

# South West Pinnacle

ISO 9001 : 2015 Certified Company

**South West Pinnacle Exploration Limited**

(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

**Regd. & Corp. Office:**

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) : + 91 124 4235400/01/03

(F) : + 91 124 4235402

(E) : [info@southwestpinnacle.com](mailto:info@southwestpinnacle.com)

(W): [www.southwestpinnacle.com](http://www.southwestpinnacle.com)

Dated: April 29, 2019

To,

Listing Department,  
National Stock Exchange of India Limited,  
Exchange Plaza, 5th Floor,  
Plot No. C-1, Bandra Kurla Complex,  
Bandra (East),  
Mumbai -400051.

SYMBOL- SOUTHWEST

**Subject: Compliance Certificate as per Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015**

Dear Sir/Madam,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the Certificate received from KKS & Associates, Practicing Company Secretary for a period of half year from 01<sup>st</sup> October 2018 TO 31<sup>st</sup> March 2019.

You are requested to kindly take the enclosed certificate on your record.

Thanking You

Yours Faithfully

For SOUTH WEST PINNACLE EXPLORATION LIMITED

*Vaishali*  
Vaishali

Company Secretary & Compliance Officer



Encl: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015



**KKS & Associates**  
Company Secretaries

Tel. : 91-11-43012349

Mob.: 91-9811687001

E-mail ID : [kksinghcs@gmail.com](mailto:kksinghcs@gmail.com)

[kksandassociates@gmail.com](mailto:kksandassociates@gmail.com)

Website : [www.kksandassociates.com](http://www.kksandassociates.com)

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SOUTH WEST PINNACLE EXPLORATION LIMITED** maintained by **(Link Intime India Pvt. Ltd)** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Obligations And Disclosure Requirements entered into by, **SOUTH WEST PINNACLE EXPLORATION LIMITED** with National Stock Exchange of India Limited, based on the information provided by the Company I hereby certify that

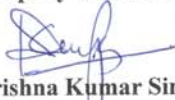
- A. The Company has not received any request for transfer/transmission during half year ended on **31<sup>st</sup> March, 2019**.
- B. There was no case of sub-division, consolidation, renewal, exchange of certificate duplicate and endorsement of calls/allotment monies during the period from **1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019**.

Date: 29/04/2019

Place: New Delhi



**FOR KKS & ASSOCIATES**  
Company Secretaries

  
(Krishna Kumar Singh)  
Proprietor  
Membership No. FCS 8493  
C. P. No.- 9760