITIES PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3 OF SEBI(PORTFOLIO MANAGERS) REGULATIONS, 1993 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/AA/IMD/IMD-SEC-1/30316/2024-25
03-Dec-24	AADCG6785A	GAUNGOUR SUPPLIERS PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: ORDER/AA/AR/2020-21/8690-8721
05-Dec-24	AHPPA3304H	PARTHASARTHI ADHIKARI	UNDER SECTIONS 11, 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/KV/EFD/DRA1/30326/2024-25
06-Dec-24	ADIPS2660P	CHIRAG SHAH	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKK/AO/191/2011
06-Dec-24	AAZFM1357R	MONEY MISHRA FINANCIAL SERVICES	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3 OF SEBI(PORTFOLIO MANAGERS) REGULATIONS, 1993 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/AA/IMD/IMD-SEC-1/30316/2024-25

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Dec-24	AUXPA3314Q	HIMANSHU ARORA	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3 OF SEBI(PORTFOLIO MANAGERS) REGULATIONS, 1993 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/AA/IMD/IMD-SEC-1/30316/2024-25
09-Dec-24	AACCG5385R	GULISTAN VANIJYA PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: ORDER/AA/AR/2020-21/8690-8721
09-Dec-24	AACCJ0062C	JANVI TANVI SHARE TRADERS PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: EAD-3/VKV/GSS/2019-20/6861-6869
09-Dec-24	AABCH4523R	HERANBA FINVEST SERVICES PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: ORDER/AA/AR/2020-21/8690-8721
09-Dec-24	AABCS5265M	SOUTH ASIA PORTFOLIOS PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: EAD-3/VKV/GSS/2019-20/6861-6869

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Dec-24	AAACS5142E	SUKUSAMA TRADING AND INVESTMENTS PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: ORDER/AA/AR/2020-21/8690-8721
09-Dec-24	AADCR7368C	RANISATI DEALER PVT LTD	A SCN NO. SEBI/NRO/SCN/SR/P/OW/2024/26601/1 DATED AUGUST 22, 202 IN TH E MATTER OF WEIRD INFRASTRUCURE CORPORATION LIMITED	ADJUDICATION ORDER N0: ORDER/AA/AR/2020-21/8690-8721
09-Dec-24	AADCN8108N	NIKITA ENTERPRISE PRIVATE LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: ORDER/SM/MG/2020-21/10579-10580
13-Dec-24	BDJPG6082P	USHA GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7961 of 2024
13-Dec-24	AAOCS7903F	SUNBRIGHT COMMODITIES TRADE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8146 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Dec-24	AAECG1347F	GHAR AANGAN DISTRIBUTORS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8211 of 2024
13-Dec-24	AJAPS0361D	BINA SINGHANIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8002 of 2024
13-Dec-24	AAJHA7611L	ATUL TANNA HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8106 of 2024
13-Dec-24	AAFCA1262N	APPU MARKETING AND MANUFACTURING LIMITED (NOW KNOWN AS EJECTA MARKETING LIMITED)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8209 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Dec-24	AACMS9183M	SARASWATI SALES PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/VV/NK/2023-24/27773
16-Dec-24	AADCD0686P	DEVBHUMI SUPPLIERS PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8057 of 2024
16-Dec-24	AAECG5218M	GOKUL RETAILERS PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7323 of 2023
16-Dec-24	AABCK1567G	GOLDEN BULL RESEARCH AND GROWTH LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8085 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
16-Dec-24	AUWPJ3225E	MITHILESH KUMAR JHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8120 of 2024
16-Dec-24	AVZPS6488G	NALINI SANWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8100 of 2024
16-Dec-24	AADCN7274M	NUFACT MERCHANDISE PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7289 of 2023
16-Dec-24	AUWPJ3225E	Mithilesh Kumar Jha	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8120 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
17-Dec-24	AESPS2135J	VASANT C SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: PKA/AO-116/2010
17-Dec-24	ABSPN2640J	ALTAF AHMED G.NAGAD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 1995	ADJUDICATION ORDER N0: RA/DPS/14-15 OF 2015
17-Dec-24	ADBPS6310F	GIRRAJ SINGH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8064 of 2024
17-Dec-24	AADCG6999E	GOODLIFE COMMERCIAL P. LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BS/DP/2017-18/1673-1676
19-Dec-24	AAOCS7375K	SOUNDLIGHT PROJECTS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7979 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-24	AABCW2566K	WORLDWEALTH DEALTRADE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7990 of 2024
19-Dec-24	AAECK2819G	KARABI DEALERS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8003 of 2024
19-Dec-24	AAECK6455C	KIRTESHWARI VINTRADE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8004 of 2024
19-Dec-24	ANFPB6460K	SANJAY KANTIBHAI BARIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 2338 of 2019

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-24	ADIPR9087A	RATNARAM B RABARI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 2608 of 2019
19-Dec-24	AAACW8385R	WELKIN INVESTMENT CONSULTANTS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7999 of 2024
19-Dec-24	AABCU1506F	UTTAM DEALERS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8000 of 2024
19-Dec-24	AALCS6093F	SEVA BHAWAN PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8167 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-24	AKIPM9260E	PRATYOOSH KUMAR MISHRA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8102 of 2024
19-Dec-24	AAGCM5438B	MASTERY MARKETING PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8147 of 2024
19-Dec-24	AAAFK8628A	KHERA MOTOR FINANCE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7998 of 2024
19-Dec-24	AABCJ6752E	JAYANTA FANZEN LIGHTING INDUSTRIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8212 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Dec-24	AACCD8763E	DEVALAYA COMMERCIAL PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8055 of 2024
19-Dec-24	AHCPK7426P	MR. KAMAL JITENDRA KATKORIA	UNDER SECTION 11B AND 11(4) O FSECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/SEBI/EFD/DRA-3/05/2018
20-Dec-24	AACCH1627F	Himalayan Investment Consultans Pvt Ltd	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8138 of 2024
20-Dec-24	AAJHK7693C	Krishna Kumar Jhunjhunwala HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8098 of 2024
20-Dec-24	ACGPA2691H	Meena Agarwal	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8123 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Dec-24	AICPR0141F	PRAKASH KUMAR RAMUKA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8097 of 2024
26-Dec-24	AAXPD9770F	SULOCHANA DALMIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7977 of 2024
26-Dec-24	AAACQ1691G	QUEEN TIE-UP PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8086 of 2024
26-Dec-24	AADCC5615P	CREST VANIJYA PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8096 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
26-Dec-24	AADCS5722R	SONALI SUPPLIERS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8152 of 2024
26-Dec-24	ADJPB3183D	USHA BANSAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8153 of 2024
26-Dec-24	AELPC5083L	RATNARAM BHAVRARAM CHOYAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 2609 of 2019
26-Dec-24	EXHPS9436L	HENAL HEMANTBHAI SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 2876 of 2020

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Dec-24	AFEPV5742H	HINA VIJAYKUMAR VORA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AK/AO-15-20/2015
31-Dec-24	AAQCS6937F	SMART INFRAPROPERTIES PRIVATE LIMITED	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/CFID/CFID-SEC4/30859/2024-25
31-Dec-24	BOLPP8040R	MILIND MADHUKAR PALAV	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/CFID/CFID-SEC4/30859/2024-25
31-Dec-24	AAACV2303E	VIDEOCON REALTY AND INFRASTRUCTURES LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	CERTIFICATE NO. 8108 of 2024
31-Dec-24	ACIPS7085F	JITENDRA J SHAH	ORDER AGAINST SHRI JITENDRA J. SHAH UNDER RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADUDICATING OFFICER) RULES, 1995	N/A
31-Dec-24	BTGPM3916H	KUBER CAPITAL	UNDER 11(1), 11 (4), 11B (1) AND 11 (B)(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/AA/WRO/WRO/22645/2022-23
06-Jan-25	AAECR3716R	RAGHUKUL SHARES INDIA PRIVATE LIMITED	UNDER 11(1), 11 (4), 11B (1) AND 11 D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RAGHUKUL SHARES INDIA PRIVATE LIMITED	WTM/SM/MIRSD/MIRSD-SEC-4/26976/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-Jan-25	AAKCA2392Q	AROMATIC TIE UP PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8309 of 2024
07-Jan-25	AACCN8485F	NAMASKAR DEALCOM PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8341 of 2024
07-Jan-25	BSMPS0016F	SWATI BAJAJ	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2_SATLIT/P/OW/2022/31255/1
07-Jan-25	ADYPG3727C	VIVEK COMPANY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8236 of 2024
08-Jan-25	BFSPR4717P	SALONI RUIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8383 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-Jan-25	AADHC6879C	CHETAN MANWANI HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8409 of 2024
08-Jan-25	AAACO7265J	TOPLINE FABRICS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8449 of 2024
08-Jan-25	BCNPQ7383N	SUBHRA GARG	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8453 of 2024
09-Jan-25	ATUPS9251J	AMREEN SUKHYANI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8360 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Jan-25	AJTPM2056N	RATANLAL MARDIA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/KG/2019-20/7154-7157
10-Jan-25	AAAPI2428J	MR. KOLLENMARETH OOMMAN ISAAC	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INIA ACT, 1992	WTM/SKM/EFD1-DRAI/02/2019-20
10-Jan-25	AACCP6748B	PIGEON CONSTRUCTION PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF THE SECURITIES CONTRACT (REGULATION) ACT, 1956, ACT READ WITH RULE 5 OF SECURITIES CONTRACT (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND SECTION 19H OF THE DEPOSITORIES ACT, 1996 READ WITH RULE 4 OF DEPOSITORIES(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/AA/2022-23/17652-17670
10-Jan-25	ADYPM7131K	ATUL MARDIA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/KG/2019-20/7154-7157

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Jan-25	AAQPM1219H	SANJAY RAI MALHOTRA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF THE SECURITIES CONTRACT (REGULATION) ACT, 1956, ACT READ WITH RULE 5 OF SECURITIES CONTRACT (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/KS/AE/2021-22/14578-14585
13-Jan-25	AADCG3846Q	GLORIOUS VINCOM PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8345 of 2024
13-Jan-25	AAHCM6553G	MALCOM SUPPLIERS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8371 of 2024
13-Jan-25	AAECR1541A	RAGHAV CAPITAL AND INFRASTRUCTURE	UNDER SECTION 15I (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/RR/2020-21/9536-9539

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
13-Jan-25	AANFB7695P	M/S. BOURSE INDIA INVESTMENT ADVISOR	UNDER SECTION 11(1), 11(4), 11(4A), 11B AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACTM,1992 READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIE) RULES, 1995	QJA/KS/WRO/WRO/28945/2023-24
13-Jan-25	AHWPN9045N	NIRMALA RAGHAV	UNDER SECTION 15I (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/RR/2020-21/9536-9539
14-Jan-25	ABBPJ2880M	NEMICHAND KASTURCHAND JAIN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8395 of 2024
14-Jan-25	AAGCM2757D	MAGUS CARS LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8382 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
14-Jan-25	AGJPM6202B	VIRENDER PAL MEHTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8454 of 2024
15-Jan-25	AAKCS7804F	SSE COMMODITIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8251 of 2024
15-Jan-25	AAHHD4142B	DEEPAK GOEL HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8358 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
15-Jan-25	ACTPG7828D	PANKAJ GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8390 of 2024
15-Jan-25	AADCC4915E	CARONA INFRA PROJECTS PRIVATE LIMITED (NOW KNOWN AS ALRIGHT MERCHANTILE PRIVATE LIMITED)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8394 of 2024
15-Jan-25	AGFPA8312C	VIVEK AGGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8452 of 2024
15-Jan-25	AJAPG0901B	KIRTIDAN K GADHAVI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8372 of 2024 & RECOVERY CERTIFICATE NO. 8373 of 2024 & RECOVERY CERTIFICATE NO. 8374 of 2024 & RECOVERY CERTIFICATE NO. 8375 of 2024 & RECOVERY CERTIFICATE NO. 8376 of 2024 & RECOVERY CERTIFICATE NO. 8377 of 2024 & RECOVERY CERTIFICATE NO. 8378 of 2024 & RECOVERY CERTIFICATE NO. 8379 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
15-Jan-25	AJRPS7737C	ASHISH PANALAL SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8372 of 2024 & RECOVERY CERTIFICATE NO. 8373 of 2024 & RECOVERY CERTIFICATE NO. 8374 of 2024 & RECOVERY CERTIFICATE NO. 8375 of 2024 & RECOVERY CERTIFICATE NO. 8376 of 2024 & RECOVERY CERTIFICATE NO. 8377 of 2024 & RECOVERY CERTIFICATE NO. 8378 of 2024 & RECOVERY CERTIFICATE NO. 8379 of 2024
17-Jan-25	ATJPC5720H	SHENKEY CHAURASIA	UNDER SECTION 11(1), 11(4), 11(4A), 11B AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACTM,1992 READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIE) RULES, 1995	QJA/KS/WRO/WRO/28945/2023-24
17-Jan-25	AXTPK1590M	MR. PANKAJ KHANCHANDANI	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRIO/19310/2022-23
17-Jan-25	AAACP4032A	PACL LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/KG/2019-20/3559
17-Jan-25	AJIPR8427K	RAJ KUMAR RAGHAV	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/RR/2020-21/9536-9539

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
17-Jan-25	NSSPS1252P	SANCHI RESEARCH PROPRIETOR RAMGOPAL SET	UNDER SECTION 11(1), 11(4), 11B, 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACTM,1992 READ WITH SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/WRO/WRO/24649448/2022-23
21-Jan-25	AABCF8592J	FASTGLOW DISTRIBUTORS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8286 of 2024
21-Jan-25	AABCK8634L	KIRTI TRACON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8367 of 2024
21-Jan-25	AACCE9351K	ECOSPACE DISTRIBUTORS PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8295 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Jan-25	AACCJ5456G	JYOTIRADITYA VINCOM PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8365 of 2024
21-Jan-25	AADCD5746L	DAREDEVIL AGENCIES PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8288 of 2024
21-Jan-25	AADCV5277G	VERSATILE SAREE TRADER PVT. LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8272 of 2024
21-Jan-25	AADCV5566C	VIDYAVARIDHI DEALTRADE PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8252 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
21-Jan-25	AAJHS6712E	SK AGARWAL AND SONS HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8354 of 2024
21-Jan-25	AAYHS3088Q	SANJAY SHASTRI HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8355 of 2024
21-Jan-25	AYWPK0382M	SANGITA KARMAKAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8364 of 2024
21-Jan-25	BMXPA3387E	MINAKSHI AGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8363 of 2024
22-Jan-25	AAHCC3377N	M/S CREFIN INDIA MANAGEMENT P.LTD	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AN/WRO/WRO/29258/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
22-Jan-25	AACCU2196G	UDAY INTELLICALL PVT. LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/26445/2023-24
22-Jan-25	IUKPS1044F	MR. RAJAT SARAF	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/26445/2023-24
22-Jan-25	ASAPJ7659J	MS. KALPANA JAIN	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/26445/2023-24
22-Jan-25	AADCI0022Q	INDO GLOBAL FINANCIAL SERVICES AND CONSULTANCY PVT. LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/NRO/27876/2023-24
22-Jan-25	ALOPB7339L	TILAK BHARDWAJ	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/NRO/27876/2023-24
22-Jan-25	BERPR1999P	MUSE TRADERS	UNDER SECTIONS 11(1), 11(4), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 32(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013.	QJA/GG/QJC/QJC-2/26152/2023-24
22-Jan-25	BBOPJ6519H	PURE TRADERS	UNDER SECTIONS 11(1), 11(4), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 32(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013.	QJA/GG/QJC/QJC-2/26152/2023-24
23-Jan-25	EWEPB1991R	STANDARD CHARTISTS -PROPRIETOR MR. HEET MANISH	UNDER SECTIONS 11(1), 11(4) 11B AND 11D OF THE SECURITIS AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/SVMDR/WRO/WRO/29848/2023-24
23-Jan-25	AZQPR2305G	ANANT RATHORE	UNDER SECTIONS 11, 11B(1) AND 11(4) ALONG WITH SECTIONS 11(4A) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	ADJUDICATION ORDER NO. ORDER/GR/RR/2020-21/9536-9539

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
23-Jan-25	AWVPN0180D	W GAIN RESEARCH AND DEVELOPMENT PROPRIETOR SHRI	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/RR/2020-21/9536-9539
27-Jan-25	AABCB4470B	DWITIYA TRADING LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8348 of 2024
27-Jan-25	AADCN0586A	NEWEDGE VINIMAY PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8054 of 2024
27-Jan-25	AABCU1005D	PEDESTAL INFRA TECH SERVICES PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8054 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Jan-25	AACCE9181F	EXPERTISE VINTRADE PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8289 of 2024
27-Jan-25	AADCC8835H	COSMOS DISTRIBUTORS PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8287 of 2024
27-Jan-25	AAECK2586N	KINETIC VYAPAAR PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8253 of 2024
27-Jan-25	AAEHN7129R	NEERAJ GANDHI HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8366 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Jan-25	AAGCR0172D	RUDRAMUKHI INFRASTRUCTURE PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8343 of 2024
27-Jan-25	AAJFN3554B	SURENDRA SHARMA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8271 of 2024
27-Jan-25	AAPCS2265R	SATELLITE INFRAPROJECTS PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8310 of 2024
27-Jan-25	ACNFS2256B	SARU TRADING CO.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8351 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Jan-25	AEKPA8540F	M/S R. FOUNDRY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8160 of 2024
27-Jan-25	DMEPK3997P	ROSHNI KUMARI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8244 of 2024
27-Jan-25	AAFCP5386A	PREVIEW VINIMAY PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2024-25/30872
28-Jan-25	AOAPS0373N	SHRI AMEET SAVANT PROPRIETOR OF VENTURA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AN/WRO/WRO/29258/2023-24
28-Jan-25	AVEPP8927C	MR.JEEVENDRA KUMAR PODDAR	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AN/WRO/WRO/29258/2023-24
28-Jan-25	BTKPS6899H	MS. SHIPRA SINGH	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AN/WRO/WRO/29258/2023-24
12-Feb-25	AAKCM6309D	MINANCE TECHNOLOGIES PRIVATE LIMITED (MTPL)	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/SRO/16539/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Jan-25	AKPPN5003M	CAPITAL GAINS FINANCIAL SERVICES PROPRIETOR MR R N	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/21389/2022-23
28-Jan-25	BLVPM9284R	MONEY CLASSIC INVESTMENT ADVISER	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/VV/AS/2023-24/29271-29270
28-Jan-25	BMAPT1283P	MR. SANDEEP TIWARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF SEBI (INVESTMENT ADVISORS) REGULATIONS, 2013.	QJA/AA/WRO/WRO/28401/2023-24
28-Jan-25	AVTPB7780L	UMESH KUMAR BHAMBHU	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/NRO/27876/2023-24
28-Jan-25	BSKPG9592A	SHIVAAY INVESTMENT	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/KS/WRO/WRO/29694/2023-24
28-Jan-25	BVBPB4487K	MRS RUPABEN K BHATT	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/KS/WRO/WRO/29694/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
28-Jan-25	BKIPB0010L	MR.KELVINBHAI BHATT	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/KS/WRO/WRO/29694/2023-24
28-Jan-25	BWRPK1312F	TAJ ENTERPRISES	UNDER SECTIONS 11(1), 11(4), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 32(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013.	QJA/GG/QJC/QJC-2/26152/2023-24
28-Jan-25	AAKCM6309D	MINANCE TECHNOLOGIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 7953 of 2024
29-Jan-25	AAFCG6444C	GROVALUE SECURITIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 8396 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
30-Jan-25	AHJPR6079C	MR. R. RAVICHANDRAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES RULES, 2005	ADJUDICATION ORDER NO. ORDER/SM/AD/2022-23/16841-16848
30-Jan-25	AAACM2862M	EXELON INFRASTRUCTURE LTD.	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
30-Jan-25	AAACY5598E	YOCHANA VYAPAAR PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/VV/JR/2020-21/8582
30-Jan-25	AAECG5613Q	GANESHVANI STEEL PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/VV/NK/2023-24/27771

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
30-Jan-25	AAKCA3654M	AUROPLUS MARKETING PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/AK/VP/2023-24/26511
30-Jan-25	AOTPA5434M	SUBH LAXMI TRADING CO	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8462 of 2024
30-Jan-25	AAGHS3174M	SUBHASH KUMAR PODDAR HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8438 of 2024
30-Jan-25	AAICM8924A	MC COMMODITIES LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/VV/AS/2023-24/28806-28805
30-Jan-25	ADKPD7701J	OM PRAKASH DALMIA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/HK/2023-24/27927
31-Jan-25	BBDPC8554N	FLANKING RESEARCH INVESTMENT ADVISOR PROPRIETOR MR. TARUN CHANDANI	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CGM/GG/QJC2/WRO/WRO/21679/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-25	AABCB4470B	DWITIYA TRADING LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005 READ WITH SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO. Order/GR/KG/2021-22/12617-12619
05-Feb-25	AABCL0873C	LAKHI PACKAGING PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/MC/DS/2020-21/8114-8141
05-Feb-25	AAECT6598B	TOPTECH ENTERPRISES PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/VV/JR/2019-20/7321

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-25	AUAPS8547G	SRINIVAS YADAV SHER	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
05-Feb-25	ABVPD6656R	VEERABHADRA D	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
05-Feb-25	BCKPK1101P	MAKKENA RAMA KRISHNA	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-25	AJXPR4789F	RAVI CHAKRAVATI	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
05-Feb-25	AEZPB3408A	SHAIK AMEER BASHA	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
05-Feb-25	AOAPK4529E	NAGENDRA KOMERA	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-25	ABTPV8259J	AJITBHAI P VASA	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
05-Feb-25	BVSPK9348F	SALMA SHARAFAT KHAN	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
05-Feb-25	AMIPJ5748G	SHARADBHAI R JHAVERI	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-25	AZMPS2291J	MANISH RATILAL SHAH	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/1979-2006
05-Feb-25	ACCPP5173B	VISHNUBHAI SUNDERLAL PAREKH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/AS/2021-22/15779-15780
05-Feb-25	AACPC3302M	LAXMI MANOHARLAL CHATURVEDI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/SBM/VS/2022-23/16857-16859
05-Feb-25	AAQPN1499Q	MR. N. NAGESH (COMPANY SECRETARY OF BPSL)	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/GR/KG/2021-22/11512
05-Feb-25	AADCT8675L	TOPMOST COMMERCIAL PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/VV/JR/2020-21/7766

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-25	AAJCS0291D	SIMPLE MINING AND POWER PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141
05-Feb-25	AADCR0189F	RAMKRISHNA FABRICATION & MACHINERIES P LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141
05-Feb-25	AACCK5953H	KRISHNUM INVESTMENTS PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141
05-Feb-25	AAACJ6647H	JIT FINANCE PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/GR/PU/2023-24/29323
05-Feb-25	AAECB8238J	BESTWAY DEALCOM PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8423 of 2024
05-Feb-25	AALCS5336D	FENDRA INFRASTRUCTURE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8471 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
05-Feb-25	ACBPT1028L	KANAK LATA TIBREWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8467 of 2024
06-Feb-25	AACCG6377M	GOLDLINE INTERNATIONAL FINVEST LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8518 of 2025
06-Feb-25	AIJPD7329J	MADHUKAR DUBEY & ITS PROPRIETORSHIP FIRM VIZ. ALLIANCE TRADERS, N V SALES CORPORATION, A ONE FURNITURE, MAGNUM INDUSTRIAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8023 of 2024
06-Feb-25	AADCC8189N	CASTLE REALCON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8357 of 2024
06-Feb-25	AABCS7132F	SAMARTH FINCAP SERVICES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8385 of 2024
06-Feb-25	AAACF6715A	FORTIS HEALTHCARE HOLDINGS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8386 of 2024
06-Feb-25	AADCB6654M	BLUEBELL AGENCIES PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8488 of 2025

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Feb-25	AACCB7383E	BALLEY BUILDERS AND DEVELOPERS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8374 of 2024
06-Feb-25	AADCJ0092M	JITNEY INVESTMENTS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8320 of 2024
06-Feb-25	KVSPS2783B	SHRAWAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8322 of 2024
06-Feb-25	AALCA3008J	ASHWORTH CONSTRUCTIONS PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8323 of 2024
06-Feb-25	HFDPS1131F	DEEPIKA SHARMA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8373 of 2024
06-Feb-25	DSZPR7910C	ROMIKA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8311 of 2024
06-Feb-25	DSZPR7910C	ROMIKA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8375 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Feb-25	AALCA2837R	ANCIENT EDUCATION PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8372 of 2024
06-Feb-25	AALCA2837R	ANCIENT EDUCATION PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8314 of 2024
06-Feb-25	CFRPD1265B	OM CONSULTANCY (PROPRIETOR: SURAJ LALJI DUBEY	UNDER SECTION 11(1), 11(4), 11(4)A, 11B, 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	QJA/GR/MIRSD-SEC-1/31004/2024-25
06-Feb-25	AAZHS0892R	SANJAY KUMAR AGARWAL HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8402 of 2024
06-Feb-25	AAHCM7170M	MAHAMANI SUPPLIERS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8408 of 2024
06-Feb-25	AASPI2273F	KASHI NATH ISHAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8412 of 2024
06-Feb-25	AKNPK3850F	SANJAY KUMAR KOCHAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8416 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Feb-25	ACTPG7828D	PANKAJ GOEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT 1956 AND READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/BM/JR/2022-23/20379-20387
11-Feb-25	AAACW2920N	ANUGRAH STOCK AND BROKING PVT. LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 2005 AND UNDER SECTION 19H OF THE DEPOSITORS ACT,1996 READ WITH RULE 5 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,2005	ADJUDICATION ORDER NO. Order/PM/SM/2020-21/10794

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Feb-25	AFSPG7531F	MEENU GOEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT 1956 AND READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/BM/JR/2022-23/20379-20387
11-Feb-25	AFAPB7100D	DHARMENDRA HARILAL BHOJAK	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/BM/JR/2022-23/19742-19750
11-Feb-25	AAACK3482B	KAYNET FINANCE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT 1956 AND READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. Order/VV/NK/2021-22/12797
11-Feb-25	ADCPJ1922H	ANIL JATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/AS/RM-AK/2023-24/29701-29717

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Feb-25	CIGPM5834A	BALRAM MUKHERJEE	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/AS/RM-AK/2023-24/29701-29717
12-Feb-25	AAQCS6937F	SMART INFRAPROPERTIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF CRESSANDA SOLUTIONS LTD.	SEBI/EAD-2/NH/YK/38434/2024
12-Feb-25	AADCG5049H	GRAVITY COMMODEAL PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8404 of 2024
12-Feb-25	AVEPB6967G	MR. ANURAG BHATIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 7953 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Feb-25	ACDFS3492D	NITYANAND THAKUR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8270 of 2024
12-Feb-25	AACCH8359J	HIGHVIEW AGENTS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8407 of 2024
18-Feb-25	BMMPS9407G	HARSHAWARDHAN HANMANT SABALE	UNDER SECTIONS 11(1), 11(4) AND 11B(1) READ WITH SECTION 19 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/ASB/CFD/CFD-SEC-3/30894/2024-25
18-Feb-25	AAZCS6264J	VARANIUM CLOUD LTD	UNDER SECTIONS 11(1), 11(4) AND 11B(1) READ WITH SECTION 19 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/ASB/CFD/CFD-SEC-3/30894/2024-25
20-Feb-25	AIZPV1760F	JAYARAJBHAI VALA	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/KS/WRO/WRO/29694/2023-24
20-Feb-25	AABCN0541M	N M IMPEX PVT. LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION ORDER) RULES, 1995.	ADJUDICATION ORDER NO: EAD-6/PM-AB/75/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Feb-25	AAFCM9728H	MARSH VINIMAY PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION ORDER) RULES, 1995.	ADJUDICATION ORDER NO: Order/AK/AJ/2023-24/28938
28-Feb-25	AAHCM2762P	MANOMAY DEALMARK PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. RC8589 of 2025
28-Feb-25	AABCP0367K	AARM FINSER INDIA LIMITED	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SCR(R) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/VV/NK/2021-22/15778-15795
28-Feb-25	AAFCG4666C	GODAVARI REAL ESTATE PVT LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054
28-Feb-25	AAOCS2712F	SATSHRI MULTITRADE PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Mar-25	AAGFI4791L	INVESTMENT INDIA FINANCIAL SERVICES	UNDER SECTIONS 11, 11B(1) AND 11(4) ALONG WITH SECTIONS 11(4A) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/25157/2022-23
06-Mar-25	AAGCP2758K	PARAMJYOTI TRADELINKS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8499 of 2025
06-Mar-25	AALHA8228H	AMIT KUMAR CHOUDHARY HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8548 of 2025
06-Mar-25	AALHP4980R	PANKAJ KUMAR AGRAWAL HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8503 of 2025
06-Mar-25	AACCC2960N	CONSOLIDATED MERCANTILES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8582 of 2025
06-Mar-25	AABCE9232C	EQUASTONE PROPERTIES PVT. LTD.	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SCR(R) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/VV/NK/2021-22/15778-15795

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-25	AAKCA4090M	AAVIA BUILDTECH PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/BM/2020-21/7650-7674
06-Mar-25	AAKCA4089N	AAVIA SOFTECH PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/BM/2020-21/7650-7674
06-Mar-25	AATCS2130B	STEADY CAPITAL ADVISORY SERCICES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/BM/2020-21/7650-7674
06-Mar-25	AATCS2129L	SURE PORTFOLIO SERVICES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/BM/2020-21/7650-7674
06-Mar-25	AACCN6386M	NATURE INFOSOFT PRIVATE LIMITED	UNDER SECTION 15-I 0F SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. Order/SM/DD/2021-22/14328-14339

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-25	AADCN8028M	NIKITA FOREX PRIVATE LIMITED	UNDER SECTION 15-I 0F SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. Order/SM/DD/2021-22/14328-14339
06-Mar-25	AABCK3433H	EDOPTICA RETAIL INDIA LTD	UNDER SECTION 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/CFID/CFID-TPD/31115/2024-25
06-Mar-25	AACCB5249H	BMD EXPORTS PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811
06-Mar-25	AAECB9628A	BHARGABI TRADING PVT LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-25	AACCJ8180F	JAGSAKTI TOWER PVT LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054
06-Mar-25	AACCJ8181E	JAHMAN AGENCY PVT LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054
06-Mar-25	AAFCR8047L	RITUDHAN MARKETING PVT LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054
06-Mar-25	AADCB7966J	BUDDHISAGAR SHARES AND SERVICES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-25	AADCG6323N	GHANTAKARNA SHARES AND SERVICES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811
06-Mar-25	AAECP2532R	POOJAN TRADECOM PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811
06-Mar-25	AAECR4211M	RATNASURI SHARES AND SERVICES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-25	AACCR4046C	REAL MARKETING PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811
06-Mar-25	AANCS2340B	SUMTINATH SHARES AND SERVICES PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811
06-Mar-25	AADCT2274R	TIRTHANKAR SHARES AND SERVICE PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/PM/GD/2022-23/16782-16811

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-25	AAECC0376J	CELLWORTH MERCANTILE PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017
06-Mar-25	AACCJ3884G	JAIMINI TRADING PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017
06-Mar-25	AAACW8578N	WONDER VINCOM PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017
06-Mar-25	AACCV6426E	VIRGO MERCANTILE PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017
06-Mar-25	AABCL5709K	LONA MERCANTILE PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017
06-Mar-25	AABCJ9074F	JAGDAMBA COMPLEX PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
06-Mar-25	AAFCM8822N	MILI COMMODITIES PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017
06-Mar-25	AACCJ0675M	JASMINE DEALCOM PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017
06-Mar-25	AADCK9953C	KSEMA FINSECURE CONSULTANTS LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/BJD/BKM/33 - 38/2017-18
10-Mar-25	BMHPS8135C	MS. BHAWANI SRIVASTAVA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266
10-Mar-25	AHAPG3817G	PUSHPA GUPTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266
10-Mar-25	AIMPG6205H	GIRISH CHANDRA GUPTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Mar-25	AACCP1163C	PARAMOUNT BIO-TECH INDUSTRIES LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266
10-Mar-25	CIRPK5759F	RANJIT KAUR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/65-69/2017-18
10-Mar-25	BKHPS1704D	KULBIR SINGH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/65-69/2017-18
10-Mar-25	CGFPS6608M	HARJIT SINGH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/65-69/2017-18
10-Mar-25	CLEPS7179D	GURWINDER SINGH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/AA/AO/74-79/2017-18
10-Mar-25	AUUPK3066A	SHRI LALIT KUMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. AO/JS/VRP/71-74/2018

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Mar-25	DZZPD2477M	RAHUL DANGI PROPRIETOR - MARKET GAINER AND STAR INFOTECH IT SOLUTION	SCN UNDER SECTIONS 11(1), 11(4), 11B(1), 11(4A), 11B(2) READ WITH SECTION 15HB AND 15EB OF THE SEBI ACT, 1992 READ WITH RULE 4 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF UNREGISTERED INVESTMENT ADVISORY ACTIVITIES BY M/S. STAR INFOTECH IT SOLUTIONS (PROPRIETOR: MR. RAHUL DANGI) AND M/S MARKET GAINER (PROPRIETOR: MR. RAHUL DANGI).	SEBI/WRO/ILO/AK/OW/P/01208/1/2025
12-Mar-25	CXEPS9337R	MR. ABDUL SLAM	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/DPS/128-134/2017
12-Mar-25	BVPPJ3417H	BILLIONAIRE SOLUTION	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF M/S BILLIONAIRE SOLUTIONS, SOLE PROPRIETOR MR. AKASH JAISWAL	WTM/SM/WRO/WRO/14717/2021-22
12-Mar-25	AANFC2170Q	M/S. CAPITAL WORTH RESEARCH HOUSE PARTNERS MR. MOHAMMED AAMIR SHEIKH MR. ANKIT SHRIVASTAV MR. SHAHID RANGREJ SAMEER MENON	UNDER SECTIONS 11(1), 11 (4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/ASB/WRO/WRO/24011/2022-23
12-Mar-25	BJVPS0029N	DEVENDRA PAL SINGH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
12-Mar-25	AAECK1255G	KARMBHOOMI REAL ESTATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19
12-Mar-25	ATHPS3605F	NIRMAL KUMAR SINGH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19
12-Mar-25	AACCL5027D	LEVIA TRADING PVT LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/MC/HP/2020-21/8754-8756
17-Mar-25	AWPPK9383F	SHRI RAKESH KUMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. AO/JS/VRP/71-74/2018
17-Mar-25	AIUPR8350C	SHRI PRAVEEN KUMAR ROHILLA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. AO/JS/VRP/71-74/2018
18-Mar-25	AAACC7451L	EKVEERA COMPUTERS PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. BM/AO- 19 - 27/2012

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Mar-25	DFQPP5370N	SUNEEL KUMAR PATEL	UNDER SECTIONS 11, 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF GOODWILL RESEARCH	WTM/GM/WRO/WRO/13952/2021-22
19-Mar-25	BBSPK1145A	NIKHIL KUMAR	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF HYBRID RESEARCH ADVISORY SERVICES LIMITED, PROPRIETOR MR. NIKHIL KUMAR	WTM/SM/WRO/WRO/14467/2021-22
19-Mar-25	FNXPS2212D	ANKIT SHRIVASTAV	UNDER SECTIONS 11(1), 11 (4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/ASB/WRO/WRO/24011/2022-23
19-Mar-25	CAHPR9501A	SHAHID RANGREJ	UNDER SECTIONS 11(1), 11 (4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/ASB/WRO/WRO/24011/2022-23
19-Mar-25	DBUPM4094J	SAMEER MEMON	UNDER SECTIONS 11(1), 11 (4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/ASB/WRO/WRO/24011/2022-23
19-Mar-25	AVFPM1559G	M/S. ALL GAINERS RESEARCH & BROKERAGE FIRM PROPRIETOR MR. ANAND KAND MISHRA	UNDER SECTIONS 11(1), 11 (4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AB/WRO/WRO/21993/2022-23
19-Mar-25	EQGPS3663P	CAPITAL TRADING CASH (PROPRIETOR: SAUDAN SINGH))	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/AA/WRO/WRO/25839/2023-24
19-Mar-25	BBIPS1965G	MANOJ KUMAR SENGAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Mar-25	ABDPS2787C	SARDAR SINGH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19
19-Mar-25	AAACU2984J	UNIFLEX CARRYING CO.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-9/ AO/SM/52 - 86 /2019-20
19-Mar-25	AADCB8850F	BLUE PEACOCK SECURITIES P LTD	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/BKM/ 78 /2017-18
19-Mar-25	AAACV2921N	VISHVAS SECURITIES LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/AO/ 174-175 /2013
19-Mar-25	AACCN3910B	NILKANTH DEALERS PRIVATE LIMITED.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/KM/ 122-127 /2014

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
19-Mar-25	AABCS7644G	SUNDEEP CREDITS PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/KM/ 122-127 /2014
20-Mar-25	AACCE0264H	ECOLOGIX KNOWLEDGE SOLUTIONS PVT. LTD.	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SCR(R) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO.: Order/VV/NK/2021-22/15778-15795
24-Mar-25	AACCI9518C	INSIGHT MULTITRADING PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/NJMR/2018-19/2143
24-Mar-25	AAFCA2440N	APARNA COMMODITIES PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-9/AO/SM/52-86/2019-20

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
24-Mar-25	AAACV7147C	VRP FINANCIAL SERVICES PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-9/AO/SM/52-86/2019-20
25-Mar-25	DZDPS7841Q	MOHAMMED AAMIR SHEIKH	UNDER SECTIONS 11(1), 11 (4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/ASB/WRO/WRO/24011/2022-23
25-Mar-25	ATSPS3033N	MAHIPAL SINGH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19
26-Mar-25	AACCT2473R	TAITAN MANAGEMENT SERVICES PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141
03-Apr-25	AYYPS1602E	MR MAHESH KUMAR SHARMA	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTIONS 15A, 15C AND 15HB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 23 OF THE SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF THE SEBI (ALTERNATE INVESTMENT FUNDS) REGULATIONS, 2012.	QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
09-Apr-25	AACPC5805D	RAMESH CHANDRA	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTIONS 15A, 15C AND 15HB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 23 OF THE SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF THE SEBI (ALTERNATE INVESTMENT FUNDS) REGULATIONS, 2012.	QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24
09-Apr-25	AACPC5803F	AJAY CHANDRA	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTIONS 15A, 15C AND 15HB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 23 OF THE SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF THE SEBI (ALTERNATE INVESTMENT FUNDS) REGULATIONS, 2012.	QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24
09-Apr-25	AACPC5804C	SANJAY CHANDRA	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTIONS 15A, 15C AND 15HB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 23 OF THE SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF THE SEBI (ALTERNATE INVESTMENT FUNDS) REGULATIONS, 2012.	QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24
09-Apr-25	AACCC5880D	UNITECH ADVISORS INDIA PRIVATE LIMITED	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTIONS 15A, 15C AND 15HB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 23 OF THE SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF THE SEBI (ALTERNATE INVESTMENT FUNDS) REGULATIONS, 2012.	QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Apr-25	AGVPD0300K	SUMITRA DEVI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8643 of 2025
11-Apr-25	AARCS2965G	SHIVRASHI REAL ESTATES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8635 of 2025
11-Apr-25	AACCE1213E	ENCORD TRADING PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8634 of 2025
11-Apr-25	AAAHO5377G	OMPRAKASH AGARWAL HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8617 of 2025
11-Apr-25	AADCK8520D	KHUSHI MERCHANDISE PVT LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8620 of 2025
24-Apr-25	AADCE1166H	EVENINGSTAR DEALTRADE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8680 of 2025
24-Apr-25	AADCG4713A	GOKUL FINANCIAL ADVISORS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8681 of 2025

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
25-Apr-25	EGGPS8029K	KING TRADERS, PROPRIETOR-SAGAR DHANJIBHAI SUTAR	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1)(d) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25
25-Apr-25	EPGPP1022C	GEMINI ENTERPRISE, PROPRIETOR-MR. SURESH PARMASHIVAM	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1)(d) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25
25-Apr-25	AKVPD3098G	UNITED ENTERPRISES, PROPRIETOR-MR. JIGAR RAMESHBHAI DAWADA	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1)(d) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25
30-Apr-25	AAFCP0003L	PARAM COMMODITIES PRIVATE LIMITED	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
30-Apr-25	AAGCA3264P	APURVA COMMODITIES PRIVATE LIMITED	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23
30-Apr-25	AAFCP0005N	PARAMARTH AGRO MARKETING PRIVATE LIMITED	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23
30-Apr-25	AAHCM3288Q	MARKET PULSE FINSOFT PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. BD/NR/2020-21/8060-8080
30-Apr-25	AAHCA2094C	AADYA COMMODITIES PRIVATE LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER: ORDER/VV/AS/2022-23/16241-16249
30-Apr-25	AAFCP0004P	PAWANTAR AGRO AGENCIES PVT LTD	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23
07-May-25	AAJCS7871F	SAGA FINANCIAL SERVICES PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. BD/NR/2020-21/8060-8080
07-May-25	AAECS4607A	SUPERFAST TOURS AND TRAVELS PRIVATE LIMITED	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-May-25	AAECA9172C	AWADHOOT MARKETING PRIVATE LIMITED	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23
07-May-25	AAMCS1499N	SHRI VISHNU KRUPA COMMODITIES PRIVATE LIMITED	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23
07-May-25	AAFCM2750F	MEGHANA MERCANTILE PVT LTD	ORDER UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/VV/NK/2021-22/14836-14884
07-May-25	AAGCA6077J	AKHILA MERCANTILE PVT LTD	ORDER UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/VV/NK/2021-22/14836-14884
07-May-25	AACCR9863K	ROBOSOFT TRADING CO. PVT LTD	ORDER UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/VV/NK/2021-22/14836-14884
07-May-25	AAHCS3335G	S K B SECURITIES LTD	UNDER 11(1), 11 (4) AND 11B (1), 11B (2),11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12 A (1) AND 12 A (2) OF THE SECURITIES CONTRACT REGULATION ACT	WTM/SM/MIRSD/MIRSD_DPIEA/17646/2022-23
08-May-25	AANPD5593J	PRADEEP KUMAR DHOOT	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8458 of 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
08-May-25	ABPPL4948Q	MRS. JYOTI LOHIA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8696 of 2025
16-May-25	AACCV1835D	VKJ TREXIM PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/AK/AJ/2023-24/29002
04-Jun-25	AEKPJ8257J	MR. ANAND KUMAR JHA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19
04-Jun-25	BEMPK1322J	MR. ASIF KHAN	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19
04-Jun-25	CNVPM6593E	MR. BIBEKA NAND MANDAL	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19
04-Jun-25	AAWPF1464H	MR. MOHAMMAD FAISAL	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19
04-Jun-25	AJPPM9356A	MR. MOKARRAM MOHAMMAD	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Jun-25	CENPK6085G	MR. WASIM KHAN	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19
04-Jun-25	AAJCS2508K	SUNSHINE GLOBAL AGRO LTD	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19
04-Jun-25	AUTPR3288E	SHRI RAMESH SHARMA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/VKV/AS/AO-11/2017
04-Jun-25	AXJPK0099D	MR. SARIF KHAN	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/DPS/ 128-134 /2017
04-Jun-25	AANCS0417R	SERVEHIT HOUSING AND INFRASTRUCTURE INDIA LTD	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1669-1674
04-Jun-25	BUZPS4365J	SHRI SUKHMANDER SINGH	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1669-1674
04-Jun-25	CRRPS1400A	SHRI SURJEET KUMAR	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1669-1674

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Jun-25	ADEPC5281C	MR. AMARJEET SINGH CHEEMA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/17293-17295
04-Jun-25	AAACV4431F	EN AROMATIC & PETRO CHEMICALS LIMITED	UNDER SECTION 15-I (2) OF SEBI ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. MC/CB/2019-20/1-6
04-Jun-25	AIVPC7790E	SATYAM SHIVAM SUNDARAM TRADING	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA AND 15EB OF THE SEBI ACT,1992 READ WITH RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF UNREGISTERED INVESTMENT ADVISOR M/S SATYAMM SHIVAM SUNDARAM TRADING	SEBI/ERO-OTHER/P/OW/2025/2672/1
04-Jun-25	AAECR8905J	RE INDIA INFRATECH LIMITED	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SEBI ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25
10-Jun-25	CAAPC8584R	SATYAM SUMAN CHOUDHARY	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA AND 15EB OF THE SEBI ACT,1992 READ WITH RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF UNREGISTERED INVESTMENT ADVISOR M/S SATYAMM SHIVAM SUNDARAM TRADING	SEBI/ERO-OTHER/P/OW/2025/2672/4
10-Jun-25	CKWPC0798P	CHANDAN CHAURASIYA	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/CFID/CFID-TPD/31324/2024-25
10-Jun-25	EXFPM7561C	MAHADEV MANUBHAI MAKWANA	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/CFID/CFID-TPD/31324/2024-25

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Jun-25	AJIPR3232L	RANJITSINH ISHWARSINH RAJPUT	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/CFID/CFID-TPD/31324/2024-25
10-Jun-25	OKOPS4711J	NIKHIL RAJESH SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/CFID/CFID-TPD/31324/2024-25
10-Jun-25	ACGPH4147P	MR. MOHAMMAD AHTESHAMUL HAQ	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2540-2548/2018-19
10-Jun-25	AVVPS8411M	KISHAN PAL SINGH	UNDER SECTION 15-I (2) OF SEBI ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. MC/CB/2019-20/1-6
10-Jun-25	BJJPM1012K	DEENANATH MAURYA	UNDER SECTION 15-I (2) OF SEBI ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. MC/CB/2019-20/1-6
10-Jun-25	AJAPR7516B	BIJAYA KUMAR ROUT	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SR/2022-23/01-09/21011-21019
10-Jun-25	AFXPC5598K	SUMAN CHOUDHARY	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA AND 15EB OF THE SEBI ACT,1992 READ WITH RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF UNREGISTERED INVESTMENT ADVISOR M/S SATYAMM SHIVAM SUNDARAM TRADING	SEBI/ERO-OTHER/P/OW/2025/2672/5

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Jun-25	BACPS9359B	MR. NITESH KUMAR SINGH	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SEBI ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25
10-Jun-25	AKQPS6527G	MR. AWDESH KUMAR SINGH	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SEBI ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25
10-Jun-25	AYBPS9084M	MR. CHANDRA VIKRAM SINGH	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SEBI ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25
10-Jun-25	EBAPS3617G	MR. AJAY SINGH	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SEBI ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25
23-Jun-25	AAICA1716G	ANNEX INFRASTRUCTURE INDIA LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
23-Jun-25	ABGPM9614H	ASIT KUMAR MAJUMDER	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
23-Jun-25	BAYPM6700N	RABIN KUMAR MAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
27-Jun-25	AAECS0527R	SUPERDEAL FINCOM PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8726 of 2025

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
27-Jun-25	AKGPM9017P	PRASENJIT MAZUMDER	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
27-Jun-25	ALNPD5607J	ASIM DAS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
27-Jun-25	AGPPJ7304P	NIRMAL KR. JHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
27-Jun-25	BCFPM0940P	SUBRAT KR. MAZUMDER	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
27-Jun-25	AISPR0927R	SHANTONU ROY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8748 of 2025
27-Jun-25	AAGCS0343F	SUKHISANSAR CONSTRUCTION PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8727 of 2025
01-Jul-25	AGKPA4563A	MR. PANKAJ KUMAR AGARWAL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 8750 of 2025

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
01-Jul-25	AAOCS5535R	SGI RESEARCH & ANALYSIS LTD.	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/VKV/AS/AO-11/2017
01-Jul-25	AARPS7233G	SANJEEV SHARMA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/VKV/AS/AO-11/2017
01-Jul-25	AAFCM9358H	MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/KS/AA/2020-21/8031-33
01-Jul-25	BIVPS7069D	GURDEEP SINGH	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/17293-17295
02-Jul-25	AAECL3050A	LIFEINSPIRE KNOWLEDGE SOLUTIONS PRIVATE LIMITED	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 READ WITH RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 WITH RESPECT TO THE ALLEGED UNREGISTERED INVESTMENT ADVISORY SERVICES/ACTIVITIES BY LIFEINSPIRE KNOWLEDGE SOLUTIONS PRIVATE LIMITED	SEBI/HO/QJC/QJC-2/P/OW/2025/12112/1-3
04-Jul-25	AJUPN9153C	SHYAM SUNDER NAGLA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
04-Jul-25	AACCR3443B	MUNIRABAD TRADING LIMITED	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 AND SECTIONS 12A(1), 12A (2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1
04-Jul-25	AAJCA5716B	AASSEM MUTITRADE PRIVATE LIMITED	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 AND SECTIONS 12A(1), 12A (2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1
04-Jul-25	AABCB1805E	BRITEX COTTON INTERNATIONAL LIMITED	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 AND SECTIONS 12A(1), 12A (2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1
04-Jul-25	AAFCM3365A	MICRO INFRATECH PVT LTD	SCN UNDER SECTIONS 11(4), 11B(1) AND 11B(2) READ WITH SECTIONS 11(1), 15A(b), 15HA, AND 15HB OF THE SEBI ACT,1992 READ WITH RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, IN THE MATTER OF M/S DRONEACHARYA AERIAL INNOVATIONS LIMITED (DAIL)	SEBI/HO/QJC/QJC-1/P/OW/2025/13314/1
07-Jul-25	AKNPP6001B	MR. JANARDAN ARVIND PARULEKAR	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/KS/AA/2020-21/8031-33

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
07-Jul-25	ACEPS9276L	VARSHA MADHUSUDAN SATPALKAR	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/KS/AA/2020-21/8031-33
10-Jul-25	ADNPV5504C	VADLAMUDI SRIKRISHNA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	AADCS9595G	SANTOWIN CORPORATION LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/SM/MG/2021-22/12172-12173
10-Jul-25	ABRPG0167E	ANOOP GARG	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/AE/2020-21/8751
10-Jul-25	ANSPK6624M	SEEMA KHANDELWAL	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/PB/AS/2021-22/12815
10-Jul-25	AAHPU7040F	NILESH UPADHYAY	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. HB/AO-06/2010
10-Jul-25	AAEHP9499Q	PRADEEP KUMAR JINDAL & SONS HUF	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/PB/AS/2021-22/12825

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Jul-25	AALPK3774C	SUBODH KUMAR KHANDELWAL	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/PB/AS/2021-22/12812
10-Jul-25	ACZFS4147H	SKYNET SOLUTIONS	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/BM/2021-22/14700-14701
10-Jul-25	AJXPR4881J	RAVI SURYANARAYANA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	BMLPS9664N	GUDIMETLA HANUMATH SUNDER	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	ACAPY5774B	ANITHA YARLAGADDA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	AJXPR4790C	RAVI SWARAJYA BHARATHI	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	AAMPI3761J	INAGANTI VISHNUSEKHAR	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	CTYPS9045Q	MADDIKERI SREENIVASULU	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	AMIPP2715R	SRIKANTH PATCHAVA	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Jul-25	AACCV7693M	DHANA ENERGY PVT LTD	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14195
10-Jul-25	ADTPR5863J	VENKATA SIVARAM PRASAD RAAVI	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	APEPK0161R	PAVAN KUMAR BABU KONERU	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	AIJPB0977G	YOGESH BANSAL	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: EAD-6/AO/PM/NK/010/2019-20
10-Jul-25	ABVPD6656R	VEERABHADRA RAO DANDAMUDI	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2021-22/14130-14162
10-Jul-25	AASCS5122L	SWARATHMA GARTEX PRIVATE LIMITED	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 AND SECTIONS 12A(1), 12A (2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
10-Jul-25	AALCA2477M	AZAREL FASHIONS PRIVATE LIMITED	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 AND SECTIONS 12A(1), 12A (2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1
10-Jul-25	AADCB4591K	BHADRESH TRADING CORPORATION LIMITED	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 AND SECTIONS 12A(1), 12A (2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1
10-Jul-25	AACPM0152D	BHADRESH VASANTRAI MEHTA	SCN UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEBI ACT,1992 AND SECTIONS 12A(1), 12A (2) OF SECURITIES CONTRACTS (REGULATION) ACT,1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1
11-Jul-25	AAAHI6088L	INDRA DEO TRIPATHI HUF	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8065 OF 2024
11-Jul-25	AACCL1899B	LMR GREEN REALTY PRIVATE LIMITED	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8083 OF 2024
11-Jul-25	AFUPA7917B	KAMLESH AGRAWAL	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8103 OF 2024

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
11-Jul-25	AAOHR7338L	RAM KUMAR AGARWAL HUF	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8131 OF 2024
11-Jul-25	FTRPS4532J	SATYAWATI	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8319 OF 2024
11-Jul-25	AANCS4635F	SVPL DEVELOPERS PRIVATE LIMITED	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8424 OF 2024
11-Jul-25	CPWPS7287E	NIDHI SINGH	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8436 OF 2024
11-Jul-25	AAFPA1251Q	MR. MADHUR AGARWAL	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT, 1992 AND SECTION 23 JB OF THE SECURITIES CONTRACTS (REGULATION) ACT,1956.	CERTIFICATE NO. 8624 OF 2025
11-Jul-25	AAFPA1252P	MR. SHIV KUMAR AGARWAL	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT, 1992 AND SECTION 23 JB OF THE SECURITIES CONTRACTS (REGULATION) ACT,1956.	CERTIFICATE NO. 8624 OF 2025
14-Jul-25	AACCB0938K	BASIL INTERNATIONAL LIMITED	UNDER SECTION 15-I (2) OF THE SEBI ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. MC/DPS/2019-20/ 3180-3194
14-Jul-25	AJCPA6064F	MR. MOHAMMED AFAQUE AHMAD	UNDER SECTION 15-I (2) OF THE SEBI ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. MC/DPS/2019-20/ 3180-3194

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
15-Jul-25	CAXPK4388N	SM GLOBAL RESEARCH/ SHASHIKANT KUMAR	UNDER SECTION 11(1), 11(4), 11(4A), 11B (1) AND 11B (2) OF THE SEBI ACT, 1992 READ WITH RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/GR/ERO/ERO/30575/2024-25
15-Jul-25	BAMPS0082D	ANIL SINGH	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SR/2022-23/01-09/21011-21019
15-Jul-25	AKOPM1988P	DIPAK KUMAR MOHANTY	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SR/2022-23/01-09/21011-21019
15-Jul-25	AIAPP3677Q	CHOUDHURY NIRANJAN PRADHAN	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SR/2022-23/01-09/21011-21019
16-Jul-25	AJHPG6989J	BIPINKUMAR GANDHI	UNDER SECTION 15-I OF THE SEBI ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. - SRP/JP/AO: 199/2011
17-Jul-25	ARVPG7849R	PRAKASH GUPTA AND HIS PROPRIETORSHIP FIRM VIZ. SHRI TRADING CO.	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8080 OF 2024
17-Jul-25	ADIPV7096C	RAJIV VERMA	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8101 OF 2024
17-Jul-25	ANIPK8791P	KAMALJEET SINGH	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT,1992.	CERTIFICATE NO. 8496 OF 2025

Non-Confidential

List of PAN deactivated on account of non-delivery of SCN / Orders/ in cases of inadequate KYCs				
Date of Deactivation	**ClientPAN**	**Client name**	**Subject Line**	**Reference number**
17-Jul-25	AAACD1157A	DEE KARTAVYA FINANCE LIMITED	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT, 1992 AND SECTION 23 JB OF THE SECURITIES CONTRACTS (REGULATION) ACT,1956.	CERTIFICATE NO. 8592 OF 2025
17-Jul-25	AAACM5584A	MOONGIPA INVESTMENTS LIMITED	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT, 1992 AND SECTION 23 JB OF THE SECURITIES CONTRACTS (REGULATION) ACT,1956.	CERTIFICATE NO. 8624 OF 2025
17-Jul-25	AAFPA1250R	MR. SUDHIR AGARWAL	NOD UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SEBI ACT, 1992 AND SECTION 23 JB OF THE SECURITIES CONTRACTS (REGULATION) ACT,1956.	CERTIFICATE NO. 8624 OF 2025

Non-Confidential