South West Pinnacle

ISO 9001 : 2015 Certified Company

South West Pinnacle Exploration Limited
(formerly known as South West Pinnacle Exploration Pvt. Ltd.)
CIN No.: U13203HR2006PLC049480
Regd. & Corp. Office:
"Sidhartha House"
4th Floor, Plot No - 6, Sector-44,
Gurugram-122003
Haryana, INDIA
(T) :+ 91 124 4235400/01/03
(F): + 91 124 4235402
(E): info@southwestpinnacle.com
(W): www.southwestpinnacle.com

Date: 30/08/2018

To,
Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051

Scrip Code: SOUTHWEST SERIES: SM

Subject: Disclosure pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir,

This is to inform you that our company has received disclosures in Form C under Regulation 7 (2) (a) of SEBI (Prohibition of Insider Trading) Regulations, 2015 dated 30/08/2018 from Mr. Vikas Jain, Promoter & Managing Director of the Company and Mr. Piyush Jain, Promoter & Joint Managing Director of the Company, for market purchase of equity shares of the company by them.

Therefore, pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015,please find enclosed herewith the copy of above said disclosures.

This is for your information and record.

Thanking you,
Yours faithfully,
For South West Pinnacle Exploration Limited

Arjun Sharma
Arjun Sharma
Company Secretary & Compliance Officer

South West Pinnacle Exploration Limited

Date: 30/08/2018

To,
The Compliance Officer
South West Pinnacle Exploration Limited
Siddhartha House, 4th floor,
Plot No.6, Sector-44, Gurgaon HR 122003

Scrip Code: SOUTHWEST Series: SM

Subject: Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir,

I wish to inform you that I have acquired 73,600 equity shares of South West Pinnacle Exploration Limited on 29/08/2018 being 0.5275% of total paid up equity share capital of the Company.

In accordance with the provisions of Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,
Yours faithfully,

Vikas Jain
Promoter & Managing Director
DIN: 00049217

FORM C

SEBI (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7(2) read with Regulation 6(2) - Continual Disclosure]

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED

ISIN of the Company : INE980Y01015

Details of Change in holding of Securities of Promoter , Employee or Director of a listed company and other such persons as mentioned In Regulation 6(2)

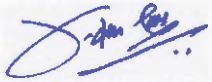
Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoters/KMP/ Directors/immediate relative to/others etc.)	Securities held prior to acquisition		Securities acquired				Securities held post acquisition		Date of acquisition of shares		Date of intimation to company	Mode of acquisition (on market/public/rights/preferential offer/ off market /Inter-se transfer, ESOPs etc.)
		Type of security(For eg. - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	Type of security (For eg.- Shares, Warrants, Convertible Debentures etc.)	No.	Value (In Rs.)	Transaction Type (Buy/Sale/Pledge/Revoke/Invoke)	Type of security (For eg.- Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	From	to		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Mr. Vikas Jain, PAN No: ACUPJ1235E , DIN: 00049217, Address: A-4 , Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	Promoter & Managing Director	Shares	No. of shares is 38,24,400 and percentage of shares is 27.41%	Shares	73,600	48,59,072	Buy	Shares	No. of shares is 38,98,000 and percentage of shares is 27.94%	29/08/2018	29/08/2018	30/08/2018	On market

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Details of trading in derivatives of the company by Promoter, Employee or Director of a Listed company and other such persons as mentioned in Regulation 6 (2)

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of Contract	Contract Specifications	Buy		Sell		
		Notional Value	Number of units (contacts * lot size)	Notional Value	Number of units (contacts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Signature : 

Designation : Promoter & Managing Director
(VIKAS JAIN)

Date : 30/08/2018
Place : Gurugram

Date: 30/08/2018

To,
The Compliance Officer
South West Pinnacle Exploration Limited
Siddhartha House, 4th floor,
Plot No.6, Sector-44, Gurgaon HR **122003**

Scrip Code: **SOUTHWEST Series: SM**

Subject : Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir,

I wish to inform you that I have acquired 48,000 equity shares of South West Pinnacle Exploration Limited on 29/08/2018 being 0.3440% of total paid up equity share capital of the Company.

In accordance with the provisions of Regulation 7 (2) (a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,
Yours faithfully,

Piyush Jain
Promoter & Joint Managing Director
DIN: 00049319

FORM C

SEBI (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7(2) read with Regulation 6(2) - Continual Disclosure]

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED
ISIN of the Company : INE980Y01015

Details of Change in holding of Securities of Promoter , Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoters/ KMP/Directors/immediate relative to/others etc.)	Securities held prior to acquisition		Securities acquired				Securities held post acquisition		Date of acquisition of shares		Date of intimation to company	Mode of acquisition (on market/public/rights/preferential offer/ off market /Inter-se transfer, ESOPs etc.)
		Type of security(For eg. - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	Type of security (For eg.- Shares, Warrants, Convertible Debentures etc.)	No.	Value (In Rs.)	Transaction Type (Buy/Sale/Pledge/Revoke/Invoke)	Type of security (For eg.- Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	From	to		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Mr. Piyush Jain, PAN No: ADIPJ8400G , DIN: 00049319 Address -A-4 , Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	Promoter & Joint Managing Director	Shares	No. of shares is 23,04,400 and percentage of shares is 16.52%	Shares	48,000	32,14,080	Buy	Shares	No. of shares is 23,52,400 and percentage of shares is 16.86%	29/08/2018	29/08/2018	30/08/2018	On market

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Details of trading in derivatives of the company by Promoter, Employee or Director of a Listed company and other such persons as mentioned in Regulation 6 (2)

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of Contract	Contract Specifications	Buy		Sell		
		Notional Value	Number of units (contacts * lot size)	Notional Value	Number of units (contacts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Signature :

Designation : Promoter & Joint Managing Director
(PIYUSH JAIN)

Date : 30/08/2018
Place : Gurugram