

Date: 26th March, 2025

<p>Listing Operations BSE Limited, P J Towers, Dalal Street, Mumbai-400001, India</p> <p>Scrip Code: 543263 Debentures Scrip Code: 939639, 939655, 939657, 939643, 939651 and 939647</p>	<p>Listing Department National Stock Exchange of India Limited, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400051</p> <p>Symbol: SMCGLOBAL</p>
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Subject: Intimation under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI Listing Regulations') – Warning Letter issued by National Stock Exchange of India Limited ('NSE')

Dear Sir/Ma'am,

This is to inform you that, the company has received warning letter vide reference No. NSE/LIST/COMP/ SMCGLOBAL/01/2024-2025, regarding the disclosure of PAN of a Designated Person of the Company in its disclosure under SEBI (Prohibition of Insider Trading) Regulations on dated December 23, 2024. We enclosed herewith warning letter received from the National Stock Exchange of India.

This will also be hosted on the Company's website at www.smcindiaonline.com

This is for your information and record.

For SMC Global Securities Limited

Suman Kumar
E.V.P. (Corporate Affairs) & Company Secretary
Membership No. F5824

National Stock Exchange Of India Limited

Ref: NSE/LIST/COMP/ SMCGLOBAL/01/2024-2025

Date: March 20, 2025

To,
The Company Secretary
SMC Global Securities Limited ('the Company')
11/6B, Shanti Chamber, Pusa Road,
New Delhi – 110005.

Sub: Warning Letter

Dear Sir/Madam,

This is with reference to the corporate announcement submitted by the Company on December 23, 2024 where-in it was observed that the Company had mentioned PAN of a Designated Person of the Company in its disclosure under SEBI (Prohibition of Insider Trading) Regulations, 2015. The consequential effect on account of the disclosure submitted by the Company, resulted into violation of Exchange communications, advising the Company for not disclosing the PAN information in any disclosure/ announcements/ any other public document submitted to the Exchange.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be careful in future, exercise due caution and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the Exchange circulars/guidance/communications. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this warning letter on the Stock Exchanges where they are listed.

Yours faithfully,

For National Stock Exchange of India Limited

Dhaval Shah
Senior Manager – Listing Compliance