

Swan Energy Limited

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.

Tel.: 022-4058 7300 • Fax : +91-22-4058 7360 • Email: swan@swan.co.in

CIN. L17100MH1909PLC000294

Swan/nse/bse

9th March, 2021

Dept. of Corporate Compliances,
National Stock Exchange Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra –Kurla Complex, Bandra-east,
Mumbai – 400 051

Listing Department
Bombay Stock Exchange Limited
P.J. Tower, Dalal Street, Fort
Mumbai – 400 001

Scrip Code: 503310 (BSE) & SWANENERGY (NSE)

Dear Sir,

Sub: Submission of disclosures received under Regulation 13(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992.

In terms of Regulation 13(3) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992, we have received disclosures from the following Promoter Companies.

1. DAVE IMPEX PRIVATE LIMITED
2. SWAN REALTORS PRIVATE LIMITED
3. SWAN ENGITECH WORKS PRIVATE LIMITED

As prescribed under Regulation 13 (6) of SEBI (PIT) Regulations, 1992, we are submitting the same, with the request to please take the same on record and post the same on your website for dissemination to the public.

Thanking you,

Yours faithfully,

For Swan Energy Limited



(Arun S. Agarwal)
Company Secretary

Encl: a/a

DAVE IMPEX PRIVATE LIMITED

6, FELTHAM HOUSE, 2ND FLOOR, 10 J. N. HEREDIA MARG, BALLARD ESTATE
MUMBAI - 400001

CIN: U51900MH1990PTC057932

8th March, 2021

To,
Swan Energy Limited,
6, Feltham House, 2nd Floor,
10 J. N. Heredia Marg,
Ballard Estate,
Mumbai - 400 001

Dear Sir/ Madam,

Sub: Disclosures of Pledge Regulation 13(3) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

With reference to the above, please find enclosed the details of the Pledges created and released by the Company for the period from 10th October, 2011 to 31st December, 2014.

Please acknowledge receipt of the same.

Thanking You,

Yours Faithfully,

For DAVE IMPEX PRIVATE LIMITED



Director/ Authorized Signatory

Encl: As above



FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992[Regulation 13(3) and (6)]

Regulation 13(3) – Details of change in shareholding in respect of persons holding more than 5% shares in a listed company

Name, PAN No. & address of shareholders	Shareholding prior to acquisition/sale	No. of shares/voting rights acquired/ sold	Receipt of allotment advice/ acquisition of shares/ sale of shares specify	Date of intimation to company	Mode of acquisition (market purchase/ public/ rights/ preferential offer etc.)	No. & % of shares/ voting rights post-acquisition/sale	Trading member through whom the trade was executed with SEBI Registration No. of the TM	Exchange on which the trade was executed	Buy quantity	Buy value	Sell quantity	Sell value
Name: DAVE IMPEX PRIVATE LIMITED Add: 6, FELTHAM HOUSE, 2ND FLOOR, 10 J. N. HEREDIA MARG, BALLARD ESTATE, MUMBAI - 400001	2,30,15,200 Equity Shares, 24.22% of shareholding	95,00,000 Equity Shares	10-10-2011	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	2,30,15,200 Equity Shares, 24.22% of shareholding	42,00,000 Equity Shares	20-01-2012	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	2,30,15,200 Equity Shares, 24.22% of shareholding	30,00,000 Equity Shares	27-03-2012	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	2,30,15,200 Equity Shares, 24.22% of shareholding	95,00,000 Equity Shares	31-03-2012	08-03-2021	Pledge Release	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	2,30,15,200 Equity Shares, 24.22% of shareholding	13,50,000 Equity Shares	30-11-2012	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	2,30,15,200 Equity Shares, 24.22% of shareholding	14,00,000 Equity Shares	21-01-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	2,30,15,200 Equity Shares, 24.22% of shareholding	55,000 Equity Shares	23-01-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA

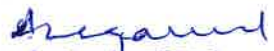


2,30,15,200 Equity Shares, 24.22% of shareholding	1,00,000 Equity Shares	23-01-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	30,00,000 Equity Shares	12-02-2013	08-03-2021	Pledge Release	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	50,000 Equity Shares	13-03-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	20,000 Equity Shares	22-04-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	2,00,000 Equity Shares	18-06-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	3,50,000 Equity Shares	18-06-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	1,25,000 Equity Shares	19-06-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	2,00,000 Equity Shares	19-07-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	2,00,000 Equity Shares	22-08-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares, 24.22% of shareholding	2,00,000 Equity Shares	14-09-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
2,30,15,200 Equity Shares,	1,50,000 Equity Shares	14-09-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares,	NA	NA	NA	NA	NA	NA



	24.22% of shareholding					24.22% of shareholding						
	2,30,15,200 Equity Shares, 24.22% of shareholding	8,45,000 Equity Shares	15-09-2013	08-03-2021	Pledge Creation	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	2,30,15,200 Equity Shares, 24.22% of shareholding	16,00,000 Equity Shares	27-12-2013	08-03-2021	Pledge Release	2,30,15,200 Equity Shares, 24.22% of shareholding	NA	NA	NA	NA	NA	NA
	4,60,30,400 Equity Shares, 20.81% of shareholding	31,79,500 Equity Shares	12-02-2014	08-03-2021	Pledge Release	4,60,30,400 Equity Shares, 20.81% of shareholding	NA	NA	NA	NA	NA	NA
	4,60,30,400 Equity Shares, 20.81% of shareholding	23,50,000 Equity Shares	27-12-2014	08-03-2021	Pledge Creation	4,60,30,400 Equity Shares, 20.81% of shareholding	NA	NA	NA	NA	NA	NA
	4,60,30,400 Equity Shares, 20.81% of shareholding	13,80,000 Equity Shares	27-12-2014	08-03-2021	Pledge Creation	4,60,30,400 Equity Shares, 20.81% of shareholding	NA	NA	NA	NA	NA	NA
	4,60,30,400 Equity Shares, 20.81% of shareholding	23,50,000 Equity Shares	31-12-2014	08-03-2021	Pledge Release	4,60,30,400 Equity Shares, 20.81% of shareholding	NA	NA	NA	NA	NA	NA

For DAVE IMPEX PVT. LTD.


Authorised Signatory / Director

SWAN REALTORS PRIVATE LIMITED

SAHAJANAND COMPOUND, ACHARYA DONDE MARG, SEWREE, MUMBAI- 400015

CIN: U70100MH1988PTC046799

8th March, 2021

To,
Swan Energy Limited,
6, Feltham House, 2nd Floor,
10 J. N. Heredia Marg,
Ballard Estate,
Mumbai - 400 001

Dear Sir/ Madam,

Sub: Disclosures of Pledge Regulation 13(3) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

With reference to the above, please find the enclosed details of the Pledges created and released by the Company for the period from 28th March, 2013 to 18th November, 2014.

Please acknowledge receipt of the same.

Thanking You,

Yours Faithfully,

For SWAN REALTORS PRIVATE LIMITED



Director/ Authorized Signatory

Encl: As above



FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992[Regulation 13(3) and (6)]

Regulation 13(3) – Details of change in shareholding in respect of persons holding more than 5% shares in a listed company

Name, PAN No. & address of shareholders	Shareholding prior to acquisition/ sale	No. of shares/voting rights acquired/ sold	Receipt of allotment advice/ acquisition of shares/ sale of shares specify	Date of intimation to company	Mode of acquisition (market purchase/ public/ rights/ preferential offer etc.)	No. & % of shares/ voting rights post-acquisition/sale	Trading member through whom the trade was executed with SEBI Registration No. of the TM	Exchange on which the trade was executed	Buy quantity	Buy value	Sell quantity	Sell value
Name: SWAN REALTORS PRIVATE LIMITED Add: SAHAJANAND COMPOUND, ACHARYA DONDE MARG, SEWREE, MUMBAI - 400015	2,07,94,500 Equity Shares, 21.89% of shareholding	70,00,000 Equity Shares	28-03-2013	08-03-2021	Pledge Creation	2,07,94,500 Equity Shares, 21.89% of shareholding	NA	NA	NA	NA	NA	NA
	2,07,94,500 Equity Shares, 21.89% of shareholding	4,29,700 Equity Shares	01-07-2013	08-03-2021	Pledge Release	2,07,94,500 Equity Shares, 21.89% of shareholding	NA	NA	NA	NA	NA	NA
	2,07,94,500 Equity Shares, 21.89% of shareholding	7,53,919 Equity Shares	30-08-2013	08-03-2021	Pledge Creation	2,07,94,500 Equity Shares, 21.89% of shareholding	NA	NA	NA	NA	NA	NA
	4,15,89,000 Equity Shares, 18.80% of shareholding	1,46,48,438 Equity Shares	17-11-2014	08-03-2021	Pledge Release	4,15,89,000 Equity Shares, 18.80% of shareholding	NA	NA	NA	NA	NA	NA
	4,15,89,000 Equity Shares, 18.80% of shareholding	1,55,10,000 Equity Shares	17-11-2014	08-03-2021	Pledge Creation	4,15,89,000 Equity Shares, 18.80% of shareholding	NA	NA	NA	NA	NA	NA
	4,15,89,000 Equity Shares, 18.80% of shareholding	20,000 Equity Shares	18-11-2014	08-03-2021	Pledge Creation	4,15,89,000 Equity Shares, 18.80% of shareholding	NA	NA	NA	NA	NA	NA

FOR SWAN REALTORS PVT. LTD.



Authorised Signatory / Director

SWAN ENGITECH WORKS PRIVATE LIMITED

ACHARYA DONDE MARG, SEWREE WEST, MUMBAI - 400015

CIN: U28920MH1955PTC009526

8th March, 2021

To,
Swan Energy Limited,
6, Feltham House, 2nd Floor,
10 J. N. Heredia Marg,
Ballard Estate,
Mumbai - 400 001

Dear Sir/ Madam,

Sub: Disclosures of Pledge Regulation 13(3) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

With reference to the above, please find the enclosed details of the Pledges created and released by the Company for the period from 28th March, 2013 to 4th February, 2015.

Please acknowledge receipt of the same.

Thanking You,

Yours Faithfully,

For SWAN ENGITECH WORKS PRIVATE LIMITED



Director/ Authorized Signatory

Encl: As above



FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992[Regulation 13(3) and (6)]

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Name: SWAN ENGITECH WORKS PRIVATE LIMITED Add: ACHARYA DONDE MARG, SEWREE, MUMBAI - 400015	1,92,01,429 Equity Shares, 20.21% of shareholding	60,00,000 Equity Shares	28-03-2013	08-03-2021	Pledge Creation	1,92,01,429 Equity Shares, 20.21% of shareholding	NA	NA	NA	NA	NA	NA
	1,92,01,429 Equity Shares, 20.21% of shareholding	16,19,800 Equity Shares	01-07-2013	08-03-2021	Pledge Release	1,92,01,429 Equity Shares, 20.21% of shareholding	NA	NA	NA	NA	NA	NA
	1,92,01,429 Equity Shares, 20.21% of shareholding	5,02,613 Equity Shares	30-08-2013	08-03-2021	Pledge Creation	1,92,01,429 Equity Shares, 20.21% of shareholding	NA	NA	NA	NA	NA	NA
	3,84,02,858 Equity Shares, 17.36% of shareholding	96,08,566 Equity Shares	18-11-2014	08-03-2021	Pledge Release	3,84,02,858 Equity Shares, 17.36% of shareholding	NA	NA	NA	NA	NA	NA
	3,84,02,858 Equity Shares, 17.36% of shareholding	1,57,060 Equity Shares	04-02-2015	08-03-2021	Pledge Release	3,84,02,858 Equity Shares, 17.36% of shareholding	NA	NA	NA	NA	NA	NA

FOR SWAN ENGITECH WORKS PVT. LTD.

Authorized Signatory
 Authorised Signatory / Director