

South West Pinnacle

ISO 9001 : 2015 Certified Company

South West Pinnacle Exploration Limited

(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

Regd. & Corp. Office:

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) : + 91 124 4235400/01/03

(F) : + 91 124 4235402

(E) : info@southwestpinnacle.com

(W): www.southwestpinnacle.com

Dated: October 22, 2019

To,

Listing Department,
National Stock Exchange of India Limited,
Exchange Plaza, 5th Floor,
Plot No. C-1, Bandra Kurla Complex,
Bandra (East),
Mumbai -400051

SYMBOL- SOUTHWEST

Subject: Compliance Certificate as per Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015

Dear Sir/Madam,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the Certificate received from KKS & Associates, Practicing Company Secretary for a period of half year from 01st April 2019 to 30th September 2019.

You are requested to kindly take the enclosed certificate on your record.

Thanking You

Yours Faithfully

For SOUTH WEST PINNACLE EXPLORATION LIMITED

Vaishali

Vaishali

Company Secretary & Compliance Officer



Encl: a/a



KKS & Associates

Company Secretaries

Tel. : 91-11-43012349

Mob.: 91-9811687001

E-mail ID : kksinghcs@gmail.com

kksandassociates@gmail.com

Website : www.kksandassociates.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SOUTH WEST PINNACLE EXPLORATION LIMITED** maintained by (*Link Intime India Pvt. Ltd.*) pertaining to transfer of equity shares of the company for the period from *1st April, 2019 to 30th September, 2019* for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **SOUTH WEST PINNACLE EXPLORATION LIMITED** with National Stock exchange of India Limited based on the information provided by the Company I hereby certify that:-

- A. The Company has not received any request for transfer/transmission of shares during the period from *1st April, 2019 to 30th September, 2019*.
- B. There was no case of sub-division, consolidation, renewal, exchange of certificate duplicate and endorsement of calls/allotment monies during the period from *1st April, 2019 to 30th September, 2019*.

Date: *18/10/2019*
Place: New Delhi

FOR KKS & ASSOCIATES

Company Secretaries



Krishna Kumar Singh

Prop.

Membership No. : FCS 8493

C. P. No.- 9760

UDIN:- F008493A000119769