

Format of holding of specified securities

1. Name of Entity: **National Stock Exchange of India Limited**
2. Scrip Code/Name of Scrip/Class of Security: **Equity Shares**
3. Share Holding Pattern Filed under: ~~Reg. 31(1)(a)~~ **Reg. 31(1)(b)** ~~Reg. 31(1)(c)~~ **for the quarter ended September 30, 2016**
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-equity share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of Convertible securities As a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(B)	Public*	51	2,81,42,114	-	-	2,81,42,114	62.53	2,81,42,114	-	2,81,42,114	62.53	-	-	-	-	2,81,42,114		
(C)	Non Promoter-Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C3)	Trading Members and Associates of Trading Members	25	1,68,57,886	-	-	1,68,57,886	37.47	1,68,57,886	-	1,68,57,886	37.47	-	-	-	-	1,68,57,886		
	Total	76	45000000	-	-	45000000	100	45000000	-	45000000	100	-	-	-	-	45000000		

*as per the meaning assigned to it under Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012

(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	(a) No. (Not applicable)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
(1)	Institutions																		
(a)	Mutual Funds/ Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Venture Capital Funds Name (Xyz)	-	3	1,694,080	-	-	1,694,080	3.76	1,694,080	-	1,694,080	3.76	-	-	-	-	-	1,694,080	
	PI Opportunities Fund-1	-	1	1,350,000	-	-	1,350,000	3.00	1,350,000	-	1,350,000	3.00	-	-	-	-	-	1,350,000	
(c)	Alternate Investment Funds Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Foreign Venture Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Foreign Portfolio Investors Name(Xyz)	-	16	41,62,379	-	-	41,62,379	9.25	41,62,379	-	41,62,379	9.25	-	-	-	-	-	41,62,379	
	Tiger global Five holdings	-	1	1,350,000	-	-	1,350,000	3.00	1,350,000	-	1,350,000	3.00	-	-	-	-	-	1,350,000	
	Norwest Ventures Partners X FII- Mauritius	-	1	950,000	-	-	950,000	2.11	950,000	-	950,000	2.11	-	-	-	-	-	950,000	
(f)	Financial Institutions/ Banks Name(Xyz)	-	1	13,72,750	-	-	13,72,750	3.05	13,72,750	-	13,72,750	3.05	-	-	-	-	-	13,72,750	
	IFCI Limited	-	1	13,72,750	-	-	13,72,750	3.05	13,72,750	-	13,72,750	3.05	-	-	-	-	-	13,72,750	
(g)	Insurance Companies Name(Xyz)	-	6	8,928,500	-	-	8,928,500	19.84	8,928,500	-	8,928,500	19.84	-	-	-	-	-	8,928,500	
	Life Insurance Corporation of India	-	1	5,628,500	-	-	5,628,500	12.51	5,628,500	-	5,628,500	12.51	-	-	-	-	-	5,628,500	
	General Insurance corporation of India	-	1	740,000	-	-	740,000	1.64	740,000	-	740,000	1.64	-	-	-	-	-	740,000	
	National Insurance Company Limited	-	1	640,000	-	-	640,000	1.42	640,000	-	640,000	1.42	-	-	-	-	-	640,000	
	The New India Assurance Company Limited	-	1	640,000	-	-	640,000	1.42	640,000	-	640,000	1.42	-	-	-	-	-	640,000	

(a)	Individuals - Individual shareholders holding nominal share capital upto Rs. 2 lakhs.		1	17,200	-	-	17,200	0.04	17,200	-	17,200	0.04	-	-	-	-	17,200
	i. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	4,73,929	-	-	4,73,929	1.05	4,73,929	-	4,73,929	1.05	-	-	-	-	4,73,929
	Name(Xyz)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	NBFCs registered with RBI		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bodies Corporates		7	5,25,500	-	-	5,25,500	1.17	-	-	5,25,500	1.17	-	-	-	-	5,25,500
	Name(Xyz)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(3)		13	1,016,629	-	-	1,016,629	2.26	-	-	1,016,629	2.26	-	-	-	-	1,016,629
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		51	2,81,42,114	-	-	2,81,42,114	62.53	-	-	2,81,42,114	62.53	-	-	-	-	2,81,42,114
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): See Table Below																	
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	
(1) Note: (2) PAN would not be displayed on website of Stock Exchange(s). (3) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (4) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.																	
NOTE: Number of shares available in the non-public category is 51,99,614 equity shares of Rs.10/- each (11.555%). Number of shares available for acquisition by persons resident outside India is 1,69,845 (0.38%). However the above is subject to FDI policy, RBI and SEBI Regulations currently in force																	

Sr. No.	Name of the shareholder	Category of shareholder	Total holding		Acting in concert with	Category of shareholder	Total Holding of shareholder along with Person(s) acting in concert	
			No. Of shares (4)	% (rounded off to 2 decimals) (5)			(6)	(7)
1	Ithan Creek II MB	Public	14,483	0.03	Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB J. Caird MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	10,07,741	2.24
2	Ithan Creek MB	Public	1,46,824	0.33	Ithan Creek II MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB J. Caird MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	8,75,400	1.94
3	Bay Pond MB	Public	4,21,648	0.94	Ithan Creek II MB, Ithan Creek MB, Bay Pond BMD MB, Kirykos MB J. Caird MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	6,00,576	1.33
4	Bay Pond BMD MB	Public	2,01,901	0.45	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Kirykos MB J. Caird MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	8,20,323	1.82
5	Kirykos MB	Public	5,500	0.01	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, J. Caird MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	10,16,724	2.26
6	J. Caird MB	Public	43,472	0.10	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	9,78,752	2.17
7	J Caird BMD MB	Public	51,568	0.11	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB, J. Caird MB, Wolf Creek MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	9,70,656	2.16
8	Wolf Creek MB	Public	61,030	0.14	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB, J. Caird MB, J Caird BMD MB, Wolf Creek BMD MB, Quissette MB and Quissette BMD MB	Public	9,61,194	2.13
9	Wolf Creek BMD MB	Public	57,589	0.13	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB J. Caird MB, J Caird BMD MB, Wolf Creek MB, Quissette MB and Quissette BMD MB	Public	9,64,635	2.14
10	Quissette MB	Public	7,841	0.02	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB J. Caird MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB and Quissette BMD MB	Public	10,14,383	2.25
11	Quissette BMD MB	Public	10,368	0.02	Ithan Creek II MB, Ithan Creek MB, Bay Pond MB, Bay Pond BMD MB, Kirykos MB, J. Caird MB, J Caird BMD MB, Wolf Creek MB, Wolf Creek BMD MB and Quissette MB	Public	10,11,856	2.25
12	MSPL Limited	Public	1,96,500	0.44	1. Mr. Shrenik Kumar N Baldota 2. Mr. Rahul Kumar N Baldota	Public	2,25,000	0.50
13	Mr. Shrenik Kumar N Baldota	Public	1,12,500	0.25	1. Mr. Rahul Kumar N Baldota 2. MSPL Limited	Public	3,09,000	0.69
14	Mr. Rahul Kumar N Baldota	Public	1,12,500	0.25	1. Mr. Shrenik Kumar N Baldota 2. MSPL Limited	Public	3,09,000	0.69
15.	IFCI Limited	Public	13,72,750	3.05	Stock Holding Corporation of India Limited	Associates of Trading member	20,00,000	4.44
16.	Stock Holding Corporation of India	Associate of Trading member	20,00,000	4.44	IFCI Limited	Public	13,72,750	3.05

3	Trading Members and Associates of Trading Members															
	Corporates		6	48,38,334		48,38,334	10.75	48,38,334		10.75						48,38,334
	Bajaj Holdings & Investment Limited		1	562,000		562,000	1.25	562,000		1.25						562,000
	Stock Holding Corporation of India Limited		1	20,00,000		20,00,000	4.44	20,00,000		4.44						20,00,000
	SBI Capital Markets Limited		1	1,950,000		1,950,000	4.33	1,950,000		4.33						1,950,000
	Financial Institutions/Banks		10	45,74,679		45,74,679	10.17	45,74,679		10.17						45,74,679
	State Bank of India		1	23,37,500		23,37,500	5.19	23,37,500		5.19						23,37,500
	IDBI Bank Limited		1	6,74,153		6,74,153	1.50	6,74,153		1.50						6,74,153
	FDI		4	4,500,000		4,500,000	10.00	4,500,000		10.00						4,500,000
	GS Strategic Investments Limited, Mauritius		1	18,000,00		18,000,00	4.00	18,000,00		4.00						18,000,00
	MS Strategic (Mauritius) Limited, Mauritius		1	1,350,000		1,350,000	3.00	1,350,000		3.00						1,350,000
	Citigroup Strategic Holdings Mauritius Limited, Mauritius		1	900,000		900,000	2.00	900,000		2.00						900,000
	FIH Mauritius Investments Limited		1	4,50,000		4,50,000	1.00	4,50,000		1.00						4,50,000
	FPI		1	2,250,000		2,250,000	5.00	2,250,000		5.00						2,250,000
	Aranda Investments (Mauritius) Pte. Ltd.		1	2,250,000		2,250,000	5.00	2,250,000		5.00						2,250,000
	Venture Capital Funds		1	189,873		189,873	0.42	189,873		0.42						189,873
	Insurance Companies		2	4,75,000		4,75,000	1.06	4,75,000		1.06						4,75,000

	Individuals		1	30,000			30,000	0.07	30,000			0.07					30,000
	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	-	25	1,68,57,886	-	-	1,68,57,886	37.46	1,68,57,886	-	-	37.46	-	-	-	-	1,68,57,886

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information is available