

Secretarial Department
Head Office, 57- V.E. Road,
Thoothukudi – 628 002.

☎ 0461-2325136

e-mail : secretarial@tmbank.in

CIN: U65110TN1921PLC001908



Ref.No.TMB.SE.25/2022-23

18.11.2022

The Manager,
Corporate Relations Department,
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001.
Scrip Code: 543596

The Manager,
National Stock Exchange of India Ltd,
Exchange Plaza, 5th Floor,
Plot No. C/1, 'G' Block,
Bandra - Kurla Complex,
Bandra (East), Mumbai - 400 051.
Symbol: TMB

Dear Sir,

Subject: SDD Compliance Certificate for the quarter ended September 30, 2022

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find attached herewith the SDD Compliance Certificate for the quarter ended September 30, 2022.

Kindly take the above information on record.

Thanking you.

Yours faithfully,

For Tamilnad Mercantile Bank Limited

PRAKASH
CHANDRA PANDA

Digitally signed by PRAKASH CHANDRA PANDA
DN: cn=PRAKASH CHANDRA PANDA,
o=TAMILNAD MERCANTILE BANK LTD,
c=IN, email=prakash.chandrapanda@tmbank.in,
serial=11, version=3
Date: 2022.11.18 18:51:26 +05'30'



Prakash Chandra Panda
Company Secretary and Compliance Officer

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Prakash Chandra Panda, Company Secretary and Compliance Officer of M/s. Tamilnad Mercantile Bank Ltd., am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify the following;

Sl.	Compliance requirement	Yes/No	Observations / Remarks
1	Whether the Company has a Structured Digital Database in place?	Yes	Our Bank has its Structured Digital Database (SDD)
2	Whether control exists as to who can access the SDD for read / write along with the names and PAN of such person?	Yes	Absolute control exists as the Name and PAN of the persons who have access to the SDD is captured in the system
3	Whether all the UPSI disseminated in the previous quarter have been captured in the Database? If not, details of events that had not been captured and the reason for the same.	No	Shares of our Bank were listed in both the Stock exchanges on 15.09.2022. Deployment of our SDD was completed on 10.11.2022. The only UPSI during the previous quarter was the quarterly results of September 2022, which had already become public knowledge (Published in leading newspapers on 28.10.2022), by the time our SDD was deployed. However, the UPSI was disclosed only for legitimate purpose, that too only to very few 'Designated Persons' only on a 'Need to know' basis.
4	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not, details of events that have not been captured and the reason for the same?	No	The newly deployed SDD has the capacity to automatically inform recipients of UPSI about the same.
5	Whether the system has captured nature of UPSI along with date and time	No	The newly deployed SDD also has the capacity to capture the nature of UPSI, along with date and time.
6	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	No	The newly deployed SDD also has the capacity to capture the name of persons who have shared the information, and also the name of persons with whom information was shared, along with PAN or any other identifier.
7	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	No	

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Sl.	Compliance requirement	Yes/No	Observations / Remarks
8	Whether the database has been maintained internally?	Yes	Database is maintained internally
9	Whether Audit trail is maintained?	Yes	The newly deployed SDD also has the capacity to maintain proper Audit trails.
10	Whether Time stamping is done?	Yes	The newly deployed SDD also has the capacity to store time stamps about the records
11	Whether the database is non-tamperable? What are the measures available to ensure non-tamperability of the database?	Yes	SDD is non-tamperable, as adequate measures are taken to protect the same, with limited access to the Designated persons only
12	Whether the database has the capability to maintain the records for 8 years?	Yes	The newly deployed SDD also has the capacity to maintain records for more than 8 years

The number of days for which non-compliance was observed: NIL

Further, I also confirm that the Company was required to capture 1 number of events during the quarter ended September 30, 2022 and has captured 0 number of the said required events.

Thanking you.

Yours faithfully,

For Tamilnad Mercantile Bank Limited

PRAKASH

CHANDRA PANDA

Digitally signed by PRAKASH CHANDRA PANDA
DN: cn=TAMILNADU,
serialNumber=c1facb385b494909b214acae5c3b6c0ef59
8918ee45a2286a14d73a0d3b5, postalCode=628002,
ou=SECRETARIAL, o=TAMILNAD MERCANTILE BANK LTD,
c=IN, cn=PRAKASH CHANDRA PANDA
Date: 2022.11.18 18:52:07 +05'30'



Prakash Chandra Panda

Company Secretary and Compliance Officer

Date : 18.11.2022

Place : Thoothukudi