

Date: 09/09/2025

<b>Listing Operations</b> BSE Limited, P J Towers, Dalal Street, Mumbai-400001, India  Scrip Code: 543263 Debentures Scrip Code: 939657,940327, 940317, 940325, 939647, 940321, 940319, 939655, 939639, 939643,939651 and 940323	<b>Listing Department</b> National Stock Exchange of India Limited, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E ) Mumbai – 400051  Symbol: SMCGLOBAL
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**Subject: Disclosure under regulation 30 of SEBI (Listing Obligations & Disclosures Requirements) Regulations, 2015 with respect to the penalty levied by NSE.**

Dear Sir/ Ma'am,

Pursuant to Regulation 30 of SEBI (Listing Obligations & Disclosures Requirements) Regulations, 2015, as amended from time to time, we hereby inform that the Member Committee of NSE has levied a penalty of ₹21,30,878/- through its order dated September 09, 2025, on SMC Global Securities Limited in connection with certain trading activities observed in the equity derivatives segment. The matter relates to a close-out difference in reversal trades executed by some clients during the period of April 2023 – July 2023.

While a monetary penalty has been imposed, the issue is operational in nature and does not impact the company's financial stability, business continuity, or client services. The company has further strengthened its internal monitoring systems as part of ongoing compliance and system improvement measures.

SMC Global remains committed to upholding the highest standards of regulatory compliance and ensuring robust safeguards for all its stakeholders.

Kindly take the same on record.

This will also be hosted on the Company's website at [www.smcindiaonline.com](http://www.smcindiaonline.com)

This is for your information and record

**For SMC Global Securities Limited**

**Suman Kumar**  
**E.V.P. (Corporate Affairs & Legal),**  
**Company Secretary & General Counsel**  
**Membership No. F5824**