

South West Pinnacle

ISO 9001 : 2015 Certified Company

South West Pinnacle Exploration Limited
(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

Regd. & Corp. Office:

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) : + 91 124 4235400/01/03

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(E) : info@southwestpinnacle.com

(W): www.southwestpinnacle.com

Date: 30.08.2019

To,
Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051

Symbol: SOUTHWEST

Subject: Clarification on Financial Results for Quarter ended on June 30, 2019.

Dear Sir/Madam,

This has reference to your letter bearing no. NSE/LIST/FR/89160 dated August 29, 2019(copy enclosed herewith as **Annexure-A**), regarding clarification for delay in submission of Financial results for the Quarter ended June 30, 2019 pursuant to regulation 33 of SEBI (Listing Obligation and Disclosure Requirement) 2015.

In this regard, we wish to state that the Ind -AS has become applicable on the company from 1st April 2019, as our company got migrated from "NSE EMERGE" to the main board of National Stock Exchange of India (NSE) w.e.f 18th April, 2019.

Accordingly we wish to draw your kind attention to Para 2.6.1(i) of Securities and Exchange Board of India (SEBI) Circular No. CIR/CFD/FAC/62/2016 dated July 05, 2016 which grants additional of one month from due date for submission of financial results for those companies which has adopted Ind As for the first time i.e 14th September 2019.

We also wish to state that a letter regarding adoption Indian Accounting Standards (Ind -AS) for the first time by the Company and to avail the extension of one month in terms of above said SEBI Circular for submission of financial results for Quarter the ended on June 30, 2019 was duly intimated by the company to the stock exchange on 07.08.2019. The copy of intimation submitted to the stock exchange dated 07.08.2019 and acknowledgement of the same is attached herewith as **Annexure-B**, for your ready reference.

Hope you will find the above in order.

You are requested to kindly take the above information on record.

For South West Pinnacle Exploration Limited


Vaishali

Company Secretary & Compliance Officer



NSE/LIST/FR/89160

August 29, 2019

The Company Secretary,
South West Pinnacle Exploration Limited

Sub: Non-compliance as per Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir / Madam,

We would like to draw your attention that as per Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and subsequent circular dated July 5, 2016 issued by the Securities and Exchange Board of India a listed entity is required to submit its financial results to the Stock Exchange within the time period as specified therein.

In respect of the aforesaid Regulation and Circular, it has been observed that the Exchange has not received financial results of the Company for the quarter ended June 30, 2019 within the prescribed time limits. Further, as per SEBI Circular dated November 19, 2018, you have not provided detailed reasons for delay in submission of financial results to the Stock Exchange within one working day of the due date for submission of results. Kindly submit the same on the path mentioned below:

Path to submit on NEAPS

NEAPS > Announcements (Kindly select the short description as “Reasons for delayed/ Non- Submission of Financial Results”)

Thus in accordance with SEBI Circular dated November 30, 2015 and May 03, 2018, a fine for delayed submission would be levied on the company.

Yours faithfully,
For National Stock Exchange of India Limited

Harmeet Singh
Manager

This Document is Digitally Signed

National Stock Exchange of India Limited | Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051, India +91 22 26598100 | www.nseindia.com | CIN U67120MH1992PLC069769

Signer: Harmeet Singh
Date: Thu, Aug 29, 2019 19:16:06 IST
Location: NSE

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Date: 07.08.2019

To,
Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051

Symbol: SOUTHWEST

Subject: Adoption of Ind-AS for the First time in relation to the Financial Results for the quarter ended on 30th June, 2019

Dear Sir/Madam,

We wish to inform you that as per section 133 of Companies Act, 2013 read with rule 4 of Companies (Indian Accounting Standards) Rules, 2015, the Indian Accounting Standards (**Ind -AS**) will be applicable from 1st April 2019, as our company was migrated from "NSE EMERGE" to main board of National Stock Exchange of India (NSE) w.e.f 18th April, 2019.

Further, pursuant to regulation 33 of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015, the Company is required to submit its Financial Results to the Stock Exchange for the quarter ended on 30th June, 2019 on or before 14th August, 2019 (i.e within 45 days from the end of the quarter).

However, in order to facilitate smooth transition during the first year of Ind As implementation, the Securities and Exchange Board of India vide Circular No. CIR/CFD/FAC/62/2016 dated July 05, 2016, has granted an extension of one month i.e upto September 14, 2019 for submitting the financial results for the quarter ended on June 30, 2019 to the listed entities who opt the Indian Accounting Standards for the first time.

In accordance with the above, we would like to inform you that the Company shall submit its Financial Results for the quarter ended on June 30, 2019 on or before 14th September, 2019.

You are requested to kindly take the above information on record.

Thanking you,

For South West Pinnacle Exploration Limited

Vaishali
Vaishali

Company Secretary & Compliance Officer





National Stock Exchange Of India Limited

Date of 20-Aug-2019

NSE Acknowledgement

Symbol:-	SOUTHWEST
Name of the Company: -	South West Pinnacle Exploration Limited
Submission Type:-	Announcements
Short Description:-	Updates
Date of Submission:-	07-Aug-2019 05:34:50 PM
NEAPS App. No:-	2019/Aug/2559/2640

Disclaimer : We hereby acknowledge receipt of your submission through NEAPS. Please note that the content and information provided is pending to be verified by NSEIL.