



Lumax Industries Limited



REGD. & CORPORATE OFFICE :

B - 85-86, Mayapuri Industrial Area, Phase-I, New Delhi - 110064 (INDIA)

Phone : +91 11 28111777, 28116990 Fax : +91 11 28115779

E-mail : cao@lumaxmail.com

(CIN: L74899DL1981PLC012804)

LIL:CS:PR:16-17

Our Ref.

17-11-2016

Date

The National Stock Exchange of India Ltd.
Listing Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai-400051

The General Manager
Department of Corporate Services
BSE Limited
1st Floor, Rotunda Building
P.J. Towers, Dalal Street, Fort
Mumbai - 400 001.

Company Code – LUMAXIND

Company Code – 517206

Sub: Disclosure of Material Events – Reclassification of Promoter Group of the company.

Dear Sir,

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we would like to inform you that company has received the approval of the National Stock Exchange of India Limited and BSE Limited for reclassification of the following Persons from "Promoter category" to "Public category" under Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Sr.No	Name of the Outgoing promoters	Number of Shares	Percentage Holding
1.	Mr.Umesh Kumar Jain	0	0
2.	Lumax Automotive Systems Ltd	0	0
3.	Vardhman Agencies Pvt.Ltd	0	0

After reclassification following is the summary of shareholding of pre-reclassification and post-reclassification:

Particulars	Pre - reclassification		Post - reclassification	
	Shares Held	Percentage	Shares Held	Percentage
Promoter	6873849	73.53	6873649	73.53
Public	2473883	26.47	2474083	26.47

The following persons named here in below would continue as Promoter/Promoter Group of the Company:

Sr. No.	Name	No.of shareholders	No. of Shares Held	Percentage
1	Mr. Dhanesh Kumar Jain	2	1952255	20.88
2	Dhanesh Kumar Jain (HUF)	1	124970	1.34



3	Mr. Deepak Jain	2	142711	1.53
4	Mr. Anmol Jain	2	55378	0.59
5	Mrs. Usha Jain	2	150683	1.61
6	M/s.Lumax Auto Technologies Limited	1	525000	5.62
7	M/s.Lumax Finance Private Limited	1	417253	4.46
	Foreign Promoters			
8	Stanley Electric Co., Ltd	1	3343381	35.77
9	Thai Stanley Electric Public Co.Ltd	1	162018	1.73
	TOTAL	13	6873649	73.53

Enclosed herewith approval copies received from BSE and NSE for reclassification as Annexure – A and Detailed Shareholding pattern of company as on 30.09.2016 after reclassification as Annexure – B for your information.

Kindly take the same on your record.

Thanking You
Yours Faithfully

For **LUMAX INDUSTRIES LIMITED**

Shwetank Tiwari

SHWETANK TIWARI
COMPANY SECRETARY





LIST/COMP/SP/590/2016-17

November 9, 2016

The Company Secretary/ Compliance Officer

Lumax Industries Ltd.

B-85-86, Mayapuri Industrial Area,

Phase-I, New Delhi - 110064

Sub: Approval letter for Reclassification of Shares under Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

We refer to your application dated September 5, 2016 seeking Reclassification of Promoter Shareholders under the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The Exchange is pleased to approve your application for Reclassification of Promoter Shareholders under the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 based on submissions made by the company.

Entities mentioned in Annexure 1 having NIL shareholding shall be classified as public shareholders. The summary of the Shareholding Pre-Reclassification & Post-Reclassification is as follows:

Period	Promoter holding		Public Holding	
	Shares Held	Percentage	Shares Held	Percentage
Pre-Reclassification	68,73,849	73.53	24,73,883	26.47
Post-Reclassification	68,73,649	73.53	24,74,083	26.47

This letter may be forwarded to the depositories and your RTA for necessary action at their end.

Yours faithfully,

Netra Sahani
Dy. General Manager

Abhijit Pai
Asst. General Manager

Encl.

Annexure 1 - Details of Outgoing Promoters

Annexure 1 – Details of Outgoing Promoters

Sr.No.	Name of the Outgoing Promoters	Number of Shares	Percentage Holding
1	UMESH KUMAR JAIN	0	0.00
2	LUMAX AUTOMOTIVE SYSTEMS LIMITED	0	0.00
3	VARDHMAN AGENCIES PVT LTD	0	0.00
	TOTAL	0	0.00



Ref: NSE/LIST/93776

November 16, 2016

Mr. Shwetank Tiwari
The Company Secretary
Lumax Industries Limited
B-85-86, Mayapuri Industrial Area
Phase -I,
New Delhi - 110064

Sub: Approval for Reclassification of Shares under Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

This is with reference to your application dated September 05, 2016 seeking approval for reclassification of Promoter Shareholders under the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on submissions made by the company, the Exchange is pleased to approve your application for reclassification of following Promoter Shareholder under the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:-

- 1) Mr. Umesh Kumar Jain
- 2) Lumax Automotive Systems Limited
- 3) Vardhman Agencies Pvt Ltd

The event of reclassification shall be disclosed to the Exchange as a material event in accordance with the provisions of Listing Regulation, 2015.

Yours faithfully,

For National Stock Exchange of India Ltd.

Kautuk Upadhyay
Manager

This Document is Digitally Signed

Regd. Office: Exchange Plaza, Plot No. C/1, G-Block, Bandra-Kurla Complex, Bandra (E), Mumbai 400 051, India. CIN: U67120MH1992PLC069769 Tel: +91 22 26598235/36, 26598346, 26598459/26598458. Website: www.nse.co.in
Signed: Kautuk Upadhyay
Date: 16 Nov 2016 16:30:01
Location: NSE



Format of Holding of Specified securities:

Name of Listed Entity: LUMAX INDUSTRIES LIMITED
 Scrip Code/Name of Scrip/Class of Security: 517206, LUMAXIND, EQUITY SHARES
 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2016

b. if under 31(1)(c) then indicate date of allotment/extinguishment

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
Whether the Listed Entity has issued any partly paid up shares		NO
Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
Whether the Listed Entity has any shares against which depository receipts are issued?		NO
Whether the Listed Entity has any shares in locked-in?		NO
Whether any shares held by promoters are pledge or otherwise encumbered?		No

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The tabular format for disclosure of holding of specified securities is as follows:

For LUMAX INDUSTRIES LIMITED

Shweta

SHWETANK TIWARI
 Company Secretary

Table 1 - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Underlying Depository Receipts	Total No of Shares Held (VI) = (IV)+(V)+(VI) (As a % of (A+B+C))	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)		No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of Shares equity shares held in dematerialized form
		(i)	(iv)	(v)	(vi)	(vii)	Class X	Class Y	Total (ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)
(A)	Promoter & Promoter Group	13	5873649	0	0	5873649	6873649	0	6873649	73.53	0	0	73.53	0	0	6873649
(B)	Public	70078	2474083	0	0	2474083	2474083	0	2474083	26.47	0	0	26.47	0	0	2474083
(C)	Non Promoter-Non Public															
(C1)	Shares underlying DPs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total:	20091	9347732	0	0	9347732	9347732	0	9347732	100.00	0	0	100.00	0	0	9347732

For LUMAX INDUSTRIES LIMITED

Suresh
SHWETANK TIWARI
 Company Secretary

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated in per cent) 1957 (VII) As a % of (A+B+C2)	No of Voting Rights	Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked In Shares	As a % of total Shares held	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)
(A)	Indian															
(B)	Individuals/Hindu undivided Family															
	DHANESH KUMAR JAIN (HUF)	AAAH0442B	9	2425997	0	0	2425997	25.95	0	25.95	0	0	0	0	0	2425997
	DHANESH KUMAR JAIN	AAEP0857E	1	124970	0	0	124970	1.34	0	1.34	0	0	0	0	0	124970
	DEEPAK JAIN	AAEP1525D	2	1952255	0	0	1952255	20.88	0	20.88	0	0	0	0	0	1952255
	USHA JAIN	AAEP1525D	2	142711	0	0	142711	1.53	0	1.53	0	0	0	0	0	142711
	ANMOL JAIN	AAEP1525D	2	150683	0	0	150683	1.61	0	1.61	0	0	0	0	0	150683
(C)	Central Government/State Government(s)															
(d)	Financial Institutions/Banks															
(e)	Any Other															
	LUMAX AUTO TECHNOLOGIES LIMITED	AAACD080Q	1	525000	0	0	525000	5.62	0	5.62	0	0	0	0	0	525000
	LUMAX FINANCE PRIVATE LIMITED	AAAC55530	1	417253	0	0	417253	4.46	0	4.46	0	0	0	0	0	417253
(2)	Sub-Total (A)+(1)		11	3368250	0	0	3368250	36.03	0	36.03	0	0	0	0	0	3368250
(e)	Individuals (Non-Resident Individuals/Foreign Individuals)															
(f)	Government															
(g)	Institutions															
(d)	Foreign Portfolio Investor															
(e)	Any Other															
	THAL STANLEY ELECTRIC PUBLIC COMPANY LIMITED	AAECTM055H	1	162018	0	0	162018	1.73	0	1.73	0	0	0	0	0	162018
	STANLEY ELECTRIC CO. LTD	AAAC56795F	1	3343381	0	0	3343381	35.71	0	35.71	0	0	0	0	0	3343381
	Sub-Total (A)+(2)		2	3505399	0	0	3505399	37.50	0	37.50	0	0	0	0	0	3505399
	Total Shareholding of Promoter and Promoter Group (A)+(1)+(A)+(2)		13	6873649	0	0	6873649	73.53	0	73.53	0	0	0	0	0	6873649

For LUMAX INDUSTRIES LIMITED

Satish
SHWETANK TIWARI
Company Secretary

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares held (IV+V+VI)	Shareholding as a % of total no of shares (A+E+C)	No of Voting Rights	Total as a % of (A+E+C)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares	As a % of total Shares held	Number of Shares pledged or otherwise encumbered	Number of equity shares held in Dematerialized form
			(ii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)
(1)	Institutions															
(a)	Mutual Funds		3	1760	0	0	1760	0.02	1760	0.02	0	0.00	0	0.00	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(e)	Foreign Portfolio Investors		16	208337	0	0	208337	2.21	208337	2.21	0	0.00	0	0.00	NA	208337
(f)	FIDELITY NORTSTAR FUND	AAAT157DC	1	150000	0	0	150000	1.60	150000	1.60	0	0.00	0	0.00	NA	150000
(g)	Financial Institutions/Banks		7	4249	0	0	4249	0.05	4249	0.05	0	0.00	0	0.00	NA	3999
(h)	Insurance Companies		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(i)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(j)	Any Other		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(k)	OVERSEAS CORPORATE BODIES		1	80	0	0	80	0.00	80	0.00	0	0.00	0	0.00	NA	0
(l)	Sub Total (B)(1)		27	212406	0	0	212406	2.22	212406	2.22	0	0.00	0	0.00	NA	212406
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(3)	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(4)	Non-Institutions															
(a)	Individual shareholders holding nominal share capital up to Rs.2 lakhs		19504	1592752	0	0	1592752	17.04	1592752	17.04	0	0.00	0	0.00	NA	1592752
(b)	Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		1	38630	0	0	38630	0.41	38630	0.41	0	0.00	0	0.00	NA	38630
(c)	NBFCs Registered with RBI		2	13700	0	0	13700	0.15	13700	0.15	0	0.00	0	0.00	NA	13700
(d)	Employee Trusts		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(e)	Overseas Depositories (Holding DRs) (Balancing figure)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(f)	Any Other		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
(g)	NON RESIDENT INDIAN REPATRIABLE		1	350	0	0	350	0.00	350	0.00	0	0.00	0	0.00	NA	0
(h)	NON RESIDENT INDIANS		125	21323	0	0	21323	0.23	21323	0.23	0	0.00	0	0.00	NA	21323
(i)	CLEARING MEMBERS		90	20504	0	0	20504	0.22	20504	0.22	0	0.00	0	0.00	NA	20504
(j)	NON RESIDENT INDIAN NON REPATRIABLE		38	12893	0	0	12893	0.14	12893	0.14	0	0.00	0	0.00	NA	12893
(k)	BODIES CORPORATES		290	561625	0	0	561625	6.01	561625	6.01	0	0.00	0	0.00	NA	561625
(l)	PARAM CAPITAL RESEARCH PVT LTD.	AAAC25007P	1	200000	0	0	200000	2.14	200000	2.14	0	0.00	0	0.00	NA	200000
(m)	Sub Total (B)(3)		20051	2261677	0	0	2261677	24.19	2261677	24.19	0	0.00	0	0.00	NA	2261677
(n)	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		20078	2474083	0	0	2474083	26.47	2474083	26.47	0	0.00	0	0.00	NA	2474083

For LUMAX INDUSTRIES LIMITED

SHWETANK TIWARI
Company Secretary

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

[illegible]

For LUMAX INDUSTRIES LIMITED

Sig
SHWETANK TIWARI
Company Secretary

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

For LUMAX INDUSTRIES LIMITED

Shwetank Tiwari
 SHWETANK TIWARI
 Company Secretary

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,
No of Shareholders

No of shares

661

20537

For LUMAX INDUSTRIES LIMITED

Shwetank Tiwari

SHWETANK TIWARI
Company Secretary