

Ref:agsl/corres/Nse/19-20/27

August 30, 2019

Mr. Harmeet Singh
Manager
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

Sub: Non-compliance as per Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Your letter and E- mail dated 29 August 2019

Dear Sir,

With reference to your letter no. NSE/LIST/FR/89160 and E-mail both dated 29th August, 2019 on the captioned subject, we hereby inform you that the provisions of IND-AS has become applicable to Almondz Global Securities Limited for the first time w.e.f 1st April, 2019. In terms of SEBI Circular no. CIR/CFD/FAC/62/2016, we have availed the option of extension of one month for submission of financial results for the Quarter ended 30th June, 2019. Vide our letters dated 5th July 2019 and subsequently another letter dated 20th August 2019 sent through E-mail, we have intimated the exchange about the applicability of IND-AS and availing extension of one month time period and to submit the financial results of the Company for the Quarter ended 30th June, 2019 upto 14th September, 2019.

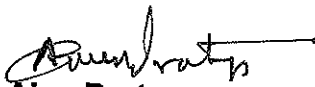
We, hereby once again confirm to submit the Financial Results of the Company for the Quarter Ended 30th June, 2019 within the allowed extended time period i.e. up to 14th September 2019.

Copy of letter dated 5th July 2019 and 20th August 2019 are attached for your ready reference.

We hope you will find the above submission in order and shall not levy any fine for delay.

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.


Ajay Pratap
Company Secretary &
Vice President Corporate Affairs



Encl:a/a

SEBI Merchant Banking Registration No. INM000000834

almondz
the financial powerhouse
Almondz Global Securities Ltd.

Ref:agsl/corres/Bse-Nse/19-20/25

August 20, 2019

**The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051**

Sub: Clarification sought on Financial Result as per SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Your E- mail dated 19 August 2019

Dear Sir,

With reference to your mail dated 19th August, 2019 on the captioned subject, we would like to inform you that the provisions of IND- AS has become applicable to Almondz Global Securities Limited for the first time w.e.f 1st April, 2019. In terms of SEBI Circular no. CIR/CFD/FAC/62/2016, we have availed the option of extension of one month for submission of financial results for the Quarter ended 30th June, 2019. Vide our letter dated 5th July 2019, we have intimated the exchange about the applicability of IND-AS and availing extension of one month for submission of financial results of the Company for the Quarter ended 30th June, 2019 upto 14th September, 2019.

Copy of letter dated 5th July 2019 is attached for your ready reference.

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.


**Ajay Pratap
Company Secretary &
Vice President Corporate Affairs**



Encl:a/a

Ref: agsl/corres/Bse/NSE/19-20/0018

July 05, 2019

The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400051

Sub: Intimation of implementation of IND-AS for declaration of Unaudited Financial Results for the Quarter Ended 30 June 2019

Dear Sir/Ma'am,

With reference to the above, this is to inform you that pursuant to Regulation 33(3) of SEBI (LODR) Regulations, 2015, the listed entity shall submit Quarterly Financial Results to the stock exchanges within 45 days of the end of each quarter.

However, in order to facilitate smooth transition during the first year of IND-AS implementation, the SEBI vide its Circular no. CIR/CFD/FAC/62/2016 dated 05/07/2016 has granted extension of one month i.e. until 14th September, 2019 for submitting the Unaudited Financial Results for the Quarter Ended 30th June, 2019 to the stock exchanges by the listed entities to which the IND-AS Rules became applicable.

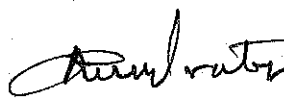
In View of the captioned extension granted by above mentioned SEBI Circular, it is proposed to convene and hold the meeting of the Board of Directors of the Company on or before 14th day of September, 2019 for taking on record the Unaudited Financial Results for the Quarter ended 30th June, 2019 by availing the said exemption by the Company. The actual date of the meeting will be intimated to the honorable exchanges within the due course of time

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,

For Almondz Global Securities Ltd.


Ajay Pratap
Company Secretary &
Vice President Corporate Affairs

