

**Report by Sumitomo Chemical India Limited for violations  
related to Code of Conduct under SEBI (Prohibition of Insider Trading)  
Regulations, 2015**

*[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of  
Insider Trading) Regulations, 2015*

*For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI  
(Prohibition of Insider Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Sumitomo Chemical India Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Ravi Bhatia
	ii. PAN of the DP	AHZPB6857G
	iii. Designation of DP	Vice President – International Business
	iv. Functional Role of DP	International Business
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	Mrs. Jayna Ravi Bhatia
	ii. PAN of the immediate relative of DP	AEKPB6694K
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	Sumitomo Chemical India Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	300 shares were acquired on 2 <sup>nd</sup> August, 2022 for ₹134,275 and 100 shares were sold on 8 <sup>th</sup> August, 2022 at ₹47,427.00
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter</b>	
	i. Date of intimation of trade(s) by concerned	N.A.
	ii. DP/director/promoter/promoter group to Company	
4	Details of violations observed under Code of Conduct	Mrs. Jayna Bhatia had purchased 300 shares of the Company on 2 <sup>nd</sup> August, 2022 when Trading Window was closed. She sold 100 shares within a period of six





# SUMITOMO CHEMICAL INDIA LTD.

Corporate Identity Number (CIN) - L24110MH2000PLC124224

Corporate Office: 13/14, Aradhana IDC,  
Near Virwani Industrial Estate, Goregaon (East),  
Mumbai - 400 063.  
Tel. : +91-22-4252 2200 / Fax : +91-22-4252 2380  
URL <http://www.sumichem-india.co.in>

		months of the purchase of 300 shares. The Code of Conduct provides that a Designated Person/his Immediate Relative cannot enter into opposite transaction within six months of a prior transaction.												
5	Action taken by Listed company/ Intermediary/ Fiduciary	Penalty of ₹39,009/- (including the amount of profits earned on sale of shares) has been imposed on Mr. Ravi Bhatia.												
6	Reasons recorded in writing for taking action stated above	Reasons stated in para 4 above												
7	Details of the previous instances of violations, if any, since	None												
8	If any amount collected for Code of Conduct violation(s)	The Company has recovered ₹39,009/- from Mr. Ravi Bhatia.												
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)													
	ii. Details of transfer/payment													
	In case of Online:													
	<table border="1"> <thead> <tr> <th>Particulars Details</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Sumitomo Chemical India Limited</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>HDFC Bank Fort Branch 57500000136848</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>N248222106061671</td> </tr> <tr> <td>Transaction date</td> <td>05/09/2022</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs. 39,009.00</td> </tr> </tbody> </table>	Particulars Details	Details	Name of the transferor	Sumitomo Chemical India Limited	Bank Name, branch and Account number	HDFC Bank Fort Branch 57500000136848	UTR/Transaction reference Number	N248222106061671	Transaction date	05/09/2022	Transaction Amount (in Rs.)	Rs. 39,009.00	
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Transaction date	05/09/2022													
Transaction Amount (in Rs.)	Rs. 39,009.00													
	In case of Demand Draft (DD): NA													
9	Any other relevant information	--												

Yours faithfully,

Pravin D. Desai  
Vice President and Company Secretary  
Email ID: [pravin.desai@sumichem.co.in](mailto:pravin.desai@sumichem.co.in)



Date: 06/09/2022  
Place: Mumbai