

28th May, 2020

To,

The Manager

Listing Department

The National Stock Exchange of India Limited.

Exchange Plaza, 5 Floor, Plot C/1, Block

Bandra-Kurla Complex, Bandra (E),

Mumbai 400051.

NSE Symbol: SPECTRUM

Ref: Scrip ID: - SPECTRUM

Scrip Code: - SPECTRUM

Sub: Compliance Certificate pursuant to Regulation 40 (9) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir,

In compliance with Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the Compliance Certificate for the half year ended **31st March, 2020** duly certified by Practicing Company Secretary.

Kindly take the same on your record and oblige us.

Thanking You.

FOR SPECTRUM ELECTRICAL INDUSTRIES LIMITED



(Rahul Lavane)

Company Secretary & Compliance Officer



Encl.: As above



YUTI NAGARKAR

(LL.B., F.C.S.)

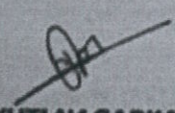
COMPANY SECRETARY

OFFICE: 154, PRATAP APARTMENT, VIVEKANAND NAGAR, BEHIND SAI MANDIR, WARDHA ROAD, NAGPUR-440015
Tel. No. 0712-2220656 / Mobile No - 9373371217 / Email - cnyutinagarkar@gmail.com

To,
The Board of Directors
SPECTRUM ELECTRICAL INDUSTRIES LIMITED
V-195, MIDC INDUSTRIAL AREA,
AJANTHA ROAD JALGAON MH 425003 IN

Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations, 2015 for the half year ended on 31st March, 2020.

Based on records relating to SPECTRUM ELECTRICAL INDUSTRIES LIMITED maintained by its Registrar and Share Transfer Agents (Big Share Services Limited), pertaining to transfer of equity shares of the company for the period from 1st October, 2019 to 31st March 2020 for the purpose of Issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the Information provided by the company, I hereby certify that no requests relating to Physical Transfer, Transmission, subdivision (split), consolidation, renewal and exchange or endorsement of calls/allotment monies were received during the period 1st October, 2019 to 31st March, 2020


YUTI NAGARKAR
(Company Secretary)

M.NO. F9317

C.P. NO. 10802

Place: Nagpur

Date: 22/05/2020

UDIN: F009317B000269511