

National Stock Exchange of India

Circular

Department: Compliance	
Download Ref No: NSE/COMP/62672	Date: June 28, 2024
Circular Ref. No: 31/2024	

To All Members,

Sub: Restriction on use of certain words by Trading Members/Authorized Person in their name

This is in continuation to Exchange circular no. NSE/COMP/55716 dated February 22, 2023, regarding restriction on use of certain words by Trading Member/Authorized Person in their name as the name should reflect the registration held by the entity and should not in any way create an impression of performing a role for which the entity is not registered.

Exchange vide circular no- NSE/COMP/61367 dated March 28, 2024, had advised Members to change their name or the name of their registered Authorized Persons if it contained restricted words indicating a role for which the entity is not registered. This change was required to be made with the Registrar of Companies (ROC)/Registrar of Firms (ROF) and also updated in Exchange records by June 30, 2024.

It has come to our attention that Members and Authorized Persons are currently in the process of executing these changes with the ROC/ROF.

In view of the above, considering the ongoing efforts, the timeframe for changing their name with ROC/ROF and updating it in Exchange records has been extended up to September 30, 2024.

Members are advised to ensure compliance within this extended timeframe.

For and on behalf of
National Stock Exchange of India Limited

Ankit Kothari
Senior Manager

Telephone No	Email id
1800 266 0050 (Select IVR option 3)	memcompliance_support@nse.co.in