



# Swan Energy Limited

(Formerly Swan Mills Limited)

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CIN. L17100MH1909PLC000294

**March 08, 2025**

**BSE Limited (BSE)**

Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

**BSE Scrip Code: 503310**

**National Stock Exchange of India Limited (NSE)**

Exchange Plaza, Plot No. C/1,  
G Block, Bandra-Kurla Complex,  
Bandra (East), Mumbai - 400 051

**NSE Symbol: SWANENERGY**

**Subject: Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”): Administrative warning letter issued by Deputy General Manager of SEBI**

Dear Madam/ Sir,

Pursuant to the provisions of Regulation 30 read with sub-para 20 of Para A of Part A of Schedule III of the Listing Regulations, we hereby submit the following:

Name of the authority(s)	Deputy General Manager, SEBI
Nature and details of the action(s) taken or order(s) passed	The Company has received an administrative warning letter from Deputy General Manager of SEBI for violation of SEBI (Prohibition of Insider Trading) Regulations, 2015 (‘PIT Regulations’) for the below mentioned persons: 1. The erstwhile Company Secretary and Compliance Officer of the Company 2. Erstwhile Designated Person of the Company 3. Immediate Relative of the Designated Person
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Letter received on March 07, 2025
Details of the violation(s)/ contravention(s) committed or alleged to be committed	1. Erstwhile Compliance Officer of the company was found to have violated the provisions of Regulation 9(3) read with 2(1)(c) of PIT Regulations. 2. Violation of PIT Regulations (contra-trade) by the designated persons of the Company.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact on financials, operations, or other activities of the Company on account of above.

This is for your information and record.

Thanking you,

**For Swan Energy Limited**

**Deepesh Kedia**  
Company Secretary