

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Axis Small Cap Fund
2	Option Names (Regular & Direct)	Direct IDCW Direct Growth Regular IDCW Regular Growth
3	Fund Type	Open Ended
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as per SEBI Categorization Circular	Smallcap Fund
7	Potential Risk Class (as on date)	-
8	Description, Objective of the scheme	To generate long-term capital appreciation from a diversified portfolio of predominantly equity & equity related instruments of small cap companies.
9	Stated Asset Allocation	Equity and Equity related instruments of smallcap companies - (65%-100%), Equity and Equity Related Instruments of non-small cap Companies - (0%-35%), Debt & Money Market Instruments - (0%-35%) , Units issued by REITs & InvITs - (0%-10%)
10	Face Value	10
11	NFO Open Date	11-11-2013
12	NFO Close date	25-11-2013
13	Allotment Date	29-11-2013
14	Reopen Date	05-12-2013
15	Maturity Date (For closed-end funds)	N/A
16	Benchmark (Tier 1)	NIFTY Smallcap 250 TRI
17	Benchmark (Tier 2)	
18	Fund Manager 1 - Name	Mr. Anupam Tiwari
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary/Domestic Equities
20	Fund Manager 1 - From Date	6th October 2016
	Fund Manager 2 - Name	Mr. Hitesh Das
	Fund Manager 2 - Type (Primary/Comanage/Description)	International Equities
	Fund Manager 2- From Date	18th December 2020
21	Annual Expense (Stated maximum)	Regular - 1.95% Direct - 0.35%
22	Exit Load (if applicable)	If redeemed / switched-out within 12 months from the date of allotment:For 10% of investments: NIL on FIFO basis For remaining investments: 1% w.e.f., 29/11/2018
23	Custodian	DEUTSCHE BANK AG
24	Auditor	B S R & Co. LLP
25	Registrar	Kfintech Pvt Ltd
26	RTA Code (To be phased out)	SC
27	Listing Details	Unlisted
28	ISINs	INF846K01K35 INF846K01K43 INF846K01K50 INF846K01K01 INF846K01K19 INF846K01K27
29	AMFI Codes (To be phased out)	125354 125351 125350 125352

Fields	SCHEME SUMMARY DOCUMENT	
30	SEBI Codes	
Investment Amount Details:		
31	Minimum Application Amount	5000
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	100
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	N/A
36	Minimum Redemption Amount in Units	N/A
37	Minimum Balance Amount (If applicable)	N/A
38	Minimum Balance Amount in Units (if applicable)	N/A
39	Max Investment Amount	500000
40	Minimum Switch Amount (if applicable)	N/A
41	Minimum Switch Units	N/A
42	Switch Multiple Amount (if applicable)	N/A
43	Switch Multiple Units (if applicable)	N/A
44	Max Switch Amount	500000
45	Max Switch Units (if applicable)	N/A
46	Swing Pricing (if applicable)	No
47	Side-pocketing (if applicable)	No
SIP SWP & STP Details:		
48	Frequency	SIP - Monthly / Yearly SWP - Monthly / Quarterly / Halfyearly / Yearly STP - Daily / Weekly / Fortnightly / Monthly / Quarterly
49	Minimum amount	SIP Monthly 500.00, SIP Yearly - 12000.00 SWP - 1000 STP - Daily, Weekly, Fortnightly, Monthly - 1000 and Quarterly 3000.00
50	In multiple of	1
51	Minimum Instalments	SIP Monthly - 6 SIP - Yearly - 3 SWP - Monthly - 6, Quarterly - 4, Halfyearly - 4, Yearly - 2 STP - Daily -6, Weekly - 6, Fortnightly -6, Monthly - 6, Quarterly -2.
52	Dates	SIP - Except 29,30 and 31 all dates SWP - 1, 5, 10, 15 and 25 STP - Daily, Weekly all days, Fortnightly - alternate Wednesday, Monthly and Quarterly 1,7,10,15 and 25
53	Maximum Amount (if any)	500000