

Date:30thJuly, 2024

Listing Operations BSE Limited, P J Towers, Dalal Street, Mumbai-400001, India	Listing Department National Stock Exchange of India Limited, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400051
Scrip Code: 543263	Symbol: SMCGLOBAL

Subject: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/ Ma'am,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI Listing Regulations'), we wish to inform you of the following changes in Senior Management Personnel of the Company effective from 30th July, 2024:

1. Mr. Vikas Sethi, Senior Vice President has been designated as Chief Compliance Officer- Trading & Clearing and Senior Management Personnel of the Company
2. Mr. Ganesh Badhani, Assistant Vice President (InfoSec) has been designated as Chief Information Security Officer and Senior Management Personnel of the Company
3. Mrs. Aditi Aggarwal appointed as Head, Banking Relations & Trading Tools and designated as Senior Management Personnel of the Company, subject to approval of the shareholders of the Company.

We are enclosing herewith the brief details of the aforesaid change as prescribed under SEBI Listing Regulations read with SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, as **Annexure A**.

Kindly take the same on record.

Thanking You,

For SMC Global Securities Limited

Suman Kumar
E.V.P. (Corporate Affairs & Legal),
Company Secretary & General Counsel
Membership No. F5824

Annexure A

Details under amended Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read along with SEBI Circular No. SEBI/HO/CFD/CFDPoD-1/P/CIR/2023/123 dated July 13, 2023

1. Appointment of Mr. Vikas Sethi

S. NO.	Particulars	Details
1	Name	Mr. Vikas Sethi
2	Reason for Change viz. appointment, reappointment, resignation, removal, death or otherwise	Appointment as Chief Compliance Officer- Trading & Clearing and Senior Management Personnel of the Company
3	Date of Appointment /reappointment /cessation (as applicable) and term of appointment /reappointment	30 th July, 2024
4	Brief Profile (in case of appointment)	Mr. Sethi has over two decade of experience in Broking and Depository Business, prior to SMC Global Securities Limited, Mr. Sethi was associated with Religare Broking Limited as Sr. Vice president Compliance and Company Secretary, Mr. Sethi is Member of the Institute of the Company secretary of India (ICSI).
5	Disclosure of relationships between directors (in case of appointment of a director).	N/A

2. Appointment of Mr. Ganesh Badhani

S. NO.	Particulars	Details
1	Name	Mr. Ganesh Badhani
2	Reason for Change viz. appointment, reappointment, resignation, removal, death or otherwise	Appointment as Chief Information Security Officer and Senior Management Personnel in the Company
3	Date of Appointment /reappointment /cessation (as applicable) and term of appointment/reappointment	30 th July, 2024
4	Brief Profile (in case of appointment)	Serving as the Assistant Vice President (InfoSec) at SMC Global Securities Ltd, responsible for developing a forward-looking cybersecurity strategy that seamlessly aligns with the organization's long-term objectives, driving an integrated and proactive approach to information security. Proven in forming high-performance teams and orchestrating transformative cyber security solutions. Notable achievements include Cyber Security Culture Development, LAMA Implemented in-house, founding and leading an InfoSec team from inception, pioneering the implementation of Remote Access, WAF, and successfully implementing context-based information security risk management. Proficient in conducting information security implementation and internal audits, aligning with CERT-In, Information Technology Act, Exchanges, SEBI guidelines. Expertise in managing a comprehensive Security operations portfolio, including, Cloud Security, Endpoint Security, Network Security, and Data Security, ensuring a resilient defence against evolving threats.
5	Disclosure of relationships between directors (in case of appointment of a director).	N/A

3. Appointment of Mrs. Aditi Aggarwal

S. NO.	Particulars	Details
1	Name	Mrs. Aditi Aggarwal
2	Reason for Change viz. appointment, reappointment, resignation, removal, death or otherwise	Appointment as Head, Banking Relations and Trading Tools and Senior Management Personnel subject to approval of the shareholders of the Company.
3	Date of Appointment /reappointment /cessation (as applicable) and term of appointment /reappointment	From the date of approval of the shareholders
4	Brief Profile (in case of appointment)	Mrs. Aditi Aggarwal is a Chartered Financial Analyst (CFA) from the CFA Institute, USA, and a Chartered Accountant. She previously worked as Senior Vice President of Sales at our Company until 2012. Post-2012, she joined Sapien Capital Limited in London, UK, as Director, a position she held until June 2024. She is currently occupying the position of Director in Moneywise Finvest Limited and is proposed to be appointed as Head, Banking Relations and Trading Tools in SMC Global Securities Limited.
5	Disclosure of relationships between directors (in case of appointment of a director).	NA