Emkay®
Your success is our success

To,

Date: 9th October, 2013

**National Stock Exchange of India Limited**
Listing Department,
Exchange Plaza, Bandra Kurla Complex
Bandra(East),Mumbai-400051

**Format for Disclosure by the Promoter(s) to the Stock Exchanges and to the Target Company for encumbrance of shares/invocation of encumbrance/release of encumbrance, in terms of Regulation 31(1) and 31(2) of SEBI (substantial Acquisition of Shares and Takeovers) Regulation, 2011 as on 30.09.2013.**

| | |
|---|---|
| Name of the Target Company (TC) | Emkay Global Financial Services Limited |
| Name of the Promoter(s) on whose shares encumbrance was created/invoked/released ( tick the relevant one) | Emkay Corporate Services Private Limited |
| Date of Reporting | **4th October, 2013** |
| Names of the Stock Exchanges where the shares of the Target Company are Listed. | Bombay Stock Exchange Limited<br>National Stock Exchange of India limited |
| Details of the Promoters' holding:<br>(The term "event" indicates creation /invocation/release of encumbrance, as the case may be) | |

| Promoter(s)or PACs with him | Pre-event holding | | Details of events pertaining to encumbrance | | Post event holding (encumbered shares to be excluded) | | *Details of encumbrance (pledge/lien of others-give details |
|---|---|---|---|---|---|---|---|
| Names | Number | %of total share capital | Type Creation/ Invocation /Release | Date (s) | Number | %of total share capital | |
| Emkay Corporate Services Private limited | 4150000 | 16.98 | Nil | Nil | 4150000 | 16.98 | Nil |
| | | | | | | | |

**For Emkay Corporate Services Private Limited**

**Director**

To,

**Date: 9th October, 2013**

**National Stock Exchange of India Limited**
Listing Department,
Exchange Plaza, Bandra Kurla Complex
Bandra(East),Mumbai-400051

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| | |
|---|---|
| Name of the Target Company (TC) | Emkay Global Financial Services Limited |
| Name of the Promoter(s) on whose shares encumbrance was created/invoked/released ( tick the relevant one) | Prakash Kacholia |
| Date of Reporting | **4th October, 2013** |
| Names of the Stock Exchanges where the shares of the Target Company are Listed. | Bombay Stock Exchange Limited<br>National Stock Exchange of India limited |
| Details of the Promoters' holding: (The term "event" indicates creation /invocation/release of encumbrance, as the case may be) | |

| Promoter(s)or PACs with him | Pre-event holding | | Details of events pertaining to encumbrance | | Post event holding (encumbered shares to be excluded) | | *Details of encumbrance (pledge/lien of others-give details |
|---|---|---|---|---|---|---|---|
| Names | Number | %of total share capital | Type Creation/ Invocation /Release | Date (s) | Number | %of total share capital | |
| Prakash Kacholia | 4750000 | 19.44 | Nil | Nil | 4750000 | 19.44 | Nil |
| | | | | | | | |

**Prakash Kacholia**

To,

**Date: 9th October, 2013**

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| | |
|---|---|
| Name of the Target Company (TC) | Emkay Global Financial Services Limited |
| Name of the Promoter(s) on whose shares encumbrance was created/invoked/released ( tick the relevant one) | Preeti Kacholia |
| Date of Reporting | **4th October, 2013** |
| Names of the Stock Exchanges where the shares of the Target Company are Listed. | Bombay Stock Exchange Limited<br>National Stock Exchange of India limited |
| Details of the Promoters' holding: (The term "event" indicates creation /invocation/release of encumbrance, as the case may be) | |

| Promoter(s)or PACs with him | Pre-event holding | | Details of events pertaining to encumbrance | | Post event holding (encumbered shares to be excluded) | | *Details of encumbrance (pledge/lien of others-give details |
|---|---|---|---|---|---|---|---|
| Names | Number | %of total share capital | Type Creation/ Invocation /Release | Date (s) | Number | %of total share capital | |
| Preeti Kacholia | 2125000 | 8.70 | Nil | Nil | 2125000 | 8.70 | Nil |
| | | | | | | | |

P Kacholia

**Preeti Kacholia**

To,

**Date: 9th October, 2013**

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Listing Department,
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| | |
|---|---|
| Name of the Target Company (TC) | Emkay Global Financial Services Limited |
| Name of the Promoter(s) on whose shares encumbrance was created/invoked/released ( tick the relevant one) | Krishna Kumar Karwa |
| Date of Reporting | **4th October, 2013** |
| Names of the Stock Exchanges where the shares of the Target Company are Listed. | Bombay Stock Exchange Limited<br>National Stock Exchange of India limited |
| Details of the Promoters' holding:<br>(The term "event" indicates creation /invocation/release of encumbrance, as the case may be) | |

| Promoter(s)or PACs with him | Pre-event holding | | Details of events pertaining to encumbrance | | Post event holding (encumbered shares to be excluded) | | *Details of encumbrance (pledge/lien of others-give details |
|---|---|---|---|---|---|---|---|
| Names | Number | %of total share capital | Type Creation/ Invocation /Release | Date (s) | Number | %of total share capital | |
| Krishna Kumar Karwa | 4922500 | 20.14 | Nil | Nil | 4922500 | 20.14 | Nil |
| | | | | | | | |

Krishna Kumar Karwa.

**Krishna Kumar Karwa**

To,

**Date: 9th October, 2013**

**National Stock Exchange of India Limited**
Listing Department,
Exchange Plaza, Bandra Kurla Complex
Bandra(East),Mumbai-400051

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| | |
|---|---|
| Name of the Target Company (TC) | Emkay Global Financial Services Limited |
| Name of the Promoter(s) on whose shares encumbrance was created/invoked/released ( tick the relevant one) | Krishna Kumar Karwa(HUF) |
| Date of Reporting | **4th October, 2013** |
| Names of the Stock Exchanges where the shares of the Target Company are Listed. | Bombay Stock Exchange Limited<br>National Stock Exchange of India limited |
| Details of the Promoters' holding:<br>(The term "event" indicates creation /invocation/release of encumbrance, as the case may be) | |

| Promoter(s)or PACs with him | Pre-event holding | | Details of events pertaining to encumbrance | | Post event holding (encumbered shares to be excluded) | | *Details of encumbrance (pledge/lien of others-give details |
|---|---|---|---|---|---|---|---|
| Names | Number | %of total share capital | Type Creation/ Invocation /Release | Date (s) | Number | %of total share capital | |
| Krishna Kumar Karwa HUF) | 100000 | 0.41 | Nil | Nil | 100000 | 0.41 | Nil |
| | | | | | | | |

Krishna Kumar Karwa

**Krishna Kumar Karwa (HUF)**
**Karta Krishna Kumar Karwa**

To,

**Date: 9th October, 2013**

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| | |
|---|---|
| Name of the Target Company (TC) | Emkay Global Financial Services Limited |
| Name of the Promoter(s) on whose shares encumbrance was created/invoked/released ( tick the relevant one) | Murlidhar Karwa(HUF) |
| Date of Reporting | **4th October, 2013** |
| Names of the Stock Exchanges where the shares of the Target Company are Listed. | Bombay Stock Exchange Limited<br>National Stock Exchange of India limited |
| Details of the Promoters' holding:<br>(The term "event" indicates creation /invocation/release of encumbrance, as the case may be) | |

| Promoter(s)or PACs with him | Pre-event holding | | Details of events pertaining to encumbrance | | Post event holding (encumbered shares to be excluded) | | *Details of encumbrance (pledge/lien of others-give details |
|---|---|---|---|---|---|---|---|
| Names | Number | %of total share capital | Type Creation/ Invocation /Release | Date (s) | Number | %of total share capital | |
| Murlidhar Karwa HUF) | 100000 | 0.41 | Nil | Nil | 100000 | 0.41 | Nil |
| | | | | | | | |

Krishna Kumar Karwa

**Murlidhar Karwa (HUF)**
**Karta Krishna Kumar Karwa**

To,

Date: 9th October, 2013

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| | |
|---|---|
| Name of the Target Company (TC) | Emkay Global Financial Services Limited |
| Name of the Promoter(s) on whose shares encumbrance was created/invoked/released ( tick the relevant one) | Raunak Karwa |
| Date of Reporting | **4th October, 2013** |
| Names of the Stock Exchanges where the shares of the Target Company are Listed. | Bombay Stock Exchange Limited<br>National Stock Exchange of India limited |
| Details of the Promoters' holding: (The term "event" indicates creation /invocation/release of encumbrance, as the case may be) | |

| Promoter(s)or PACs with him | Pre-event holding | | Details of events pertaining to encumbrance | | Post event holding (encumbered shares to be excluded) | | *Details of encumbrance (pledge/lien of others-give details |
|---|---|---|---|---|---|---|---|
| Names | Number | %of total share capital | Type Creation/ Invocation /Release | Date (s) | Number | %of total share capital | |
| Raunak Karwa | 1750000 | 7.16 | Nil | Nil | 1750000 | 7.16 | Nil |
| | | | | | | | |

Raunak Karwa

**Raunak Karwa**