

Delivery procedure for Base Metal (Copper)

1. Delivery Centre :

The primary delivery centre shall be Bhiwandi, Maharashtra and there is no additional delivery centre

2. Empaneled Warehouse Service Provider (WSP):

a. Primary Delivery Center:

Name of the WSP	JICS Logistics Ltd.		
Location	Bhiwandi		
Warehouse Address	Ground floor, Plinth No-6, Off building No- S/14 Shivam Industrial Complex, Vadunavghar, Bhiwandi, Maharashtra		
Contact Person	Kanhaiya Jha		
Contact Details	9350274983		
Fax no	-		
Email ID	kanhaiya.jha@jicslogistic.com		
Warehouse Charges	Copper	1 MT	Rs. 75 per day per MT

2. Documents for Delivery:

Following documents shall be submitted at time of actual delivery in the warehouse.

- Certificate of Analysis (CoA) of the producer containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date Copy of Invoice with all deposits/eWay Bill
- Copy of Certificate of Origin and Custom clearance documents in case of imported goods
- Packing list containing net weight, batch number, Number of units in bundles / lot
- Client deposit request letter – As per format specified
- CM undertaking – As per format specified.



- Excel file for commodity deposit to be emailed to the mentioned email ID of the warehouse

Depositor shall complete the formality in respect of necessary documentation at the warehouse. Depositor shall submit duly filled in Know Your Depositor (KYD) form & Depositor Transaction Declaration (DTD) form as given below before depositing the goods and shall also ensure compliance with all the statutory laws/ regulations and best practices for depositing Copper Cathodes.

3. Commodity Deposit information sharing through IMS

All valid deposits shall be credited into the account of the depositor in multiples of 2500 KGs subject to the acceptable tolerance limits. Commodity details entered by WSP shall be available as free balance to the clearing member.

Clearing member is required to enter the details through IMS for further utilization of the commodity towards:

- Early Pay-in
- Intention for Delivery
- Pay-in instruction towards settlement obligation
- Withdrawal

The depositor(s) shall ensure that appropriate electronic records in multiples of deliverable lots are earmarked for 'pay-in' while initiating pay-in through the IMS.

7. Quality Specification:

It should be copper cathodes of LME approved brands or other suppliers / brands as may be approved by NSE. List of refineries conforming to the quality specification as per the good delivery standard shall be updated on the website of Exchange. List of ineligible countries for imported cathodes if any shall also be known to the market participants in advance by the Exchange.

It should be Grade A Copper and must conform to the chemical composition to one of the following standards:

ASTM B115-10 (cathode Grade 1)



List of refineries conforming to the quality specification as per the good delivery standard will be as provided by Exchange

8. Delivery Specifications:

The production information of the Cathodes to be deposited at the warehouse should not be earlier than 1 year from the date of deposit. E.g.: Material deposited in warehouse on 10th Jan 2021 should not have date of manufacture before 10th Jan 2020. CoA date shall be considered as the Production date.

The depositors/participants shall take the goods to the warehouse as per the schedule after confirmation with the respective WSP, to ensure availability of storage space and unloading arrangements at the warehouse. Loading and Unloading of Copper Cathodes will be undertaken on first come first serve basis.

The stock which is intended for Pay-In should preferably be deposited at least 2 working days prior to the expiry of the contract. E.g.: If Copper lot has to be delivered in the Jan 2021 contract with expiry on 29th Jan 2021, the depositor should deposit the lot on or before 27th Jan 2021.

9. Size/Shape and Weight of Copper Cathodes

Copper Cathodes deposited shall be in the shape of uncut full plate cathodes. Cathode should carry the producer's sticker reflecting Producer name, Net Weight, Batch No, Purity, Number of Pieces of Cathodes in bundle & date of Manufacturing. Cathode bundles where the batch details of the lot are mentioned manually by indelible ink shall not be accepted.

The weight of the Copper Cathodes received and/ or delivered would be considered as per the weight mentioned in packing list. WSP shall have the right to check all the bundles on warehouse weigh scale. In case the weight of Cathodes bundle as per the weightment by weighing scale is found lesser than the weight declared in the packing list after factoring in the allowable weight tolerance, the lesser of both the weights shall be considered as final weight.

Allowable weigh scale tolerance is 400 gm/MT.

Once weighed at the warehouse, if the bundle weight variation compared to the packing list/label is more than +/- 1.5% of the delivery lot size/2500 Kgs the same shall not be accepted.

10. Physical Inspection at the time of deposit by WSP

Copper Cathodes should come with intact original packing with certificate of analysis

The Warehouse/WSP must keep a record of all seals by number and date cross reference to each Lot.

All markings on packaging and information on supporting documentation required for depositing Copper at warehouse shall be as per LME standards/Norms

Warehouse/WSP will not undertake assaying of the metal and will undertake only visual inspection of the metal along with the supporting documentation.

Further, if

- a) the metal or the supporting documentation is in any way not provided by depositor or found inconsistent, or
- b) Cathodes are not found physically sound and free of harmful defects such as segregation, cracks, inclusions or visible contamination of metal, or
- c) there is any sign of broken or visibly corroded strapping on the Cathodes in any bundle, or
- d) there is inconsistency in branding of metal (for instance, Non-LME brands or different LME brands have been visibly mixed within a bundle);

then the WSP shall call upon any such information from the depositor for acceptance of Copper Cathodes and the Warehouse/WSP may not issue a Receipt until any such shortcoming has been addressed by the depositor.

WSP at its sole discretion may reject /insist on replacement of such deliverable lot, if the goods are found inconsistent/faulty

11. Delivery Size:

Delivery Unit	2500 Kgs (Net Weight of Metal)
Quantity Variation for Lot (Tolerance Limit)	+/- 10 % of total weight of each deliverable lot i.e. 2500 Kgs

12. Outbound quality & quantity tolerance

The outbound quality & quantity tolerance limit is applicable only for outbound deliveries. Variation in quality and weight parameters within the prescribed tolerance limit will be treated as good delivery during the lifting of goods from accredited warehouses. Following tolerances shall be treated as good delivery:

Outbound Parameters	Allowable Tolerance / Variation permitted
Quality	10 ppm
Weight	+/- 400 gm/MT

13. Physical inspection of stock in possession

Members/ Participants holding goods in the warehouse are entitled to undertake physical inspection of said goods. Request for such physical inspection would have to be submitted to clearing corporation and clearing corporation after verification of such requests shall forward the same to the concerned WSP for allowing such inspection. During physical inspection, the Member/Participants/Client or his authorized representative/ies (Maximum 2 personnel) is/are not permitted to draw samples, take photographs/ videos or carry any such hazardous material which may cause damage to the goods inside the warehouse.

14. Retesting Method - Sampling, Quality Analysis & Certification

In case, the Member/ Participants/Client who purchased Cathodes on the Exchange platform and seek to lift the Cathodes from the warehouse do not agree to the quality as specified in the Original Certificate of Analysis (CoA) of the Goods, shall request clearing corporation within 7 working days from the date of commodity pay out, for resampling and retesting without lifting the Cathodes from the warehouse. Such Cathodes should not have crossed the final expiry date (FED) as mentioned on the quality certificate. Clearing Corporation shall entertain complaint on quality or quantity of the commodity received only through settlement mechanism and only if the complaint is made within the prescribed timelines as specified above.

Member/ Participants/Client have a choice to select Assayer from the empanelled Assayers as specified by Clearing Corporation from time to time. Member/



Participants/Client in such case shall make a request for retesting to Clearing Corporation which in-turn shall be forwarded to the concerned WSP. The Member / Participants/Client shall indicate the preferable date and time of visit to the warehouse for retesting of the stock along with the prescribed form. Once a request for retesting is carried out, then the same goods will necessarily have to be lifted and cannot be retendered in subsequent settlements.

The following documents shall be required to be submitted to the warehouse official(s) on the date of the warehouse visit.

- Original Retesting Request Form as given below
- Proof of holding the commodity balance in the IMS
- Original Authorization letter in favour of representative along with copy of ID proof
- Original Delivery Order (Goods withdrawal request) duly signed and stamped by the Member/ Participants

At least 2 random samples shall be drawn from each of the bundles/drums of the deliverable lot weighing around 100 Gms each (or as per requirement of Assayer for testing by Instrumental/Chemical method). First Sample shall be Assayer's sample and the second sample shall be Reference sample.

The assayer shall complete the process of retesting and submit a retesting report basis the composite observation of the samples analyzed within 5 working days from the date of sampling. Such reports shall be shared with all the concerned parties and shall be binding on both buyer & Seller Clearing Member of the said lot.

If the said quality report results are not found in accordance to the quality specifications (after considering outbound tolerance limits) as prescribed by the Exchange/ Clearing Corporation from time to time, the Member/ Participants within 3 working days; shall submit their claim in writing by giving details such as lot numbers, quantity and the parameters under which inconsistencies are observed. The basis of claim, if any shall be the value arrived on the basis of nearest closing spot price on the claim submission business day. Clearing Corporation shall give its decision on the claim filed by the buyer / stock holder which shall be binding on the parties. In case Clearing Corporation determines that a delivery does not constitute a good delivery, the Seller Clearing Member shall be liable to resolve / compensate the buyer / stock holder for the quality difference or substitute good delivery within 7 working days from the date of the decision of Clearing Corporation. The Seller Clearing Member shall be liable for giving good delivery (quality and quantity) / compensation in accordance with the contract specifications as prescribed by the Exchange from time to time



Buyer requesting for retesting and certification shall bear all professional fees of assayer, including incidental costs, weight shortage and expenses related to retesting certification, etc. in case the retesting assaying report is in line with the Certificate of Analysis deposited with the material. In case, the retesting results indicate negative variance from the original certificate (after considering outbound tolerance limits), then the aforesaid retesting cost shall be borne by the Seller Clearing Member.

16. Withdrawal of the Copper Cathodes from Warehouse

The holder of goods shall request for withdrawal of goods from warehouse to the WSP in IMS. Once it is approved by the WSP, the goods will not be eligible for the delivery on the Exchange platform and Client has to withdraw the goods from the warehouse within the timeline given in the request after submission of the authority letter and identity proof.

The Member / Participants/Client, whoever wishes to seek private testing & certification arrangements may request the WSP under their mutual private arrangement under their mutual terms and conditions. In such a case, the said goods shall be treated as outside the scope of Exchange/Clearing Corporation. These goods can then neither be tendered for delivery nor shall any further complaints and claims be entertained by the Exchange/Clearing Corporation.

17. Storage after Validity Period

The depositor/ buyer can take out the Copper Cathodes from the warehouse on or before final expiry date (FED). After the FED, the goods shall necessarily be removed. Storage of goods after the specified final expiry date (FED) is not permitted and therefore the Members/Participants/Client shall ensure that the goods whose final expiry date is over, are removed from the concerned warehouse immediately, but not later than 3 months from the date of the specified FED. Accordingly, Members/Participants/Client involved in such deposits shall solely remain liable/ responsible for such deposits. The Exchange/Clearing Corporation/WSP shall not be responsible in any manner whatsoever for those goods which have crossed the FED. Members/ Participants/Client are advised to become familiar with relevant circular/ provisions/ guidelines of the Exchange/Clearing Corporation which are in force from time to time and undertake suitable due diligence.



18. General Conditions

The general provisions of Bye - Laws, Rules, Regulations of Exchange/Clearing Corporation and decision taken by the Relevant Authority of Exchange/Clearing Corporation in respect of matter specified above will form an integral part of the contract. Exchange/Clearing Corporation may further prescribe additional measures relating to delivery procedures and requirements for determining disputed deliveries or defective deliveries, and measures, procedures and system of resolving the dispute or defect in deliveries or of consequences of such deliveries or the resolution which shall be final and binding on all the parties concerned.

Members and Market Participants who enter into buy and sell transactions need to be aware of all the factors that go into the mechanism of trading and clearing, as well as all provisions of the Exchange/Clearing Corporation Bye Laws, Rules, Regulations, circulars, directives, and notifications of Exchange/Clearing Corporation as well as of the Regulators, Government and other authorities.

It is the sole obligation and responsibility of the Members and market participants to ensure that apart from the approved quality standards stipulated by the Exchange, the goods deposited / traded / delivered through the Approved warehouses of Clearing Corporation is in due compliance with the applicable regulations laid down by authorities like Food Safety Standard Authority of India , BIS, Orders under Packaging and Labelling etc., as also other State/Central laws and authorities issuing such regulations in this behalf from time to time including but not limited to compliance of provisions and rates relating to GST, Tax, stamp duty, etc. as may become due & payable under any law, rules or regulations, applicable from time to time on the underlying commodity of any contract offered for deposit / trading / delivery and Exchange/Clearing Corporation shall not be responsible or liable on account of any non-compliance thereof

All the sellers giving delivery of goods/ commodities and all the buyers taking delivery of goods/ commodities shall have the necessary GST Registration as required under the Goods & Service Tax (GST) Act and obtain other necessary licenses, if any

In respect of all contracts executed by the Members of Exchange, it shall be the responsibility of the Trading Member/Clearing Member to pay all applicable statutory fee, stamp duty, taxes and levies in respect of all deliveries as well as futures contracts directly to the concerned Central/State/Local Government Departments. The Exchange/Clearing Corporation shall not be held liable or accountable or responsible on account of any non-compliance thereof.



Clearing Corporation shall entertain complaint on quality or quantity of the goods received only through settlement of trades through Exchange mechanism and only if the claim is made within 3 working days from the date of issuance of report of retesting by the Assayers, failing which, no claim shall be entertained by Clearing Corporation. However, WSP shall remain responsible for the stocks received through settlement of trade through Exchange Mechanism until FED of the goods.

For the goods that have been deposited, but not delivered through settlement Mechanism of Clearing Corporation, Clearing Corporation shall not be responsible for quality and quantity of the goods. However, WSP shall continue to be liable for such goods that have not been delivered through settlement mechanism of Clearing Corporation. WSP shall remain responsible for all its obligations under various State and Central Laws governing the operation of Warehouses and shall be solely responsible to the owners of stocks as reflected in the storage receipt issued by the Warehouse/WSP or the Statement issued by the Repository or the Electronic Warehouses Receipts issued by the Repository

Clearing Corporation shall not be responsible and shall not be held liable or accountable or responsible for value of the goods /stock of the commodities stored/lying in Clearing Corporation designated warehouse/s, and which is fully/partially confiscated / seized by any local or statutory or any other authority for any reason whatsoever or for any deterioration in quality of the goods stored due to above reason or which have passed the Final Expiry Date and continue to remain in Clearing Corporation accredited warehouse.



CLIENT DEPOSIT REQUEST LETTER

To,

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.....
.....

Dear Sir

I/We, _____ [NAME OF THE STOCK OWNER/BENIFICARY],
do hereby irrevocably authorize _____ [NAME OF
THE DEPOSITOR] whose signature has been appended and duly verified by me/us to
deposit _____ [NAME OF THE COMMODITY AND
QUANTITY] with _____ [NAME OF THE VAULT/
WAREHOUSE AND ITS VSP/ WSP], on my/ our behalf.

_____ [NAME OF THE DEPOSITOR] is also
authorized to sign, acknowledge and accept all the related forms/documents on my/our behalf.

I/we declare and undertake that I/we shall be bound by all the terms and conditions stipulated in
the declarations and undertakings submitted by me / our authorized depositor.

Signature of the Depositor.

Seal & Signature of Stock Owner/Beneficiary

(Along with Self-Attested identity proof)

Encl : A – Depositor Transaction Declaration

B – Know Your Depositor



A- DEPOSITOR TRANSACTION DECLARATION (DTD)

(On the letterhead of the Depositor)

1. **Name of the Depositor** : _____
2. **Address & Phone No.** : _____
3. **PAN No. of Depositor** : _____
4. **Deposited on behalf of** : _____

Client Member Self

Client Code (UCC)	TM Name	TM Code	CM Name	CM Code

Note : In case of deposition made for client/ member, kindly submit the authorization letter with attested signature

1.1 Name of the Client/Member/Self : _____

1.2 GST No. : _____

2. **Vault/ warehouse name and address** : _____

3. Commodity Details

Name of the Commodity	Quantity	Units (No. of Bars)	Purity Grade/	Date of Deposit	Remarks

4. Goods and Services Tax (GST) Registration Details:

State	Depositor GST Number	Seller/Principal GST Number

Note: In case of operation in any other States than mentioned above, please provide GST Registration details of all other States as a separate Annexure to this Application along with certified copy of GST Registration certificate.

5. Documents Attached

Kindly submit all of the following documents as applicable to the Depositor

Yes/ No

Copy of appointment letter/letter of authority from client/member for depositing of goods for client/member.

Self-attested copy of the Challan cum return of payment of GST for the month preceding the month of the deposit submitted.



Place :

Date : (Signature of the Owner / Depositor)

DECLARATION BY OWNER/DEPOSITOR/ CLEARING MEMBER

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the details as filled in this document.
3. I/ We understand that the goods deposited are meeting with all statutory requirements besides the Exchange quality specifications. I/ we confirm that said goods do not violate any statutory requirement or compliances applicable to them as in force. In the event of any restraint or seizure or such other restrictive orders affecting the goods held by us and being offered for delivery on the Exchange platform, I/We agree that I/We shall be liable as the holder of goods and shall ensure that the transferee of the goods gets the delivery of the goods offered.
4. I/ We shall abide by various central/state laws including Tax laws, and other Acts, Rules, Regulations, notification/orders and guidelines applicable to the said goods and as in force from time to time and shall indemnify the vault/ VSP/ warehouse/WSP and the NCL against any, and all litigations or actions / claims or proceedings arising on account of the information provided by me / us.
5. I/We understand that in the event of any non-compliance of any law, Rules, Regulations, Notification/s or order/s as applicable to the said goods, I/We shall be solely and completely responsible and undertake to be so responsible and liable for such noncompliance and for any and all consequences thereof.



6. I/We hereby declare that, there are no statutory dues or returns or taxes/levies pending to be met in respect of the said goods and the said goods are validly owned /held by me/us.
7. The stocks of deposits as mentioned in this Depositor Transaction Declaration (DTD) along with this Declaration are deposited by me /us for self /ourselves and I/We am/are the sole beneficiary of the said stocks.
8. I/We hereby further declare that the goods as mentioned in DTD is valid goods for delivery against the contracts traded on the Exchange Platform and I /We am/are the lawful owner/s of the goods.
9. I/We further declare that the goods are free from encumbrances and is not under any pledge, hypothecation or any charge of whatsoever nature with any Banks/Financial Institutions/ NBFCs or any other registered or unregistered lending agencies/entities.
10. The goods are clear and marketable in my/own name. I/We further state and undertake that I/We shall keep NSE Clearing Limited and its officials indemnified at all times for any mis-declaration with respect to the ownership, encumbrance and other acts by me/us at all times and understand that this indemnification shall survive the transaction executed on the Exchange Platform and shall be valid at all times
11. I / We declare and agree that in no event shall NCL or the said vault/ Warehouse be held liable for any damages, including but not limited to direct or indirect, special, incidental, or consequential damages, losses or expenses arising on account of my/our noncompliance of any central/state laws as applicable to the said goods.
12. As a depositor, I / We hereby confirm that goods requested to be stored shall not be unauthorized, banned under the law or for any other unlawful activity and all authorization and / or consents, approvals that are required in connection with storage of these commodities has been obtained by me / us, and in the event of any liability, financial or otherwise, arising at any point in time due to any misrepresentation, for any unlawful activity and for non- compliance of applicable laws and regulations governing storage and collateral management services rendered by vault/ warehouse, I / we shall indemnify vault/ warehouse/NCL for such losses / damages / penalties etc.



13. I / We declare that, in case any storage receipt is endorsed to any other third party the same shall be promptly informed to the WSP.
14. I/We do hereby agree to be bound by such provisions as outlined in these documents.
15. I/We further declare and undertake that we shall at all times be liable for any action as may be applicable for any violations of NCL Rules, Bye-laws and Regulations, Circulars, Guidelines and the directives of NCL or the Board as the case may be as issued from time to time.

Place :

Date : _____ (Signature of the Owner / Depositor)

FOR OFFICE USE ONLY

VSP/ WSP Allotted Code : _____
(WAC)

Name of the Depositor : _____

Proof of Delivery (POD) no. _____

Documents Submitted verified: Yes / No

	Documents verified
Name of the Employee / VSP/ Warehouse Official	
Employee Code	
Designation of the employee	



Place : _____

Signature of the Authorized Signatory

Date : _____

Seal/Stamp of the VSP/ WSP



B. KNOW YOUR DEPOSITOR (KYD)....FOR INDIVIDUAL

Vault/ Warehouse Service Provider Allotted Code (VAC/ WAC): _____

(To be maintained by VSP/ WSP)

PHOTOGRAPH
Please affix your recent passport size photograph & sign across it.

Please fill this form in ENGLISH & in BLOCK LETTERS.

A. IDENTITY DETAILS

- 1. **Name of the Depositor** : _____
- 2. **a) Nationality** : _____
- b) Status** : _____
- 3. **a) PAN** : _____
- b) Aadhaar** : _____
- Number** : _____
- b) Any other proof** : _____
- of Identity** : _____

B. ADDRESS DETAILS

- 1. **Address for correspondence** _____



<i>(write here)</i>	<i>(write here)</i>	<i>(write here)</i>	<i>(write here)</i>
City/ district /village	Pin Code	State	Country

2. Address for Record (on Stock receipt)

<i>(write here)</i>	<i>(write here)</i>	<i>(write here)</i>	<i>(write here)</i>
City/ district /village	Pin Code	State	Country

3. Contact Details

Contact Person Name : _____

Mobile Number : (STD Code) _____

Telephone Number : _____

Fax Number : _____

Email Id : _____

C. OTHER DETAILS

1. Occupation & Income Details:

Agri. & Allied activity / Salaried (Private Sector/ Public Sector/ Government Service) / Pensioner / Self-employed / Business or Trade / Student / Housewife / Other Source (Please specify)



Annual Income: _____

D. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type: Saving/Current/ Others	MICR Number	IFSC code

Note: Provide a copy of cancelled cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank.

CM- TM DETAILS

Client Code (UCC)	TM Name	TM Code	CM Name	CM Code

E. Goods and Services Tax (GST) Registration Details:

State	Depositor GST Number	Seller/Principal GST Number

Note: In case of operation in any other States than mentioned above, please provide GST Registration details of all other States as a separate Annexure to this Application along with certified copy of GST Registration certificate.



F. DOCUMENTS ATTACHED

Yes/ No

Self-attested copy of the GST Registration Certificate for the States in which the Depositor/Client/Seller/Principal is registered.

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LIST OF DOCUMENTS FOR IDENTIFICATION:

[Attach self-attested copies of any two to indicate identity, signature verification & address]

Please produce original for verification

1. Passport / Driving License / Voter ID card / Armed Forces Id Card / Aadhaar Card No. / PAN / Id card of any accredited institution like Government authority.
2. Utility Bill / Credit Card or Bank Account Statement (Within last 30 days) / Ration Card / Marriage Certificate / Trade License / Certificate of Birth / Regd. Lease deed.

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the details as filled in this document.
3. I/We understand that the goods whenever deposited require to meet all statutory requirements besides the Exchange quality specifications. I/we confirm that said goods do not violate any statutory requirement or compliances applicable to them as in force.
4. I/We confirm to abide by various central/state laws including Tax laws, and other Acts, Rules, Regulations, notification/orders and guidelines applicable to the said goods and as in



force from time to time and shall indemnify the warehouse and NCL against any, and all litigations or actions / claims or proceedings arising on account of the information provided by me / us.

5. I/We understand that in the event of any non-compliance of any law, Rules, Regulations, Notification/s or order/s as applicable to the said goods, the Undersigned shall be solely and completely responsible and undertake to be so responsible and liable for such noncompliance and for any and all consequences thereof.
6. I/We further declare and agree that in no event shall NCL or the said Warehouse be held liable for any damages, including but not limited to direct or indirect, special, incidental, or consequential damages, losses or expenses arising on account of my/our non-compliance of any central/state laws as applicable to the said goods.
7. As a depositor, I/we hereby confirm that commodities / goods requested to be stored shall not be unauthorized, banned under the law or for any other unlawful activity and all authorization and/or consents, approvals that are required in connection with storage of these materials / commodities shall be obtained by me / us, and in the event of any liability, financial or otherwise, arising at any point in time due to any misrepresentation, for any unlawful activity and for non- compliance of applicable laws and regulations governing storage services rendered by warehouse, I/we shall indemnify warehouse for such losses / damages / penalties etc.
8. I/We declare that, in case any storage receipt is endorsed to any other third party the same shall be promptly informed to the WSP.

Place : _____ (_____)
Date : _____ **Signature of Depositor**



FOR OFFICE USE ONLY

WSP Allotted Code (WAC) : _____

Name of the Depositor : _____

Documents Submitted verified: Yes / No

	Documents verified
Name of the Employee / VSP/ Warehouse Official	
Employee Code	
Designation of the employee	

Place: _____

Signature of the Authorized Signatory

Date: _____

Seal/ Stamp of the VSP/WSP



B- KNOW YOUR DEPOSITOR (KYD)...FOR NON-INDIVIDUAL

Vault/ Warehouse Service Provider Allotted Code (VAC/ WAC): _____

(To be maintained by VSP/ WSP)

Please fill this form in ENGLISH & in BLOCK LETTERS.

A. IDENTITY DETAILS

Name of the

1. Firm/Company/Deposit : _____

or

2. Registration No (E.g. CIN.) : _____

PAN : _____

Any other proof of Identity : _____

Private Limited Co. / Public Ltd. Co. / Body Corporate / Partnership / Trust / HUF / LLP. /Others(please specify)

3. Status (please tick any one): : _____

(In case of foreign entity or entity with foreign shareholders, self-certified copy of statutory approval obtained must be attached)

B. ADDRESS DETAILS

1. Address for correspondence





(write here) (write here) (write here) (write here)

City/ district /village Pin Code State Country

2. Address for Record (on Stock receipt)

(write here) (write here) (write here) (write here)

City/ district /village Pin Code State Country

3. Contact Details

Contact Person Name : _____

Mobile Number : (STD Code) _____

Telephone Number : _____

Fax Number : _____

Email Id : _____

4. Registered Address

(write here)	(write here)	(write here)	(write here)
City/ district /village	Pin Code	State	Country

Mobile No _____



Tel (off) (STD Code) _____

Fax _____

Email Id. _____

C. OTHER DETAILS

Net-worth as on (date) _____ (_____)

D. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type: Saving/Current/ Others	MICR Number	IFSC code

Note: Provide a copy of cancelled cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank.

E. CM- TM DETAILS

Client Code (UCC)	TM Name	TM Code	CM Name	CM Code

F. Goods and Services Tax (GST) Registration Details:

State	Depositor GST Number	Seller/Principal GST Number



Note: In case of operation in any other States than mentioned above, please provide GST Registration details of all other States as a separate Annexure to this Application along with certified copy of GST Registration certificate.

G. DOCUMENTS ATTACHED

Yes/ No

Self-attested copy of the GST Registration Certificate for the States in which the Depositor/Client/Seller/Principal is registered.

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List of Authorized Signatories along with specimen signature to be submitted.

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the details as filled in this document.
3. I/We understand that the goods whenever deposited require to meet all statutory requirements besides the Exchange quality specifications. I/we confirm that said goods do not violate any statutory requirement or compliances applicable to them as in force.
4. I/We confirm to abide by various central/state laws including Tax laws, and other Acts, Rules, Regulations, notification/orders and guidelines applicable to the said goods and as in force from time to time and shall indemnify the warehouse and NCL against any, and all litigations or actions / claims or proceedings arising on account of the information provided by me / us.
5. I/We understand that in the event of any non-compliance of any law, Rules, Regulations, Notification/s or order/s as applicable to the said goods, the Undersigned shall be solely and completely responsible and undertake to be so responsible and liable for such noncompliance and for any and all consequences thereof.



- 6. I/We further declare and agree that in no event shall NCL or the said Warehouse be held liable for any damages, including but not limited to direct or indirect, special, incidental, or consequential damages, losses or expenses arising on account of my/our non-compliance of any central/state laws as applicable to the said goods.
- 7. As a depositor, I/we hereby confirm that commodities / goods requested to be stored shall not be unauthorized, banned under the law or for any other unlawful activity and all authorization and/or consents, approvals that are required in connection with storage of these materials / commodities shall be obtained by me / us, and in the event of any liability, financial or otherwise, arising at any point in time due to any misrepresentation, for any unlawful activity and for non-compliance of applicable laws and regulations governing storage services rendered by warehouse, I/we shall indemnify warehouse for such losses / damages / penalties etc.
- 8. I/We declare that, in case any storage receipt is endorsed to any other third party the same shall be promptly informed to the WSP.

Place : _____ (_____)
Date : _____ **Signature of Authorized Signatory**

FOR OFFICE USE ONLY

VSP/ WSP Allotted Code : _____
(VAC/ WAC)
Name of the Depositor : _____

Documents Submitted verified: Yes / No



	Documents verified
Name of the Employee / VSP/ Warehouse Official	
Employee Code	
Designation of the employee	

Place : _____

Signature of the Authorized Signatory

Seal/Stamp of the VSP/ WSP



FORMAT FOR AUTHORIZING THE PERSON(S) FOR TAKING THE DELIVERY FROM THE WAREHOUSE

(On the letterhead of the Member)

Date:

To,

NSE Clearing Limited,
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E)
Mumbai - 400 051

Client Code (UCC)	TM Name	TM Code	CM Name	CM Code

Dear Sir / Madam,

We hereby authorize _____ to take delivery of _____ Kg
_____ (No. of Bar) of _____ (Name of the commodity) from
_____ (Name of the vault/WSP) _____ (Name of the Vault/WSP
Location) for which we have already made the required payment to the NCL against our
purchase position of _____ (Name of the contract). The signature &
photograph of Mr./Mrs./Ms. _____ is given below



Name of the Authorized Representative	Signature	Proof of Identity No. of authorized person.(copy enclosed)	Photograph
		<ul style="list-style-type: none"> • PAN No. • Driving License No., Election ID • Aadhaar No 	<div style="border: 1px solid black; padding: 5px;"> Photograph should be affixed here Or copy of ID with Photo duly attested by Member </div>

We confirm that deliveries to any of the above mentioned person(s) is complete and effective discharge on the part of the NCL towards our company.

We are also giving our rubber stamp to the above mentioned authorized person to take delivery from the said vault/WSP.

Thanking you,

Yours faithfully,

For _____

(Signature & Stamp of the Member-Authorised Signatory)

Name of the Authorised signatory

Contact No. of the Authorised signatory

Encl: As above

RETESTING REQUEST FORM

Date:

NSE Clearing Limited,
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E)
Mumbai - 400 051

**Sub: Request for retesting of Stocks of _____ (Name of Commodity)
stored at _____ (Name & Address of Warehouse)**

Dear Sir/Madam,

We request for retesting of our stock as per the details (Warehouse/E-Receipt No. & Lot No.) provided in the excel sheet attached herewith this letter and submit the following.

1. I/We _____ (Name of Holder) would be present on _____
(Date and Time of Visit) at the Warehouse. My/our identity document is
_____ with identity no. _____.

OR

1. I/We have authorized _____ (Name of Representative) to remain present on _____ (Date and Time of Visit) at the warehouse. His identity document is _____ with identity no. _____
2. I/We understand that in case we do not produce our original identity document to the Warehouse official at the time of submission of original request to the warehouse, I/We shall be denied entry to the warehouse.
3. I/We understand that we are not allowed to take any samples of our lots stored in the warehouse.
4. I/We agree that all incidental costs, weight shortage and expenses related to this exercise shall be incurred by me/us and would be on our account. The result declared by NSE Clearing Ltd. appointed surveyor / assayer is acceptable to me/us and I/we would not claim any amount from NSE Clearing Ltd or Warehouse Service Provider at any point in time for quality certificate conforming
5. to specifications of Exchange/Clearing Corporation.
6. I/We undertake to abide to all the procedures, terms and conditions prescribed by NSE Clearing Ltd. from time to time.

Thanking You,
Yours faithfully,



For _____
(Signature & Stamp of Member)