

Annexure I

(On the letterhead of the NPO)

Date:

National Stock Exchange of India Ltd,
Exchange Plaza,
Bandra Kurla Complex,
Bandra (East), Mumbai– 400051

Dear Sir/Madam,

Sub: Registration of (Name of the NPO) on National Stock Exchange as Social Enterprise under regulation 292 F (1) of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018.

The NPO hereby confirms that:

- i. It is eligible to be identified as a Social Enterprise under regulation 292 E of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018.
- ii. The NPO, any of its promoters, promoter group or directors or selling shareholders or trustees are not debarred from accessing the securities market by the SEBI
- iii. None of its promoters or directors or trustees is a promoter or director of any other company or Social Enterprise which has been debarred from accessing the securities market by the SEBI.
- iv. None of its promoters or directors or trustees is a wilful defaulter or a fraudulent borrower.
- v. None of its promoters or directors or trustees is a fugitive economic offender.
- vi. Neither the NPO nor any of its promoters or directors or trustees has been debarred from carrying out its activities or raising funds by the Ministry of Home Affairs or any other ministry of the Central Government or State Government or Charitable Commissioner or any other statutory body.
- vii. NPO has complied and shall be in compliance with any applicable laws and regulations in force including but not limited to Prevention of Money Laundering Act, 2002, Foreign Account Tax Compliance Act, FATF regulations etc. in relation to donors/donations received by them.
- viii. NPO is not dependent on any corporate for more than 50% of its funding.
- ix. NPO is registered on the NGO Darpan portal with unique ID _____.
- x. It is not in receipt of any notice or ongoing scrutiny by Income Tax. *(If so, please provide details)*
- xi. It is in compliance with applicable provisions of Chapter X-A of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018, SEBI Circular no. SEBI/HO/CFD/PoD-1/P/CIR/2022/120 dated September 19,2022 and other applicable laws, circulars, guidelines etc. including the Exchange Circulars / Guidelines.

- xii. It shall ensure compliance of disclosure requirements of regulations 91C and 91E of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 post registration.

Yours faithfully,

Authorised signatory