

SEC/FILING/BSE-NSE/2023-24/68A-B

Date: 03/05/2023

To,

**National Stock Exchange of India Limited**Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block,  
Bandra- Kurla Complex,  
Bandra (East), Mumbai - 400 051  
Scrip Code : SHRIRAMFIN**BSE Limited**P. J. Towers,  
Dalal Street,  
Fort, Mumbai - 400 001  
Scrip Code : 511218

Dear Sirs,

**Sub:** Compliance under Regulation 39 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We have received the letter from the following shareholder informing about loss of physical shares certificates but without mentioning the details of shares such as Distinctive Nos., Certificate Nos. etc. of the Company. A photo copy of the document alongwith proof of the date of receipt is enclosed herewith.

As per the document received from shareholder and from our records we notice that shareholder are holding the shares as per the details given below:-

Folio Nos.	Name of the Shareholder	Cert. Nos.		Distinctive Nos. (Both inclusive)		No. of Shares held	Received on
				From	To		
SCF 3147	Ravindra Kumar Garg	40028		6309483	6309502	20	03/05/2023
		40029		6309503	6309507	5	
46316	Rajammal R	325252	325257	14077727	14078026	300	03/05/2023
		399285	399296	19705124	19705723	600	
		501589	501600	28773864	28774463	600	

**Please note that Duplicate share certificates shall be issued only on receipt of complete documents from the shareholder(s) to the satisfaction of the RTA and the Company including completion of procedural requirements in this regard.**

Thanking you,

Yours faithfully,

**for Shriram Finance Limited****U Balasundararao**  
**Compliance Officer**  
**Encl : A/a****Shriram Finance Limited**

(Formerly known as Shriram Transport Finance Company Limited)

Corporate Office : Wockhardt Towers, Level - III, West Wing, C-2, G-Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051, Maharashtra. Ph: +91 22 4095 9595

Registered Office: Sri Towers, Plot No.14A, South Phase, Industrial Estate, Guindy, Chennai - 600 032. Tamil Nadu, India. Ph: +91 44 4852 4666

Website : www.shriramfinance.in | Corporate Identity Number (CIN) - L65191TN1979PLC007874



सत्यमेव जयते

INDIA NON JUDICIAL

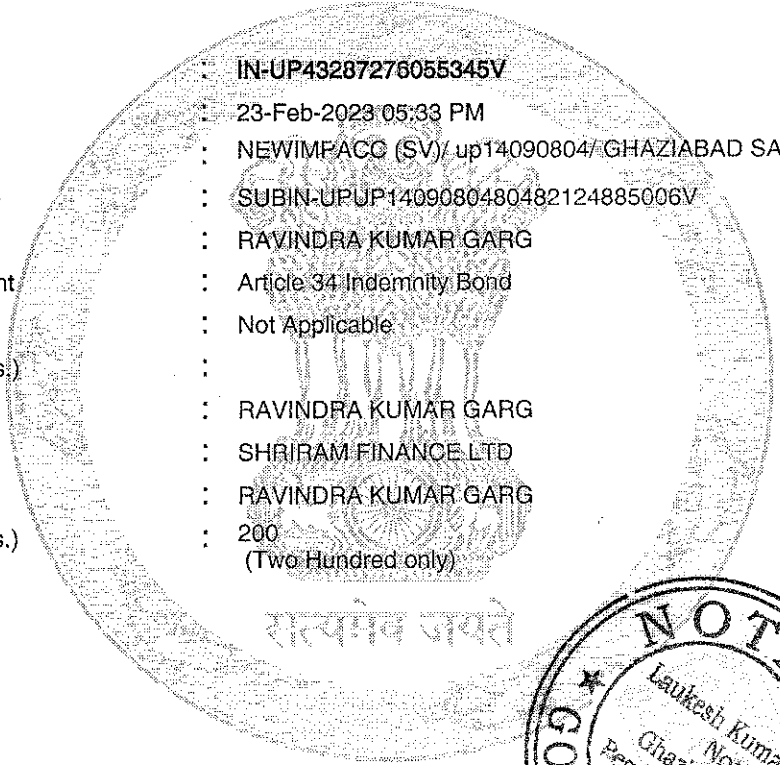
Government of Uttar Pradesh

e-Stamp

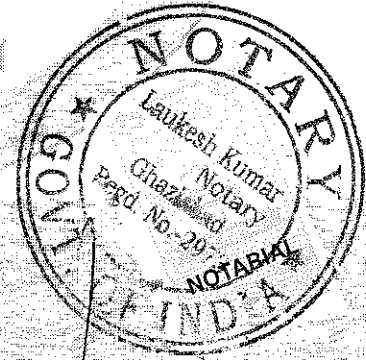
RIYA GUPTA  
RIYA GUPTA  
License No. 497  
C no. up14090804  
33, Navyug Market GZB.  
(M) 9319764442, 4371938

₹200

Certificate No.	: IN-UP43287276055345V
Certificate Issued Date	: 23-Feb-2023 05:33 PM
Account Reference	: NEWIMPACQ (SV)/ up14090804/ GHAZIABAD SADAR/ UP-GZB
Unique Doc. Reference	: SUBIN-UPUP1409080480482124885006V
Purchased by	: RAVINDRA KUMAR GARG
Description of Document	: Article 34 Indemnity Bond
Property Description	: Not Applicable
Consideration Price (Rs.)	:
First Party	: RAVINDRA KUMAR GARG
Second Party	: SHRIRAM FINANCE LTD
Stamp Duty Paid By	: RAVINDRA KUMAR GARG
Stamp Duty Amount(Rs.)	: 200 (Two Hundred only)



सत्यमेव जयते



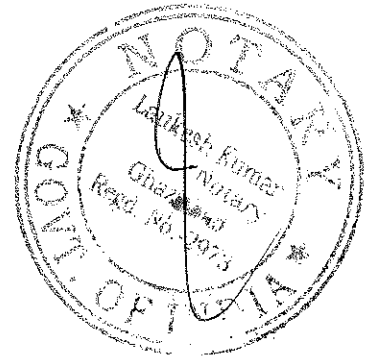
₹200

Please write or type below this line

IN-UP43287276055345V

This stamp paper forms the part and parcel of Indemnity for issuance of Duplicate Share certificate executed by Mr. Ravindra Kumar Garg, in favour of Shriram finance Ltd.

R HPG



Statutory Alert:

1. The authenticity of this Stamp certificate should be verified at 'www.shriestamp.com' or using e-Stamp Mobile App of Stock Holding. Any discrepancy in the details on this Certificate and as available on the website / Mobile App renders it invalid.
2. The onus of checking the legitimacy is on the users of the certificate
3. In case of any discrepancy please inform the Competent Authority.

**Form -B (Indemnity for issuance of Duplicate Share Certificate)**

I/We,

RAVINDRA KUMAR GARG

Son / daughter

of RAM KISHAN DASS

residing at

III F- 33/7, NEHRU NAGAR, GHAZIABAD,  
UTTAR PRADESH, PIN- 201001

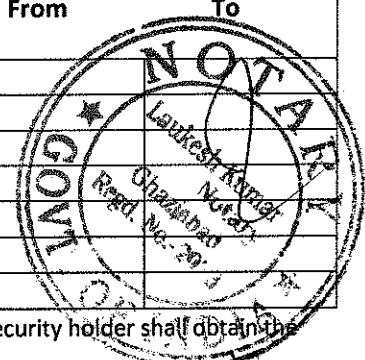
having Permanent Account

Number(s)

do hereby solemnly affirm and state on oath as follows:

1) That I/we, am/are the sole/joint holder/s of the Securities in following folios. I/We request you to issue duplicate certificate(s) for securities, as detailed below in my/our name(s):

Company	Folio No**	No of Shares	Share Certificate Number**	Distinctive No(s)**	
				From	To
SHRIRAM FINANCE LIMITED	3147 ✓				



\*\* In case of non-availability of Certificate Nos. / Distinctive Nos. / Folio nos., security holder shall obtain the same from RTA by sending a written request.

2) That the above securities were acquired by me / us for valuable consideration out of my / our investment / funds against allotment in public issue / allotment in Rights Issue or acquired from market / through inheritance in the year(s) \_\_\_\_\_.

3) I/We hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless, the aforesaid (Name of the Company/RTA) and its successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, issuing the said Duplicate Securities as herein above mentioned, to the undersigned.

RTA sign-?

IN WITNESS WHEREOF the said 1) DIGAMBAR JHA  
D-7, MAHINDRA ENCLAVE  
SHASTRI NAGAR, GHAZIABAD (U.P) 201001  
2) DEVENDRA KUMAR  
296, SARVODAY NAGAR, VIJAY NAGAR  
GHAZIABAD (U.P) - 201005  
(Names and Signature of the Witness)

*[Handwritten signatures]*

Have hereunto set their respective hands and seals this day of \_\_\_\_\_

Address of the First Holder:

111F-33/7 NEHRU NAGAR  
GHAZIABAD,  
UTTAR PRADESH

- 1) *R H P S*
- 2)
- 3)

Signature of All holder(s) / Applicant(s)

Pincode: 201001

Telephone: 9899694224

EmailID: GARGRK413@GMAIL.COM.

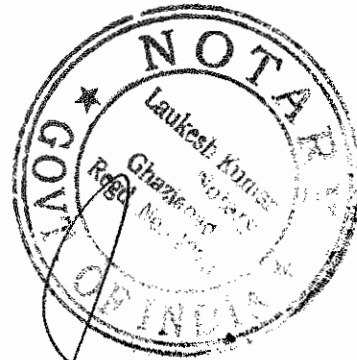
Date: 24-02-2023

Signed before me

At: GHAZIABAD

On: 24-02-2023

Signature of Notary  
Official Stamp & Seal of the Notary & Regn No



ATTESTED  
*[Signature]*  
NOTARY PUBLIC  
GHAZIABAD  
24/2/23

Env. No.: 22  
RAVINDRA KUMAR GARG  
C/O MODERN STEEL CENTRE  
67 NAVYUG MARKET  
GHAZIABAD  
201001

*Please advise*

November 18, 2022



Folio No.: 3147

Dear Shareholder,

Ref: Our earlier letter on furnishing of PAN, KYC details, Nomination and dematerialisation dated October 18, 2022

Sub: Advise to convert holding of shares of the Company from physical form to dematerialised form.

This is in furtherance to our letter dated October 18, 2022 on the subject of furnishing of PAN, KYC details, Nomination and dematerialisation pursuant to SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 3, 2021 read with clarification Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/687 dated December 14, 2021 (SEBI Circulars) for shares held in physical mode (non-dematerialised mode).

1. As you are aware the Company is implementing a Composite Scheme of Arrangement and Amalgamation ("Scheme") which inter-alia include amalgamation of the Company with Shriram Transport Finance Company Limited (as part of the Scheme the name of Shriram Transport Finance Company Limited will be changed to "Shriram Finance Limited").
2. The Scheme is approved by the Hon'ble National Company Law Tribunal ("NCLT") Chennai vide order dated November 9, 2022 and received by the Company on November 14, 2022.
3. Various formalities for issue of shares of Shriram Finance Limited to the shareholders of the Company will be carried out as provided for in the Scheme after complying with all legal formalities.
4. As per SEBI requirement on amalgamation, shares of the amalgamated company i.e Shriram Finance Limited will be issued only in dematerialised form to the shareholders of the amalgamating company i.e Shriram City Union Finance Limited. This has been specifically provided for clause 4.2 of the Scheme. Further, as mandated by SEBI, until the demat account details are provided to the Company, shares issued by Shriram Finance Limited in lieu of holding in the Company will be in suspense account only.
5. We would like to avoid any inconvenience to you in view of the obligation on the Company to comply with the above legal requirements.
6. From the records of the Company, we notice that you are still holding shares of the Company in physical form. Hence, we request you to take steps immediately to convert the holding to dematerialised form on or before November 30, 2022 (record date). This will ensure the shares of Shriram Finance Limited allotted to you in lieu of your holding is credited to your demat account promptly.
7. For any queries with respect to dematerialisation of shares you may contact our Registrar and Transfer Agent (RTA) Integrated Registry Management Services Private Limited, 2nd floor, "Kences Towers", No. 1, Ramakrishna Street, North Usman Road, T. Nagar, Chennai - 600 017.  
Email: csdstd@integratedindia.in Phone No.: 044-28140801 to 28140803

Thanking you

Yours faithfully  
For Shriram City Union Finance Limited  
C R Dash  
Company Secretary

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**SHRIRAM CITY UNION FINANCE LIMITED**

CIN : L65191TN1986PLC012840

Registered Office: 123, Angappa Naicken Street, Chennai, Tamilnadu - 600 001

Telephone No +91 44 2534 1431

Secretarial Office: 44, Santhome High Road, Mylapore, Chennai 600 004.

Telephone No +91 44 4392 5300 FAX No + 91 44 4392 5430

Website : www.shriramcity.in




Scanned with

*18-11-22*

### Form ISR-2

(See circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

#### Confirmation of Signature of securities holder by the Banker

1. Bank Name and Branch	CANARA BANK, BRANCH: GHAZIABAD MAIN
2. Bank contact detail Postal Address	
Phone number	
E-mail address	
3. Bank Account number	85562010044157
4. Account opening date	17-10-1994
5. Account holder(s) name(s)	1) RAVINDRA KUMAR GARG 2) MANJU GARG 3) PRIYAMVADA GARG
6. Latest photograph of the account holder(s)	 <p style="text-align: right; font-size: small;">RD 1002-080</p>
7. Account holder(s) details as per Bank Records a) Address	111F-33/7, NEHRU NAGAR GHAZIABAD-201001 UTTAR PRADESH



INTEGRATED REGISTRY MANAGEMENT SERVICES PVT. LTD.				
CHENNAI-600 017.				
RECEIVED ON				
ORD Post	03 MAY 2023			Others
Regd.	Speed	H.D	Courier	Company

From

R. SRIBALAJI,

s/o Late RAJAMMAL R,

No: 223, Middle Street, Ayan Peraiyur (P.O)

Veppanthattai (T.K), Perambalur (D.T)

Pin: 621117, Mob: 9994043037

To

Integrated Registry Management Services Pvt Ltd,

2nd Floor, Kenes Tower, NO: 1 Ramakrishna Street,

North Usman Road, T Nagar, Chennai - 600017

Sub: Shriram Transport Finance / Folio: 46316

Sub: Duplicati cum Transmission

Dear Team, The mentioned Folio: 46316 consist 1500 shares

which was holded by My Mother R. Rajammal. My Mother mother

was passed away on 25/09/2017 due to illness, so i am

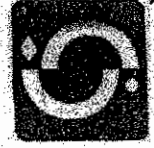
one of the legal heir (R. SRIBALAJI) want to transfer the

mentioned shares to my name. The duction certificate of

the same we already produced in our office.

The mentioned 1500 shares copy was lost.

So i request you to suggest me the further proceedings.



Government of Tamil Nadu

தமிழ்நாடு அரசு

படிவம்-6

Department of இலுவல் துறை**DEATH CERTIFICATE - இறப்பு சான்றிதழ்**

(Issued under Section 12/17 of the Registration of Births and Deaths Act, 1969 and Rule 8 of the Tamil Nadu Registration of Births and Deaths Rules 2000).

This is to certify that the following information has been taken from the original record of Death which is the register for (local area) ..... of Taluk ..... of District ..... of State .....

கீழ்க்கண்ட தகவல்கள் துவக்குடி மாநிலம் வெள்ளாறு மாவட்டம் வேம்பாங்குடி வட்டம் பெரியூர் 2017 ஊரைச்சேர்ந்த அசல் இறப்புப் பதிவேட்டிலிருந்து எடுக்கப்பட்டவை எனச் சான்றிதழ் வழங்கப்படுகிறது.

Name / பெயர் : நாமலாள்  
 Name of Mother / தாயின் பெயர் : சிவசெல்வா  
 Name of Father/Husband தந்தை/கணவரின் பெயர் : நாமலாள்  
 Age / வயது : - 65 -  
 Sex / பாலினம் : பெண்  
 Date of Death / இறந்த தேதி : 25-9-2017  
 Place of Death / இறந்த இடம் : 35 பெரியூர்  
 Permanent Residential Address of the deceased/ இறந்தவரின் நிலையான வீட்டு முகவரி : 223-நடுக்குடி

TRUE XEROX COPY ATTESTED BY 35 பெரியூர்

Address of Parents at the time of Death / இறப்பின்போது முகவரி : 16/2017

S. RAVICHANDRAN, B.Com., B.A.  
 ADVOCATE & NOTARY PUBLIC



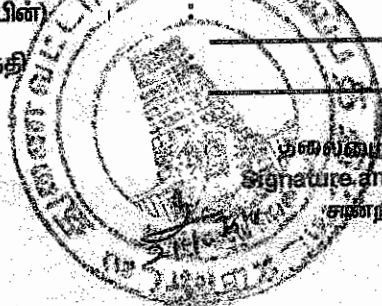
Registration No. / பதிவு எண். Room NO - 1, 3rd Floor - Aswins sweets upstair  
 Opp - New Bus Stand, Perambalur - 621222

Remarks (if any) / குறிப்பு (ஏதேனும் இருப்பின்) : 16/2017

Date of Registration / பதிவு செய்த தேதி : 3-10-2017

Date of Issue தேதி : 3-10-2017

C.A. No. 116/2017  
 Copied by : [Signature]  
 Compared by : 21-10-17  
 No. of Corrections



சுலையையிடத்துள்ள வட்டியில்  
 Signature and address of the Issuing Authority  
 இறப்பு சான்றிதழ் அளிக்கப்பட்ட முகவரி  
 Seal / முத்திரை

1216  
5275U  
Bk



**SHRIRAM TRANSPORT FINANCE COMPANY LIMITED**  
Corporate Office: Wockhardt Towers, Level-3, West Wing, C-2, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051.  
Registered Office: Mookambika Complex, 3rd Floor, No. 4, Lady Desika Road, Mylapore, Chennai, Tamil Nadu-600004  
CIN: L65191TN1979PLC007874

Date: 19.11.2019

Interim equity dividend @ ₹ 5.00  
per equity share of ₹ 10/- each  
for the financial year 2019-20

Env1:1683 / STFC-INTDIV20  
RAJAMMAL R  
W/O N RAMASAMY  
IYANPERAIYUR POST V KALATHUR VIA  
VEPPANTHATTAI TK  
PERAMBALUR THIRUNELVELI DIST-621117

Micr No.2195

FOLIO NO. / DP ID & CLIENT ID NO.	WARRANT NO.	NO. OF SHARES	DIVIDEND AMOUNT (₹)
46316	D46001683	1500	***7500.00

1216  
5275U  
Bk



**SHRIRAM TRANSPORT FINANCE COMPANY LIMITED**  
Corporate Office: Wockhardt Towers, Level-3, West Wing, C-2, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051.  
Registered Office: Mookambika Complex, 3rd Floor, No. 4, Lady Desika Road, Mylapore, Chennai, Tamil Nadu-600004  
CIN: L65191TN1979PLC007874

Date: 19.11.2019

Interim equity dividend @ ₹ 5.00  
per equity share of ₹ 10/- each  
for the financial year 2019-20

Env1:12 / STFC-INTDIV20  
RAJAMMAL S  
AYAN PERAIYUR POST  
VEPPANTHATTAI  
TALUK  
PERAMBALUR DIST

Micr No.524

FOLIO NO. / DP ID & CLIENT ID NO.	WARRANT NO.	NO. OF SHARES	DIVIDEND AMOUNT (₹)
59211	D46000012	3000	***15000.00

*R. S. SURESH*  
*(R. S. SURESH)*  
*04/05/2023*

INTEGRATED REGISTRY MANAGEMENT SERVICES PVT. LTD.				
CHENNAI - 600 017.				
RECEIVED ON				
ORD Post	03 MAY 2023			Others
Regd.	Specd	H.D	Courier	Company