

# South West Pinnacle

ISO 9001 : 2015 Certified Company

**South West Pinnacle Exploration Limited**  
(formerly known as South West Pinnacle Exploration Pvt. Ltd.)  
CIN No.: L13203HR2006PLC049480

Regd. Office : 522, 5th Floor, DLF Galleria,  
Commercial Complex, DLF City Phase - IV  
Gurugram, Haryana-122009

Corporate Office : Ground Floor, Plot No. 15,  
Sector-44, Gurugram - 122 003

(T) : +91 124 4235400, 4235401

(F) : + 91 124 4235402

(E) : [info@southwestpinnacle.com](mailto:info@southwestpinnacle.com)

(W): [www.southwestpinnacle.com](http://www.southwestpinnacle.com)

**Date: 20.04.2022**

To,

Listing Department,  
National Stock Exchange of India Limited,  
Exchange Plaza, 5th Floor,  
Plot No. C-1, Bandra Kurla Complex,  
Bandra (East),  
Mumbai -400051

**SYMBOL- SOUTHWEST**

**Subject: Compliance Certificate as per Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015**

Dear Sir/Madam,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the Certificate received from KKS & Associates, Practicing Company Secretary for the year ended on 31<sup>st</sup> March 2022.

You are requested to kindly take the enclosed certificate on your record.

Thanking You

Yours Faithfully

For South West Pinnacle Exploration Limited

Vaishali

Company Secretary & Compliance Officer

Encl: a/a





**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SOUTH WEST PINNACLE EXPLORATION LIMITED** maintained by (M/s **LINK INTIME INDIA PRIVATE LIMITED**) pertaining to transfer /subdivision /consolidation /renewal and split /exchange or endorsement of calls /allotment monies /deletion / transmission / transposition of equity shares of the company for the period from **1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **SOUTH WEST PINNACLE EXPLORATION LIMITED** with National Stock Exchange based on the information provided by the Company I hereby certify that:-

- A. The Company has not received any request for transfer/transmission of shares during the period from **1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022**.
- B. The Company has not received the request for sub-division, consolidation, renewal, exchange of duplicate certificate and endorsement of calls/allotment monies during the period from **1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022**.

Date: **20-04-2022**  
Place: New Delhi

For KKS & ASSOCIATES



**Krishna Kumar Singh**

Prop.

Membership No: F8493

COP No: 9760

UDIN: **FO08493D000168642**