



SIMBHAOLI SUGARS

July 20, 2026

To,
National Stock Exchange of India Limited
Exchange Plaza,
Bandra – Kurla Complex,
Mumbai – 400051
Symbol: SIMBHALS

Department of Corporate Services,
Bombay Stock Exchange Limited
PhirozeJeejeebhoy Towers Dalal Street,
Mumbai – 400001
Scrip Code: 539742

**Sub: Submission of Structural Digital Database Certificate as per Regulation 3(5)
and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir/Madam

We are enclosing herewith, SDD Compliance Certificate with respect to Structural Digital Database maintained by the Company, received from PCS, as per the aforesaid Regulations, for the quarter ended on June 30, 2026.

The Certificate confirms all the compliance complied by the Company regarding SDD for the quarter ended on June 30, 2026.

Thanking you

Yours faithfully
For **SIMBHAOLI SUGARS LIMITED**

(Jagriti Sharma)
Company Secretary & Compliance Officer
M. No.: F11456

Encl. as above

Simbhaoli Sugars Limited is currently undergoing Corporate Insolvency Resolution Process pursuant to the provisions of the Insolvency and Bankruptcy Code, 2016 w.e.f. 11th July, 2024. Since, the powers of Board of Directors stands suspended pursuant to the initiation of CIR Process, vide order dated 11th July, 2024, the adjudicating authority appointed Mr. Anurag Goel (IBBI/IPA-001/IP-P-00876/2017-18/11460), Interim Resolution Professional (IRP) who is managing assets, management and carrying the functions mentioned under the Insolvency Bankruptcy Code. Currently, The Hon'ble NCLAT, vide its judgement dated 13th July 2026 vacated Stay. Copy of Order/Judgement available at www.simbhaolisugars.com.

Simbhaoli Sugars Limited

(An FSSC 22000: version 6.1, ISO 900 ISO 9001 : 2015 & 14001 : 2015 Certified Company)

Registered Office : Simbhaoli, Distt. Hapur, Uttar Pradesh, 245207 | Tel : 0120-4132077

GSTIN: 09AAPCS7569A2ZU | PAN: AAPCS7569A | CIN: L15122UP2011PLC044210

E-mail : info@simbhaolisugars.com | www.simbhaolisugars.com

Specialty Sugars

Potable Alcohol

Ethanol

Power



**COMPLIANCE CERTIFICATE FOR THE FIRST QUARTER FROM APRIL 2026 TO
JUNE 2026**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)
Regulations, 2015)

I, **Chander Kant**, Proprietor of M/s Chander Kant & Associates, Company Secretaries appointed by **Simbhaoli Sugars Limited**, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify based on verification and information provided by the Company that:

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. All the UPSI disseminated in the previous quarter i.e. **APRIL 2026 TO JUNE 2026** have been captured in the Database.
4. The system has captured nature of UPSI along with date and time.
5. The database has been maintained internally, and an audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

As per the information provided by the Company, the Company was required to capture one event during the quarter ended and has captured the required event.

I would like to report that the following non-compliance(s) were observed in the previous quarter or financial year ended and the remedial action(s) taken along with timelines in this regard:

1. **Late Submission of Consolidated Unaudited Financial Results for the Financial Year 2025-2026, for the quarter ended on 30th June 2026, 30th September 2026, 31 December 2026**
2. **Late submission of Consolidated Audited Financial Results for the Financial Year 2025-26, for quarter and year ended on 31st March 2026 under Regulation 33 of SEBI (LODR) Regulations, 2015,**
3. **Annual Secretarial Compliance Report under Regulation 24A SEBI (LODR) Regulations, 2015.**



4. The Annual General Meeting ("AGM") of the Company for the financial year 2024-25 has not been held, as the Company is undergoing the Corporate Insolvency Resolution Process ("CIRP") pursuant to the order dated July 11, 2024, passed by the Hon'ble National Company Law Tribunal, Allahabad Bench.

For Chander Kant & Associates
Company Secretaries



CS Chander Kant
Proprietor
COP: 13821
MRN: F10570
UDIN: F010570H000863796

Date: 17.07.2026
Place: Delhi

Note: - Simbhaoli Sugars Limited (CIN: L15122UP2011PLC044210) is presently undergoing Corporate Insolvency resolution process (CIRP) with effect from July 11, 2024. The Hon'ble NCLT, Allahabad bench had appointed Mr. Anurag Goel, as IRP of the Company. Currently, The Hon'ble NCLAT, vide its judgement pronounced dated 13th July 2026 dismissed the appeal filed by Ms. Gursimran Kaur Mann (one of the Promoters) and Mr. Surender Pal Singh Mangat (Farmer).