

SEC/FILING/BSE-NSE/2022-23/68A-B

Date: 04/04/2023

To,

National Stock Exchange of India Limited

Exchange Plaza,5th Floor,
Plot No. C/1,G Block,
Bandra- Kurla Complex,
Bandra (East), Mumbai - 400 051
Scrip Code : SHRIRAMFIN

BSE Limited

P. J. Towers,
Dalal Street,
Fort, Mumbai - 400 001
Scrip Code : 511218

Dear Sirs,

Sub: Compliance under Regulation 39 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We have received the letter from the following shareholder informing about loss of physical shares certificates but without mentioning the details of shares such as Distinctive Nos., Certificate Nos. etc. of the Company. A photo copy of the document alongwith proof of the date of receipt is enclosed herewith.

As per the document received from shareholder and from our records we notice that shareholder are holding the shares as per the details given below:-

Folio Nos.	Name of the Shareholder	Cert. Nos.	Distinctive Nos. (Both inclusive)		No. of Shares held	Received on
			From	To		
37330	Ajmera Kailash Ajmera Praful	263440	11360546	11360595	50	04/04/2023
14598	Kuldeep Kaur Gugnani Gurdeep Singh Gugnani	44713	1950916	1950965	50	04/04/2023
		84713	3675916	3675965	50	
		123725	5350156	5350205	50	
23092	Sreenivas.R	57922	2595706	2595755	50	04/04/2023
		97922	4320706	4320755	50	
		134119	5864686	5864735	50	

Please note that Duplicate share certificates shall be issued only on receipt of complete documents from the shareholder(s) to the satisfaction of the RTA and the Company including completion of procedural requirements in this regard.

Thanking you,

Yours faithfully,

for Shriram Finance Limited

U Balasundararao
Compliance Officer
Encl : A/a

Shriram Finance Limited

(Formerly known as Shriram Transport Finance Company Limited)

Corporate Office : Wockhardt Towers, Level - III, West Wing, C-2, G-Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051, Maharashtra. Ph: +91 22 4095 9595

Registered Office: Sri Towers, Plot No.14A, South Phase, Industrial Estate, Guindy, Chennai - 600 032. Tamil Nadu, India. Ph: +91 44 4852 4666

Website : www.shriramfinance.in | Corporate Identity Number (CIN) - L65191TN1979PLC007874

AJMERA KAILASH PRAFUL

**SATYA SADAN, PLOT NO.6A, FLAT NO. 5, N. P. MARG, NEXT TO ARORA CINEMA, KING
CIRCLE, MATUNGA, MUMBAI – 400019.**

EMAIL ID : catchialpa@gmail.com

MOBILE NO. : 9324275948.

Date : 20/3/2023

Unit : Shriram Transport Finance Company Ltd (Old name)
Shriram Finance Ltd (New name)

To,
Integrated Enterprises (India) Ltd.
2nd Floor, Kences Towers, No. 1,
Ramakrishna Street, North Usman Road,
T Nagar, Chennai – 600017. Tamil Nadu.

Dear Sir,

Sub : Request for Duplicate Procudure, Unclaimed Dividend *Company name change*

Folio No. : 37330.

We are informing you that we have physical share of Shriram Transport Finance Company Ltd *but now company as change name to Shriram Finance Ltd* in the name of Ajmera Kailash & Ajmera Praful but it as been misplaced. But on both name middle name is not mentioned as per pan card & aadhar card. Our KYC is already update in your record. Whichever unclaimed dividend is there please credit in our bank account as per your record as update. Also we are attaching furishing of pan, kyc details and nomination form for your reference.

We hope that you will sent me Duplicate share procedure as earliest possible on above address.

Thanking You,

Yours Faithfully,

Ajmera Kailash Praful –

KP Ajmera

Praful Dalichand Ajmera –

[Signature]

Enclosed :

1. Self Attested copy of Pan Card & Aadhar Card of both holders.
2. Xerox of furishing of pan, kyc details and nomination form for your reference.

Date : 10-12-2021

REGISTERED POST
 Env. No.: STF/KYC/37330/664

AJMERA KAILASH
SATYA SADAN IIND FLOOR
NEXT TO ARORA CINEMA
K CIRCLE
BOMBAY
400019

J-1 : AJMERA PRAFUL

Dear Shareholder

Sub : Furnishing of PAN, KYC details and Nomination

Ref : Folio No : 37330

SEBI has provided standardised and simplified norms for processing investor Service Requests by RTA vide its Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 3, 2021 (SEBI Circular). Accordingly, SEBI has mandated shareholders holding shares in physical mode to furnish the following details :-

- 1) Valid PAN including that of joint shareholders duly linked with Aadhaar
- 2) Nomination (if shares are held in single name)
- 3) Postal Address with Pin code, Email id and Mobile Number
- 4) Bank Account details (Bank Name, Branch, Bank Account No, IFSC and MICR code)
- 5) Specimen Signature

As per our records you are holding shares in physical mode and in order to keep your Folio in active status, you are requested to send duly completed forms enclosed herewith along with self-attested proof mentioned in the respective forms, with date of attestation to our Registrar and Share Transfer Agent(RTA), M/s Integrated Registry Management Services Private Limited, on the address mentioned herein below.

S No.	Particulars	Status as per RTA / Company records	Furnish details in
1	PAN	Please provide your PAN	Form No. ISR-1(Form Attached)
2	Address	Address available	
3	Email address	Please provide your Email ID	
4	Mobile Number	Please provide your Mobile No.	
5	Bank account details	Please provide your Core Banking A/C details	
6	Nomination details	Not applicable	Form No. SH13 (Form Attached)
7	Specimen Signature	Registered	Form No. ISR-2(Form Attached)

As per SEBI Circular, shareholders are required to furnish all the above details failing which all such physical folios shall stand frozen with effect from April 01, 2023. In case where valid PAN (linked with Aadhaar) is not registered, such Folios shall stand frozen with effect from April 01, 2022 or any other date as may be specified by the CBDT. Shareholders shall be eligible for equity dividend amount only through electronic mode upon complying above requirements.

Kindly note that holding shares in dematerialised form has several advantages. Hence, please dematerialise your shares on priority by submitting the share certificates held by you in physical form to your DP for dematerialisation. In case you do not have a demat account, please open a demat account with a DP at the earliest so that the share certificates could be dematerialised. In case you need any further assistance please contact to Mr. Dnyandeve Choudhary-Phone No. 022-4095 9558 and Mr. Mathew Varghese - Phone No.022-4095 9560.

Thanking you,

Yours truly,

for Shriram Transport Finance Company Limited

Sd/-

Vivek Achwal
 Company Secretary

Address of Registrar and Share Transfer Agent:
 Integrated Registry Management Services Private Limited,
 2nd floor, "Kences Towers", No. 1, Ramakrishna Street,
 North Usman Road, T. Nagar, Chennai - 600 017
 Email: anusha@integratedindia.in
 Contact Person Ms. Anusha/ Ms Uma
 Phone No.: 044-28140801 to 28140803

Encl.: Form ISR-1, Form ISR-2 and Form SH-13

SHRIRAM TRANSPORT FINANCE COMPANY LIMITED

CIN : L65191TN1979PLC007874

Registered Office: Plot No.14-A South Phase, Industrial Estate, Guindy, Chennai - 600 032.

Corporate Office: "Wockhardt Towers", 3rd Floor, West Wing, C-2, G-Block, Bandra-Kurla Complex, Bandra (East),

Mumbai - 400 051 Tel No: +91 22 4095 9595 Fax: +91 22 4095 9597

FEDERAL BUREAU OF INVESTIGATION U.S. DEPARTMENT OF JUSTICE RECEIVED				
Date Recd.	04 APR 2023			Class.
Regd.	Speed	R.D.	Courier	Agency

To
Shri Kam Finance Ltd
Integrated Registry
Management Service Pvt Ltd
II Floor Kances Towers
No 1 Kamakshya Street
North Usman Road TNagar
Chennai

29-3-23

Dear Sir/Madam,

This is a reply to your letter dated 22 March 2023. I am enclosing the following documents which were sent from you. I Kuldeep Kaur w/o Late Gurdeep Singh - Residence of C-161-162 Laxmi Nagar I New Delhi 110024. My Folio 14598 in which 150 (One hundred fifty) were misplaced by A Lankit Assignments. As my husband & we were joint holders of shares. My husband expired on 13-12-2013 - his death certificate is the name of name of Gurdeep Singh and all his and my ID proof is name of Gurdeep Singh and Kuldeep Kaur, nominee my son ID is attached

As per your requirement 100 rs Stamp paper and your format is attested by the notary his death certificate, client master, and proof of nominee is also attested by the Gazette officer. The change of name from Kuldeep Kaur Gughari to Kuldeep Kaur Chhabra in hows paper is also attached.

As per your letter I am sending you all the documents and all returned documents also. Kindly transfer all my 150 share to demat account

Thanking you
Kuldeep Kaur

9810985490



फार्म संख्या/ FORM NO.6
(नियम संख्या 8 देखिए)/(See Rule-8)

DEATH
प्रमाण-पत्र/ CERTIFICATE

(जन्म एवं मृत्यु पंजीकरण अधिनियम 1969 की धारा के अंतर्गत दिया गया)
(Issued under section 17 of the Registration of Births and Deaths Act, 1969)

यह प्रमाणित किया जाता है कि निम्नलिखित सूचना मूल लेख से ली गई है जो कि

This is to certify that the following information has been taken from the original record of

रजिस्टर में उल्लिखित है (स्थानीय क्षेत्र)

which is register for (Local Area)

दिल्ली नगर निगम के जिला

Municipal Corporation of Delhi of District Delhi

Central Zone Office

DEATH
के क्षेत्र,
of Central Zone Zone of
के रा. रा. क्षेत्र, दिल्ली।
of NCT of Delhi.

नाम /Name : GURDEEP SINGH

लिंग /Gender : Male

तिथि /Date of Death : 13/12/2013

स्थान /Place of Death : Mool Chand Hospital Lajpat Nagar-III New Delhi

पंजीकरण की तिथि /Date of Registration : 20/12/2013

पंजीकरण संख्या /Registration No. : MCDOLIR-0213-004627332

Name of Mother : LATE RAM PYARI

Name of Father : LATE RAM KISHAN

Present Address : C-161,162, LAJPAT NAGAR - 1, NEW DELHI - 110024

Permanent Address : C-161,162, LAJPAT NAGAR - 1, NEW DELHI - 110024

0-011-2314

2/Central Zone/Khush

तिथि / Date :

सील/Seal :

ENGLISH VERSION



Visit us at : www.mcdonline.gov.in

*Kuldeep Kaur
for Shri Ran Transport Finance
Company LTD*



ATTESTED

NOTARY PUBLIC
DELHI (INDIA)

06 JUN 2022

उप-रजिस्ट्रार/रजिस्ट्रार, जन्म व मृत्यु
दिल्ली नगर निगम Registrar
Sub-Registrar / Registrar of Birth & Death
Municipal Corporation of Delhi
South Delhi Municipal Corporation

INTEGRATED RECEIPT - 10/15/19 REVISED				
CEN-PA-00017				
RECEIVED 03/04				
ORD Fact	04 APR 2023			Class
Regd	Speed	H.S	Control	Capacity

To,

Integrated Registry Management Services Private Limited
2nd Floor, "Kences Towers"
No. 1 Ramakrishna Street,
North Usman Road
T Nagar, Chennai - 600 017
Phone: 044-28140801 to 28140803

From,

Aruna B S
529/A, 1st Main, 14th Cross
KR Road, Bangalore 560070

UNIT: Shriram Finance Limited (Shriram Transport Finance Company Limited)

Folio: 23092

Respected Sir/Madam,

As per reply to our query regarding the above folio, I (Aruna B S) am the wife of the deceased (R Sreenivas) who is the owner of the shares under the folio.

As per your email received on Jan 20, 2023 to sreesha29@gmail.com, attaching below documents:

1. ISR1, ISR2, ISR4, SH13, Affidavit, NOC and Indemnity for Transmission
2. Affidavit and Indemnity for Duplicate Shares
3. ISR 5
4. Notarized Death Certificate of the Deceased
5. Family Tree Original Copy and Translated
6. Original Share certificates
7. Cancelled Cheque
8. Client Master List
9. Self-attested - Legal Heir's Aadhar and PAN (THERE IS CHANGE OF ADDRESS)

10. Name of the Company	Share / Bond Certificate No	Distinctive Nos	Folio No	No of Shares / Bonds held	Comments
Shriram Finance Limited	155033	6618065 to 6618114	23092	50	ATTACHED ORIGINAL SHARE CERTIFICATES
	155034	6618115 to 6618164		50	
	155035	6618165 to 6618214		50	
	155036	6618215 to 6618234		20	
	155037	6618235 to 6618254		20	
	155038	6618255 to 6618256		02	
	134119	5864686 to 5864735		50	SUBMITTED DOCUMENTS FOR DUPLICATE
	57922	2595706 to 2595755		50	
	97922	4320706 to 4320755		50	

Also request you to change the address to the following as we are not at the older address that you have.

529/A, 1st Main, 14th Cross

KR Road, Bangalore 560070

Thanks, Aruna B.S.

ನಂ. ಬಿ.ಬಿ.ಎಂ.ಪಿ.

No. B.B.M.P. 1303011

ನಮೂನೆ - 6

Form - 6



Government of India

ಕರ್ನಾಟಕ ಸರ್ಕಾರ

GOVERNMENT OF KARNATAKA

ಜನನ ಮತ್ತು ಮರಣಗಳ ಮುಖ್ಯ ರಿಜಿಸ್ಟ್ರಾರರು

Chief Registrar of Births and Deaths

ಮರಣ ಪ್ರಮಾಣ ಪತ್ರ

(ಜ.ಮ.ನೋ ಅಧಿನಿಯಮ, 1969ರ 12/17ನೆಯ ಪ್ರಕರಣ ಹಾಗೂ ಕ.ಜ.ಮ.ನೋ. ನಿಯಮಗಳು 1999ರ ನಿಯಮ 8/13ರ ಮೇರೆಗೆ ಕೊಡಲಾದ)

DEATH CERTIFICATE

(Issued Under Section 12/17 of the RBD Act, 1969 and Rule 8/13 of the KRBD Rules, 1999)

ಈ ಕೆಳಕಂಡ ವಿವರಣೆಯನ್ನು ಕರ್ನಾಟಕ ರಾಜ್ಯದ ಬೆಂಗಳೂರು ಜಿಲ್ಲೆಯ ತಾಲ್ಲೂಕಿನ ಬಿ.ಬಿ.ಎಂ.ಪಿ (ಗ್ರಾಮ/ಪಟ್ಟಣ)ದ ರಿಜಿಸ್ಟ್ರಾರರಿನಲ್ಲಿರುವ ಮರಣ ಸಂಬಂಧವಾದ ಮೂಲ ದಾಖಲೆಯಿಂದ ತೆಗೆದುಕೊಳ್ಳಲಾಗಿದೆಯಿಂದ ಪ್ರಮಾಣೀಕರಿಸಲಾಗಿದೆ

This is to certify that the following information has been taken from the original record of death which is the register for BBMP (village/town) of Bengaluru district of Karnataka State

1) ಹೆಸರು	2) ಲಿಂಗ	ಗಂಡು
Name	R. SREENIVAS	Sex
3) ಮರಣದ ದಿನಾಂಕ	27/04/2021	4) ಮರಣದ ಸ್ಥಳ
Date of Death		Place of Death
5) ತಾಯಿಯ ಹೆಸರು		6) ತಂದೆಯ ಹೆಸರು
Name of Mother		Name of the Father
7) ಗಂಡನ / ಹೆಂಡತಿಯ ಹೆಸರು	ARUNA B S	
Name of the Husband/Wife		
8) ಮರಣದ ಸಮಯದಲ್ಲಿ ಮೃತರ ವಿಳಾಸ		9) ಮೃತರ ಸ್ಥಾಯಿ ವಿಳಾಸ
Address of deceased at the time of death		Permanent address of the deceased:

529/A, 1ST MAIN, 14TH CROSS, K R ROAD , SHASTRINAGAR , BBMP ,Bengaluru,Karnataka 560070	529/A, 1ST MAIN, 14TH CROSS, K R ROAD , SHASTRINAGAR , BBMP ,Bengaluru,Karnataka 560070
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10) ನೋಂದಣಿ ಸಂಖ್ಯೆ:	803162/B/D/2021/030168	11) ನೋಂದಣಿ ತಾರೀಖು	04/05/2021
Registration No.:		Date of Registration:	
12) ಪರಾ(ಯಾವುದಾದರೂ ಇದ್ದಲ್ಲಿ)		13) ಅನುಮೋದನೆಯ ದಿನಾಂಕ	15/05/2021
Remarks(if any)		Date of Approval	

4) ಪ್ರಮಾಣ ಪತ್ರ ಕೊಡುವ ಪ್ರಾಧಿಕಾರಿಯ ಸಹಿ	5) ಪ್ರಮಾಣ ಪತ್ರ ಕೊಡುವ ಪ್ರಾಧಿಕಾರಿಯ ವಿಳಾಸ
Signature of Issuing Authority	Address of the issuing authority/Medical Officer

COPY ATTESTED

H.S. DINESH PRASAD, B.A.,LL.B.
ADVOCATE & NOTARY PUBLIC
GOVT. OF INDIA
No. 1440, 1st Floor, 37th 'C' Cross, 14th Main Road,
4th "T" Block, Jayanagar, Bengaluru - 560 024

Date of Issue: 17/05/2021

NOTARY
H.S. DINESH PRASAD
Bengaluru City
Karnataka
Reg. No. 12281
Exp : 25-12-2028
GOVT. OF INDIA

ಪ್ರತಿಯೊಂದು ಜನನ ಮತ್ತು ಮರಣದ ನೋಂದಣಿಯನ್ನು ಖಚಿತಪಡಿಸಿಕೊಳ್ಳಲು ಪ್ರಕೃತ ನಿಯಮಗಳ ಅಡಿಯಲ್ಲಿ ನಮೂದಾದ ರೀತಿಯಲ್ಲಿ ಮರಣದ ಕಾರಣಗಳ ಬಗ್ಗೆ ಬಹಿರಂಗಗೊಳಿಸುವಂತಿಲ್ಲ. ಪ್ರಕರಣ-47(1)ರ ಮೇರೆಗೆ ನೋಡಿ.

GOVT OF KARNATAKA

REVENUE DEPARTMENT

CERTIFICATE OF FAMILY TREE

CERTIFICATE NO RD0039268474973

Smt. Aruna B S, W/o Late R Sreenivas (Name of Husband) and D/o Late Smt. Vinoda (Name of Mother)

No. 529/A, 1st Main, 14th Cross, KR Road, Shastrinagar, Bangalore – 560070

City Circle (Ward 171), Bangalore South Taluk, Bangalore District, Karnataka State Registration Act 1957, Section

110A Introduced in E Stamp No. IN-KA26022086937852T Dated: 21-06-2021 details provided as under:

Sl No.	Name	Age	Relation with Applicant	Aadhar Card No. / PAN Card
1.	Late R Sreenivas	61	HUSBAND	Aadhar Card with Photo No XXXX XXXX 5204
2.	Aruna B S	56	SELF	Aadhar Card with Photo No XXXX XXXX 0264
3.	Sreesha Sreenivasa	30	SON	Aadhar Card with Photo No XXXX XXXX 8816

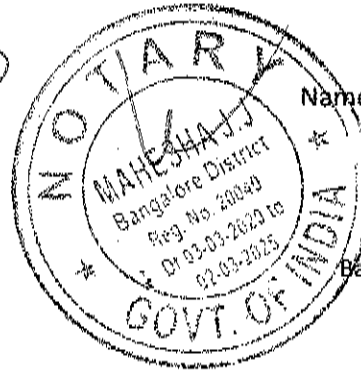
This certificate is issued to the applicant to enable the dependent issues/disputed matters/sanctioning pension and life certificate from the Revenue Department without issues.

Date: 01-07-2021

NOTE: This certificate is digitally signed and hand written, signature is not required.

Nadakacheri at website www.nadakacheri.karnataka.gov.in

COPY ATTESTED
MAHESHA. J. J. B.A., LL.B.
ADVOCATE & NOTARY PUBLIC
Govt. of India
153/A, Byanappalya, Vajarahalli,
Thalagathuru Post, Kenakapura Main Road,
Thalagathuru - 560 082.



Name: Raghavendra Prasad H.N

Deputy Tashildar

City Circle Hobli

Bangalore South Taluk

Bangalore District

This certificate can be verified in www.nadakacheri.karnataka.gov.in

INTEGRATED REPORT - 10/10/2019 - 10/10/2019				
OVERALL DATA				
PERFORMANCE DATA				
DATE	04 APR 2023			STATUS
Regd.	Speed	I.D.	Control	Display