

South West Pinnacle

ISO 9001 : 2015 Certified Company

South West Pinnacle Exploration Limited

(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

Regd. & Corp. Office:

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

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(W) : www.southwestpinnacle.com

Date: 11th July, 2019

To,

Listing Department
National Stock Exchange of India Limited
Plot No. C/1, G - Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai - 400 051

Symbol: SOUTHWEST

Sub: Disclosure of Trading Plan pursuant to regulation 5(5) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Respected Sir/ Madam,

This is to inform you that our company has received the Trading Plan under Regulation 5(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015 dated 11th July, 2019 From Mr. Vikas Jain, Promoter and Chairman & Managing Director of the Company, for market purchase of equity shares of the company by himself and other promoter/promoter group. The said Trading plan shall commence from **12th January, 2020 to 11th January, 2021.**

Therefore, pursuant to Regulation 5(5) of SEBI (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the copy of above said trading plan which was duly approved by compliance officer of the company on 11th July, 2019

This is for your information and record.

Thanking you,
Yours faithfully,

For South West Pinnacle Exploration Limited

Vaishali
Vaishali

Company Secretary & Compliance Officer



Request for Trading Plan

To,

The Compliance Officer,
South West Pinnacle Exploration Limited
Sidhartha House, 4th Floor, Plot No.6,
Sector-44, Gurgaon-122003

Sub: Trading Plan under Regulation 5 of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Madam,

Pursuant to regulation 5 of SEBI (Prohibition of Insider Trading) Regulations, 2015, I Vikas Jain, Chairman & Managing Director and Promoter of the company hereby submit the Trading plan on behalf of promoters and promoter group to acquire 10,00,000 (Ten Lac) Equity Shares of the company as per detailed furnished hereunder:-

TRADING PLAN

S.No	Details of Trading Member	Category	Period of Proposed Trades	No. of Securities to be traded	Nature of Transaction
1.	Mr. Vikas Jain	Promoter and Chairman & Managing Director	12 th January, 2020	10,00,000 (Ten Lac) Equity Shares	Purchase/Acquisition of Equity shares of the Company
2.	Mr. Piyush Jain	Promoter and Joint Managing Director	TO 11 th January, 2021		

We hereby undertake that during the trading Period specified above, we will not trade during:-

- (a) During the cool off period commence from 11th July, 2019 to 11th January 2020.
- (b) The periods between the twentieth trading day prior to the last day of any financial period for which financial results are required to be announced by the company and upto the 48 hours after the declaration of Financial results by the Company and submitted to National Stock Exchange.
- (c) Any other period during which the trading window is closed as informed by the Compliance Officer time to time.

Further, we will be abide by the trading plan and conditions thereof as specified in Regulation 5 of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Date: 11TH July, 2019
Place: Gurgaon



Vikas Jain
(Signature of Promoter)