

Date: 28<sup>th</sup> April, 2026

To,  
**National Stock Exchange of India Ltd.**  
Address: Exchange Plaza" Plot no. C/1,  
G Block, Bandra-Kurla Complex, Bandra (E),  
Mumbai - 400 051.

**NSE Scrip Symbol:** UHTL

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED ON MARCH 31, 2026 (pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015**

United Heat Transfer Limited (Formerly known as United Heat Transfer Private Limited) aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and compliant with Structured Digital Database (SDD) provisions.

In pursuance to Standard Operating Process under SEBI (PIT) Regulations, 2015 Circular Ref. No: NSE/CML/31 dated October 18, 2024 for ensuring compliance with Structured Digital Database ("SDD"), we herewith state that company is listed on NSE SME Platform and the provisions of Regulation 24A of SEBI(LODR) Regulations, 2015 are not applicable hence as per requirement to confirm compliance status of SDD submitting herewith SDD Compliance Certificate in the prescribed format (as per Annexure I) certified by Practicing Company Secretary as company is compliant with Structured Digital Database (SDD) provisions.

PCS certificate in the prescribed format is attached.

Yours faithfully,

**For and on behalf of Board of Directors of,  
UNITED HEAT TRANSFER LIMITED  
(Formerly known as United Heat Transfer Private Limited)**

**Mr. Yogesh Vishwanath Patil  
(Chairman & Managing Director, DIN: 00103349)  
Address:** Flat No.301, Rushiraj Hariyali Appt.,  
Serene Medows, Anandwalli, Nashik- 422013,  
Maharashtra, India

**Place:** Nashik

**Encl.: Certificate by Practicing Company Secretary**



# MDSB AND CO. LLP

Tel. - Nashik – 9370735864, Pune – 8766611567

E-Mail ID: csmdsb@gmail.com

Registered Office: Flat No. 1, 1<sup>st</sup> Floor, Snehad Apartment, Parijat Nagar, Nashik - 422005.

Branch Office: Office No. 6, Landmark building, Paud Road, Anand Nagar, Kothrud, Pune – 411038.

Registered under the Limited Liability Partnership Act, 2008 with Limited Liability

Company Secretaries

Peer Review Certified

LLPIN: AAP-4949

9890646795

9823249077

## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED ON 31<sup>ST</sup> MARCH 2026

Date: 27/04/2026

To,

**National Stock Exchange of India Ltd.**

**Address:** Exchange Plaza, Plot no. C/1,

G Block, Bandra-Kurla Complex, Bandra (E),

Mumbai – 400 051.

I, CS Manjushri Makarand Maslekar, Practicing Company Secretary appointed by United Heat Transfer Limited (Formerly Known as “United Heat Transfer Private Limited”) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place.
2. control exists as to who can access the SDD.
3. all the UPSI disseminated in the previous quarter or year have been captured in the Database.
4. the system has captured nature of UPSI along with date and time.
5. the database has been maintained internally and an audit trail is maintained.
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 36 (Thirty- Six) number of events during the financial year ended March, 2026 and has captured 36 (Thirty- Six) number of the said required events.

I would like to report that no non-compliance was observed in the financial year ended on 31st March 2026 and accordingly no remedial action was applicable.

**FOR MDSB & CO LLP.**

**Company Secretaries,**

MANJUSHRI  
MAKARAND  
MASLEKAR

Digitally signed by  
MANJUSHRI  
MAKARAND  
MASLEKAR  
Date: 2026.04.27  
17:25:17 +05'30'

**CS Manjushri Maslekar**

**Designated Partner**

**(DIN: 03017832)**

**FCS: 5663; COP: 5382**

**Peer Review certificate No: 2724/2022**

**UDIN: F005663H000211121**

**Date: 27<sup>th</sup> April 2026**

**Place: Pune**