

Form No. MGT-9 EXTRACT OF ANNUAL RETURN

as on the financial year ended on March 31, 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i	CIN	U67120MH1992PLC069769
ii	Registration Date	27 th November, 1992
iii	Name of the Company	National Stock Exchange of India Limited
iv	Category/Sub-category of the Company	Limited by shares/Indian Non-Govt. Co.
v	Address of the Registered office and contact details	Exchange Plaza, Plot C-1, Block 'G' Bandra-Kurla Complex, Bandra (East), Mumbai-400 051 022-2659 8222 (tel.) 022-2659 8198 (Fax)
vi	Whether listed company (Yes/No)	No
vii	Name, Address & Contact details of Registrar & Transfer Agent, if any	Link Intime India Pvt. Ltd. C – 101, 247 Park, LBS Marg, Vikhroli West, Mumbai – 400 083 Tel. No. + 91 22 49186000 and Fax No. +91 22 49186060

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the Business activities contributing 10% or more of the total turnover of the company are:-

Sr. No.	Name and Description of main products/services	NIC Code of the Product / service	% to total turnover of the company
1	Recognised stock exchange providing financial market operational services	9971	80.91%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	NSE Clearing Limited (formerly known as National Securities Clearing Corporation Limited) Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai - 400051	U67120MH1995PLC092283	Subsidiary Company	100%	2(87) (ii)
2	NSE Investments Limited (formerly known as NSE Strategic Investment Corporation Limited) Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai - 400051	U65999MH2013PLC240078	Subsidiary Company	100%	2(87) (ii)



Sr. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
3	NSE Indices Limited (formerly known as India Index Services & Products Limited) Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai - 400051	U73100MH1998PLC114976	Subsidiary Company	100%	2(87) (ii)
4	NSEIT Limited Ground floor, Trade Globe, Sir M V Road, Andheri Kurla Road , Andheri East Mumbai-400059	U72200MH1999PLC122456	Subsidiary Company	100%	2(87) (ii)
5	NSE Data & Analytics Limited (formerly known as Dotex International Limited) Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai - 400051	U72900MH2000PLC126952	Subsidiary Company	100%	2(87) (ii)
6	NSE Infotech Services Limited Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai - 400051	U72900MH2006PLC163468	Subsidiary Company	100%	2(87) (ii)
7	Computer Age Management Services Pvt. Ltd. New No.10, Old No.178, M.G.R.Salai, Nungambakkam, Chennai - 600034	U65910TN1988PTC015757	Associate	37.5%	2(6)
8	Market Simplified India Ltd. 13 th Floor, Zenith Building, ASCENDAS International Tech Park, CSIR Road, Taramani, Chennai-600113	U72900TN2000PLC045869	Associate	30%	2(6)
9	NSDL E-Governance Infrastructure Ltd. 1 st Floor, Times Tower, Kamala Mills Compound, Lower Parel, Mumbai-400013	U72900MH1995PLC095642	Associate	25.05%	2(6)
10	Power Exchange India Ltd. Unit No.901, 9 th floor, Sumer Plaza, Marol Maroshi, Andheri East, Mumbai 400059	U74900MH2008PLC179152	Associate	30.95%	2(6)
11	National Securities Depository Limited 4 th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai-400013	U74120MH2012PLC230380	Associate	24.00%	2(6)
12	Receivables Exchange of India Limited Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai - 400051	U67190MH2016PLC273522	Associate	30.00%	2(6)
13	BFSI Sector Skill Council of India 25 th Floor, P.J. Towers Dalal Street, Fort, Mumbai-400001	U80904MH2011NPL222074	Associate	49.00%	2(6)
14	NSE Academy Limited Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai - 400051	U67190MH2016PLC274239	Subsidiary Company	100%	2(87) (ii)

Sr. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
15	NSEIT (US), Inc. 2010 Crow Canyon Place, Suit 107, San Ramon CA 94583	---	Subsidiary Company	100%	2(87) (ii)
16	NSE IFSC Ltd. Unit-1201, Brigade International Financial Centre 12 th Floor, Block-14, Road 1C, Zone-1, Gift SEZ, Gift City, Gandhinagar Gujarat - 382355	U65100GJ2016PLC094517	Subsidiary Company	100%	2(87) (ii)
17	NSE IFSC Clearing Corporation Ltd. Unit-1202, Brigade International Financial Centre 12 th Floor, Block-14, Road 1C, Zone-1, GIFT SEZ, GIFT CITY, Gandhinagar Gujarat- 382355	U65990GJ2016PLC094545	Subsidiary Company	100%	2(87) (ii)
18	NSE Foundation Exchange Plaza, Plot C-1, Block 'G', Bandra-Kurla Complex, Bandra East Mumbai – 400051	U74999MH2018NPL305854	Subsidiary Company	100%	2(87) (ii)
19	Aujas Networks Private Limited No.595, 4 th Floor, 15 th Cross, 1 st Phase, Outer Ring Road, J P Nagar, Bangalore 560078	U72200KA2008PTC045218	Subsidiary Company	95.39%	2(87) (ii)

IV. SHARE HOLDING PATTERN (Equity Share Capital Break-up as percentage of Total Equity)

I) CATEGORY-WISE SHARE HOLDING

Category of Shareholders	No. of Shares held at the beginning of the year (As on 01.04.2018)			No. of Shares held at the end of the year (As on 31.03.2019)			% Change during the year
	Demat	Total	% of Total Shares	Demat	Total	% of Total Shares	
A. PUBLIC SHAREHOLDING							
(1) Institutions							
a) Mutual Funds	-	-	-	-	-	-	-
b) Banks/FI	5,85,46,590	5,85,46,590	11.83	4,94,10,093	4,94,10,093	9.98	-1.85
c) Central Govt.	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-
e) Venture Capital Funds	2,14,10,269	2,14,10,269	4.33	2,37,43,292	2,37,43,292	4.80	0.47
f) Insurance Companies	8,30,33,500	8,30,33,500	16.77	8,35,88,500	8,35,88,500	16.89	0.12
g) FPI	6,59,21,922	6,59,21,922	13.32	6,38,09,422	6,38,09,422	12.89	-0.43
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-
i) Others (specify) Foreign Direct Investments	17,65,23,783	17,65,23,783	35.66	17,81,06,863	17,81,06,863	35.98	0.32
Sub-total(A)(1):	40,54,36,064	40,54,36,064	81.91	39,86,58,170	39,86,58,170	80.54	-1.37



Category of Shareholders	No. of Shares held at the beginning of the year (As on 01.04.2018)			No. of Shares held at the end of the year (As on 31.03.2019)			% Change during the year
	Demat	Total	% of Total Shares	Demat	Total	% of Total Shares	
(2) Non - Institutions							
a) Bodies Corp.	8,17,59,200	8,17,59,200	16.52	8,57,88,872	8,57,88,872	17.33	0.81
b) Individuals							
i) Individual shareholders holding nominal share capital upto ₹1 lakh	6,36,053	6,36,053	0.13	14,37,232	14,37,232	0.29	0.16
ii) Individual shareholders holding nominal share capital in excess of ₹1 lakh	71,68,683	71,68,683	1.45	66,19,674	66,19,674	1.34	-0.11
c) Others (specify)	-	-	-				
i) HUF				21,052	21,052	0.004	0.004
ii) Trust				24,75,000	24,75,000	0.50	0.50
Sub-total(A)(2):	8,95,63,936	8,95,63,936	18.09	9,63,41,830	9,63,41,830	19.46	1.37
Total Public Shareholding (A)= (A)(1)+(A)(2)	49,50,00,000	49,50,00,000	100.00	49,50,00,000	49,50,00,000	100.00	-
B. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-
Grand Total (A+B)	49,50,00,000	49,50,00,000	100.00	49,50,00,000	49,50,00,000	100.00	-

II) SHAREHOLDING OF PROMOTERS

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

III) CHANGE IN PROMOTERS' SHAREHOLDING (PLEASE SPECIFY, IF THERE IS NO CHANGE)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	N.A.	N.A.	N.A.	N.A.
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	N.A.	N.A.	N.A.	N.A.
	At the end of the year	N.A.	N.A.	N.A.	N.A.

IV) SHAREHOLDING PATTERN OF TOP TEN SHAREHOLDERS (OTHER THAN DIRECTORS, PROMOTERS AND HOLDERS OF GDRS AND ADRS):

SN	Name	Shareholding at the beginning of the year		Date-wise increase/decrease in shareholding during the year specifying the reasons for increase/decrease (eg. Allotment/transfer/bonus/sweat equity)			Cumulative shareholding during the year (01-04-18 to 31-03-2019)	
		No. of shares at the beginning (01-04-18)	% of total shares of the company	Date	Increase (I)/ Decrease (D) in shareholding	Reason	No. of shares	% of total shares of the company
1	Life Insurance Corporation of India	6,19,13,500	12.51	-	-	-	6,19,13,500	12.51
2	State Bank of India	2,57,12,500	5.19	-	-	-	2,57,12,500	5.19
				28 September 2018	3853340 (D)	Sale of Shares	2,18,59,160	4.42
3	GAGIL FDI Limited	2,47,50,000	5.00				2,47,50,000	5.00
				25 September 2018	24,50,000 (D)	Sale of Shares	2,23,00,000	4.50
				3 October 2018	8,09,523 (D)	Sale of shares	2,14,90,477	4.34
				3 December 2018	2738095 (D)	Sale of shares	1,87,52,382	3.78
4(i)	Aranda Investments (Mauritius) PTE. Ltd.	2,47,50,000	5.00	-	-	-	2,47,50,000	5.00
4(ii)	Veracity Investments Limited, Mauritius	2,47,50,000	5.00	-	-	-	2,47,50,000	5.00
5	Stock Holding Corporation of India Limited	2,20,00,000	4.44	-	-	-	2,20,00,000	4.44
6	SBI Capital Markets Ltd.	2,14,50,000	4.33	-	-	-	2,14,50,000	4.33
7	SAIF II SE Investments Mauritius Limited	1,75,90,000	3.55	-	-	-	1,75,90,000	3.55
8(i)	GS Strategic Investments Limited, Mauritius	1,48,50,000	3.00	-	-	-	1,48,50,000	3.00
8(ii)	MS Strategic (Mauritius) Limited	1,48,50,000	3.00	-	-	-	1,48,50,000	3.00
8(iii)	PI Opportunity Fund	1,48,50,000	3.00	-	-	-	1,48,50,000	3.00
8(iv)	Tiger Global Five Holdings	1,48,50,000	3.00	-	-	-	1,48,50,000	3.00
9	Acacia Banyan Partners	1,23,75,000	2.50	-	-	-	1,23,75,000	2.50
10	IFCI Limited	1,20,66,871	2.44	-	-	-	1,20,66,871	2.44



V) SHAREHOLDING OF THE DIRECTORS AND KEY MANAGERIAL PERSONNEL:

SN	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	N.A.	N.A.	N.A.	N.A.
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	N.A.	N.A.	N.A.	N.A.
	At the end of the year	N.A.	N.A.	N.A.	N.A.

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payments- Nil

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness (₹ in Crores)
Indebtedness at the beginning of the financial year	N.A	N.A	N.A	N.A
i) Principal Amount	N.A	N.A	N.A	N.A
ii) Interest due but not paid	N.A	N.A	N.A	N.A
iii) Interest accrued but not due	N.A	N.A	N.A	N.A
Total (i+ii+iii)	N.A	N.A	N.A	N.A
Change in Indebtedness during the financial year	N.A	N.A	N.A	N.A
* Addition	N.A	N.A	N.A	N.A
* Reduction	N.A	N.A	N.A	N.A
Net Change	N.A	N.A	N.A	N.A
Indebtedness at the end of the financial year	N.A	N.A	N.A	N.A
i) Principal Amount	N.A	N.A	N.A	N.A
ii) Interest due but not paid	N.A	N.A	N.A	N.A
iii) Interest accrued but not due	N.A	N.A	N.A	N.A
Total (i+ii+iii)	N.A	N.A	N.A	N.A

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. REMUNERATION TO MANAGING DIRECTOR, WHOLE-TIME DIRECTORS AND/OR MANAGER:

Sr. No.	Particulars of Remuneration	Managing Director & CEO Mr. Vikram Limaye (₹ in Crores)
1	Gross salary	
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	7.85
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0.01
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-
2	Stock Option	-
3	Sweat Equity	-
4	Commission	-
	- as % of profit	-
	- others, specify...	-
5	Others, please specify (contribution to PF and other fund. Exempted allowances, tax paid by employer, withheld variable pay)	0.24
	Total (A)	8.10
	Ceiling as per the Act-5%	89.25
	Ceiling as per the Act-11%	196.35

B. REMUNERATION TO OTHER DIRECTORS:

(₹ IN LAKHS)

Sr. No.	Particulars of Remuneration	Name of Directors					Total Amount
		Mr. Dinesh Kanabar	Mr. Naved Masood	Mr. T.V. Mohandas Pai	Ms. Dharmishta Raval	Mr Ashok Chawla*	
1	Independent Directors						
	Fee for attending Board and Committee meetings	31.00	54.75	29.25	43.25	40.75	199.00
	Commission	-	-	-	-	-	
	Others, please specify	-	-	-	-	-	
	Total (1)	31.00	54.75	29.25	43.25	40.75	199.00
2	Other Non-Executive Directors		Ms. Sunita Sharma	Ms. Anshula Kant**	Mr. Prakash Parthasarathy	Mr. Abhay Havaladar	
	Fee for attending board, committee meetings	-	2.50	2.00	22.50	22.25	49.25
	Commission	-	-	-	-	-	
	Others, please specify	-	-	-	-	-	
	Total (2)	-	2.50	2.00	22.50	22.25	49.25
	Total (B)=(1+2)						248.25
	Overall Ceiling as per the Companies Act, 2013: Sitting Fees	₹1 lakh per Director per Board meeting and ₹0.75 lakh per Director Per Committee meeting w.e.f. 4 Aug, 2018					

*Mr. Ashok Chawla ceased to be the Public Interest Director / Chairman of the Board with effect from January 11, 2019.

**Ms. Anshula Kant ceased to be the Shareholder Director with effect from 28th September 2018.


C. REMUNERATION TO KEY MANAGERIAL PERSONNEL (OTHER THAN MD/MANAGER/WTD) :

(₹ IN CRORE)

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		Total Amount
		Mr. S. Madhavan (Company Secretary)	Mr. Yatrik Vin (CFO)	
	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0.94	2.54	3.48
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0.01	0.39	0.40
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	
3	Sweat Equity	-	-	
4	Commission	-	-	
	-as % of profit	-	-	
	-others, specify...	-	-	
5	Others, please specify (contribution to Provident Fund and other Fund, exempted allowances, Tax paid by employer, withheld variable pay)	0.03	0.14	0.17
	Total	0.98	3.07	4.05

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment			--NIL--		
Compounding					
B. DIRECTORS					
Penalty					
Punishment			--NIL--		
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment			--NIL--		
Compounding					

For and on behalf of the Board of Directors

 Dharmishta Raval
Director

 Dinesh Kanabar
Director

 Vikram Limaye
MD & CEO

 Place: Mumbai
Date: May 16, 2019