

Compliance Chronicles: Regulatory Insights & Best Practices

2 Days | Classroom Training | Mumbai

 **29th & 30th November 2024**



Limited Seats!

In today's fast-paced business landscape, organizational success depends greatly on the competence and agility of its workforce. NSE Academy is delighted to present a specially designed Bootcamp format training calendar for Enterprise Learners.

Positioned as # **THREEE**, the entire Employee Skill+ series encompasses a holistic approach to employee development on the three foundation pillars:

Enable Potential -> **Equip Performance** -> **Empower Progress**

These pillars, rooted in the realms of Behavioural, Technical, and Functional skills, form the cornerstone of the transformative learning journey brought to you by NSE Academy Ltd.

PROGRAM OVERVIEW

Compliance Chronicles: Regulatory Insights & Best Practices is a training program designed to provide participants with insights into RBI guidelines and best practices for ensuring compliance in the banking sector. This program provides attendees with the knowledge necessary to navigate RBI regulations effectively, manage compliance in day-to-day banking operations, risk management practices, and address regulatory reporting requirements. Through interactive sessions, case studies, and simulations, participants will gain an understanding of key regulatory areas, such as KYC norms, asset classification, priority sector lending, and Anti Money Laundering (AML) measures. Moreover, attendees will learn how to establish robust compliance frameworks, implement risk assessment strategies, and foster a culture of compliance within their organizations.

PROGRAM OBJECTIVE

By the end of the program, participants will be able to:



Apply their understanding of RBI guidelines to ensure compliance in day-to-day banking operations, risk management practices, and regulatory reporting requirements.



Build robust compliance frameworks and processes within their organizations, including designing effective risk assessment strategies, implementing internal controls, and fostering a culture of compliance among team members.



Identify key regulatory requirements outlined by the RBI, such as those related to KYC norms, asset classification, provisioning norms, and AML measures.



Prioritize Sector Lending as per RBI Norms.

KEY PROGRAM TAKEAWAYS

- 1 Comprehensive understanding of RBI guidelines and regulations.
- 2 Best practices for ensuring compliance in banking operations.
- 3 Risk assessment and mitigation strategies.
- 4 Awareness of emerging regulatory trends and changes.
- 5 Establishment of robust governance and internal controls.
- 6 Emphasis on ethical conduct and professionalism.
- 7 Practical application through case studies and simulations.
- 8 Encouragement of continuous learning and development.

WHO SHOULD ATTEND THIS PROGRAM?

- ✓ Compliance Officers and Managers
- ✓ Risk Management Professionals
- ✓ Legal and Regulatory Affairs Professionals
- ✓ Audit and Internal Control Teams
- ✓ Finance and Accounting Professionals
- ✓ Operations Managers
- ✓ Senior Management and Executives
- ✓ New Entrants and Trainees

METHODOLOGY

An interactive workshop which would be a blend of experience sharing, role plays, case studies - PDFs & real-life scenarios, question-based discussion, assessment, and PowerPoint presentation.



BENEFITS OF THE PROGRAM

- 1 Enhanced understanding of RBI regulations.
- 2 Improved compliance practices.
- 3 Effective risk management strategies.
- 4 Increased regulatory awareness.
- 5 Strengthened governance and internal controls.
- 6 Ethical conduct promotion.
- 7 Practical application skills.
- 8 Continuous learning and development opportunities.
- 9 Compliance with industry standards.
- 10 Reduced regulatory risk.

TRAINER PROFILE

Sameer Khatu



Sameer Khatu has over 30 years of rich and diversified experience in the Banking and Insurance sectors and earned his credentials in Fraud Examination from the A.C.F.E Institute, Austin, U.S.A. He also holds credentials as a Professional Behavioural Analyst – DISC certification from TTI, U.S.A.

During the last two decades, he has witnessed the transitions and risk management impacts in regulatory compliance matters such as KYC, Anti-Money Laundering, Anti-Bribery Crimes, Tax Avoidance Crimes, Sanctions Violations, and matters of Data Protection.

As a corporate trainer, he is involved in raising awareness on behavioural issues within corporations. Sameer is a visiting faculty member at a couple of B-schools, where he is associated with the managerial and entrepreneurial development of Gen Z.

