

**Ref. No.: AUSFB/SEC/2026-27/89**

**Date: May 27, 2026**

To,

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
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Dear Sir/Madam,

**Sub: Newspaper Advertisement for notice to shareholders regarding proposed transfer of unclaimed dividend and equity shares to Investor Education and Protection Fund (IEPF) Account**

**Ref: Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

We submit herewith the copies of newspaper advertisement published by the Bank in all India edition of Financial Express in English and in Jaipur Edition of Nafa Nuksan in Hindi on May 27, 2026 for notice to the shareholders regarding proposed transfer of unclaimed dividend and equity shares to Investor Education and Protection Fund (IEPF) Account, in accordance with the requirements of Section 124(6) of the Companies Act, 2013 read with IEPF Authority (Accounting, Audit, Transfer and Refund) Rules, 2016.

Shareholders of the Bank are hereby informed that the Final Dividend declared for the FY 2018-19, which remained unclaimed for a period of seven years, will be credited to the IEPF on or before September 27, 2026. The corresponding shares on which dividends were unclaimed for seven consecutive years will also be transferred as per the provisions of the Companies Act, 2013 and rules made thereunder. All such shareholders are requested to make an application to the Bank on or before August 28, 2026, for claiming the unclaimed/unpaid dividend of FY 2018-19 so that their shares are not transferred to IEPF.

The above newspaper publication may also be accessed on the website of the Bank at the link-  
<https://www.au.bank.in/stock-exchange-disclosures>.

This is for your information and records.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**

**Encl: As Above**

**Registered Office**  
**AU SMALL FINANCE BANK LIMITED**  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
Phone: +91 141 4110060/61, Fax: +91 141 4110090  
CIN: L36911RJ1996PLC011381

[investorrelations@aubank.in](mailto:investorrelations@aubank.in)

[www.au.bank.in](http://www.au.bank.in)

 <h2 style="text-align: center;">AU SMALL FINANCE BANK LIMITED</h2> <p style="text-align: center;">CIN: L36911RJ1996PLC011381                  Registered Office: 19-A, Dhuleshwar Garden, Ajmer Road, Jaipur – 302001                  Corporate Office: 5th Floor, E-Wing, Kanakia Zillion, Junction of CST and LBS Road, Kuria (West), Mumbai – 400070                  Tel: +91-141 4110060/61   E-mail: investorrelations@aubank.in   Website: www.au.bank.in</p>	
<b>NOTICE TO THE SHAREHOLDERS</b> <b>Transfer of shares to the Investor Education and Protection Fund (IEPF) Account</b>	
<p>Pursuant to the provisions of Section 124(6) of the Companies Act, 2013 read with Investor Education and Protection Fund (IEPF) Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the Rules"), as amended, the Bank is required to transfer the shares, in respect of which the dividend remains unpaid or unclaimed for a period of seven consecutive years, to the IEPF Account established by the Central Government.</p> <p>In view of the above, Final Dividend declared for the FY 2018-19, which remained unclaimed for a period of seven years will be credited to the IEPF on or before September 27, 2026. The corresponding shares on which dividends were unclaimed for seven consecutive years will also be transferred as per the procedure set out in the Rules.</p> <p>In compliance with the Rules, a separate communication (emails / letters) has been sent to all the shareholders, who have not encashed the final dividend for the FY 2018-19 and whose shares are liable to be transferred to IEPF Account as per the said Rules.</p> <p><b>All such shareholders are requested to make an application to the Bank on or before August 28, 2026, for claiming the unclaimed/unpaid dividend of FY 2018-19 so that their shares are not transferred to IEPF.</b> In the event valid claim is not received on or before August 28, 2026, the Bank will proceed to transfer the unclaimed dividend and corresponding equity shares, wherever applicable, in favour of IEPF authority without any further notice.</p>	
Details of unclaimed dividend for the FY 2018-19 & subsequent years and a list of such shareholders whose shares are liable for transfer to the IEPF Account are available on the website of the Bank.	<p> <a href="https://sr.au.bank.in/unclaimed-share">https://sr.au.bank.in/unclaimed-share</a>  <a href="https://sr.au.bank.in/unclaimed-dividend">https://sr.au.bank.in/unclaimed-dividend</a> </p> 
<p>Please note that no claim shall lie against the Bank in respect of unclaimed dividend amount and shares transferred to IEPF pursuant to the said Rules. Once the dividend/shares are transferred, the Shareholders/Claimants can claim the transferred dividend/shares from the IEPF Authority by submitting filing e-form IEPF-5 available at <a href="https://www.mca.gov.in/">https://www.mca.gov.in/</a></p> <p>For any information/clarifications on this matter, the concerned Shareholders/Claimants may write to the RTA, MUFG Intime India Private Limited, C-101, Embassy 247, LBS Marg, Vikhroli West, Mumbai - 400 083, Telephone number: +91 – 8108116767, e-mail: investor.helpdesk@in.mpms.mufg.com or <a href="https://swayam.in.mpms.mufg.com">https://swayam.in.mpms.mufg.com</a> or to the Bank at investorrelations@aubank.in.</p>	
Date: May 26, 2026 Place: Jaipur	For AU Small Finance Bank Limited Sd/- <b>Manmohan Parnami</b> Company Secretary

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Jaipur - 302001, Rajasthan, India

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[www.au.bank.in](http://www.au.bank.in)



## एयू स्मॉल फाइनेंस बैंक लिमिटेड

CIN: L36911RJ1996PLC011381

पंजीकृत कार्यालय: 19-ए, धुलेश्वर गार्डन, अजमेर रोड, जयपुर - 302001

कॉर्पोरेट कार्यालय: 5वीं मजिल, ई-विंग, कनाकिया जिलियन, CST और LBS रोड जंक्शन, कुर्ला (पश्चिम), मुंबई - 400070

फोन: +91-141 4110060/61, ईमेल: investorrelations@aubank.in | वेबसाइट: www.au.bank.in

### शेयरधारकों के लिए सूचना शेयरों का IEPF खाते में स्थानान्तरण

कंपनी अधिनियम, 2013 की धारा 124 (6) तथा निवेशक शिक्षा और संरक्षण निधि (IEPF) प्राधिकरण (लेखा, अंकेक्षण, अंतरण और वापसी) नियम, 2016 ('नियम'), समय-समय पर संशोधित, के प्रावधानों के अनुसार, बैंक को उन शेयरों को, जिन पर सात लगातार वर्षों तक लाभांश का भुगतान नहीं हुआ है या जो अवितरित/अदावा रह गए हैं, केंद्र सरकार द्वारा स्थापित IEPF खाते में स्थानान्तरित करना अनिवार्य है।

उपरोक्त के अनुसार, वित्तीय वर्ष 2018-19 के लिए घोषित final dividend, जो सात वर्षों तक दावा नहीं किया गया है, को 27 सितंबर 2026 तक IEPF में स्थानान्तरित कर दिया जाएगा। उन शेयरों को भी नियमों के अनुसार स्थानान्तरित किया जाएगा जिन पर लगातार सात वर्षों तक लाभांश का दावा नहीं किया गया है।

नियमों के अनुपालन में, उन सभी शेयरधारकों को अलग से ईमेल/पत्र भेजे गए हैं जिन्होंने वित्तीय वर्ष 2018-19 का final dividend अब तक प्राप्त नहीं किया है और जिनके शेयर IEPF खाते में स्थानान्तरित किए जाने के लिए पात्र हैं।

ऐसे सभी शेयरधारकों से अनुरोध है कि वे 28 अगस्त 2026 तक बैंक को unclaimed dividend के लिए आवेदन प्रस्तुत करें ताकि उनके शेयर IEPF में स्थानान्तरित न हों। यदि 28 अगस्त 2026 तक कोई वैध दावा प्राप्त नहीं होता है, तो unclaimed dividend और संबंधित शेयरों को IEPF प्राधिकरण के पक्ष में बिना किसी अतिरिक्त सूचना के स्थानान्तरित कर देगा।

बैंक की वेबसाइट पर वित्तीय वर्ष 2018-19 और उसके बाद के वर्षों के लिए unclaimed dividend का विवरण और उन शेयरधारकों की सूची जिनके शेयर IEPF खाते में स्थानान्तरित किए जाने हैं, उपलब्ध है:

<https://sr.au.bank.in/unclaimed-share>  
<https://sr.au.bank.in/unclaimed-dividend>



कृपया ध्यान दें कि उपरोक्त नियमों के अनुसार IEPF में स्थानान्तरित dividend और शेयरों के संबंध में बैंक के विरुद्ध कोई दावा मान्य नहीं होगा। एक बार dividend/शेयर स्थानान्तरित हो जाने के बाद, संबंधित शेयरधारक/दावेदार IEPF प्राधिकरण की वेबसाइट [www.mca.gov.in](http://www.mca.gov.in) पर उपलब्ध ई-फॉर्म IEPF-5 भरकर अपना दावा प्रस्तुत कर सकते हैं।

इस विषय में किसी भी जानकारी/स्पष्टीकरण के लिए, संबंधित शेयरधारक/दावेदार एमयूएफजी इंस्टाइम इंडिया प्राइवेट लिमिटेड, C-101, एम्बेसी 247, एलबीएस मार्ग, विक्रोली (पश्चिम), मुंबई - 400083, फोन: +91 - 8108116767, ईमेल: investor.helpdesk@in.mpms.mufg.com या <https://swayam.in.mpms.mufg.com> या बैंक को investorrelations@aubank.in पर लिख सकते हैं।

दिनांक: 26 मई 2026

स्थान: जयपुर

एयू स्मॉल फाइनेंस बैंक लिमिटेड के लिए

Sd/-

मनमोहन परनामी

कंपनी सचिव

**Registered Office**
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