

9th October, 2026

To, Listing Department National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai- 400 051. Equity Scrip Code: EMKAY	To, Listing Department BSE Limited P. J. Tower, Dalal Street, Mumbai 400 001. Equity Scrip Code: 532737 Debt Scrip Codes: 976528, 977388 and 978127
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to captioned subject, we hereby inform you that the Company has received a communication on 7th October, 2026 from the National Stock Exchange of India Limited ("NSE") informing the Company of the imposing of a monetary penalty in its capacity as a Trading Member, in connection with certain client code modification(s) using Member 'ERROR' Account.

Based on the Company's assessment, an appeal will be filed, and the Company is hopeful of a favourable outcome and does not reasonably expect to have any material financial impact on the Company.

The Company was in the process of reviewing the communication received from the Exchange and validating the underlying details. Accordingly, the disclosure could not be filed within the prescribed timeline.

Given the above, this intimation under Regulation 30 (6) read with Schedule III, Part A, Para A (20) of the SEBI Listing Regulations is being submitted today, for which we request condonation of delay. The delay was unintentional and without any mala fide intent.

The details of the above, as required under Clause 20 of Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 dated 30th January, 2026 is enclosed as Annexure A.

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This intimation is also available on the website of the Company at <https://www.emkayglobal.com/ir-regulation-30-and-others>.

You are requested to kindly take the same on record.

Thanking you.

Yours faithfully,

For Emkay Global Financial Services Limited

NISHANT
SHRIDHAR
SHIRKE

Digitally signed by
NISHANT SHRIDHAR
SHIRKE
Date: 2026.10.09
17:36:29 +05'30'

Nishant Shirke

Company Secretary and Compliance Officer

Encl.: As Above



Annexure A

Sr. No.	Details of Events that need to be provided	Information of such events(s)
1	Name of the authority	NSE
2	Nature and details of the action(s) taken or order(s) passed	NSE has imposed a penalty of Rs. 82,90,000/- in relation to certain Client Code Modifications using Member Error account (For the period May – July 2026).
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority.	On 7 th October, 2026
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	NSE has levied a penalty of ₹82,90,000/- on the Company in accordance with the provisions as per Annexure 1.6 Point. 11 of Exchange Circular No. NSE/INSP/73792, dated April 17, 2026 regarding modification of client codes using Member 'ERROR' Account.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the aforesaid monetary penalty, there is no material impact on the financial, operational or other activities of the Company.

