

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Axis Special Situations Fund
2	Option Names (Regular & Direct)	Direct IDCW Direct Growth Regular IDCW Regular Growth
3	Fund Type	Open Ended
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as per SEBI Categorization Circular	Sectoral/Thematic Funds
7	Potential Risk Class (as on date)	-
8	Description, Objective of the scheme	To generate long-term capital appreciation by investing in mis-priced stocks facing special situations. The mis-pricing of stocks can occur due to companies facing special situations like regulatory/policy changes, management restructuring, technology led disruption and innovation or any temporary challenges in the operating environment. However, there can be no assurance that the investment objective of the Scheme will be achieved.
9	Stated Asset Allocation	Equity & Equity related instruments of special situations theme - (80%-100%) Other Equity and Equity related instruments - (0%-20%), Debt & Money Market Instruments - (0%-20%), Units issued by REITs & InvITs - (0%-10%)
10	Face Value	10
11	NFO Open Date	04-12-2020
12	NFO Close date	18-12-2020
13	Allotment Date	24-12-2020
14	Reopen Date	28-12-2020
15	Maturity Date (For closed-end funds)	N/A
16	Benchmark (Tier 1)	NIFTY 500 TRI
17	Benchmark (Tier 2)	
18	Fund Manager 1 - Name	Mr. Ashish Naik
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary/Domestic Equities
20	Fund Manager 1 - From Date	24th December 2020
	Fund Manager 2 - Name	Mr. Hitesh Das
	Fund Manager 2 - Type (Primary/Comanage/Description)	International Equities
	Fund Manager 2- From Date	24th December 2020
21	Annual Expense (Stated maximum)	Regular - 2.02% Direct - 0.50%
22	Exit Load (if applicable)	If redeemed / switched-out within 12 months - For 10% of investment: Nil on FIFO basis For remaining investment: 1% w.e.f.,24/12/2020
23	Custodian	DEUTSCHE BANK AG
24	Auditor	B S R & Co. LLP
25	Registrar	Kfintech Pvt Ltd

Fields	SCHEME SUMMARY DOCUMENT	
26	RTA Code (To be phased out)	SS
27	Listing Details	Unlisted
28	ISINs	INF846K01X71 INF846K01X89 INF846K01Y05 INF846K01Y13 INF846K01X97 INF846K01Y21
29	AMFI Codes (To be phased out)	148634 148632 148631 148633
30	SEBI Codes	
Investment Amount Details:		
31	Minimum Application Amount	5000
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	100
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	N/A
36	Minimum Redemption Amount in Units	N/A
37	Minimum Balance Amount (If applicable)	N/A
38	Minimum Balance Amount in Units (if applicable)	N/A
39	Max Investment Amount	N/A
40	Minimum Switch Amount (if applicable)	N/A
41	Minimum Switch Units	N/A
42	Switch Multiple Amount (if applicable)	N/A
43	Switch Multiple Units (if applicable)	N/A
44	Max Switch Amount	N/A
45	Max Switch Units (if applicable)	N/A
46	Swing Pricing (if applicable)	No
47	Side-pocketing (if applicable)	Yes
SIP SWP & STP Details:		
48	Frequency	SIP - Monthly / Yearly SWP - Monthly / Quarterly / Halfyearly / Yearly STP - Daily / Weekly / Fortnightly / Monthly / Quarterly
49	Minimum amount	SIP Monthly 1000.00, SIP Yearly - 12000.00 SWP - 1000 STP - Daily, Weekly, Fortnightly, Monthly - 1000 and Quarterly 3000.00
50	In multiple of	1
51	Minimum Instalments	SIP Monthly - 6 SIP - Yearly - 3 SWP - Monthly - 6, Quarterly - 4, Halfyearly - 4, Yearly - 2 STP - Daily -6, Weekly - 6, Fortnightly -6, Monthly - 6, Quarterly -2.
52	Dates	SIP - Except 29,30 and 31 all dates SWP - 1, 5, 10, 15 and 25 STP - Daily, Weekly all days, Fortnightly - alternate Wednesday, Monthly and Quarterly 1,7,10,15 and 25
53	Maximum Amount (if any)	N/A