



October 15, 2020

<b>National Stock Exchange of India Ltd.,</b> Listing Compliance Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East) Mumbai – 400 051  <b>Scrip Symbol: GALAXYSURF</b>	<b>BSE Limited,</b> Listing Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001  <b>Scrip Code: 540935</b>
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**Sub: Submission of Compliance Certificate for the half year ended September 30, 2020.**

**Ref: Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sir/Madam,

We are hereby submitting the compliance certificate dated October 12, 2020 as required under captioned regulation for the half year ended September 30, 2020 issued by S. Sathyanarayanan & Co., Practicing Company Secretaries.

This is for your information and records.

Yours faithfully,

For **Galaxy Surfactants Limited**

**Niranjan Ketkar**  
**Company Secretary**  
**M. No. A20002**

encl.: As above

**Communication Address:**

Rupa Solitaire,  
Ground Floor, Unit no. 8, 12A and 14  
Millennium Business Park, Mahape,  
Navi Mumbai, 400 710  
Ph: +91-22-33063700

**Galaxy Surfactants Limited**

**Regd. Office:** C-49/2, TTC Industrial Area,  
Pawne, Navi Mumbai-400 703, India  
CIN: L39877MH1986PLC039877  
Ph: +91-22-27616666  
Fax : +91-22-27615883/ 27615886  
e-mail : [galaxy@galaxysurfactants.com](mailto:galaxy@galaxysurfactants.com)  
Website: [www.galaxysurfactants.com](http://www.galaxysurfactants.com)



**S. SATHYANARAYANAN & CO**

Company Secretaries



**S. Sathyanarayanan**

B.Com., LL.B., PGDFM., FCS.


Shop No. 1 & 2, A-wing, Girnar Apartments, Shastri Nagar,  
Behind Indusind Bank, Ambadi Road, Vasai Road (w),  
Dist. Palghar, Mumbai Western Suburb - 401 202. India.  
Phone : +91250-2347295, 2347296, Mobile : +91 9321353167  
E mail : ss.and.co.cs@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup>, SEPTEMBER 2020.**

I have examined through online sources all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Galaxy Surfactants Limited, (the "Company")** having its Registered Office at C-49/2, TTC, Industrial Area, Pawane, Navi Mumbai 400703 maintained by **Link Intime India Private. Limited.**, Registrar and Share Transfer Agents of the Company having its Office at 247, Lal Bahadur Shastri Marg, Surya Nagar, Gandhi Nagar, Vikhroli West, Mumbai, Maharashtra 400083 pertaining to transfer of Equity Shares of the Company for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for and on behalf of the Company to BSE Limited and National Stock Exchange of India Ltd, where the Equity Shares of the Company are listed and based on the information provided by the Registrar to the Company, I hereby certify that:

- A.) The Company has not effected any transfer or transmission of shares during the period 1<sup>st</sup> April, 2020 and 30<sup>th</sup> September, 2020 since the documents received were either rejected or kept pending for ~~the~~ want of valid documents.
- B.) There were no requests received relating to sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies, deletion of name except issue of duplicate share certificate during the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.**

**S. Sathyanarayanan & Co.,**  
**Company Secretaries**

  
**S. Sathyanarayanan**  
**Proprietor**  
**C.P. No. 3251**  
**UDIN: F004966B000946491**



**Date: 12<sup>th</sup> October, 2020**  
**Place: Vasai**