

Date: 31/03/2025

<p>To, The Manager, Listing & Compliance, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001.</p> <p>Ref: Scrip Code - 540393</p>	<p>To, Listing Department, National Stock Exchange of India Limited, C-1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051</p> <p>Ref: Scrip Symbol - SMLT</p>
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Sub: Intimation regarding receipt of Caution Letter from NSE and BSE.

As per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR'), the listed entities are required to disclose the schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of the intimation and the date of the meet/call). However, the schedule of analysts or institutional investors meet held on February 06, 2024 could not meet such timeline. The Company, in its email dated February 07, 2024, explained to the exchange that the said timeline could not be followed due to the delay in receiving the link, etc., from the service provider (who conducts our Conference Call) due to some technical issues faced by them.

Pursuant to the email communication received on 28th March, 2025, from BSE and NSE, the stock exchanges have asked the Company to disclose a copy of the Caution Letter received by the Company, wherein they have asked to exercise caution in the future to follow the said timelines.

The copy of the Caution Letter received from both the exchanges is attached herewith.

The above is for your information and dissemination.

**Thanking You,
Yours faithfully,
For, Sarthak Metals Limited**

**Pratik Jain
Company Secretary & Compliance Officer**



CS sarthak metals <cs@sarthakmetals.com>

Cautionary Letter

1 message

Query lodr <query.lodr@bseindia.com>
To: "cs@sarthakmetals.com" <cs@sarthakmetals.com>

Fri, Mar 28, 2025 at 4:25 PM

LIST/COMP/JP/1807/2024-25
2025

Date: March 28,

To,
Company Secretary /Compliance Officer
Sarthak Metals Ltd (540393)

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Sub: Cautionary letter

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on February 06, 2024, regarding the intimation of Schedule of Investors/ Analysts' Meet/ Call to be held on February 08, 2024

As per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR'), the listed entities are required to disclose the schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of the intimation and the date of the meet/call).

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of two working days in advance as per sub-para 15(a) of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary Letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this Cautionary Letter and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

Yours faithfully,

Listing Compliance Monitoring Team

BSE LimitedP J Towers, [Dalal Street](#),

[Mumbai - 400001, India](#)

www.bseindia.com

Tel: 022 2272 8561 / 8475

This mail is classified as '**CONFIDENTIAL**' by [chetan.ag](#) on March 28, 2025 at 16:25:39.

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CS sarthak metals <cs@sarthakmetals.com>

SMLT-Cautionary Email

1 message

neaps@nse.co.in <neaps@nse.co.in>
To: cs@sarthakmetals.com

Fri, Mar 28, 2025 at 4:40 PM

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on February 06, 2024 regarding the intimation of Schedule of Investors/ Analysts Meet/call to be held on February 08, 2024.

As per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR), the listed entities are required to disclose the schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of the intimation and the date of the meet).

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of two working days in advance as per sub-para 15(a) of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary email on the Stock Exchanges where they are listed.

Additionally, the Company is advised to place before their Board of Directors this Cautionary email and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

This is system generated email, you may reach out to the Announcements Team in case of any assistance at takeover@nse.co.in.