

**Ref. No.: AUSFB/SEC/2026-27/133**

**Date: July 08, 2026**

To,

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
--	--

Dear Sir/Madam,

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended June 30, 2026**

We hereby submit the confirmation certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, for the quarter ended June 30, 2026, received from MUFG Intime India Pvt. Ltd., Registrar and Share Transfer Agent of the Bank.

This is for your information and records.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**

**Encl: As above**

**CC:**

<b>Central Depository Services (India) Limited</b> Marathon Future, Unit No. 2501, 25 <sup>th</sup> Floor, A-Wing, Mafatlal Mills Compound N. M. Joshi Marg, Lower Parel, Mumbai 400013, Maharashtra	<b>National Securities Depository Limited</b> Trade World, 4 <sup>th</sup> Floor, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai 400013, Maharashtra
---	--

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381

[investorrelations@aubank.in](mailto:investorrelations@aubank.in)

[www.au.bank.in](http://www.au.bank.in)



**MUFG Intime India Private Limited**  
(Formerly Link Intime India Private Limited)

**CIN: U67190MH1999PTC118368**

**Registered Address:**

C-101, Embassy 247, L.B.S. Marg,  
Vikhroli (West), Mumbai – 400083.

**Tel: +91 22 4918 6000**

**www.in.mpms.mufig.com**

7 July 2026

To,

The Compliance Officer/ Company Secretary

AU SMALL FINANCE BANK LIMITED

19-A DHULESHWAR GARDEN

AJMER ROAD

JAIPUR

PINCODE: 302001

Dear Sir/Madam,

**Subject: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th June 2026, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Note: We have not received and processed any demat / remat request for the quarter ended 30th June 2026, this certificate is issued on the request of the company for compliance purpose.

Thanking You,

Yours faithfully,  
For MUFG Intime India Private Limited  
(Formerly Link Intime India Private Limited)

Ashok Shetty  
Sr. Vice President – Corporate Registry