

**Annexure III**  
(On the letterhead of the Applicant Company)

Date :

To,  
National Stock Exchange of India Ltd,  
Exchange Plaza,  
Bandra Kurla Complex, Bandra (East),  
Mumbai- 400051

Dear Sir/Madam,

Sub: Approval for New listing of (Name of Issuer) of (No of Equity Shares) of Face Value INR (Face Value) /- each.

We hereby, inter alia, confirm & provide the following:-

1. Details of litigations (the nature of litigation and the status of litigation) in the past 3 years against the Company / Promoters / Directors / Group Companies (Cases of litigations in respect of companies to which the promoters were associated in the past as Directors/Promoters but are no longer associated should be included).
2. Details of defaults in respect of payment of interest and/or principal to the debenture/bond/fixed deposit holders by the company, group companies, companies under same management, promoters and companies promoted by the promoters of the company (Cases of default in respect of companies to which the promoters were associated in the past as Directors/Promoters but are no longer associated should be included).
3. Details for all material Litigation(s) / dispute(s) / regulatory action(s) to be provided to the stock exchanges where its shares are listed in adequate and timely manner for past three years (Including promoters/promoting company(ies), group companies, director of the applicant company).
4. Details regarding the applicant company's, its promoters'/ promoting companies' group companies':
  - Track record in redressal of **investor grievances**
  - Arrangements envisaged for servicing its investor
  - General approach and philosophy to the issue of investor service and protection.
  - Details of SCORES ID and copy of Investor compliant report extracted from SCORES as on date of application.

Yours faithfully,

(Name and Designation)