

South West Pinnacle

ISO 9001 : 2015 Certified Company

South West Pinnacle Exploration Limited

(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

Regd. & Corp. Office:

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) : + 91 124 4235400/01/03

(F) : + 91 124 4235402

(E) : info@southwestpinnacle.com

(W): www.southwestpinnacle.com

Date: 03/06/2021

To,

The Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051

Symbol: SOUTHWEST

Subject: Disclosure pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir/Madam,

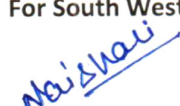
This is to inform you that our company have received disclosures in Form C under Regulation 7 (2) (a) of SEBI (Prohibition of Insider Trading) Regulations, 2015 dated 02/06/2021 from Mr. Vikas Jain & Mr. Piyush Jain, promoters and Gajraj Jain HUF member of promoter group of the Company, for Inter-se transfer of shares among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group.

Therefore, pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the copy of above said disclosures.

This is for your information and record.

Thanking you,
Yours faithfully,

For South West Pinnacle Exploration Limited


Vaishali

Company Secretary & Compliance Officer



Encl: as above

Date: 02/06/2021

To,

The Compliance Officer
South West Pinnacle Exploration Limited
Siddhartha House, 4th floor,
Plot No.6, Sector-44, Gurgaon 122003

Symbol: SOUTHWEST

Subject: Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015

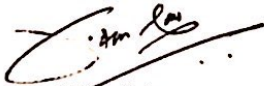
Dear Madam,

I wish to inform you that I have acquired 27,60,000 equity shares being 9.894% of total paid up equity share capital of South West Pinnacle Exploration Limited on 31.05.2021 through Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group.

In accordance with the provisions of Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,
Yours faithfully,



Vikas Jain
Promoter & Managing Director
DIN: 00049217

FORM C
SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7(2) read with Regulation 6(2) - Continual Disclosure]

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED
ISIN of the Company : INE980Y01015

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 8(2).

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Director/s/immediate relative to/others etc.)	Securities held prior to acquisition		Securities acquired				Securities held post acquisition		Date of allotment advice/acquisition of shares/disposal of shares, specify		Date of Intimation to company	Mode of acquisition (on market/public/rights/preferential offer/off market/inter-se transfer, ESOPs etc.)	Exchange on which the trade was executed
		Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No and % of shareholding	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No.	Value (In Rs.)	Transaction Type (Purchase/sale Pledge / Revocation / Invocation/ Others please specify)	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No and % of shareholding	From	to			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Mr. Vikas Jain, PAN No: ACUPJ1235E, DIN: 00049217 Address -A-4, Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	Promoter and Chairman & Managing Director	Equity Shares	No. of shares is 92,58,539 and percentage of shares is 33.18%	Equity Shares	27,60,000	NIL (Off Market Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group))	Acquisition (by way of Inter se Transfer)	Equity Shares	No. of shares is 1,20,18,539 and percentage of shares is 43.07%	31-05-2021	31-05-2021	02-06-2021	Off Market Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group	National Stock Exchange

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015



Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2)

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of Contract	Contract Specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Signature : 
Designation : Promoter and Chairman & Managing Director
(VIKAS JAIN)

Date : 02-06-2021
Place : Gurgaon

Date: 02/06/2021

To,

The Compliance Officer
South West Pinnacle Exploration Limited
Siddhartha House, 4th floor,
Plot No.6, Sector-44, Gurgaon 122003

Symbol: SOUTHWEST

Subject: Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Madam,

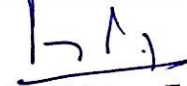
I wish to inform you that I have acquired 18,40,000 equity shares being 6.596% of total paid up equity share capital of South West Pinnacle Exploration Limited on 31.05.2021 through Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group

In accordance with the provisions of Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,

Yours faithfully,



Piyush Jain
Promoter & Joint Managing Director
DIN: 00049319

FORM C
SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7(2) read with Regulation 6(2) - Continual Disclosure]

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED
ISIN of the Company : INES80YD1015

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Director relative to others etc.)	Securities held prior to acquisition		Securities acquired				Securities held post acquisition		Date of allotment advice/acquisition of shares/disposal of shares, specify		Date of intimation to company	Mode of acquisition (on market/public/rights/preferential offer/off market/inter-se transfer, ESOPs etc.)	Exchange on which the trade was executed
		Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No and % of shareholding	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No.	Value (In Rs.)	Transaction Type (Purchase/sale/Pledge/Revocation/Invocation/Others please specify)	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No and % of shareholding	From	to			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Mr. Piyush Jain, PAN No: ADIPJ8400G, DIN: 00049319 Address -A-4, Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	Promoter & Joint Managing Director	Equity Shares	No. of shares is 51,79,861 and percentage of shares is 18.56%	Equity Shares	18,40,000	NIL (Off Market Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group)	Acquisition (by way of Inter se Transfer)	Equity Shares	No. of shares is 70,19,861 and percentage of shares is 25.15%	31-05-2021	31-05-2021	02-06-2021	Off Market Inter-se transfer among Promoters/Promote r Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group	National Stock Exchange

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015

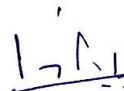
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Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and Immediate relatives of such persons and other such persons as mentioned in Regulation 6(2)

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of Contract	Contract Specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Signature :



Designation : Promoter & Jt. Managing Director
(PIYUSH JAIN)

Date : 02-06-2021

Place : Gurgaon

Date: 02/06/2021

To,

The Compliance Officer
South West Pinnacle Exploration Limited
Siddhartha House, 4th floor,
Plot No.6, Sector-44, Gurgaon 122003

Symbol: SOUTHWEST

Subject: Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015

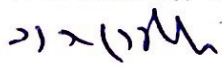
Dear Madam,

I wish to inform you that I have disposed/transferred 46,00,000 equity shares being 16.49% of total paid up equity share capital of South West Pinnacle Exploration Limited on 31.05.2021 through Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group.

In accordance with the provisions of Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,

Yours faithfully,  OF GAJRAJ JAIN HUF

Gajraj Jain HUF
Member of Promoter Group

FORM C
SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7(2) read with Regulation 6(2) - Continual Disclosure]

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED
ISIN of the Company : INE980Y01015

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and Immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Director s/Immediate relative to/others etc.)	Securities held prior to acquisition/Disposal		Securities acquired/Disposed				Securities held post acquisition/Disposal		Date of allotment advice/ acquisition of shares/ disposal of shares, specify		Date of intimation to company	Mode of acquisition (on market/public/rights /preferential offer/ off market /Inter-se transfer, ESOPs etc.)	Exchange on which the trade was executed
		Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No and % of shareholding	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No.	Value (in Rs.)	Transaction Type (Purchase/sale/ Pledge / Revocation / Invocation/ Others please specify)	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No and % of shareholding	From	to			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Gajraj Jain HUF, PAN No: AABHG3653A, Address -A-4, Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	Member of Promoter Group	Equity Shares	No. of shares is 46,00,000 and percentage of shares is 16.49%	Equity Shares	46,00,000	NIL (Off Market Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group)	Disposal (by way of Inter se Transfer among promoter/promoter group)	Equity Shares	NIL(0.00%)	31-05-2021	31-05-2021	02-06-2021	Off Market Inter-se transfer among Promoters/Promoter Group by virtue of total partition and dissolution deed dated May 24, 2021 for partition and dissolution of Gajraj Jain HUF belonging to the promoter group	National Stock Exchange

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015

OF GAJRAJ JAIN HUF
21/06/21
KARTI

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and Immediate relatives of such persons and other such persons as mentioned in Regulation 6(2)

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of Contract	Contract Specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Signature :

Designation : Member of Promoter Group
(GAJRAJ JAIN HUF)

Date : 02-06-2021

Place : Gurgaon