Emkay®
Your success is our success

22nd March, 2018

Listing Department
National Stock Exchange of India Limited
Exchange Plaza
Bandra Kurla Complex
Bandra (East), Mumbai – 400051

Dear Sir,

Sub: Disclosure regarding the Postal ballot/ Evoting results and Proceedings /Outcome of the Postal Ballot process and pursuant to provision of Section 110 of the Companies Act, 2013 read with Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provision of Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, the Company had conducted the process of Postal Ballot for seeking approval of the shareholders for passing Special Resolutions for (i) "Approval of Employees" Stock Options Plan-2018 (ESOP-2018) for the Employees of the Company and (ii) Extending benefits of Employees Stock Option Plan-2018 (ESOP -2018) to the Employees of present & future subsidiary Companies."

Further, pursuant to Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the result of the voting by Postal Ballot (including E-voting). We are also enclosing herewith Scrutinizer's Report dated 22/03/2018 for your kind reference.

The said Postal Ballot /E-voting Result will be displayed at the Registered Office of the Company and on the website of the Company www.emkayglobal.com.

The details regarding the proceedings/Outcome and E-voting results of the business transacted through postal ballot process are as under.

EMKAY GLOBAL FINANCIAL SERVICES LTD. MUMBAI

EMPOWERING INVESTORS
A SEBI Initiative

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. **Tel:** +91 22 6629 9299 **Fax:** +91 22 6629 9199
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. **Tel** : +91 22 6612 1212 **Fax:**+91 22 6612 1299 www.emkayglobal.com **CIN - L67120MH1995PLC084899**

Sr.No.	Description	Particulars		
A	Date of the Notice of Postal Ballot	Monday, 29th January, 2018		
B	Cut –off Date	Friday, 9th February, 2018		
C	Total number of Shareholders on cut-off Date	9,548		
D	Number of Shareholders present in the Annual General Meeting either in person or through proxy ---- **NOT APPLICABLE**			
	Shareholders	Present in person	Present through Proxy	Total
	Promoters and Promoters Group	-	-	-
	Public	-	-	-
E	Number of Shareholders attended the meeting through Video Conferencing No Video Conferencing facility was made available **Not Applicable**			

The voting period began on Tuesday, 20.02.2018 at 9.30 am and ended on Wednesday, 21.03.2018 at 5.00 pm. In this regard, Mr. P. N. Parikh (Membership No. FCS 327) or failing him Mr. Mitesh Dhabliwala (Membership no. FCS 8331) of M/s. Parikh & Associates, Company Secretary in whole-time practice, was appointed by the Board as Scrutinizer and has submitted his report.

The following is the result of the postal ballot/e-voting:

The following business was transacted through the Postal Ballot Notice dated 29.01.2018.

Item No.	**Details of the Agenda Item**	**Nature of Resolution**	**Mode of Voting**	**Remarks**
1	Approval and Implementation of Employees' Stock Option Plan-2018 (ESOP-2018)	Special	E-Voting and Physical Postal Ballot	Passed with requisite majority
2	Approval for Extending Benefits of Employees Stock Option Plan-2018 (ESOP-2018) to the Employees of Present and Future subsidiary Companies	Special	E-Voting and Physical Postal Ballot	Passed with requisite majority



RESOLUTION WISE DETAILS OF VOTING RESULTS ARE AS UMDER:

Resolution Required : Special			1.Special Resolution for Approval and Implementation of Employees Stock Option Plan-2018(ESOP-2018)					
Whether promoter/Promoter Group is interested in the Agenda /Resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17652500	17652500	100.0000	17652500	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		17652500	100.0000	17652500	0	100.0000	0.0000
Public Institutions	E-Voting	24614	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	6856916	139350	2.0323	139131	219	99.8428	0.1572
	Poll		274932	4.0096	274932	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**414282**	**6.0419**	**414063**	**219**	**99.9471**	**0.0529**
Total		**24534030**	**18066782**	**73.6397**	**18066563**	**219**	**99.9988**	**0.0012**

The above special resolution set out in the Notice of Postal Ballot dated 29th January, 2018 is accordingly passed by the shareholders with the requisite majority.



Resolution Required : (Special)			2. Special Resolution for Approval for Extending Benefits of Employees' Stock Option Plan-2018 (ESOP-2018) to the Employees of Present and Future subsidiary Companies.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17652500	17652500	100.0000	17652500	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		17652500	100.0000	17652500	0	100.0000	0.0000
Public Institutions	E-Voting	24614	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	6856916	139350	2.0323	139130	220	99.8421	0.1579
	Poll		274907	4.0092	274907	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**414257**	**6.0415**	**414037**	**220**	**99.9469**	**0.0531**
Total		**24534030**	**18066757**	**73.6396**	**18066537**	**220**	**99.9988**	**0.0012**

The above special resolution set out in the Notice of Postal Ballot dated 29th January, 2018 is accordingly passed by the shareholders with the requisite majority

EMKAY GLOBAL FINANCIAL SERVICES LTD. MUMBAI

Kindly take the above information on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,
For Emkay Global Financial Services Limited

B. M. Raul
Company Secretary & Compliance Officer

Encl: As above

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RESULT OF THE POSTAL BALLOT

Pursuant to Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, approval of the Shareholders for passing Special Resolutions were sought through postal ballot process vide Notice dated 29th January, 2018 for approval and implementation of Employees Stock Option Plan-2018 (ESOP-2018).

Mr. P. N. Parikh (Membership No. FCS 327) and failing him Mr. Mitesh Dhabliwala (Membership No. FCS 8331) of M/s Parikh & Associates, Company Secretary in whole time practice was appointed as Scrutinizer for conducting the postal ballot process in a fair and transparent manner.

The last date for e-voting and receipt of the postal ballot form duly completed from the Shareholders was upto 5.00 p.m. on Wednesday, 21st March, 2018.

Based on the report submitted by the Scrutinizer, following results are announced:

Postal Ballot Voting Results Disclosure as per Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	
Company Name	Emkay Global Financial Services Limited
Date of the Postal Ballot Notice	29.01.2018
Total number of shareholders as on cut –off Date	**9,548**
Voting:	
Start Date	Tuesday, 20th February, 2018 at 9.30 a.m. to
End Date	Wednesday, 21st March, 2018 at 5.00 p.m.
No of shareholders present in the meeting either in person or through proxy	**Not Applicable**
Promoters and promoters Group	
Public	
No of shareholders present in the meeting through Video Conferencing	**Not Applicable**
Promoters and promoters Group	
Public	

EMKAY GLOBAL FINANCIAL SERVICES LTD. MUMBAI

Resolution Required : Special			1.Special Resolution for Approval and Implementation of Employees Stock Option Plan-2018(ESOP-2018)					
Whether promoter/Promoter Group is interested in the Agenda /Resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17652500	17652500	100.0000	17652500	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		17652500	100.0000	17652500	0	100.0000	0.0000
Public Institutions	E-Voting	24614	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	6856916	139350	2.0323	139131	219	99.8428	0.1572
	Poll		274932	4.0096	274932	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**414282**	**6.0419**	**414063**	**219**	**99.9471**	**0.0529**
Total		**24534030**	**18066782**	**73.6397**	**18066563**	**219**	**99.9988**	**0.0012**

The above special resolution set out in the Notice of Postal Ballot dated 29th January, 2018 is accordingly passed by the shareholders with the requisite majority.

EMKAY GLOBAL FINANCIAL SERVICES LTD. MUMBAI

Resolution Required : (Special)			2. Special Resolution for Approval for Extending Benefits of Employees' Stock Option Plan-2018 (ESOP-2018) to the Employees of Present and Future subsidiary Companies.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	*Mode of Voting*	*No. of shares held*	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	*E-Voting*	*17652500*	17652500	100.0000	17652500	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		17652500	100.0000	17652500	0	100.0000	0.0000
Public Institutions	*E-Voting*	*24614*	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	*E-Voting*	*6856916*	139350	2.0323	139130	220	99.8421	0.1579
	Poll		274907	4.0092	274907	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**414257**	**6.0415**	**414037**	**220**	**99.9469**	**0.0531**
Total		***24534030***	**18066757**	**73.6396**	**18066537**	**220**	**99.9988**	**0.0012**

The above special resolution set out in the Notice of Postal Ballot dated 29th January, 2018 is accordingly passed by the shareholders with the requisite majority.

For Emkay Global Financial Services Limited

Prakash Kacholia
Managing Director

Mumbai; 22.03.2018

EMKAY GLOBAL FINANCIAL SERVICES LTD. MUMBAI

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office :
111, 11th Floor, Sai-Dwar CHS Ltd,
Sab TV Lane, Opp Laxmi Industrial Estate
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400 053
Tel. : 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

March 22, 2018

To,
EMKAY GLOBAL FINANCIAL SERVICES LIMITED
7th Floor, The Ruby
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Kind Attn: Mr. B.M.Raul

Sub. : Postal Ballot

Dear Sir,

I refer to my appointment as Scrutinizer to conduct the postal ballot process in respect of the following Special Resolutions:

(a) Approval and Implementation of Employees' Stock Option Plan - 2018 (ESOP-2018).

(b) Approval for extending Benefits of Employees' Stock Option Plan – 2018 (ESOP – 2018) to the Employees of Present and Future Subsidiary Companies.

I now enclose the following:

a) My report to the Company on the result of the postal ballots received from shareholders in physical and electronic mode.

b) The register showing the particulars of the physical postal ballots processed by the Registrar and Share Transfer Agent of the Company and the e-votes registered on the Central Depository Services (India) Limited (CDSL) e-voting system in respect of the said resolutions.

I hope you will find the above in order.

Thanking you.

PARIKH & ASSOCIATES MUMBAI COMPANY SECRETARIES

Yours faithfully,

Mitesh Dhabliwala
Parikh & Associates

Encl.: As above.

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office :
111, 11th Floor, Sai-Dwar CHS Ltd,
Sab TV Lane, Opp Laxmi Industrial Estate
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400 053
Tel. : 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

To,
The Chairman
EMKAY GLOBAL FINANCIAL SERVICES LIMITED
7th Floor, The Ruby
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Report of Scrutinizer

I, Mitesh Dhabliwala, of M/s. Parikh & Associates, Practicing Company Secretaries, having my office at 111, 11th Floor, Sai Dwar CHS Ltd., Sab TV Lane, Opp. Laxmi Indl. Estate, Off Link Road, Andheri (West), Mumbai 400 053 had been appointed as the Scrutinizer to conduct the postal ballot process in respect of the following Special Resolutions:

(a) Approval and Implementation of Employees' Stock Option Plan - 2018 (ESOP-2018).

(b) Approval for extending Benefits of Employees' Stock Option Plan – 2018 (ESOP – 2018) to the Employees of Present and Future Subsidiary Companies.

The shareholders of the company holding shares as on the "cut-off" date of February 09, 2018 were entitled to vote on the resolution as contained in the Notice.

The voting period for remote e-voting commenced on Tuesday, February 20, 2018 at 09:30 a.m. (IST) and ended on Wednesday, March 21, 2018 at 05:00 p.m. (IST) and the CDSL e-voting module was disabled thereafter.

The votes cast under remote e-voting facility were thereafter unblocked in the presence of two witnesses who were not in the employment of the Company. Votes cast through Physical ballot forms received up to Wednesday, March 21, 2018 at 05:00 p.m. (IST) were also considered.

I have scrutinized and reviewed the remote e-voting and votes tendered therein based on the data downloaded from the CDSL e-voting system and the physical ballot forms received respectively and maintained register in which necessary entries have been made in accordance with the Companies (Management and Administration) Rules, 2014 as amended.

I now submit my Report as under on the result of the voting by postal ballot in physical and electronic mode in respect of the said Resolutions.

Contd...2

PARIKH & ASSOCIATES MUMBAI COMPANY SECRETARIES

(a) Special Resolution for Approval and Implementation of Employees' Stock Option Plan - 2018 (ESOP-2018).

(i) Voted in favour of the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
48	1,80,66,563	100.00 (Rounded Off)

(ii) Voted against the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
4	219	0.00

(iii) Invalid votes:

Total number of members whose votes were declared invalid	Total number of invalid votes cast (Shares)
2	2

PARIKH & ASSOCIATES ★ MUMBAI ★ COMPANY SECRETARIES

(b) Special Resolution for Approval for extending Benefits of Employees' Stock Option Plan-2018 (ESOP- 2018) to the Employees of Present and Future Subsidiary Companies.

(i) Voted in favour of the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
46	1,80,66,537	100.00(Rounded Off)

(ii) Voted against the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
5	220	0.00

(iii) Invalid votes:

Total number of members whose votes were declared invalid	Total number of invalid votes cast (Shares)
3	27

PARIKH & ASSOCIATES ★ MUMBAI ★ COMPANY SECRETARIES

Signature:

Name: Mitesh Dhabliwala

Scrutinizer

FCS: 8331 CP: 9511

Place: Mumbai

Dated: March 22, 2018