

| SCHEME SUMMARY DOCUMENT           |   |  |
|-----------------------------------|---|--|
| 1                                 | Fund Name   | ADITYA BIRLA SUN LIFE SMALL CAP FUND   |
| 2                                 | Option Names (Regular & Direct)                             | Growth<br>IDCW-Reinvest<br>IDCW  |
| 3                                 | Fund Type   | An open ended equity scheme predominantly investing in small cap stocks.   |
| 4                                 | Riskometer (At the time of Launch)                          | Very High  |
| 5                                 | Riskometer (as on Date)                                     | Very High  |
| 6                                 | Category as Per SEBI Categorization Circular                | Equity Scheme - Small Cap Fund   |
| 7                                 | Potential Risk Class (as on date)                           | NA   |
| 8                                 | Objective of the scheme                                     | The Scheme seeks to generate consistent long-term capital appreciation by investing predominantly in equity and equity related securities of Small cap companies.  |
| 9                                 | Stated Asset Allocation                                     | Under normal circumstances, the asset allocation of the Scheme will be as follows:<br>Instruments - Risk Profile - Range<br>Equity and Equity Linked of small cap companies - Medium to High - 65%-100%<br>Equity and related instruments of Companies other than small cap - Medium to High - 0%-35%<br>Fixed Income Securities* (including Money market instruments) - Low to Medium - 0%-35%<br>* Investment in securitised debt papers may be made upto 20%. |
| 10                                | Face Value  | 10.0000  |
| 11                                | NFO Open Date   | 9-Apr-07   |
| 12                                | NFO Close date  | 28-May-07  |
| 13                                | Allotment Date  | 31-May-07  |
| 14                                | Reopen Date   | 1-Jun-07   |
| 15                                | Maturity Date (For closed-end funds)                        | NA   |
| 16                                | Benchmark (Tier 1)  | S&P BSE 250 SmallCap TRI   |
| 17                                | Benchmark (Tier 2)  | NA   |
| 18                                | Fund Manager I - Name                                       | Mr. Vishal Gajwani   |
|                                   | Fund Manager II - Name                                      | Mr. Dhaval Joshi   |
| 19                                | Fund Manager I - Type (Primary/Comanage/Description)        | Comanage   |
|                                   | Fund Manager II - Type (Primary/Comanage/Description)       | Comanage   |
| 20                                | Fund Manager I - From Date                                  | Oct 04, 2022   |
|                                   | Fund Manager II - From Date                                 | Nov 21, 2022   |
| 21                                | <b>Annual Expense (Stated maximum)</b>                      |  |
|                                   | Regular   | 2.10%  |
|                                   | Direct  | 1.08%  |
| 22                                | Exit Load (if applicable)                                   | For redemption /switch-out of units on or before 90 days from the date of allotment: 1.00% of applicable NAV. For redemption / switch-out of units after 90 days from the date of allotment: Nil.  |
| 23                                | Custodian   | Citibank NA  |
| 24                                | Auditor   | M/s Deloitte Haskins & Sells LLP   |
| 25                                | Registrar   | Computer Age Management Services Limited   |
| 26                                | RTA Code (To be phased out)                                 | NA   |
| 27                                | Listing Details   | Not Applicable   |
| 28                                | <b>ISINs</b>  |  |
|                                   | Aditya Birla Sun Life Small Cap Fund - GROWTH               | INF209K01EN2   |
|                                   | Aditya Birla Sun Life Small Cap Fund -Regular - IDCW        | INF209K01EO0   |
|                                   | Aditya Birla Sun Life Small Cap Fund - Growth - Direct Plan | INF209K01WN4   |
|                                   | Aditya Birla Sun Life Small Cap Fund -Direct - IDCW         | INF209K01WM6   |
| 29                                | <b>AMFI Codes (To be phased out)</b>                        |  |
|                                   | Aditya Birla Sun Life Small Cap Fund - GROWTH               | 105804   |
|                                   | Aditya Birla Sun Life Small Cap Fund -Regular - IDCW        | 105805   |
|                                   | Aditya Birla Sun Life Small Cap Fund - Growth - Direct Plan | 119556   |
|                                   | Aditya Birla Sun Life Small Cap Fund -Direct - IDCW         | 119557   |
| 30                                | SEBI Codes  | ABSL/O/E/SCF/06/02/0028  |
| <b>Investment Amount Details:</b> |   |  |
| 31                                | Minimum Application Amount                                  | 1000   |
| 32                                | Minimum Application Amount in multiples of Rs.              | 1  |
| 33                                | Minimum Additional Amount                                   | 1000   |
| 34                                | Minimum Additional Amount in multiples of Rs.               | 1  |
| 35                                | Minimum Redemption Amount in Rs.                            | 1  |
| 36                                | Minimum Redemption Amount in Units                          | 0.001  |
| 37                                | Minimum Balance Amount (if applicable)                      | 1  |
| 38                                | Minimum Balance Amount in units (if applicable)             | 0.001  |
| 39                                | Max Investment Amount                                       | NA   |
| 40                                | Minimum Switch Amount (if applicable)                       | 1000   |
| 41                                | Minimum Switch units  | 0.001  |
| 42                                | Switch Multiple Amount (if applicable)                      | 0.01   |
| 43                                | Switch Multiple Units (if applicable)                       | NA   |
| 44                                | Max Switch Amount   | NA   |
| 45                                | Max Switch Units (if applicable)                            | NA   |
| 46                                | Swing Pricing (if applicable)                               | NA   |
| 47                                | Segregated Portfolio (if applicable)                        | NA   |
| <b>SIP Details*</b>               |   |  |
| 48                                | Frequency   | OM,OW,FM,SM  |
| 49                                | Minimum amount  | 1000   |
| 50                                | In multiple of  | 1  |
| 51                                | Minimum Instalments   | 6  |
| 52                                | Dates   | Monthly(1 to28) / Weekly(Monday to Friday)   |
| 53                                | Maximum Amount (if any)                                     | NA   |
| <b>STP Details*</b>               |   |  |
| 54                                | Frequency   | Q,OM,OW,DZ   |
| 55                                | Minimum amount  | (OM/OW-Rs.500x12, Rs.1000x6) - (OQ-Rs.Rs.1000x4) - (DZ/BZ-Rs.500x20)   |
| 56                                | In multiple of  | NA   |
| 57                                | Minimum Instalments   | (OM/OW-Rs.500x12, Rs.1000x6) - (OQ-Rs.Rs.1000x4) - (DZ/BZ-Rs.500x20)   |
| 58                                | Dates   | 01,07,10,14,20,21,28   |
| 59                                | Maximum Amount (if any)                                     | NA   |
| <b>SWP Details*</b>               |   |  |
| 60                                | Frequency   | Q,OM,OW,DZ   |
| 61                                | Minimum amount  | (OM/OW/BZ - Rs.500x6) - (OQ - Rs.500x4) (H - Rs.500x4) (Y - Rs.500x2)  |
| 62                                | In multiple of  | NA   |
| 63                                | Minimum Instalments   | (OM/OW/BZ - Rs.500x6) - (OQ - Rs.500x4) (H - Rs.500x4) (Y - Rs.500x2)  |
| 64                                | Dates   | 01,07,10,14,20,21,28   |
| 65                                | Maximum Amount (if any)                                     | NA   |

|      |                           |
|------|---------------------------|
| * OM | Once a Month              |
| OW   | Once in a Week            |
| FM   | Four in a Month           |
| SM   | Specific dates in a Month |
| Q    | Quarterly                 |
| DZ   | Daily (ZIP)               |
| BZ   | Daily Business days       |