

UMA EXPORTS LTD

CIN : L14109WB1988PLC043934

August 8, 2022

Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Bandra Kurla Complex,
Mumbai-4000051

Listing Department,
BSE Limited
P.J. Towers,
Dalal Street
Mumbai-400001

Scrip: UMAEXPORTS

Scrip Code: 543513

Dear Sir / Madam,

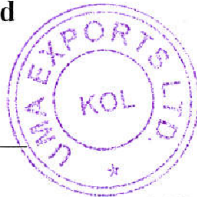
Sub: Quarterly Compliance of Structural Digital Database under SEBI (PIT) Regulations, 2015

As per your mail dated August 5, 2022 regarding compliance with Regulation 3(5) of SEBI (PIT) Regulations, 2015, Please find enclosed the signed copy of the Compliance Certificate for the Quarter ended June 30, 2022.

Kindly take the above information on records and disseminate.

Thanking you,

Yours faithfully
For Uma Exports Limited



Sriti Singh Roy
Company Secretary & Compliance Officer

Flat No. 16, 1st Floor, Ganga Jamuna Apartment
28/1, Shakespeare Sarani, Kolkata - 700 017
West Bengal, India

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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Sriti Singh Roy, Compliance Officer, have examined the following compliance requirement of Uma Exports Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	-
2.	Whether control exists as to who can access the SDD for read/write alongwith the names and PAN of such person?	Yes	-
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	Yes	-
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	-
5.	Whether nature of UPSI have been captured alongwith date and time?	Yes	-
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	-
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	-
8.	Whether the database has been maintained internally?	Yes	-
9.	Whether audit trail is maintained?	Yes	-
10.	Whether time stamping is maintained?	Yes	-
11.	Whether the database is non-tamperable?	Yes	Maintained with Password Security
12.	Any other measures to ensure non-tamperability of the Database?	Yes	Kept only copy and cannot be copied

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

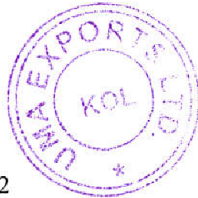
The number of days for which non-compliance was observed: NA

Further I also confirm that the Company was required to capture 1 number of events during the quarter ended June 30, 2022 and has captured 1 number of the said required events.

For Uma Exports Limited



Sriti Singh Roy
Compliance Officer
Date: August 8, 2022
Place: Kolkata



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