

26.04.2021

To,  
The Manager,  
Listing Department,  
National Stock Exchange of India Ltd,  
Exchange Plaza, C-1, Block-G,  
Bandra-Kurla Complex,  
Bandra (E), Mumbai - 400 051,  
Ph: No: 022-26598100  
Scrip Code: GEOJITFSL - EQ

To,  
The Manager,  
Listing Department,  
The BSE Limited,  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai - 400 001,  
Ph No: 022-22721233  
Scrip Code: 532285

Dear Sir/Madam,

**Sub: Share Transfer Audit Report**

Please find enclosed herewith the Share Transfer Audit Report for the half year ended 31<sup>st</sup> March, 2021 as required under Regulation 40(9) and Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,  
Yours faithfully,

**For Geojit Financial Services Ltd**

**Liju K Johnson**  
**Company Secretary**

SATHEESH AND REMESH  
COMPANY SECRETARIES



Bldg. No. 55/1682, 2<sup>nd</sup> Floor,  
Krishna Apartments, M G Road,  
Ravipuram, Ernakulam, Kochi-16  
Mobile: 9447431347/9746094939  
Email: sathveeka001@gmail.com  
GSTIN. 32ABLFS2799A1Z4

TO WHOMSOEVER IT MAY CONCERN

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to Geojit Financial Services Limited maintained by its Registrar and Share Transfer Agents, S.K.D.C CONSULTANTS LIMITED pertaining to transfer of equity shares of the company for the period from 01st October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board Of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company.

We hereby certify that all the demat requests received during the period in respect of equity shares of M/s. Geojit Financial Services Ltd. were processed within 15 days and there are no pending documents for more than 15 days.

There were no Transfer, Transmission, Transposition, Deletion of holder's name, attainment of majority, change in name, remat, issue of duplicate, consolidation and split of share certificates requests were received during the half-year ended on 31<sup>st</sup> March, 2021.

Place : Kochi  
Date : 26.04.2021

For Satheesh and Remesh  
Company Secretaries

N. Satheesh Kumar  
Partner

C P No.6607

UDIN: A016543C000176505

