

UTI/AMC/CS/SE/2024-25/0453

Date: August 21, 2024

To,

|                                                                                                                                       |                                                                                                                                                                                                 |
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| <b>BSE Limited</b><br>PJ Towers, 25th Floor,<br>Dalal Street, Fort, Mumbai – 400 001<br><b>Scrip Code / Symbol : 543238 / UTI AMC</b> | <b>National Stock Exchange of India Limited</b><br>Exchange Plaza, 5th Floor, Plot No. C/1,<br>G Block, Bandra-Kurla Complex,<br>Bandra (East), Mumbai – 400 051<br><b>Scrip Symbol: UTIAMC</b> |
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**Sub: - Disclosure as per Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 – Continual Disclosure**

Dear Sir / Madam,

Pursuant to Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), we are enclosing herewith the Form C received to the Company from Designated Person in respect to the allotment of equity shares under ESOP scheme of the Company and disposal/sales of shares on stock exchange.

We request you to kindly take the above disclosure on your records and disseminate further.

Thanking you,

Yours faithfully.

**For UTI Asset Management Company Limited**



Suruchi Wanare  
Authorised Signatory

Encl:- Form C (Ravi Gupta)

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015**  
**[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]**

Name of the Company: UTI Asset Management Company Limited

ISIN of the Company: **INE094J01016**

**Details of change in holding of Securities of Promoter, Member of Promoter group, Designated Person or Director of a listed company and immediate relative of such persons and other such persons as mentioned in Regulation 6(2).**

| Name,<br>PAN,<br>CIN/DIN,<br>& address<br>with<br>contact nos. | Category<br>of Person<br>(promoter/m<br>ember of<br>promoter<br>group/desi<br>gnated<br>person//Dire<br>ctors/<br>Immediate<br>Relative<br>to/others.<br>etc.) | Securities held<br>prior to<br>acquisition/disposal                                                                            |                                     | Securities acquired/Disposed                                                                                                         |     |       |                                                                                                                          | Securities held post<br>acquisition/disposal                                                                                        |                                     | Date of allotment<br>advice/<br>acquisition of<br>shares/<br>disposal of shares<br>specify |    | Date of<br>intimati<br>on<br>to<br>compan<br>y | Mode of<br>acquisiti<br>on /<br>disposal<br>(on<br>market/p<br>ublic/<br>rights/<br>preferen<br>tial<br>offer /<br>off<br>market/<br>Inter-se<br>transfer.<br>ESOPs<br>etc.) | Excha<br>nge on<br>which<br>the<br>trade<br>was<br>execut<br>ed |
|----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-----|-------|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------|----|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|
|                                                                |                                                                                                                                                                | Type of<br>security<br>For e.g.<br>– Shares,<br>Warrants,<br>Convertible<br>Debentures,<br>rights<br>entitlem<br>ents<br>etc.) | No.<br>and % of<br>shareholdin<br>g | Type of<br>security<br>(For e.g. –<br>Shares,<br>Warrants,<br>Convertibl<br>e<br>Debenture<br>s, rights<br>entitlemen<br>ts<br>Etc.) | No. | Value | Transact<br>ion<br>Type<br>(Buy/<br>Sale/<br>Pledge /<br>Revokat<br>ion/<br>Invokati<br>on/other<br>s-please<br>specify) | Type of<br>security<br>(For e.g.<br>– Shares,<br>Warrants,<br>Convertibl<br>e<br>Debenture<br>s, rights<br>entitlemen<br>t<br>Etc.) | No. and %<br>of<br>Shareholdi<br>ng | From                                                                                       | To |                                                |                                                                                                                                                                              |                                                                 |
| 1                                                              | 2                                                                                                                                                              | 3                                                                                                                              | 4                                   | 5                                                                                                                                    | 6   | 7     | 8                                                                                                                        | 9                                                                                                                                   | 10                                  | 11                                                                                         | 12 | 13                                             | 14                                                                                                                                                                           |                                                                 |

|                                                                                          |                    |               |               |               |     |             |                |              |               |            |            |            |        |     |
|------------------------------------------------------------------------------------------|--------------------|---------------|---------------|---------------|-----|-------------|----------------|--------------|---------------|------------|------------|------------|--------|-----|
| Ravi Gupta                                                                               | Designated Person. | Equity Shares | 878<br>0.00%  | Equity shares | 700 | 7,28,735    | ESOP Allotment | Equity share | 1578<br>0.00% | 18/07/2024 | 18/07/2024 | 21/08/2024 | ESOP   | -   |
| AKDPG0264F                                                                               |                    |               |               |               |     |             |                |              |               |            |            |            |        |     |
| Address:- 704 Daffodil, Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400059 |                    | Equity Shares | 1578<br>0.00% | Equity shares | 700 | 7,41,617.87 | Sell           | Equity share | 878<br>0.00%  | 16/08/2024 | 16/08/2024 | 21/08/2024 | Market | NSE |
| 9820243708                                                                               |                    |               |               |               |     |             |                |              |               |            |            |            |        |     |

**Note:** "Securities" shall have the meaning as defined under regulation 2(1)(I) of SEBI (Prohibition of Insider Trading) Regulations, 2015, as amended.

**Details of trading in derivatives of the securities of the company by Promoter, member of the promoter group, designated person, or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

| Trading in derivatives (Specify type of contract, Futures or Options etc.) |                         |                |                                        |                |                                        | Exchange on which the trade was executed |
|----------------------------------------------------------------------------|-------------------------|----------------|----------------------------------------|----------------|----------------------------------------|------------------------------------------|
| Type of contract                                                           | Contract specifications | Buy            |                                        | Sell           |                                        |                                          |
|                                                                            |                         | Notional Value | Number of units (contracts * lot size) | Notional Value | Number of units (contracts * lot size) |                                          |

**Note:** In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name: Ravi Gupta

Signature: 

Designation: *Senior Vice President*

Date: 21/08/2024

Place: Mumbai