



**SIMBHAOLI
SUGARS**

Date: 24.10.2025

To,
The Manager - Listing
National Stock Exchange of India Limited, Exchange Plaza,
Bandra Kurla Complex,
Bandra- (East), Mumbai – 400 051

Department of Corporate Services
BSE Limited,
Corporate Relationship Dept.,
P. J. Towers, Dalal Street, Mumbai - 400 001

Scrip Code: NSE: SIMBHALS BSE: 539742

Sub: Submission of Certificate as per Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015

We are enclosing herewith, SDD Compliance Certificate with respect to Structural Digital Database maintained by the Company, received from PCS, as per the aforesaid Regulations, for the quarter ended on September 30, 2025.

The Certificate confirms all the compliance complied by the Company regarding SDD for the quarter ended on September 30, 2025.

Kindly take note of the same.

Thanking You,

Yours faithfully
For **Simbhaoli Sugars Limited**

(Anil Kumar)
Company Secretary and Compliance Officer
M. No. A13430

Simbhaoli Sugars Limited

(An FSSC 22000: version 6.1, ISO 900 ISO 9001 : 2015 & 14001 : 2015 Certified Company)

Registered Office : Simbhaoli, Distt. Hapur, Uttar Pradesh, 245207 | Tel : 0120-4132077

GSTIN: 09AAPCS7569A2ZU | PAN: AAPCS7569A | CIN: L15122UP2011PLC044210

E-mail : info@simbhaolisugars.com | www.simbhaolisugars.com

Specialty Sugars

Potable Alcohol

Ethanol

Power



**COMPLIANCE CERTIFICATE FOR THE SECOND QUARTER FROM JULY 2025
TO SEPTEMBER 2025**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)
Regulations, 2015)

I, **Chander Kant**, Proprietor of M/s Chander Kant & Associates, Company Secretaries appointed by **Simbhaoli Sugars Limited**, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify based on verification and information provided by the Company that:

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. All the UPSI disseminated in the previous quarter i.e. **July 2025 to September 2025** have been captured in the Database.
4. The system has captured nature of UPSI along with date and time.
5. The database has been maintained internally, and an audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.)

As per the information provided by the Company, the Company was required to capture two number of events during the quarter ended and has captured two numbers of the said required events.

Note: - Simbhaoli Sugars Limited (CIN: L15122UP2011PLC044210) is presently undergoing Corporate Insolvency resolution process (CIRP) with effect from July 11, 2024. The Hon'ble NCLT, Allahabad bench had appointed Mr. Anurag Goel, as IRP of the Company.



Consolidated Financial Results for the Financial Year 2024-25 and Financial Results for the quarter ended on June 30, 2025, under Regulation 33 of SEBI (LODR) Regulations, 2015, has not been filed by the Company.

The Company's Annual General Meeting has not been held by the company as company is under Corporate Insolvency Resolution Process (CIRP) pursuant to the order of the Hon'ble NCLT, Allahabad Bench dated July 11, 2024.

**For Chander Kant & Associates
Company Secretaries**




**CS Chander Kant
Proprietor
COP: 13821
MRN: F10570
UDIN: F010570G001638845**

Date: 24.10.2025
Place: Delhi