



Date: May 26, 2026

**To,
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, Plot No. C-1, G Block
Bandra-Kurla Complex,
Bandra (E), Mumbai - 400051**

**SYMBOL: SMARTEN
ISIN: INE14GK01016**

Dear Sir / Madam,

Subject: SDD Compliance Certificate for the Financial Year ended on March 31, 2026

Please find here with enclosed Compliance Certificate for Structured Digital Database (SDD) under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") read with NSE circular No: NSE/CML/31 dated October 18, 2024, received from CS Shubham Agarwal, Practising Company Secretary, proprietor of CSA and Associates, for the financial year ended on March 31, 2026.

You are requested to kindly take the above information on record.

**Yours Faithfully
For Smarten Power Systems Limited
(formerly known as Smarten Power Systems Private Limited)**

**Vandita Tripathi
Company Secretary & Compliance Officer**

SMARTEN POWER SYSTEMS LIMITED

(Formerly known as Smarten Power Systems Private Limited)

Reg Office: 374, 1st Floor Pace City-2, Sector-37, Gurgaon, Haryana, India, 122001

CIN: L31401HR2014PLC052897 **Phone:** +91 124 4720456

Email: info@smartenpowersystems.com

Website: www.smartenpowersystems.in



CS/A

CSA & Associates

Company Secretaries

COMPLIANCE CERTIFICATE FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2026

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Shubham Agarwal**, Practising Company Secretary, proprietor of CSA and Associates, appointed by Smarten Power Systems Limited (Formally known as “Smarten Power Systems Private Limited”), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. The Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous financial year have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 6 (Six) number of events during the previous financial year ended and has captured 6 (Six) number of the said required events. However, 2 entries were recorded beyond the prescribed timeline due to technical issues/operational constraints in the compliance software during the relevant period. The delay was inadvertent in nature and there was no loss, leakage, or misuse of UPSI. Further, the Company has since strengthened its internal compliance monitoring mechanism to avoid recurrence of such instances.

I would like to report that there were no non-compliance(s) observed in the previous financial year and thus no remedial action(s) were taken in this regard.

**For CSA & Associates
Company Secretaries**



**Shubham Agarwal
Proprietor**

M. No.: 73253; C.P. No.: 28088

UDIN: A073253H000466195

Peer Review Certificate No. 6922/2025

Place: New Delhi

Date: 25.05.2026

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