

# South West Pinnacle

ISO 9001 : 2015 Certified Company

**South West Pinnacle Exploration Limited**

(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

**Regd. & Corp. Office:**

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) : + 91 124 4235400/01/03

(F) : + 91 124 4235402

(E) : [info@southwestpinnacle.com](mailto:info@southwestpinnacle.com)

(W): [www.southwestpinnacle.com](http://www.southwestpinnacle.com)

Dated: 15<sup>th</sup> October, 2019

To,

Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor  
Plot No. C/1, G Block,  
Bandra-Kurla Complex  
Bandra (East), Mumbai 400051

SYMBOL: SOUTHWEST

**Subject: Compliance Certificate as per Regulation 7(3) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015, we are enclosing herewith Compliance Certificate signed by Link Intime India Private Limited ("RTA") and Compliance Officer of the company with regard to maintenance of all activities in relation to both the physical and electronic share transfer facility.

You are requested to kindly take the enclosed certificate on your record.

Thanking You

Yours Faithfully

For SOUTH WEST PINNACLE EXPLORATION LIMITED

*Vaishali*

Vaishali

Company Secretary & Compliance Officer



Encl: a/a

Date: 03/10/2019

To,  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G-Block  
Bandra Kurla Complex, Bandra East  
Mumbai - 400051

Ref: - SYMBOL: - SOUTHWEST

Dear Sir / Madam,

Sub: - Compliance certificate pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 during the period from 01/07/2019 to 30/09/2019.

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is a SEBI approved category-I, Registrar & Transfer Agent, registered with Securities and Exchange Board of India (SEBI Registration Number : INR000004058).

For South West Pinnacle  
Exploration Limited

*Naishai*

Compliance Officer



For Link Intime India Private  
Limited



*[Signature]*

Authorized Representative