

# National Stock Exchange of India

## Circular

Department: Listing

Circular Ref No: NSE/CML/2023/40

Date: June 14, 2023

The Company Secretary  
All Listed Entities

**Subject: Seeking of comments / feedback on the XBRL being introduced for submission of Announcements pertaining to Loss of Share Certificate/ Issue of Duplicate Share Certificate and Closure of Trading Window**

### 1. **OBJECTIVE:**

The objective of this document is to seek comments / feedback on the proposal to migrate from Portable Document Format (PDF) to eXtensible Business Reporting Language (XBRL) format for submitting corporate announcements for

- *Loss of Share Certificate/ Issue of Duplicate Share Certificate*
- *Closure of Trading Window*

as required under the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR) and/ or SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI PIT), as amended from time to time and such applicable laws.

### 2. **BACKGROUND:**

Listed entities are required to file the disclosures with the Stock Exchange(s) within prescribed timelines as laid down in SEBI LODR and/or SEBI PIT Regulations and accordingly it was mandated to report XBRL based filings for financials from year 2015 onwards.

Over the period, Stock Exchanges in phased manner has been aiming to convert filings made under SEBI LODR and/or any other disclosure w.r.t. compliance of any SEBI Act, Regulation, Circular or provision from PDF to XBRL. With an objective to standardise securities market data it was deliberated that XBRL would be implemented for all corporate filings.

Accordingly, Stock Exchanges have implemented XBRL based compliance filing mechanism featuring identical and homogenous compliance data structures which will not only ease the compliance burden on listed entities but also will enable analysis on the data submitted by the listed entities.

Hence in this regard, filing of announcement pertaining to the aforementioned subjects, would require listed entities to file the same in XBRL exclusively, formats of which are made available as Annexure.

### 3. **ISSUES AND DELIBERATIONS:**

Please note that the details required are typically descriptive in nature and based on the SEBI LODR and/ or SEBI PIT Regulations or any other disclosure w.r.t. compliance of any SEBI Act, Regulation, Circular or provision. Accordingly, listed entities can provide their comments/ feedback/suggestions/observations pertaining to any additions/modifications in the fields of the attached format.

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### 4. COMMENTS FROM LISTED ENTITIES

Considering the implications of the XBRL filing by listed entities, comments in respect of the subject are solicited. Comments may be sent by email to [DL-Announcement@nse.co.in](mailto:DL-Announcement@nse.co.in) in the following format:

Name of entity / person: Contact Number & Email Address:				
Sr. No	Event: <b>1. Loss of Share Certificate/ Issue of Duplicate Share Certificate</b> <b>2. Closure of Trading Window</b>	Subject/Heading/ Field	Comments/ Suggestions	Detailed Rationale

While sending email, kindly mention the subject as **“Comments / Feedback on the XBRL being introduced for Loss of Share Certificate/ Issue of Duplicate Share Certificate and Closure of Trading Window”**

The comments may be sent by email to [DL-Announcement@nse.co.in](mailto:DL-Announcement@nse.co.in) latest by June 20, 2023.

Enclosed is the draft format for the XBRL being introduced.

Yours faithfully,

**For National Stock Exchange of India Limited**

**Dhaval Shah**  
**Manager – Listing**

Encl: Draft Format for XBRL being introduced for Loss of Share Certificate/ Issue of Duplicate Share Certificate and Closure of Trading Window

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## ANNEXURE

### 1. LOSS OF SHARE CERTIFICATE/ ISSUE OF DUPLICATE SHARE CERTIFICATES

LOSS OF SHARE CERTIFICATE/ ISSUE OF DUPLICATE SHARE CERTIFICATES	
PARTICULARS	SUB-PARTICULARS
<b>General Information</b>	
NSE Symbol	
Name of the Company	
BSE Scrip Code	
MSE Symbol	
ISIN	
Type of Announcement	1. New 2. Revision
Type of Event	1. Loss of share certificates 2. Issue of duplicate share certificates 3. Others
If Others, specify the type of Event	
Date of original announcement	
Remarks (website dissemination)	
Remarks for Exchange (not for Website Dissemination)	
Date of Report	
<b>Loss of share certificate</b>	
Number of shareholders for whom intimation regarding loss of share certificate has been received	1. Add row 2. Delete row

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Sr. no.	Date of receipt of intimation/information of loss of share certificate	Name of shareholder(s) (including Joint holders)	Folio Number	Number of shares	Certificate Number		Distinctive Number		Whether multiple entries is to be created for a single shareholder	Number of entries to be created for a particular shareholder
					From	To	From	To		

Name of Registrar and Transfer Agent (RTA) of the Company	
If Others, specify the name of the RTA	
Whether the Company has complied with the Rule 19(3) of Securities Contract (Regulations) Rules, 1957 for the loss of share certificates	
If no, specify the reason	
Whether the Company has complied with the Regulation 39(2) of SEBI LODR for the timelines of 30 days from the date of lodgement	
If no, specify the reason	
Whether the Company has complied with the procedural requirements specified in Schedule VI of SEBI LODR	
If no, specify the reason	
Any other disclosure w.r.t. compliance of any SEBI Act, Regulation, Circular or provision	
Any other information	
Remarks (website dissemination)	

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Remarks for exchange (not for website dissemination)

### Issue of duplicate share certificate

Number of shareholders to whom duplicate share certificate has been issued

1. Add row
2. Delete row

Sr. no.	Date of receipt of information for issue of duplicate share certificate	Name of shareholder(s) (including Joint holders)	Folio Number	Number of Shares	Old Certificate Number		New Certificate Number		Distinctive Number		Reason for issue of duplicate share certificate	Whether multiple entries is to be created for a single shareholder	Number of entries to be created for a particular shareholder
					From	To	From	To	From	To			

Name of Registrar and Transfer Agent of the Company	
If Others, specify the name of the RTA	
Whether the Company has complied with the Rule 19(3) of Securities Contract (Regulations) Rules, 1957 for the loss of share certificates	
If no, specify the reason	
Whether the Company has complied with the Regulation 39(2) of SEBI LODR for the timelines of 30 days from the date of lodgement	
If no, specify the reason	
Whether the Company has complied with the procedural requirements specified in Schedule VI of SEBI LODR	
If no, specify the reason	
Any other disclosure w.r.t. compliance of any SEBI Act, Regulation, Circular or provision	
Any other information	
Remarks (website dissemination)	
Remarks for exchange (not for website dissemination)	



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### 2. CLOSURE OF TRADING WINDOW

CLOSURE OF TRADING WINDOW	
PARTICULARS	SUB-PARTICULARS
NSE Symbol	
Name of the Company	
BSE Scrip Code	
MSE Symbol	
ISIN	
Type of Announcement	1. New 2. Revision
Type of Event	Closure of Trading Window
Date of original announcement	
Trading Window Start date	
Trading Window End date	
Details for Trading Window End date	
Purpose of the Closure of Trading Window	
Any other disclosure w.r.t. compliance of any SEBI Act, Regulation, Circular or provision	
Any other information	
Remarks (website dissemination)	
Remarks for Exchange (not for Website Dissemination)	
Date of Report	