



VAIBHAV GLOBAL LIMITED

Ref: VGL/CS/2024/99

Date: 16th October, 2024

**National Stock Exchange of
India Limited (NSE)**
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra, Mumbai – 400051
Symbol: VAIBHAVGBL

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400001
Scrip Code: 532156

Subject: Reporting of violations under SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir / Madam,

This is with reference to Company's 'Code of Conduct to Regulate, Monitor and Report Trading by Designated Persons' read with Schedule B and Regulation 9 of the SEBI (Prohibition of Insider Trading) Regulations, 2015, as amended from time to time. In this regard, please find enclosed herewith a report related to the violation as per format specified by SEBI vide its Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July, 2020 as **Annexure – A**

Kindly take the same on record.

Thanking you,

Yours Truly,

For Vaibhav Global Limited

(Yashasvi Pareek)
Compliance Officer
ACS – 39220

Encl.: a/a



VAIBHAV GLOBAL LIMITED

Annexure – A

Report by Vaibhav Global Limited for violation related to Code of Conduct to Regulate, Monitor and Report Trading by Designated Persons under SEBI (Prohibition of Insider Trading) Regulations, 2015

[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulation, 2015]

Sr. No.	Particulars	Details
1.	Name of the listed company/ Intermediary/Fiduciary	Vaibhav Global Limited
2.	Please tick appropriate checkbox Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Raj Kumar Singh
	ii. PAN of the DP	*****9006E
	iii. Designation of DP	Vice President – Supply Chain
	iv. Functional Role of DP	Responsible for group supply chain operations
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the script	Equity Shares of Vaibhav Global Limited BSE Scrip: 532156 NSE symbol: VAIBHAVGBL
	ii. No of shares traded and value (Rs.) (Date-wise)	No of shares Sold: 3 Date of transaction: 09/09/24 Total Consideration: Rs. 899.76
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter - N.A.	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	Details of violations observed under Code of Conduct	Contra trade during the six months period post the previous trade.
	Action taken by Listed company/ Intermediary/ Fiduciary	The inquiry committee has issued a warning letter (without penalty).



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	Reasons recorded in writing for taking action stated above	It has been observed that the abovementioned trade was carried out by the portfolio manager unilaterally without the knowledge & instruction of concerned Designated Person. Further, considering the amount of trade is insignificant and the said trade was made inadvertently without the intention of violating PIT Regulations or of making any undue gains, the Company has issued a warning letter (without penalty) to the concerned Designated Person.																								
	Details of the previous instances of violations, if any, since last financial year	None																								
	If any amount collected for Code of Conduct violation(s) - N.A.																									
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	NA																								
	ii. Details of transfer/payment In case of Online: <table border="1" data-bbox="328 1122 938 1417"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.</td> <td></td> </tr> </tbody> </table> In case of Demand Draft (DD): <table border="1" data-bbox="328 1491 900 1711"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.		Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)		Transaction Amount (in Rs.		NA
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	Any other relevant information	None																								

For Vaibhav Global Limited

(Yashasvi Pareek)
Compliance Officer
ACS – 39220