Date: 17th May, 2023

To	**To**
The Manager (Listing)	**The Manager (Listing)**
Bombay Stock Exchange Limited	**National Stock Exchange of India**
PhirozeJeejeebhoy Towers	Exchange Plaza, C-1, Block G
25th Floor, Dalal Street	Bandra Kurla Complex
Mumbai - 400001	Bandra(E), Mumbai-400051
BSE Scrip Code- 526987	**Ref: NSE Symbol -URJA**

Subject: Annual Secretarial Compliance Report under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD-I/27/2019 dated 08.02.2019

Dear Sir/Madam

In pursuance to the applicable clauses of Regulation 24A of SEBI Obligations and Disclosure (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD-I/27/2019 dated 08.02.2019, please find enclosed herewith Secretarial Compliance Report issued by M/s Nupur Jain & Associates, Company Secretary in Practice for the financial year ended March 31, 2023.

The same is for your information and record.

Kindly take the information on your records.

Thanking you

For URJA GLOBAL LIMITED

MOHAN JAGDISH AGARWAL
Digitally signed by MOHAN JAGDISH AGARWAL
Date: 2023.05.17 15:49:40 +05'30'

Mohan Jagdish Agarwal
Managing Director

NUPUR JAIN & ASSOCIATES
Company Secretaries

358/A, Ram Nagar Raod,
1st Floor, Delhi-110051
Ph. : +91-9560412648
E-mail : acsnupurjain308@gmail.com

Secretarial Compliance Report of URJA GLOBAL LIMITED for the year ended 31st March 2023

I, NUPUR JAIN, Practicing Company Secretary have examined:

(a) all the documents and records made available to us and explanation provided by Urja Global Limited ("the listed entity"),
(b) the filings/ submissions made by the listed entity to the stock exchanges,
(c) website of the listed entity,
(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended on 31st March 2023 ("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR);
(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (ICDR);
(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 (SAST);
(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not applicable during the year under review**
(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **Not Applicable as the Company has not provided any share based benefits to the employees during the year.**
(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable as the Company has not issued any debt securities during the financial year under review.**
(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not applicable as the Company has not issued any such securities during the financial year under review.**
(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
(i) Securities and Exchange Board of India (Depository and Participants) Regulations, 2018 and circulars/ guidelines issued thereunder.

Nupur Jain & Associates
New Delhi
C.P. No.-20313
Company Secretaries

and circulars/ guidelines issued thereunder; and based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

S. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation No.	Deviations	Action Taken by	Type of Action
1	As per Regulation 33 of SEBI LODR, the listed entity shall submit annual audited standalone financial results for the financial year, within sixty days from the end of the financial year along with the audit report.	Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company had delayed in filing the Audited Financials for the Quarter/Year ended 31st March, 2022	NSE	Imposed Fines
2	As per Regulation 31(1) of SEBI LODR, every listed entity is required to file the Shareholding Pattern within 21 days of the end of quarter.	Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company had delayed in filing the Shareholding pattern for the quarter ended June 30, 2022.	NSE & BSE	Imposed Fines

Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
Regulation 33 of SEBI LODR	INR 1,29,800	The Company has filed the clarification to the exchange and later on paid the fine amount.	As explained by the Management, the Company could not have filed the financials on time due to non-receipt of Audit report.	The Company has paid the fine amount.
Regulation 31(1) of SEBI LODR	INR 56,160 each	The Company has filed the clarification to the exchange and later on paid the fine amount.	As explained by the Management, the Company was unable to submit the Shareholding pattern for the quarter ended June 30, 2022 due to stoppage of Benpose Data from Central Depository Services (India) Limited (CDSL).	The Company has paid the fine amount.

Nupur Jain & Associates
New Delhi
C.P. No.-20313
Company Secretaries

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Compliance Requirement	Regulation No./Section	Deviations	Action Taken by	Type of Action
1	SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	Sections 11(1), 11(4), 11(4A) read with Section 19 of the Securities and Exchange Board of India Act, 1992	Pursuant to the open offer made under SEBI SAST, the Public shareholding of the Company fell to 10.06% on 28th June, 2019 and was 10.45% as on 31st March, 2023.	SEBI	The SEBI vide order dated 13th May, 2022 restrained the Company from buying, selling or otherwise dealing in securities market, either directly or indirectly, in any manner whatsoever, and is further prohibited from accessing the securities market by raising money from public, for a period of 2 years from the date of this order and also direct to obtain certificate of authenticity on all the corporate announcement from a practicing Company Secretary for next 3 Years and also directed to the Company to include in such certificate the status of previous corporate announcements made by it in the last 10 years under Regulation 30 of the LODR Regulations.

Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
Sections 11(1), 11(4), 11(4A) read with Section 19 of the Securities and Exchange Board of India Act, 1992	NIL	The Company had filed the SEBI order to the Stock Exchange vide the announcement dated 14th May, 2022 and the Company took the legal tenable steps towards the obeying of the SEBI order.	The Company is in process of complying with these provisions.	Except as stated herein no other action has been taken by the management.

We hereby report that, during the Review Period the compliance status of the listed entity is appended below;

Sr. No.	Particulars	Compliance status (Yes/No/NA)	Observations/Remarks by PCS*

Nupur Jain & Associates
New Delhi
C.P. No.-20313
Company Secretaries

1	**Secretarial Standards:** The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI).	Yes	-
2	**Adoption and timely updation of the Policies:** • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI.	Yes	-
3	**Maintenance and disclosures on Website:** • The Listed entity is maintaining a functional website. • Timely dissemination of the documents/ information under a separate section on the website. • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website.	Yes	-
4	**Disqualification of Director:** None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013	Yes	-
5	**To examine details related to Subsidiaries of listed entities:** (a) Identification of material subsidiary companies. (b) Requirements with respect to disclosure of material as well as other subsidiaries.	Yes	-

Nupur Jain & Associates ★ Company Secretaries ★ New Delhi C.P. No.-20313

6	**Preservation of Documents:** The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	-
7	**Performance Evaluation:** The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	Yes	-
8	**Related Party Transactions:** (a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions. (b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee.	Yes	-
9	**Disclosure of events or information:** The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	-
10	**Prohibition of Insider Trading:** The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	-
11	**Actions taken by SEBI or Stock Exchange(s), if any:** No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries	No	• Due to the non-compliance under Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) 2015, NSE

Nupur Jain & Associates
New Delhi
C.P. No.-20313
Company Secretaries

	either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.		has imposed a fine amounting 1,29,800 and the Company later on paid the fine amount. • Due to the non-compliance under Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) 2015, NSE and BSE has imposed a fine amounting 56,160 and the Company later on paid the fine amount.
12	**<u>Additional Non-compliances, if any:</u>** No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc.	NA	-

For Nupur Jain & Associates
Company Secretaries

Nupur

Nupur Jain & Associates ★ New Delhi C.P. No.-20313 ★ Company Secretaries

Nupur Jain
Proprietor
ACS-54645, C.P.- 20313
Peer Review No.- 2349/2022
UDIN: A054645E000317622

Place: New Delhi
Date: May 02, 2023