

**List of Document Required for New Listing for the company listed on other stock exchange (RSE)**
**Part – A**

Sr. No	Particulars	Doc. Rec.										
1.	<b>Forms:</b> <ul style="list-style-type: none"> <li>• Application Form (Appendix - A)</li> <li>• Listing Agreement, duly executed on non-judicial stamp paper of Rs100/-</li> </ul>											
2.	Confirmation as per <b>Annexure - I</b>											
3.	Certificate to be given by statutory auditors as per <b>Annexure -II</b>											
4.	Certificate from <b>Statutory Auditors/Practicing Chartered Accountants/ Practicing Company Secretary</b> stating that, details of Lock-in the following format (if any).											
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th data-bbox="339 774 581 850" rowspan="2">Number of securities</th> <th colspan="2" data-bbox="581 774 1068 808">Distinctive numbers range</th> <th data-bbox="1068 774 1326 808" rowspan="2">Lock-in upto</th> </tr> <tr> <th data-bbox="581 808 824 850">From</th> <th data-bbox="824 808 1068 850">To</th> </tr> </thead> <tbody> <tr> <td data-bbox="339 850 581 892"> </td> <td data-bbox="581 850 824 892"> </td> <td data-bbox="824 850 1068 892"> </td> <td data-bbox="1068 850 1326 892"> </td> </tr> </tbody> </table>		Number of securities	Distinctive numbers range		Lock-in upto	From	To				
	Number of securities			Distinctive numbers range			Lock-in upto					
			From	To								
You are requested to provide soft copy (excel format) of total Distinctive Range												
5.	<b>Resolutions</b> Certified true copy of the resolution passed by the Board of Directors for listing the equity shares of the company on the National Stock Exchange of India Limited.											
6.	<b>Principal Documents:</b> <ul style="list-style-type: none"> <li>• Memorandum and Articles of Association</li> <li>• Annual reports for the last 3 years (Soft copy)</li> </ul> Prospectus / Letter of Offer issued by the company during the last 3 years, if any along with certified true copy of the SEBI comments on offer document, if any.											
7.	<b>Latest Quarterly Submission:</b> <ul style="list-style-type: none"> <li>• Certificate from the applicant company regarding compliance with the provisions of Regulation 17 to 27 Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR)</li> <li>• Latest Shareholding Pattern as per Regulation 31 of SEBI (LODR) Regulations, 2015.</li> <li>• Reconciliation of Share Capital Audit Report</li> </ul>											
8.	<b>Disciplinary Action / Litigation</b> <ul style="list-style-type: none"> <li>• Copy of all show cause notice (s)/ order (s)/ issued by any regulatory authority (e.g. SEBI, ROC, RBI, CLB, Stock exchange etc.) and correspondence there to, if any (for the cases where the action is not yet concluded)</li> <li>• Defaults in respect of payment of interest and/or principal to the debenture/bond/fixed deposit holders by the applicant company, promoters/promoting company(ies), group companies, companies promoted by the promoters/promoting company(ies) shall also be considered while evaluating a company's application for listing. The auditor's certificate shall also be obtained in this regard. In case of defaults in such payments, the securities of the applicant company may not be listed</li> </ul>											

	till such time it has cleared all pending obligations relating to the payment of interest and/or principal.	
9.	Details to be provided by the company as per <b>Annexure -III</b>	
10.	The Company shall prepare the Information Memorandum* and upload same on its website at the time of filing application with the Exchange.	

\*Information Memorandum shall be prepared as specified in Part E of Schedule VI of SEBI ICDR, Regulations 2018 & the amendments, thereof. The Exchange & company shall host the same on its website for a minimum period of 7 working days before granting listing permission.

**Part B – Information to be uploaded on NEAPS Platform for New Listing Application**

<b>Sr. No.</b>	<b>Particulars</b>
1.	Name of the stock exchange the company is listed on along with the date of Listing
2.	Full Names, PAN and address of the applicant company, promoters, promoter group, subsidiary Company (ies), group company (ies), promoting companies, company promoted by the promoters/ Promoting companies
3.	Full Names, PAN, Designation, DIN numbers & address of Directors of the company

**Part C – Fees Details:**

Initial Listing Fees	50,000
Processing Fees	750,000
Annual Listing Fees	<a href="https://www1.nseindia.com/corporates/content/listing_fees.htm">https://www1.nseindia.com/corporates/content/listing_fees.htm</a>