

April 7, 2023

National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051

BSE Limited
Listing Department
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir/Madam,

Sub: Certificate pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref: NSE Symbol - ISEC and BSE Scrip Code - 541179

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated April 7, 2023, obtained from Practicing Company Secretary for the financial year ended March 31, 2023.

Kindly take the above on your records and oblige.

Yours faithfully,

For ICICI Securities Limited

Raju Nanwani
Company Secretary

Encl: As above

Member of National Stock Exchange of India Ltd, BSE Ltd and Multi Commodity Exchange of India Ltd.
SEBI Registration: INZ000183631
CIN No.: L67120MH1995PLC086241

ICICI Securities Limited

Registered Office:

ICICI Venture House
Appasaheb Marathe Marg,
Prabhadevi, Mumbai - 400025, India
Tel. (91 22) 6807 7100
Fax (91 22) 6807 7803

Corporate Office :

Shree Sawan Knowledge Park, Plot No. D-507,
T.T.C. Ind. Area, M.I.D.C, Turbhe, Navi Mumbai - 400 705
Tel.: (91 22) 6807 7100
Fax: (91 22) 6807 7801

Name of Compliance Officer (Broking Operations) : Ms. Mamta Shetty

Email Address: complianceofficer@icicisecurities.com / Tel. (91 22) 4070 1000

Website Address: www.icicisecurities.com / www.icicidirect.com



MAKARAND M. JOSHI & CO.

Company Secretaries

Ecstasy, 803/804, 8th Floor, City of Joy, J.S.D Road, Mulund (West), Mumbai- 400080, (T) 022-21678100

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023

On the basis of examination of all relevant Books, registers, forms, documents and papers relating to **ICICI SECURITIES LIMITED** (the “Company”) for the purpose of issuing certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and on the basis of the explanation given to us through **KFin Technologies Limited (Formerly known as KFin Technologies Private Limited)**, its Registrar and Share Transfer Agent, we hereby certify that during the financial year ended March 31, 2023, there were no requests received for transfer, transmission, deletion, transposition, sub-division, consolidation, exchange, endorsement of calls/allotment monies and renewal.

**For Makarand M. Joshi & Co.
Company Secretaries**

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DINESH KUMUDINI DINESH
BHALERAO BHALERAO
Date: 2023.04.07
16:31:07 +05'30'

Kumudini Bhalerao

Partner

FCS: 6667

C.P No: 6690

UDIN: F006667E000034728

Place: Mumbai

Date: April 07, 2023