

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : COMPLIANCE**

Download Ref. No. : NSE/COMP/33643

Date : November 16, 2016

Circular Ref. No. : 291/2016

To All Members

Sub: Revised Code of Advertisement for Stock Brokers

This is in partial modification to Code of Advertisement prescribed by Exchange, our circular ref. no. NSE/COMP/30985 dated October 21, 2015 regarding undertaking for compliance with the Code of Advertisement and our circular reference no. NSE/COMP/32988 dated August 12, 2016 in relation to schemes/ leagues/ competitions launched by Stock Brokers, based on communication from SEBI.

The revised Code of Advertisement for Stock Brokers is provided at **Annexure I**. Pursuant to the revised Code of Advertisement, the updated undertaking format is provided at **Annexure II**. Members are requested to ensure strict compliance with the aforesaid Code of Advertisement.

Further, in partial modification to aforesaid circular dated August 12, 2016 in relation to schemes/ leagues/ competitions launched by Stock Brokers, members are requested to ensure strict compliance with the requirements provided at **Annexure III**.

In case of any clarifications, members are requested to contact Membership Compliance department at toll free no. 1800 22 00 51 or at email id compliance@nse.co.in.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Chief Manager

Telephone No	Fax No.	Email id
1800 22 00 51	+91-22- 26598428	compliance@nse.co.in